

# 1 **Image-based surface reconstruction in geomorphometry –** 2 **merits, limits and developments**

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13

## 14 **Abstract**

15 Photogrammetry and geosciences have been closely linked since the late 19th century due to  
16 the acquisition of high-quality 3D datasets of the environment, but it has so far been restricted  
17 to a limited range of remote sensing specialists because of the considerable cost of metric  
18 systems for the acquisition and treatment of airborne imagery; Nowadays, a wide range of  
19 commercial and open-source software tools enable the generation of 3D and 4D models of  
20 complex geomorphological features by geoscientists and other non-experts users. In addition,  
21 very recent rapid developments in unmanned aerial vehicle (UAV) technology allows for the  
22 flexible generation of high quality aerial surveying and orthophotography at a relatively low-  
23 cost.

24 The increasing computing capabilities during the last decade, together with the development  
25 of high-performance digital sensors and the important software innovations developed by  
26 computer based vision and visual perception research fields has extended the rigorous  
27 processing of stereoscopic image data to a 3D point cloud generation from a series of non-  
28 calibrated images. Structure from motion (SfM) workflows are based upon algorithms for  
29 efficient and automatic orientation of large image sets without further data acquisition  
30 information, examples including robust feature detectors like the scale-invariant feature

31 transform for 2D-imagery. Nevertheless, the importance of carrying out well-established  
32 fieldwork strategies, using proper camera settings, ground control points and ground truth for  
33 understanding the different sources of errors still need to be adapted in the common scientific  
34 practice.

35 This review intends not only to summarize the current state of the art on using SfM  
36 workflows in geomorphometry, but also to give an overview of terms and fields of  
37 application. Further this article aims to quantify already achieved accuracies and used scales  
38 using different strategies, to evaluate possible stagnations of current developments and to  
39 identify key future challenges. It is our belief that some lessons learned in already published  
40 articles, scientific reports and book chapters concerning the identification of common errors  
41 or “bad practices” and some other valuable information may help in guiding the future use of  
42 SfM photogrammetry in geosciences.

43

## 44 **1 Introduction**

45 Early works on projective geometries date back to more than five centuries, when scientists  
46 derived coordinates of points from several images and investigated the geometry of  
47 perspectives. Projective geometry represents the basis for the developments in  
48 photogrammetry in the late 19th century, when Aimé Laussedat experimented with terrestrial  
49 imagery as well as kites and balloons for obtaining imagery for topographic mapping  
50 (Laussedat, 1899). Rapidly, photogrammetry advanced to be an essential tool in geosciences  
51 during the last two decades and is lately gaining momentum driven by digital sensors.  
52 Simultaneously, growing computing capacities and rapid developments in computer vision led  
53 to the method of Structure from Motion (SfM) that opened the way for low-cost high-  
54 resolution topography. Thus, the community using image-based 3D reconstruction  
55 experienced a considerable growth, not only in quality and detail of the achieved results but  
56 also in the number of potential users from diverse geo-scientific disciplines.

57 SfM photogrammetry can be performed with images acquired with consumer grade digital  
58 cameras and is thus very flexible in its implementation. Its ease of use in regard to data  
59 acquisition and processing makes it further interesting to non-experts (Fig. 1). The diversity of  
60 possible applications led to a variety of terms used to describe SfM photogrammetry either  
61 from photogrammetric or computer vision standpoint. Thus to avoid ambiguous terminology,  
62 a short list of definitions in regard to the reviewed method is given in Table 1. In this review a  
63 series of studies that utilise the algorithmic advance of high automatisation in SfM are

64 considered, i.e. no initial estimates of the image network geometry or user interactions to  
65 generate initial estimates are needed. Furthermore, data processing can be performed almost  
66 fully automatic. However, some parameter settings, typical for photogrammetric tools (e.g.  
67 camera calibration values), can be applied to optimise both accuracy and precision, and GCP  
68 or scale identification are still necessary.

69 SfM photogrammetry can be applied to a vast range of temporal as well as spatial scales and  
70 resolutions up to an unprecedented level of detail, allowing for new insights into earth surface  
71 processes, i.e. 4D (three spatial dimensions and one temporal dimension) reconstruction of  
72 environmental dynamics. For instance, the concept of sediment connectivity (Bracken et al.,  
73 2014) can be approached from a new perspective through varying spatio-temporal scales.  
74 Thereby, the magnitude and frequency of events and their interaction can also be evaluated.  
75 Furthermore, the versatility of SfM photogrammetry utilising images captured from aerial or  
76 terrestrial perspectives has the advantage of being applicable in remote areas with limited  
77 access and in fragile, fast changing environments.

78 After the suitability of SfM has been noticed for geo-scientific applications (James and  
79 Robson, 2012, Westoby et al., 2012, Fonstad et al., 2013) the number of studies utilising SfM  
80 photogrammetry for geomorphometric investigations (thereby referring to the “science of  
81 topographic quantification” after Pike et al., 2008) has increased significantly. However, the  
82 method needs sophisticated study design and some experience in image acquisition to prevent  
83 predictable errors and to ensure good quality of the reconstructed scene. Smith et al. (2015)  
84 and Micheletti et al. (2015) recommend a setup for efficient data acquisition.

85 A total of 65 publications are reviewed in this study. They are chosen according to the  
86 respective field of research and methodology. Only studies are included that make use of the  
87 benefits of automatic image matching algorithms and thus apply the various SfM tools.  
88 Studies that lack of full automatization are excluded, i.e. some traditional photogrammetric  
89 software. Topic wise a line is drawn in regard to the term geosciences. The largest fraction of  
90 the reviewed articles tackles questions arising in geomorphological contexts. To account for  
91 the versatility of SfM photogrammetry, a few studies deal with plant growth on different  
92 scales (moss, crops, forest) or investigate rather exotic topics such as stalagmites or reef  
93 morphology.

94 This review aims to highlight the development of SfM photogrammetry as a great tool for  
95 geoscientists:

- 96 (1) The method of SfM photogrammetry is briefly summarised and algorithmic differences  
97 due to their emergence from computer vision as well as photogrammetry are clarified  
98 (section 2).
- 99 (2) Open-source tools regarding SfM photogrammetry are introduced as well as beneficial  
100 tools for data post-processing (section 3).
- 101 (3) Different fields of applications where SfM photogrammetry led to new perceptions in  
102 geomorphometry are displayed (section 4).
- 103 (4) The performance of the reviewed method is evaluated (section 5).
- 104 (5) And frontiers and significance of SfM photogrammetry are discussed (section 6).

105

## 106 **2 SfM photogrammetry: method outline**

### 107 **2.1 Basic concept**

108 Reconstruction of three-dimensional geometries from images has played an important role in  
109 the past centuries (Ducher, 1987, Collier, 2002). The production of high-resolution DEMs  
110 was and still is one of the main applications of (digital) photogrammetry. Software and  
111 hardware developments as well as the increase in computing power in the 1990s and early  
112 2000s made aerial photogrammetric processing of large image datasets accessible to a wider  
113 community (e.g. Chandler, 1999).

114 Camera orientations and positions, which are usually unknown during image acquisition, have  
115 to be reconstructed to model a 3D scene. For that purpose, photogrammetry has developed  
116 bundle adjustment (BA) techniques, which allowed for simultaneous determination of camera  
117 orientation and position parameters as well as 3D object point coordinates for a large number  
118 of images (e.g. Triggs et al, 2000). The input into the BA are image coordinates of many tie  
119 points. If the BA is extended by a simultaneous calibration option, even the intrinsic camera  
120 parameters can be determined in addition to the extrinsic parameters. Furthermore, a series of  
121 ground control points can be used as input into BA for geo-referencing the image block (e.g.  
122 Luhmann et al., 2014, Kraus, 2007, Mikhail et al., 2001).

123 Parallel developments in computer vision took place that try to reconstruct viewing  
124 geometries of image datasets not fulfilling the common prerequisites from digital  
125 photogrammetry, i.e. calibrated cameras and initial estimates of the image acquisition scheme.  
126 This led to the SfM technique (Ullman, 1979) allowing to process large datasets and to use a  
127 combination of multiple non-metric cameras.

128 The typical workflow of SfM photogrammetry (e.g. Snavely et al., 2008) comprises the  
129 following steps:

- 130 (1) identification and matching of homologous image points in overlapping photos (image  
131 matching),
- 132 (2) reconstruction of the geometric image acquisition configuration and of the corresponding  
133 3D coordinates of matched image points (sparse point cloud) with iterative BA,
- 134 (3) dense matching of the sparse point cloud from reconstructed image network geometry,
- 135 (4) scaling or geo-referencing, which is also performable within step 2.

136 Smith et al. (2015) give a detailed description of the workflow of SfM photogrammetry,  
137 especially regarding step 1 and step 2.

138 In contrast to classical photogrammetry software tools, SfM allows for reliable processing of  
139 a large number of images in rather irregular image acquisition schemes (Snavely et al., 2008)  
140 with a much higher degree of process automation. Thus, one of the main differences between  
141 usual photogrammetric workflow and SfM is the emphasis on either accuracy or automation,  
142 with SfM focusing on the latter (Pierrot-Deseilligny and Clery, 2011). Another deviation  
143 between both 3D reconstruction methods is the consideration of GCPs (James and Robson,  
144 2014, Eltner and Schneider, 2015). Photogrammetry performs BA either one-staged,  
145 considering GCPs within the BA, or two-staged, performing geo-referencing after a relative  
146 image network configuration has been estimated (Kraus, 2007). In contrast, SfM is solely  
147 performed in the manner of a two-staged BA concentrating on the relative orientation in an  
148 arbitrary coordinate system. Thus, absolute orientation has to be conducted separately with a  
149 seven parameter 3D-Helmerttransformation, i.e. three shifts, three rotations and one scale.  
150 This can be done, for instance, with the freeware tool *sfm-georef* that also gives accuracy  
151 information (James and Robson, 2012). Using GCPs has been proven to be relevant for  
152 specific geometric image network configurations, as parallel-axes image orientations usual for  
153 UAV data, because adverse error propagation can occur due to unfavourable parameter  
154 correlation, e.g. resulting in the non-linear error of a DEM dome (Wu, 2014, James and  
155 Robson, 2014, Eltner and Schneider, 2015). Within a one-staged BA these errors are  
156 minimised because during the adjustment calculation additional information from GCPs is  
157 employed, which is not possible, when relative and absolute orientation are not conducted in  
158 one stage.

159 The resulting oriented image block allows for a subsequent dense matching, measuring many  
160 more surface points through spatial intersection to generate a DEM with very high resolution.

161 Recent developments in dense matching allow for resolving object coordinates for almost  
162 every pixel. To estimate 3D coordinates, pixel values are either compared in image-space in  
163 the case of stereo-matching, considering two images, or in the object space in the case of  
164 MVS-matching, considering more than two images (Remondino et al., 2014). Furthermore,  
165 local or global optimisation functions (Brown et al., 2003) are considered, e.g. to handle  
166 ambiguities and occlusion effects between compared pixels (e.g. Pears et al., 2012). To  
167 optimise pixel matching, (semi-)global constraints consider the entire image or image scan-  
168 lines (e.g. semi-global matching (SGM) after Hirschmüller, 2011), whereas local constraints  
169 consider a small area in direct vicinity of the pixel of interest (Remondino et al., 2014).

170 SfM photogrammetry software packages are available partially as freeware or even open-  
171 source. Most of the packages comprise SfM techniques in order to derive 3D reconstructions  
172 from any collection of unordered photographs, without the need of providing camera  
173 calibration parameters and high accuracy ground control points. As a consequence, no in-  
174 depth knowledge in photogrammetric image processing is required in order to reconstruct  
175 geometries from overlapping image collections (James and Robson, 2012, Westoby et al.,  
176 2012, Fonstad et al., 2013). But now, also many photogrammetric tools utilise abilities from  
177 SfM to derive initial estimates automatically (i.e. automation) and then perform  
178 photogrammetric BA with the possibility to set weights of parameters for accurate  
179 reconstruction performance (i.e. accuracy). In this review studies are considered, which either  
180 use straight SfM tools from computer vision or photogrammetric tools implementing SfM  
181 algorithms that entail no need for initial estimates in any regard.

182

## 183 **2.2 Tools for SfM photogrammetry and data post processing**

184 SfM methodologies rely inherently on automated processing tools which can be provided by  
185 different non-commercial or commercial software packages. Within the commercial approach  
186 PhotoScan (Agisoft LLC, Russia), Pix4D (Pix4D SA, Switzerland) and MENCİ APS  
187 (MENCİ Software, Italy) represent complete solutions for 3D photogrammetric processing  
188 that have been used in several of the reviewed works.

189 Initiatives based on non-commercial software have played a significant role in the  
190 development of SfM photogrammetry approaches, either 1) open-source, meaning the source  
191 code is available with a license for modification and distribution; 2) freely-available, meaning  
192 the tool is free to use but no source code is provided or 3) under free web service with no  
193 access to the code, intermediate results or possible secondary data usage (Table 2). The

194 pioneer works by Snavely et al. (2006, 2008) and Furukawa and Ponce (2010) as well as  
195 Furukawa et al. (2010) provided the basis to implement one of the first open-source  
196 workflows for free SfM photogrammetry combining Bundler and PMVS2/CMVS as in  
197 SfMToolkit (Astre, 2015). By 2007, the MicMac project, which is open-source software  
198 originally developed for aerial image matching, became available to the public and later  
199 evolved to a comprehensive SfM photogrammetry pipeline with further tools such as APERO  
200 (Pierrot-Deseilligny and Clery, 2011).

201 Further contributors put their efforts in offering freely-available solutions based on Graphical  
202 User Interfaces (GUI) for SfM photogrammetry (VisualSfM by Wu, 2013) and geo-  
203 referencing (sfm\_georef by James and Robson, 2012). The need for editing large point-cloud  
204 entities from 3D reconstruction led to the development of open-source specific tools such as  
205 Meshlab (Cignoni et al., 2008) or CloudCompare (Girardeau-Montaut, 2015), also  
206 implementing GUIs. Sf3M (Castillo et al., 2015) exploits VisualSfM and sfm\_georef and  
207 additional CloudCompare command-line capacities for image-based surface reconstruction  
208 and subsequent point cloud editing within one GUI tool. Overall, non-commercial  
209 applications have provided a wide range of SfM photogrammetry related solutions that are  
210 constantly being improved on the basis of collaborative efforts. Commercial software  
211 packages are not further displayed due to their usual lack of detailed information regarding  
212 applied algorithms and their black box approach.

213 A variety of tools of SfM photogrammetry (at least 10 different) are used within the differing  
214 studies of this review (Fig. 3). Agisoft PhotoScan is by far the most employed software,  
215 which is probably due to its ease of use. However, this software is commercial and works  
216 after the black box principle, which is in contrast to the second most popular tools Bundler in  
217 combination with PMVS or CMVS. The tool APERO in combination with MicMac focuses  
218 on accuracy instead of automation (Pierrot-Deseilligny and Clery, 2011), which is different to  
219 the former two. The high degree of possible user-software interaction that can be very  
220 advantageous to adopt the 3D reconstruction to each specific case study might also be its  
221 drawback because further knowledge into the method is required. Only a few studies have  
222 used the software in geo-scientific investigations (Bretar, et al., 2013, Stumpf et al., 2014,  
223 Ouédraogo et al., 2014, Stöcker et al., 2015, Eltner and Schneider, 2015).

224

### 225 **3 Approaches to identify key developments of SfM photogrammetry**

226 The vast recognition of SfM photogrammetry resulted in a large variety of its implementation  
227 leading to methodological developments, which have validity beyond its original application.  
228 Thus regarding geomorphometric investigations, studies considering field of applications as  
229 well as evaluations of the method performance induced key advances for SfM  
230 photogrammetry to establish as a standard tool in geosciences (Table 3). In the following, the  
231 approaches are introduced concerning the selection and retrieval of scientific papers utilising  
232 SfM photogrammetry and methods illustrated concerning integrated consideration of error  
233 performance of SfM photogrammetry in geo-scientific studies.

234

#### 235 **3.1 Selection of scientific papers exploiting SfM photogrammetry**

236 A survey of 65 scientific papers published between 2012 and 2015 was conducted, covering a  
237 wide range of applications of SfM photogrammetry in geo-scientific analysis (see Appendix  
238 A for a detailed list). Common scientific journals, academic databases and standard online  
239 searches have been used to search for corresponding publications. Although, it has to be noted  
240 that our approach does not guarantee full coverage of the published works using SfM  
241 photogrammetry in geosciences. Nevertheless, various disciplines, locations and approaches  
242 from all continents are contained in this review (Fig. 2).

243 To put research hot spots in perspective it should be taken into account that the amount of  
244 publications in each discipline is not only dependent on the applicability of the method in that  
245 specific field of research. To a greater degree it is closely linked to the overall number of  
246 studies, which in the end can probably be broken down to the actual amount of researchers in  
247 that branch of science. Relative figures revealing the relation between SfM photogrammetry  
248 oriented studies to all studies of a given field of research would be desirable but are beyond  
249 the scope of this review.

250

#### 251 **3.2 Performing error evaluation from recent studies**

252 SfM photogrammetry has been tested under a large variety of environments due to the  
253 commensurate novel establishment of the method in geosciences, revealing numerous  
254 advantages but also disadvantages regarding to each application. It is important to have  
255 method independent references to evaluate 3D reconstruction tools confidently. In total 39  
256 studies are investigated (Table Appendix A), where a reference has been setup, either area



257 based (e.g. TLS) or point based (e.g. RTK GPS points). Because not all studies perform  
258 accuracy assessment with independent references, the number of studies is in contrast to the  
259 number of 65 studies that are reviewed in regard to applications.

260 A designation of error parameters is performed prior to comparing the studies to avoid using  
261 ambiguous terms. There is a difference between local surface quality and more systematic  
262 errors, i.e. due to referencing and project geometry (James and Robson, 2012). Specifically,  
263 error can be assessed in regard to accuracy and precision.

264 Measurement accuracy, which defines the closeness of the measurement to a reference ideally  
265 displays the true surface and can be estimated by the mean error value. However, positive and  
266 negative deviations can compensate for each other and thus can impede the recognition of a  
267 systematic error (e.g. symmetric tilting) with the mean value. Therefore, numerical and spatial  
268 error distribution should also be considered to investigate the quality of the measurement (e.g.  
269 Smith et al., 2015). For the evaluation of two DEMs, the iterative closest point (ICP)  
270 algorithm can improve the accuracy significantly if a systematic linear error (e.g. shifts, tilts  
271 or scale variations) is given, as demonstrated by Micheletti et al. (2014); Nevertheless, this  
272 procedure can also induce an error when the scene has changed significantly between the two  
273 datasets.

274 Precision, which defines the repeatability of the measurement, e.g. it indicates how rough an  
275 actual planar surface is represented, usually comprises random errors that can be measured  
276 with the standard deviation or RMSE. However, precision is not independent from systematic  
277 errors. In this study, the focus lies on RMSE or standard deviation calculated to a given  
278 reference (e.g. to a LiDAR - light detection and ranging - point cloud) and thus the general  
279 term “measured error” is used.

280 Furthermore, error ratios are calculated to compare SfM photogrammetry performance  
281 between different studies under varying data acquisition and processing conditions. Thereby,  
282 the relative error ( $e_r$ ), the reference superiority ( $e_s$ ) and the theoretical error ratio ( $e_t$ ) are  
283 considered. The first is defined as the ratio between measured error and surface to camera  
284 distance (eq. 1).

$$285 \quad e_r = \frac{\sigma_m}{D} \quad (1)$$

286 Being:

$e_r$  ...relative error

$\sigma_m$  ...measured error

*D ... mean distance camera – surface*

287

288 The reference superiority displays the ratio between the measured error and the error of the  
289 reference (eq. 2). It depicts the validity of the reference to be accountable as a reliable dataset  
290 for comparison.

$$291 \quad e_s = \frac{\sigma_m}{\sigma_{ref}} \quad (2)$$

292 Being:

*e<sub>r</sub> ... reference superiority*

*σ<sub>ref</sub> ... reference error*

293

294 The theoretical error ratio includes the theoretical error, which is an estimate of the  
295 theoretically best achievable photogrammetric performance under ideal conditions. It is  
296 calculated separately for convergent and parallel-axes image acquisition schemes. The  
297 estimate of the theoretical error of depth measurement for the parallel-axis case is displayed  
298 by eq. 3 (more detail in Kraus, 2007). The error is determined for a stereo-image pair and thus  
299 might overestimate the error for multi-view reconstruction. Basically, the error is influenced  
300 by the focal length, the camera to surface distance and the distance between the images of the  
301 stereo-pair (base).

$$302 \quad \sigma_p = \frac{D^2}{Bc} \sigma_i \quad (3)$$

303 Being:

*σ<sub>p</sub> ... coordinate error for parallel – axes case*

*c ... focal length*

*σ<sub>i</sub> ... error image measurement*

304 *B... distance between images (base)*

305

306 For the convergent case the error also considers the camera to surface distance and the focal  
307 length. However, instead of the base the strength of image configuration determined by the  
308 angle between intersecting homologous rays is integrated and additionally the employed  
309 number of images is accounted for (eq. 4; more detail in Luhmann et al., 2014).

$$310 \quad \sigma_c = \frac{qD}{\sqrt{kc}} \sigma_i \quad (4)$$

311 Being:

$\sigma_c$  ... coordinate error for convergent case

$q$  ... strength of image configuration, i.e. convergence

$k$  ... number of images

312

313 Finally, the theoretical error ratio is calculated displaying the relation between the measured  
314 error and the theoretical error (eq. 5). The value depicts the performance of SfM  
315 photogrammetry in regard to the expected accuracy.

$$316 \quad e_t = \frac{\sigma_m}{\sigma_{theo}} \quad (5)$$

317 Being:

318  $e_t$  ... theoretical error ratio

$\sigma_{theo}$  ... theoretical error; either  $\sigma_p$  or  $\sigma_c$

319

320 The statistical analysis of the achieved precisions of the reviewed studies is performed with  
321 the Python Data Analysis Library (pandas). If several errors are given in one study due testing  
322 of different survey or processing conditions, the error value representing the enhancement of  
323 the SfM performance has been chosen, i.e. in the study of Javernick et al. (2014) the DEM  
324 without an error dome, of Rippin et al. (2015) the linear corrected DEM, and of Eltner &  
325 Schneider (2015) the DEMs calculated with undistorted images. In addition, if several  
326 approaches are conducted to retrieve the deviations value to the reference, the more reliable  
327 error measure is preferred (regards Stumpf et al., 2014 and Gómez-Gutiérrez et al., 2014 and  
328 2015). Apart from those considerations, measured errors have been averaged if several values  
329 are reported in one study, i.e. concerning multi-temporal assessments or consideration of  
330 multiple surfaces with similar characteristics, but not for the case of different tested SfM  
331 tools. Regarding data visualisation, outliers that complicated plot drawing, were neglected  
332 within the concerning graphics. This concerned the study of Dietrich (2016) due to a very  
333 large scale of an investigated river reach (excluded from Fig. 4a and Fig. 5a-b), the study of  
334 Snapir et al. (2014) due to a very high reference accuracy of Lego bricks (excluded from Fig.  
335 4c and Fig. 5b), and Frankl et al. (2015) due to a high measured error as the study focus was  
336 rather on feasibility than accuracy (excluded from Fig. 5c).

337 Besides exploiting a reference to estimate the performance of the 3D reconstruction,  
338 registration residuals of GCPs resulting from BA can be taken into account for a first error  
339 assessment. But it is not suitable as exclusive error measure due to potential deviations

340 between the true surface and the calculated statistical and geometric model, which are not  
341 detectable with the GCP error vectors alone because BA is optimised to minimise the error at  
342 these positions. However, if BA has been performed two-staged (i.e. SfM and referencing  
343 calculated separately), the residual vector provides reliable quality information because  
344 registration points are not integrated into model estimation.

345

## 346 **4 Recent applications of SfM photogrammetry in geosciences**

347 The previously described advantages of the method has introduced a new group of users,  
348 leading to a variety of new studies in geomorphic surface reconstruction and analysis.  
349 Different disciplines started to use SfM algorithms more or less simultaneously.

350 A list of all topics reviewed in this manuscript according to their year of appearance is shown  
351 in Table 4. It is important to note that most subjects are not strictly separable from each other:  
352 For instance, a heavy flash flood event will likely trigger heavy damage by soil erosion or  
353 upstream slope failures. Thus, corresponding studies are arranged in regard to their major  
354 focus. The topic soil science comprises studies of soil erosion as well as soil micro-  
355 topography.

356

### 357 **4.1 Soil science**

358 An identification of convergent research topics of SfM photogrammetry in geosciences  
359 revealed a distinct focus on erosional processes, especially in soil erosion (11 studies).  
360 Gullies, as often unvegetated and morphologically complex features of soil erosion, are  
361 predestined to serve as a research object (6 studies) to evaluate SfM performance. One of the  
362 first works on SfM in geosciences from 2012 compared established 2D and 3D field methods  
363 for assessing gully erosion (e.g. LiDAR, profile meter, total station) to SfM data with regard to  
364 costs, accuracy and effectiveness revealing the superiority of the method (Castillo et al.,  
365 2012). Also for a gully system, Stöcker et al. (2015) demonstrated the flexibility of camera  
366 based surface reconstruction by combining independently captured terrestrial images with  
367 surface models from UAV images to fill data gaps and achieve a comprehensive 3D model.  
368 Large areal coverage and very high resolution - allowed for a new quality in the assessment of  
369 plot based soil erosion analysis (Eltner et al., 2015)

370 Another 6 studies tackle the 3D reconstruction of soil micro-topography by producing very  
371 dense point clouds or DEMs. This data further serves to assess pros and cons of SfM

372 photogrammetry, e.g. to detect small-scale erosion features (Nouwakpo et al., 2014), with  
373 regard to the doming effect (Eltner and Schneider, 2015) or as input parameter for erosion  
374 modelling (Kaiser et al., 2015).

375

## 376 **4.2 Volcanology**

377 Volcanology is a pioneering area of SfM photogrammetry research in geosciences because 3  
378 out of 6 studies in 2012 included volcanic research sites. James and Robson (2012) acquired  
379 information on volcanic dome volume and structural variability prior to an explosion from  
380 multi-temporal imagery taken from a light airplane. Another interesting work by Bretar et al.  
381 (2013) successfully reveals roughness differences in volcanic surfaces from lapilli deposits to  
382 slabby pahoehoe lava.

383

## 384 **4.3 Glaciology**

385 Glaciology and associated moraines are examined in 7 publications. In several UAV  
386 campaigns Immerzeel et al. (2014) detected limited mass losses and low surface velocities but  
387 high local variations of melt rates that are linked to supra-glacial ponds and ice cliffs. Rippin  
388 et al. (2015) present another UAV-based work on supra-glacial runoff networks, comparing  
389 the drainage system to surface roughness and surface reflectance measurements and detecting  
390 linkages between all three. Furthermore, snow depth estimation and rock glacier monitoring  
391 are increasingly performed with SfM photogrammetry (Nolan et al., 2015, Dall'Asta et al.,  
392 2015).

393

## 394 **4.4 Mass movements**

395 Compared to the well-established use of LiDAR techniques on the investigation of landslides  
396 (Jaboyedoff et al., 2012) the use of photogrammetric workflows for investigating hazardous  
397 slopes is still scarce, which is probably due to the stringent accuracy and safety  
398 requirements. For instance, the use of UAV systems for monitoring mass movements using  
399 both image correlation algorithms and DM subtraction techniques has been explored by  
400 Lucieer et al., (2013). More recently, SfM techniques were used by Stumpf et al. (2014) for  
401 monitoring landslide displacements and erosion during several measuring campaigns,  
402 including the study of seasonal dynamics on the landslide body, superficial deformation and

403 rock fall occurrence. In addition, these authors assessed the accuracy of two different 3D  
404 reconstruction tools compared to LiDAR data.

405

#### 406 **4.5 Fluvial morphology**

407 Channel networks in floodplains were surveyed by Prosdocimi et al. (2015) in order to  
408 analyse eroded channel banks and to quantify the transported material. Besides classic DSLR  
409 cameras, evaluation of an iPhone camera revealed sufficient accuracy, so that in near future  
410 also non-scientist are able to carry out post event documentation of damage. An interesting  
411 large scale riverscape assessment is presented by Dietrich (2016), who carried out a helicopter  
412 based data acquisition of a 32 km river segment. A small helicopter proves to close the gap  
413 between unmanned platforms and commercial aerial photography from airplanes.

414

#### 415 **4.6 Coastal morphology**

416 In the article by Westoby et al. (2012) several morphological features of contrasting  
417 landscapes were chosen to test the capabilities of SfM; one of them being a coastal cliff of  
418 roughly 80 m height. Up to 90.000 points/m<sup>2</sup> enabled the identification of bedrock faulting.  
419 Ružić et al. (2014) produced surface models of coastal cliffs to test the abilities of SfM  
420 photogrammetry in undercuts and complex morphologies.

421

#### 422 **4.7 Other fields of investigation in geosciences**

423 In addition to the prevalent fields of attention also more exotic research is carried out  
424 unveiling unexpected possibilities for SfM photogrammetry. Besides the benefit for the  
425 specific research itself, these branches are important as they either explore new frontiers in  
426 geomorphometry or demonstrate the versatility of the method. Lucieer et al. (2014) analyse  
427 arctic moss beds and their health conditions by using high-resolution surface topography (2 cm  
428 DEM) to simulate water availability from snow melt. Leon et al. (2015) acquired underwater  
429 imagery of a coral reef to produce a DEM with a resolution of 1 mm for roughness estimation.  
430 Genchi et al. (2015) used UAV-image data of an urban cliff structure to identify bio erosion  
431 features and found a pattern in preferential locations.

432 The re-consideration of historical aerial images is another interesting opportunity arising from  
433 the new algorithmic image matching developments that allow for new DEM resolutions and  
434 thus possible new insights into landscape evolution (Gomez et al., 2015).

435

436

## 437 **5 Error assessment of SfM photogrammetry in geo-scientific applications**

438 Error evaluation in this study is performed with reference measurements. Thereby, errors due  
439 the performance of the method itself and errors due to the method of quality assessment have  
440 to be distinguished.

441

### 442 **5.1 Error sources of SfM photogrammetry**

443 The error of 3D reconstruction is influenced by many factors: scale/distance, camera  
444 calibration, image network geometry, image matching performance, surface texture and  
445 lighting conditions, and GCP characteristics, which are examined in detail in this section.

446

#### 447 *Scale and sensor to surface distance*

448 SfM photogrammetry contains the advantage to be useable at almost any scale. Thus, in the  
449 reviewed studies the method is applied at a large range of scales (Fig. 4a), reaching from  
450 10 cm for volcanic bombs (Favalli et al., 2012, James and Robson, 2012) up to 10 km for a  
451 river reach (Dietrich, 2016). Median scale amounts about 100 m. SfM photogrammetry  
452 reveals a scale dependent practicability (Smith and Vericat, 2015) if case study specific  
453 tolerable errors are considered, e.g. for multi-temporal assessments. For instance, at plot and  
454 hillslope scale 3D reconstruction is a very sufficient method for soil erosion studies, even  
455 outperforming TLS (Nouwakpo et al., 2015, Eltner et al., 2015, Smith and Vericat, 2015). The  
456 method should be most useful in small scale study reaches (Fonstad et al., 2013), whereas  
457 error behaviour is not as advantageous for larger scales, i.e. catchments (Smith and Vericat,  
458 2015).

459 Besides scale, the distance between sensor and surface is important for image-based  
460 reconstructed DEM error, also because scale and distance interrelate. The comparison of the  
461 reviewed studies indicates that with an increase of distance the measured error increases,  
462 which is not unexpected (Fig. 5a, circles). However, there is no linear trend detectable.

463 Therefore, the relative error is not assignable. The relative error displays a large range from  
464 15 to 4000 with a median of 400, thus revealing a rather low error potential (Fig. 5a,  
465 triangles). Very high ratios are solely observable for very close-range applications and at large  
466 distances. A general increase of the relative error with distance is observable (Fig. 5a,  
467 triangles). The indication that cm-accurate measurements are realisable at distances below  
468 200 m (Stumpf et al., 2014) can be confirmed by Fig. 5a because most deviations are below  
469 10 cm until that range. Overall, absolute error values are low at close ranges, whereas the  
470 relative error is higher at larger distances.

471

### 472 *Camera calibration*

473 SfM photogrammetry allows for straight forward handling of camera options due to integrated  
474 self-calibration, but knowledge about some basic parameters is necessary to avoid unwanted  
475 error propagation into the final DEM from insufficiently estimated camera models. The  
476 autofocus as well as automatic camera stabilisation options should be deactivated if a pre-  
477 calibrated camera model is used or one camera model is estimated for the entire image block  
478 because changes in the interior camera geometry due to camera movement cannot be captured  
479 with these settings. The estimation of a single camera model for one image block is usually  
480 preferable, if a single camera has been used, whose interior geometry is temporary stable, to  
481 avoid over parameterisation (Pierrot-Deseilligny and Clery, 2011). Thus, if zoom lenses are  
482 moved a lot during data acquisition, they should be avoided due to their instable geometry  
483 (Shortis et al., 2006, Sanz-Ablanedo et al., 2010) that impedes usage of pre-calibrated fixed or  
484 single camera models. A good compromise between camera stability, sensor size and  
485 equipment weight, which is more relevant for UAV applications, are achieved by compact  
486 system cameras (Eltner and Schneider, 2015). However, solely three studies utilize compact  
487 system cameras in the reviewed studies (Tonkin et al., 2014, Eltner and Schneider, 2015,  
488 Eltner et al., 2015).

489 Along with camera settings, the complexity in regard to the considered parameters of the  
490 defined camera model within the 3D reconstruction tool is relevant as well as the  
491 implementation of GCPs to function as further observation in the BA, i.e. to avoid DEM  
492 domes as a consequence of insufficient image distortion estimation (James and Robson, 2014,  
493 Eltner and Schneider, 2015). Also, Stumpf et al. (2014) detect worse distortion correction  
494 with a basic SfM tool, considering a simple camera model, compared to more complex  
495 software, integrating a variety of camera models and GCP consideration. Camera calibration



496 is a key element for high DEM quality, which is extensively considered in photogrammetric  
497 software, whereas simpler models that solely estimate principle distance and radial distortion  
498 are usually implemented in the SfM tools originating from computer vision (Eltner and  
499 Schneider, 2015, James and Robson, 2012, Pierrot-Deseilligny and Clery, 2011).

500

### 501 *Image resolution*

502 Image resolution is another factor influencing the final DEM quality. Especially, the absolute  
503 pixel size needs to be accounted for due to its relevance for the signal-to-noise ratio (SNR)  
504 because the larger the pixel the higher the amount of light that can be captured and hence a  
505 more distinct signal is measured. Resolution alone by means of pixel number gives no  
506 information about the actual metric sensor size. A large sensor with large pixels and a large  
507 amount of pixels provides better image quality due to reduced image noise than a small sensor  
508 with small pixels but the same amount of pixels. Thus, high image resolution defined by large  
509 pixel numbers and pixel sizes resolves in sufficient quality of images and thus DEMs  
510 (Micheletti et al., 2014, Eltner and Schneider, 2015).

511 However, the reviewed investigations indicate no obvious influence of the pixel size at the  
512 DEM quality. Mostly, cameras with middle sized sensors and corresponding pixel sizes  
513 around 5  $\mu\text{m}$  are used and a large range of error at different pixel sizes is given.

514 To speed up processing, down-sampling of images is often performed causing interpolation of  
515 pixels and thus the reduction of image information, which can be the cause for  
516 underestimation of high relief changes, e.g., observed by Smith and Vericat (2015) or  
517 Nouwakpo et al. (2015). Interestingly, Prosdocimi et al. (2015) reveal that lower errors are  
518 possible with decreasing resolution due to an increase of error smoothing. Nevertheless,  
519 image data collection in the field should be done at highest realisable resolution and highest  
520 SNR to fully keep control over subsequent data processing, i.e. data smoothing should be  
521 performed under self-determined conditions at the desktop, which is especially important for  
522 studies of rough surfaces to allow for probate error statistics (e.g. Brasington et al., 2012).

523

### 524 *Image network geometry*

525 In regard to the geometry of the image network several parameters are important: number of  
526 images, image overlap, obliqueness and convergence.

527 At least three images need to capture the area of interest, but for redundancy to decrease DEM  
528 error higher numbers are preferred (James and Robson, 2012). For instance, Piermattei et al.  
529 (2015) detect better qualities for a higher amount of images. However, the increase of images  
530 does not linearly increase the accuracy (Micheletti et al., 2014), and may ultimately lead to  
531 unnecessary increase in computation time. Generally, image number should be chosen  
532 depending on the size and complexity of the study reach (James and Robson, 2012); as high  
533 as possible but still keeping in mind acceptable processing time.

534 High image overlap is relevant to finding homologous points within many images that cover  
535 the entire image space. Stumpf et al. (2014) show that higher overlap resolves in better  
536 results. Wide angle lenses, whose radial distortion is within the limits, should be chosen for  
537 data acquisition.

538 The reviewed studies reveal a large variety of applicable perspectives for DEM generation.  
539 Most applications use images captured from the ground, which is the most flexible  
540 implementation of the SfM photogrammetry method. In regard to terrestrial or aerial  
541 perspective, Smith and Vericat (2015) state that aerial images should be preferred if plots  
542 reach sizes larger 100 m because at these distances obliqueness of images becomes too  
543 adverse. Stumpf et al. (2014) even mention a distinct value of the incidence angle of 30° to  
544 the captured surface above which data quality decreases significantly.

545 Furthermore, image network geometry has to be considered separately for convergent  
546 acquisitions schemes, common for terrestrial data collection, and for parallel-axes acquisition  
547 schemes, common for aerial data collection. The parallel-axes image configuration results in  
548 unfavourable error propagation due to unfavourable parameter correlation, which inherits the  
549 separation between DEM shape and radial distortion (James and Robson, 2014, Wu, 2014)  
550 resulting in a dome error that needs either GCP implementation or a well estimated camera  
551 model for error mitigation (James and Robson, 2014, Eltner and Schneider, 2015). However,  
552 GCP accuracy has to be sufficient or else the weight of GCP information during BA is too  
553 low to avoid unfavourable correlations, as shown by Dietrich (2016), where DEM dome error  
554 within a river reach could not be diminished even though GCPs were implemented into 3D  
555 reconstruction. If convergent images are utilised, the angle of convergence is important  
556 because the higher the angle the better the image network geometry. Thereby, accuracy  
557 increases because sufficient image overlap is possible with larger bases between images.  
558 Therefore, glancing ray intersections, which impede distinct depth assignment, are avoided.  
559 But simultaneously, convergence should not be so high that the imaged scene becomes too

560 contradictory for successful image matching (Pierrot-Deseilligny and Clery, 2012, Stöcker et  
561 al, 2015).

562

### 563 *Accuracy and distribution of homologues image points*

564 The quality of DEMs reconstructed from overlapping images depends significantly on the  
565 image-matching performance (Grün, 2012). Image content and type, which cannot be  
566 enhanced substantially, are the primary factors controlling the success of image-matching  
567 (Grün, 2012). Image-matching is important for reconstruction of the image network geometry  
568 as well as the subsequent dense-matching.

569 On the one hand, it is relevant to find good initial matches (e.g. SIFT features are not as  
570 precise as least square matches with  $\frac{1}{10}$  pixel size accuracies; Grün, 2012) to perform reliable  
571 3D reconstruction and thus retrieve an accurate sparse point cloud because optimization  
572 procedures for model refinement rely on this first point cloud. Thus, immanent errors will  
573 propagate along the different stages of SfM photogrammetry.

574 On the other hand, more obviously image-matching performance is important for dense  
575 reconstruction, when 3D information is calculated for almost every pixel. The accuracy of  
576 intersection during dense matching depends on the accuracy of the estimated camera  
577 orientations (Remondino et al., 2014). If the quality of the DEM is the primary focus, which is  
578 usually not the case for SfM algorithms originating from computer vision, the task of image-  
579 matching is still difficult (Grün, 2012). Nevertheless, newer approaches are emerging, though,  
580 which still need evaluation in respect of accuracy and reliability (Remondino et al., 2014). An  
581 internal quality control for image-matching is important for DEM assessment (Grün, 2012),  
582 but are mostly absent in tools for SfM photogrammetry.

583 So far, many studies exist, which evaluate the quality of 3D reconstruction in geo-scientific  
584 applications. Nevertheless, considerations of dense-matching performance are still missing,  
585 especially in regard of rough topographies (Eltner and Schneider, 2015).

586

### 587 *Surface texture*

588 Texture and contrast of the area of interest is significant to identify suitable homologues  
589 image points. Low textured and contrasted surfaces result in a distinct decrease of image  
590 features, i.e. snow covered glaciers (Gómez-Gutiérrez et al., 2014) or sandy beaches (Mancini

591 et al., 2013). Furthermore, vegetation cover complicates image matching performance due to  
592 its highly variable appearance from differing viewing angles (e.g. Castillo et al., 2012, Eltner  
593 et al., 2015) and possible movements during wind. Thus, in this study, where present, only  
594 studies of bare surfaces are reviewed for error assessment.

595

### 596 *Illumination condition*

597 Over- and under-exposure of images is another cause of error in the reconstructed point cloud,  
598 which cannot be significantly improved by utilising HDR images (Gómez-Gutiérrez et al.,  
599 2015). Well illuminated surfaces result in a high number of detected image features, which is  
600 demonstrated for coastal boulders under varying light conditions by Gienko and Terry (2014).  
601 Furthermore, Gómez-Gutiérrez et al. (2014) highlight the unfavourable influence of shadows  
602 because highest errors are measured in these regions; interestingly, these authors calculate the  
603 optimal time for image acquisition from the first DEM for multi-temporal data acquisition.  
604 Furthermore, the temporal length of image acquisition needs to be considered during sunny  
605 conditions because with increasing duration shadow changes can decrease matching  
606 performance, i.e. with regard to the intended quality surveys lasting more than 30 minutes  
607 should be avoided (Bemis et al., 2014). Generally, overcast but bright days are most suitable  
608 for image capture to avoid strong shadows or glared surfaces (James and Robson, 2012).

609

### 610 *GCP accuracy and distribution*

611 GCPs are important inputs for data referencing and scaling. Photogrammetry always stresses  
612 the weight of good ground control for accurate DEM calculation, especially if one-staged BA  
613 is performed. In the common SfM workflow integration of GCPs is less demanding because  
614 they are only needed to transform the 3D-model from the arbitrary coordinate system, which  
615 is comparable to the photogrammetric two-staged BA processing. A minimum of three GCPs  
616 are necessary to account for model rotation, translation and scale. However, GCP redundancy,  
617 thus more points, has been shown to be preferable to increase accuracy (James and Robson,  
618 2012). A high number of GCPs further ensures the consideration of checkpoints not included  
619 for the referencing, which are used as independent quality measure of the final DEM. More  
620 complex 3D reconstruction tools either expand the original 3D-Helmert-transformation by  
621 secondary refinement of the estimated interior and exterior camera geometry to account for  
622 non-linear errors (e.g. Agisoft PhotoScan) or integrate the ground control into the BA (e.g.

623 APERO). For instance, Javernick et al. (2014) could reduce the height error to decimetre level  
624 by including GCPs in the model refinement.

625 Natural features over stable areas, which are explicitly identifiable, are an alternative for GCP  
626 distributions, although they usually lack strong contrast (as opposed to artificial GCPs) that  
627 would allow for automatic identification and sub-pixel accurate measurement (e.g. Eltner et  
628 al., 2013). Nevertheless, they can be suitable for multi-temporal change detection  
629 applications, where installation of artificial GCPs might not be possible (e.g. glacier surface  
630 reconstruction; Piermattei et al., 2015) or necessary as in some cases relative accuracy is  
631 preferred over absolute performance (e.g. observation of landslide movements, Turner et al.,  
632 2015).

633 GCP distribution needs to be even and adapted to the terrain resulting in more GCPs in areas  
634 with large changes in relief (Harwin and Lucieer, 2012) to cover different terrain types.  
635 Harwin and Lucieer (2012) state an optimal GCP distance between  $\frac{1}{5}$  and  $\frac{1}{10}$  of object distance  
636 for UAV applications. Furthermore, the GCPs should be distributed widely across the target  
637 area (Smith et al., 2015) and at the edge or outside the study reach (James and Robson, 2012)  
638 to enclose the area of interest, because if the study area is extended outside the GCP area, a  
639 significant increase of error is observable in that region (Smith et al., 2014, Javernick et al.,  
640 2014, Rippin et al., 2015). If data acquisition is performed with parallel-axis UAV images and  
641 GCPs are implemented for model refinement, rules for GCP setup according to classical  
642 photogrammetry apply, i.e. dense GCP installation around the area of interest and height  
643 control points in specific distances as function of image number (more detail in e.g. Kraus,  
644 2007).

645 The measurement of GCPs can be performed either within the point cloud or the images,  
646 preferring the latter because identification of distinct points in 3D point clouds of varying  
647 density can be less reliable (James and Robson, 2012, Harwin and Lucieer, 2012) compared to  
648 sub-pixel measurement in 2D images, where accuracy of GCP identification basically  
649 depends on image quality. Fig. 5 illustrates that only few studies measured GCPs in point  
650 clouds producing higher errors compared to other applications at the same distance.

651

## 652 **5.2 Errors due to accuracy/precision assessment technique**

653 *Reference of superior accuracy*

654 It is difficult to find a suitable reference for error assessment of SfM photogrammetry in geo-  
655 scientific or geomorphologic applications due to the usually complex and rough nature of the  
656 studied surfaces. So far, either point based or area based measurements are carried out. On the  
657 one hand, point based methods (e.g. RTK GPS or total station) ensure superior accuracy but  
658 lack sufficient area coverage for precision statements of local deviations; on the other hand,  
659 area based (e.g. TLS) estimations are used, which provide enough data density but can lack of  
660 sufficient accuracy (Eltner and Schneider, 2015). Roughness is the least constrained error  
661 within point clouds (Lague et al., 2013) independent from the observation method. Thus, it is  
662 difficult to distinguish between method noises and actual signal of method differences,  
663 especially at scales where the reference method reaches its performance limit. For instance  
664 Tonkin et al. (2014) indicate that the quality of total station points is not necessarily superior  
665 on steep terrain.

666 Generally, 75 % of the investigations reveal a measured error that is 20 times higher than the  
667 error of the reference. But the median shows that the superiority of the reference accuracy is  
668 actually significantly poorer; the measured error is merely twice the reference error (Fig. 4 c).  
669 The reviewed studies further indicate that the superior accuracy of the reference seems to  
670 depend on the camera-to-object distance (Fig. 5 b). In shorter distances (below 50 m) most  
671 references reveal accuracies that are lower than one magnitude superiority to the measured  
672 error. However, alternative reference methods are yet absent. Solely, for applications in  
673 further distances the references are sufficient. These findings are relevant for the  
674 interpretation of the relative error because low ratios at small scale reaches might be due to  
675 the low performance of the reference rather than the actual 3D reconstruction quality but due  
676 to the reference noise lower errors are not detectable. Low relative errors are measured where  
677 the superior accuracy is also low (distance 5-50 m) and large ratios are given at distance  
678 where superior accuracy increases as well.

679

### 680 *Type of deviation measurement*

681 The reviewed studies use different approaches to measure the distance between the reference  
682 and the 3D reconstructed surface. Comparisons are either performed in 2.5D (raster) or real  
683 3D (point cloud). Lague et al. (2013) highlight that the application of raster inherits the  
684 disadvantage of data interpolation, especially relevant for rough surfaces or complex areas  
685 (e.g. undercuts as demonstrated for gullies by Frankl et al., 2015). In this context it is

686 important to note that lower errors are measured for point-to-point distances rather than raster  
687 differencing (Smith and Vericat, 2015, Gómez-Guiérrez et al., 2014b).

688 Furthermore, within 3D evaluation different methods for deviation measurement exist. The  
689 point-to-point comparison is solely suitable for a preliminary error assessment because this  
690 method is prone to outliers and differing point densities. By point cloud interpolation alone  
691 (point-to-mesh), this issue is not solvable because there are still problems at very rough  
692 surfaces (Lague et al., 2013). Different solutions have been proposed: On the one hand,  
693 Abellan et al. (2009) proposed averaging the point cloud difference along the spatial  
694 dimension, which can also be extended to 4D (x, y, z, time; Kromer et al., 2015). On the other  
695 hand, Lague et al. (2013) proposed the M3C2 algorithm for point cloud comparison that  
696 considers the local roughness and further computes the statistical significance of detected  
697 changes. Stumpf et al. (2014) and Gómez-Gutiérrez et al. (2015) illustrated lower error  
698 measurements with M3C2 compared to point-to-point or point-to-mesh. Furthermore, Kromer  
699 et al. (2015) showed how the 4D filtering, when its implementation is feasible, allows to  
700 considerably increase the level of detection compared to other well-established techniques of  
701 comparison.

702

### 703 **5.3 Standardised error assessment**

704 To compare the achieved accuracies and precisions of different studies a standardised error  
705 assessment is necessary, e.g. considering the theoretical error ratio. The calculation of the  
706 theoretical error for the convergent image acquisition schemes is possible, making some basic  
707 assumptions about the network geometry, i.e. the strength of image configuration equals 1 (as  
708 in James & Robson, 2012), the number of images equals 3 (as in James & Robson, 2012) and  
709 an image measurement error of 0.29 due to quantisation noise (as a result of continuous signal  
710 conversion to discrete pixel value). However, it is not possible to evaluate the theoretical error  
711 for parallel-axes case studies because information about the distance between subsequent  
712 images (base) is mostly missing, but essential to solve the equation and should not be  
713 assumed. Eltner and Schneider (2015) and Eltner et al. (2015) compare their results to  
714 parallel-axes theoretical error and could demonstrate that for soil surface measurement from  
715 low flying heights at least photogrammetric accuracy is possible (e.g. sub-cm error for  
716 altitudes around 10 m).

717 The results from James and Robson (2012), which show a less reliable performance of SfM  
718 than expected from photogrammetric estimation, can be confirmed by the reviewed studies.

719 Image-based 3D reconstruction, considering SfM workflows, performs poorer than the  
720 theoretical error (Fig. 5c). The measured error is always higher and on average 90 times worse  
721 than the theoretical error. Even for the smallest theoretical error ratio the actual error is 6  
722 times higher. Furthermore, it seems that with increasing distance theoretical and measured  
723 errors converge slightly.

724 As demonstrated, diverse factors influence SfM photogrammetry performance and subsequent  
725 DEM error with different sensitivity. Generally, accurate and extensive data acquisition is  
726 necessary to minimise error significantly (Javernick et al., 2014). Independent reference  
727 sources, such as TLS, are not replaceable (James and Robson, 2012) due to their differing  
728 error properties (i.e. error reliability) compared to image-matching (Grün, 2012). Synergetic  
729 effects of SfM and classical photogrammetry should be used, i.e. benefiting from the high  
730 automation of SfM to retrieve initial estimates without any prior knowledge about the image  
731 scene and acquisition configuration and adjacent reducing error by approved photogrammetric  
732 approaches, which are optimised for high accuracies.

733 The reviewed studies indicate the necessity of a standardised protocol for error assessment  
734 because the variety of studies inherit a variety of scales worked at, software used, GCP types  
735 measured, deviation measures applied, image network configurations implemented, cameras  
736 and platforms operated and reference utilised, making it very difficult to compare results with  
737 consistency. Relevant parameters for a standard protocol are suggested in Table 5.

738

## 739 **6 Perspectives and limitations**

740 SfM photogrammetry has allowed capturing massive three-dimensional datasets by non-  
741 specialists during the last five years, and it is highly expected that this technique will evolve  
742 during the forthcoming decade. Current studies are focusing on capturing the terrain's  
743 geometry with high precision, but several opportunities to improve our understanding,  
744 modelling and prediction of different earth surface processes still remain unexplored. For  
745 instance, the use of super-macro imagery in conventional SfM workflows is expected to be  
746 explored soon for investigating natural phenomena in a much higher level of detail.  
747 Nevertheless, some technological issues that need to be addressed include the progressive  
748 degradation of the data quality at very short distances due to the effect of a limited depth of  
749 field; Up to our knowledge, the use of focus stacking for extending shallow depth of field of  
750 single images has not been explored yet. Some other technical and operational aspects are still  
751 limiting our ability to derive 3D point clouds from digital imagery over naturally complex



752 outcrops. Examples include the occurrence of biases and occlusions that can strongly  
753 influence the quality of the acquired datasets and the progressive reduction of the ground  
754 resolution (meter/pixel) at longer distances, which can be addressed using mobile platforms  
755 such as UAV systems. Eventually, SfM photogrammetry technique may become a  
756 mainstream procedure in geomorphological studies during the next decade, perspectives  
757 include efforts in cross-disciplinarity, process automatisation, data and code sharing, real time  
758 data acquisition and processing, unlocking the archives, etc., as follows:

759

## 760 **6.1 Cross-disciplinarity**

761 A great potential relies on adapting three dimensional methods originally developed for the  
762 treatment of 3D LiDAR data to investigate natural phenomena through SfM photogrammetry  
763 techniques. Applications on 3D point cloud treatment dating back from the last decade will  
764 soon be integrated into SfM photogrammetry post-processing; Examples include:  
765 geomorphological investigations in high mountain areas (Milan et al., 2007), geological  
766 mapping (Buckley et al., 2008; Franceschi et al. 2009), soil erosion studies (Eltner and  
767 Baumgart, 2015), investigation of fluvial systems (Heritage and Hetherington, 2007, Cavalli  
768 et al., 2008; Brasington et al., 2012), and mass wasting phenomena (Lim et al., 2005,  
769 Oppikofer et al. 2009, Abellan et al., 2010).

770 Some other data treatment techniques that have been developed during the last decade and  
771 that will be adapted and enriched by the growing SfM photogrammetry community include:  
772 automatic lithological segmentation according to the intensity signature (Humair et al., 2015),  
773 integration of ground based LiDAR with thermal/hyperspectral imaging for lithological  
774 discrimination (Kääb, 2008, Hartzell et al., 2014), extraction of the structural settings on a  
775 given outcrop (Jaboyedoff et al., 2007, Sturzenegger and Stead, 2009, Gigli and Casagli,  
776 2011, Riquelme et al., 2014) and the automatic extraction of geological patterns such as  
777 surface roughness (Poropat, 2009), discontinuity spacing/persistence/waviness (Fekete et al.  
778 2010, Khoshelham et al., 2011, Pollyea and Fairley, 2011). Concerning 4D data treatment for  
779 investigating changes on natural slope, some lessons learned may be adapted from the bi- and  
780 three-dimensional tracking of mass movements (Teza et al., 2007, Monserrat and Crosetto  
781 2008), investigation of progressive failures (Royan et al., 2015, Kromer et al., 2015), and  
782 from the usage of mobile systems (Lato et al., 2009, Michoud et al., 2015).

783

## 784 **6.2 Process-automatisation**

785 Handling huge databases is an important issue and although fully automatic techniques may  
786 not be necessary in some applications, a series of tedious and manual processes are still  
787 required for data treatment.

788

### 789 **6.3 Data and code sharing**

790 Open data in geomorphometric studies using point clouds is also needed. The development of  
791 open-source software for handling huge 3D datasets such as CloudCompare (Girardeau-  
792 Montaut, 2015) has considerably boosted geomorphometric studies using 3D point clouds.  
793 Nevertheless, apart from the above mentioned case, sharing the source code or the RAW  
794 data of specific applications for investigating earth surface processes is still not well  
795 established in our discipline. A series of freely available databases exist for LiDAR datasets  
796 (openTopography.org, rockbench.com, 3D-landslide.com). But up to the knowledge of these  
797 authors, there is no specific Git-Hub cluster or website dedicated to the maintaining and  
798 development of open-access software in geosciences.

799

### 800 **6.4 Unlocking the archive**

801 The appraisal of digital photography and the exponential increase of data storage capabilities  
802 have enabled the massive archive of optical images around the world. Accessing such  
803 quantity of information could provide unexpected opportunities for the four dimensional  
804 research of geomorphological processes using SfM photogrammetry workflows. Except for  
805 some open repositories (e.g. Flickr, Google street view) the possibility to access the massive  
806 optical data is still scarce. In addition, accessing to such databases may become a challenging  
807 task due to data interchangeability issues. A considerable effort may be necessary for creating  
808 such database with homogeneous data formats and descriptors (type of phenomenon, temporal  
809 resolution, pixel size, accuracy, distance to object, existence of GCPs, etc.) during the  
810 forthcoming years.

811 A first valuable approach to use data from online imagery was presented by Martin-Brualla et  
812 al. (2015), who pave the way for further research in a new field of 3D surface analysis (i.e.  
813 time-lapse). Other possible applications might unlock the archive of ancient airborne,  
814 helicopter-based or terrestrial imagery, ranging from the estimation of coastal retreat rates,  
815 the observation of the evolution of natural hazards to the monitoring of glacier fronts, and  
816 further.

817

## 818 **6.5 Real time data acquisition**

819 Rapid developments in automatisisation (soft- and hardware wise) allow for in situ data  
820 acquisition and its immediate transfer to processing and analysing institutions. Thus, extreme  
821 events are recognisable during their occurrence and authorities or rescue teams can be  
822 informed in real-time. In this context SfM photogrammetry could help to detect and quantify  
823 rapid volume changes of e.g. glacier fronts, pro-glacial lakes, rock failures and ephemeral  
824 rivers.

825 Furthermore, real-time crowd sourcing offers an entirely new dimension of data acquisition.  
826 Due to the high connectivity of the public through smartphones, various possibilities arise to  
827 share data (Johnson-Roberson et al., 2015). An already implemented example is real-time  
828 traffic information. Jackson and Magro (2015) name further options. Crowd sourced imagery  
829 can largely expand possibilities to 3D information.

830

## 831 **6.6 Time-lapse photography**

832 A limited frequency of data acquisition increases the likelihood of superimposition and  
833 coalescence of geomorphological processes (Abellan et al., 2014). Since time-lapse SfM  
834 photogrammetry data acquisition has remained so far unexplored, a great prospect is expected  
835 on this topic during the coming years. To date solely James and Robson (2014b) demonstrated  
836 its potential by monitoring a lava flow at minute intervals for 37 minutes. One reason why  
837 time-lapse SfM photogrammetry remains rather untouched in geosciences lies in the complex  
838 nature of producing continuous data sets.

839 Besides the need for an adequate research site (frequent morphodynamic activity), other  
840 aspects have to be taken into account: an automatic camera setup is required with self-  
841 contained energy supply (either via insolation or wind), adequate storage and appropriate  
842 choice of viewing angles onto the area of interest. Furthermore, cameras need to comprise  
843 sufficient image overlap and have to be synchronised. Ground control is required and an  
844 automatic pipeline for large data treatment should be developed.

845 New algorithms are necessary to deal with massive point cloud databases. Thus, innovative  
846 four dimensional approaches have to be developed to take advantage of the information  
847 contained in real-time and/or time-lapse monitoring. Combining these datasets with climatic  
848 information can improve the modelling of geomorphological processes.

849

## 850 **6.7 Automatic UAV surveying**

851 Unmanned airborne vehicles already show a large degree of automatisation as they follow  
852 flight paths and acquire data autonomously. Human control is not required except for  
853 launching of the multi-copter or fixed wing system. Automatic landing is already provided by  
854 several systems. In near future a fully automatic UAV installation could comprise the  
855 following: repeated survey of an area of interest, landing and charging at a base station, data  
856 link for local storage or satellite based data transfer, and safety mechanism for preventing lift-  
857 off during inappropriate weather conditions. However, a large limitation for such realisation  
858 lies in legal restrictions because national authorities commonly request for visual contact to  
859 the UAV in case of failure. But in remote areas installation of an automatic system could  
860 already be allowed by regulation authorities.

861

## 862 **6.8 Direct geo-referencing**

863 The use of GCPs is very time-consuming in the current SfM workflow. At first, field efforts  
864 are high to install and measure the GCPs during data acquisition. Afterwards, again much  
865 time and labour is required during post-processing in order to identify the GCPs in the  
866 images, although some progress is made regarding to automatic GCP identification, e.g. by  
867 the exploitation of templates (Chen et al., 2000). The efficiency of geo-referencing can be  
868 increased significantly applying direct geo-referencing. Thus, the location and position of the  
869 camera is measured in real time and synchronised to the image capture by an on-board GPS  
870 receiver and IMU (inertial measurement unit) recording camera tilts. This applies to UAV  
871 systems as well as terrestrial data acquisition, e.g. by smartphones (Masiero et al., 2014).  
872 Exploiting direct geo-referencing can reduce usage of GCPs to a minimum or even replace it,  
873 which is already demonstrated by Nolan et al. (2015), who generated DEMs with spatial  
874 extents of up to 40 km<sup>2</sup> and a geo-location accuracy of  $\pm 30$  cm.

875 The technique can be very advantageous when it comes to monitoring areas with great spatial  
876 extents or inaccessible research sites. However, further development is necessary, thereby  
877 focusing on light-weighted but precise GPS receivers and IMU systems; on UAVs due to their  
878 limited payload and for hand-held devices due to their feasibility (e.g. Eling et al., 2015).

879

880

## 881 **7 Conclusions**

882 This review has shown the versatility and flexibility of the recently established method SfM  
883 photogrammetry. Due to its beneficial qualities, a wide community of geoscientists starts to  
884 implement 3D reconstruction based on images within a variety of studies. Summing up the  
885 publications, there are no considerable disadvantages mentioned (e.g. accuracy wise)  
886 compared to other methods that cannot be counteracted by placement of GCPs, camera  
887 calibration or a high image number. Frontiers in geomorphometry have been expanded once  
888 more, as limits of other surveying techniques such as restricted mobility, isolated area of  
889 application and high costs are overcome by the SfM photogrammetry. Its major advantages lie  
890 in easy-to-handle and cost-efficient digital cameras as well as non-commercial software  
891 solutions.

892 SfM photogrammetry is already becoming an essential tool for digital surface mapping. It is  
893 employable in a fully automatic manner but individual adjustments can be conducted to  
894 account for each specific case study constrain and accuracy requirement in regard to the  
895 intended application. Due to the possibility of different degrees of process interaction, non-  
896 experts can utilise the method depending on their discretion.

897 While research of the last years mainly focussed on testing the applicability of SfM  
898 photogrammetry in various geo-scientific applications, recent studies try to pave the way for  
899 future usages and develop new tools, setups or algorithms. Performance analysis revealed the  
900 suitability of SfM photogrammetry at a large range of scales in regard to case study specific  
901 accuracy necessities. However, different factors influencing final DEM quality still need to be  
902 addressed. This should be performed under strict experimental (laboratory) designs because  
903 complex morphologies, typical in earth surface observations, impede accuracy assessment due  
904 to missing superior reference. Thus, independent references and GCPs are still needed in SfM  
905 photogrammetry for reliable estimation of the quality of each 3D reconstructed surface.

906

907 Fast and straightforward generation of DEMs using freely available tools produces new  
908 challenges. The exploitation of the entire information of the SfM photogrammetry output (3D  
909 point cloud or mesh instead of 2.5D raster) will become a significant challenge in future  
910 studies of high resolution topography (Passalacqua et al., 2015), which has to be even  
911 extended to 4D when investigating the evolution along time. Thus, especially comprehensive  
912 end user software needs further progress in these aspects.

913

914 **Appendix A:**

915 Summary of information about reviewed studies used for application evaluation and performance assessment of SfM photogrammetry. Variables are  
 916 explained in chapter 5.

ID	Author	Year	Application	Software	Perspective	Distance [m]	Scale* [m]	Pixel size [μm]	Image number	Complexity of SfM tool	Measurement error [mm]	Relative error	reference superiority	Theoretical error ratio
1	Castillo et al.	2012	gully erosion	Bundler + PMVS2	terrestrial	7	7	5.2	191	basic	20	350	-	79
2	Castillo et al.	2014	ephemeral gully erosion	Bundler + PMVS2	terrestrial	6	25	5.2	515	basic	22	273	11	101
3	Castillo et al.	2015	gully erosion	SF3M	terrestrial	10	350	1.5	3095	basic	69	145	3.45	455
4	Dietrich	2016	riverscape mapping	PhotoScan	helicopter	200	10000	4.3	1483	complex	730	274	-	-
5	Eltner et al.	2015	soil erosion	Pix4D	UAV	10	30	2.0, 5.0	100	complex	5, 6	2000, 1667	-	-
6	Eltner and Schneider	2015	soil roughness	VisualSfM + PMVS2, PhotoScan, Pix4D, APERO + MicMac, Bundler + PMVS2	UAV	12	15	5.0	13	basic, complex	8.1 - 9.8	1224 - 1481	-	-
7	Favalli et al.	2012	geological outcrops, volcanic bomb, stalagmite	Bundler + PMVS2	terrestrial	1	0.1 - 0.3	5.2	30 - 67	basic	0.3 - 3.8	367 - 3333	-	-
8	Fonstad et al.	2013	bedrock channel and floodplain	Photosynth (Bundler implementation)	terrestrial	40	200	1.7	304	basic	250	160	2	139
9	Frankl et al.	2015	gully	PhotoScan	terrestrial	2	10	5.2	180 -	complex	17 - 190	11 - 147	0 - 4	156 - 2184

			measurement					235						
10	Genchi et al.	2015	bioerosion pattern	VisualSfM + PMVS2	UAV	20	100	1.5	400	basic	35	571	-	29
11	Gómez-Gutiérrez et al.	2014	gully headcut	123D Catch	terrestrial	9.3 - 10.5	10	4.3	41 - 93	basic	12 - 32	291 - 792	-	31 - 85
12	Gómez-Gutiérrez et al.	2014	rock glacier	123D Catch	terrestrial	300	130	8.2	6	basic	430	698	72	103
13	Gómez-Gutiérrez et al.	2015	rock glacier	123D catch, PhotoScan	terrestrial	300	130	8.2	9	basic, complex	84 - 1029	-	-	-
14	Immerzeel et al.	2014	dynamic of debris covered glacial tongue	PhotoScan	UAV	300	3500	1.3	284, 307	complex	330	909	-	-
15	James and Robson	2012	volcanic bomb, summit crater, coastal cliff	Bundler + PMVS2	terrestrial, UAV	0.7 - 1000	0.1 - 1600	5.2, 7.4	133 - 210	basic	1000 - 2333	0 - 62	1 - 12	16 - 25
16	Javernick et al.	2014	braided river	PhotoScan	helicopter	700	1500	-	147	complex	170	4118	3	-
17	Johnson et al.	2014	alluvial fan, earthquake scarp	PhotoScan	UAV	50, 60	300, 1000	4.8	233, 450	complex	130 - 410	122 - 385	-	-
18	Kaiser et al.	2014	gully and rill erosion	PhotoScan	terrestrial	5	10	6.4	-	complex	73 - 141	35 - 68	-	232 - 447
19	Leon et al.	2015	coral reef roughness	PhotoScan	terrestrial (marine)	1.5	250	1.5	1370	complex	0.6	2500	-	-
20	Mancini et al.	2013	fore dune	PhotoScan	UAV	40	200	4.3	550	complex	110 - 190	211 - 364	4	-
21	Micheletti et al.	2014	river bank, alluvial fan	123D Catch	terrestrial	10, 345	10, 300	4.8, 1.8	13	complex	16.8 - 526.3	327 - 595	-	40 - 73
22	Nadal-Romero et al.	2015	badland erosion	PhotoScan	terrestrial	50, 125	50, 100	5.5	15, 17	complex	14 - 33	2500 - 4032	1 - 2	6 - 10

23	Nouwakpo et al.	2015	microtopography erosion plots	PhotoScan	terrestrial	2	6	6.4	25	complex	5	400	-	-
24	Ouédraogo et al.	2014	agricultural watershed	Apero + MicMac, PhotoScan	UAV	100	200	2.0	760	complex	90, 139	1111, 719	-	6, 9
25	Piermattei et al.	2015	debris covered glacier monitoring	PhotoScan	terrestrial	100	350	4.8, 6.3	35, 47	complex	300, 130	333, 769	2, 1	56, 35
26	Prodocimi et al.	2015	channel bank erosion	PhotoScan	terrestrial	7	30	1.4 - 6.3	60	complex	57 - 78	90 - 123	1	143 - 373
27	Rippin et al.	2015	supra-glacial hydrology	PhotoScan	UAV	121	2000	2.2	423	complex	400	303	-	-
28	Ruzic et al.	2014	coastal cliff	Autodesk ReCap	terrestrial	15	50	2.0	250	basic	70	214	1	82
29	Smith et al.	2014	post-flash flood evaluation	PhotoScan	terrestrial	50	150	1.7	-	complex	135	370	14	39
30	Smith and Vericat	2015	badland changes at different scales	PhotoScan	terrestrial, UAV,	5 - 250	20 - 1000	1.7, 5.5	30 - 527	complex	12.8 - 445	132 - 974	2 - 89	36 - 107
31	Snapir et al.	2014	roughness of soil surface	SfMToolkit	terrestrial	0.6	3	4.3	700	basic	2.7	222	270	-
32	Stumpf et al.	2014	landslide scarp	VisualSfM + CMVS, APERO + MicMac	terrestrial	50	750	8.5	88 - 401	basic, complex	27 - 232	667 - 1852	1 - 3	13 - 64
33	Tamminga et al.	2015	change detection after extreme flood event	EnsoMOSAIC UAV	UAV	100	200	1.3	310	complex	47	2128	2	-
34	Tonkin et al.	2014	moraine-mound topography	PhotoScan	UAV	100	500	4.3	543	complex	517	193	-	-
35	Turner et al.	2015	landslide change detection	PhotoScan	UAV	40	125	4.3	62 - 415	complex	31 - 90	444 - 1290	1 - 3	-
36	Westoby et al.	2012	coastal cliff	SfMToolkit	terrestrial	15	300	4.3	889	basic	500	100	-	-
37	Westoby et al.	2014	moraine dam,	SfMToolkit3	terrestrial	500	500	4.3	1002,	basic	814, 85	614,	2, 43	-



	<b>al.</b>		<b>alluvial debris fan</b>					<b>1054</b>			<b>1176</b>			
38	Woodget et al.	2015	fluvial topography	PhotoScan	UAV	26 - 28	50, 100	2.0	32 - 64	complex	19 - 203	138 - 1421	-	-
39	Zarco-Tejada et al.	2014	tree height estimation	Pix4D	UAV	200	1000	4.3	1409	complex	350	571	23	-
40	Bemis et al.	2014	structural geology	PhotoScan	UAV, terrestrial	-	-	-	-	-	-	-	-	-
41	Bendig et al.	2013	crop growth	PhotoScan	UAV	30	7	-	-	-	-	-	-	-
42	Bini et al.	2014	coast erosion/abrasion	Bundler	terrestrial	-	-	-	-	-	-	-	-	-
43	Bretar et al.	2013	(volcanic) surface roughness	APER0 + MicMac	terrestrial	1.5	5.9 - 24.6	-	-	-	-	-	-	-
44	Brothelande et al.	2015	post-caldera resurgence	PhotoScan	aircraft	150	6000	8.2	7000	-	3100	48	62	-
45	Burns et al.	2015	coral reef	Photoscan	terrestrial (marine)	2	28	-	-	-	-	-	-	-
46	Clapuyt et al.	2015	slope morphology	VisualSFM	UAV	50	100	-	-	-	-	-	-	-
47	Dall'Asta et al.	2015	rock glacier monitoring	APER0 + MicMac, Photoscan	UAV	150		-	-	-	-	-	-	-
48	Dandois and Ellis	2013	vegetation mapping	Photoscan	UAV	130	250	-	-	-	-	-	-	-
49	Fernández et al.	2015	landslide	Photoscan	UAV	90	250	-	-	-	-	-	-	-
50	Gienko and Terry	2014	coastal boulders	Photoscan	terrestrial	3	2.5	-	-	-	-	-	-	-
51	Fugazza et al.	2015	glacier mapping	Menci APS	UAV	250	500	-	-	-	-	-	-	-
52	Gomez	2014	volcano morphology	Photoscan	aircraft	-	10000	-	-	-	-	-	-	-
53	Harwin and Lucieer	2012	coastal erosion	Bundler + PMVS2	UAV	120	100	-	1	-	-	-	-	-

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54	James and Varley	2012	volcanic dome control	Bundler Photogrammetry package	aircraft	505 – 2420	250	-	-	-	-	-	-	-
55	Kaiser et al.	2015	soil hydraulic roughness	PhotoScan	terrestrial	0.5	1	-	-	-	-	-	-	-
56	Lucieer et al.	2013	landslide	PhotoScan	UAV	40	125	-	-	-	-	-	-	-
57	Lucieer et al.	2014	antartic moss beds	PhotoScan	UAV	50	64	-	-	-	-	-	-	-
58	Meesuk et al.	2014	Urban flooding	VisualSfM	terrestrial	-	-	-	-	-	-	-	-	-
59	Morgenroth and Gomez	2014	tree structure	Photoscan	terrestrial	5	5	-	-	-	-	-	-	-
60	Nouwakpo et al.	2014	soil microtopography	Photoscan	terrestrial	3.1	10	-	-	-	-	-	-	-
61	Stöcker et al.	2015	gully erosion	APER0 + MicMac	terrestrial + UAV	2 + 15	35	-	-	-	-	-	-	-
62	Ryan et al.	2015	glacier drainage observation	Photoscan	UAV	500	5000	-	-	-	-	-	-	-
63	Torres-Sánchez et al.	2015	tree plantation	Photoscan	UAV	50, 100	-	-	-	-	-	-	-	-
64	Turner et al.	2015	landslide monitoring	Bundler + PMVS2	UAV	50	-	-	-	-	-	-	-	-
65	Vasuki et al.	2014	structural geology	Bundler + PMVS2	UAV	30 - 40	100	-	-	-	-	-	-	-

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917

918 **These studies are considered for performance analysis.**

919 *For most authors not all camera parameters are given. Hence, camera parameters are retrieved from dpreview.com (or similar sources).*

920 \* If scale or distance is not given, they are estimated from study area display.

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1376 doi:10.1016/j.eja.2014.01.004, 2014.  
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1379 Table 1. Nomenclature and brief definitions of image-based 3D reconstruction related terms

Image-based 3D reconstruction	recording of the three-dimensional shape of an object from overlapping images from different perspectives
Computer Vision	algorithmic efforts to imitate human vision with focus on automation, amongst others, to reconstruct 3D scenes with methods of image processing and image understanding
Structure from Motion (SfM)	fully automatic reconstruction of 3D scenes from 2D images and simultaneous retrieval of the corresponding camera geometry in an arbitrary coordinate system
Photogrammetry	algorithmic efforts to determine 3D model coordinates and camera geometry focussing on accuracy and precise measurement in images
SfM photogrammetry	fully automatic reconstruction of 3D scenes from 2D images and camera geometry with option to set parameters for (photogrammetric) optimisation of accuracy and precision
Dense matching	increase of resolution of point clouds that model 3D scenes by pixel- or patch-wise matching in images of known intrinsic and extrinsic parameters
Stereo matching	reconstruction of object point through matching (in image space, Remondino et al., 2014) between two overlapping images
ulti-View-Stereo (MVS) matching	reconstruction of object point through matching (in object space, Remondino et al., 2014) from multiple overlapping images
Extrinsic parameters	exterior camera geometry comprising position (three shifts) and orientation (three rotations) of the camera projection centre
Intrinsic parameters	interior camera geometry comprising principle distance (distance between projection centre and image sensor), principle point (intersection of perpendicular from projection centre onto image plane) and distortion parameters (e.g. radial distortion)
Bundle adjustment (BA)	least-square optimisation to simultaneously solve for extrinsic (and intrinsic) parameters of all images; the term bundle correlates to rays that derive from 3D points, converge in corresponding projection centres and intersect with image sensor
Camera self-calibration	intrinsic camera parameters are included as additional unknowns into BA to solve for interior camera geometry
Ground Control Point (GCP)	in images clearly distinguishable point whose object coordinates are known to geo-reference surface model
Digital Elevation Model (DEM)	3D description of the surface in either raster (grid) or vector (mesh) format
Point cloud	quantity of points of 3D coordinates describing the surface within arbitrary or geo-referenced coordinate system, additional information such as normals or colours possible

Table 2: Summary of non-commercial software tools beneficial for SfM photogrammetry processing and post-processing.

<i>Software</i>	<i>Bundler</i>	<i>PMVS2</i>	<i>Apero+ Mac</i>	<i>SfMToolkit</i>	<i>Meshlab</i>	<i>Cloud Compare</i>	<i>Sfm_georef</i>	<i>VisualSFM</i>	<i>SF3M</i>	<i>Photosynth</i>	<i>123D Catch</i>
<i>Type</i>	Open Source	Open Source	Open Source	Open Source	Open Source	Open Source	Freely- available	Freely- available	Freely- available	Free web service	Free web service
<i>Website</i>	<a href="http://www.cs.cornell.edu/~snave/bundler">http://www.cs.cornell.edu/~snave/bundler</a>	<a href="http://www.dti.ens.fr/pmvs">http://www.dti.ens.fr/pmvs</a>	<a href="http://logiciel.s.ign.fr/?Micmac">http://logiciel.s.ign.fr/?Micmac</a>	<a href="http://www.visual-experiments.com/demos/sfmtoolkit">http://www.visual-experiments.com/demos/sfmtoolkit</a>	<a href="http://meshlab.sourceforge.net">http://meshlab.sourceforge.net</a>	<a href="http://www.danielgm.net/c">http://www.danielgm.net/c</a>	<a href="http://www.lancaster.ac.uk/staff/jamesm/software/sfm_georef.htm">http://www.lancaster.ac.uk/staff/jamesm/software/sfm_georef.htm</a>	<a href="http://ccwu.me/vsfm">http://ccwu.me/vsfm</a>	<a href="http://sf3map.p.sic.es">http://sf3map.p.sic.es</a>	<a href="https://photosynth.net">https://photosynth.net</a>	<a href="http://www.123dapp.com/catch">http://www.123dapp.com/catch</a>
<i>Operative system</i>	Linux Windows	Linux Windows	Linux Mac Windows	Windows	Mac Windows	Linux Mac Windows	Windows	Linux Mac Windows	Windows	Windows	Windows Mac
<i>Camera calibration</i>			x								
<i>Bundle adjustment</i>	x			x				x	x	x	x
<i>Bundle adjustment with GCPs</i>			x								
<i>Sparse 3D reconstruction</i>	x		x	x				x	x	x	x
<i>Geo-referencing</i>			x				x	x	x		
<i>Dense 3D reconstruction</i>		x	x					x	x		x
<i>Post-processing</i>			x						x		
<i>Advanced cloud processing</i>					x	x					

1382 Table 3: Key developments of SfM photogrammetry towards a standard tool in  
1383 geomorphometry

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key developments	authors
method introduction	James & Robson (2012), Westoby et al. (2012), Fonstad et al. (2013)
evaluation of accuracy potential	James & Robson (2012), Westoby et al. (2012), Castillo et al. (2012)
SfM with terrestrial images	James & Robson (2012), Westoby et al. (2012), Castillo et al. (2012)
SfM with UAV images	Harwin & Lucieer (2012)
application with mm resolution	Bretar et al. (2013), Snapir et al. (2014)
application covering km <sup>2</sup>	Immerzeel et al. (2014)
mitigation of systematic errors (i.e. dome)	James & Robson (2014a), Eltner & Schneider (2015)
influence of image network geometry	Stumpf et al. (2014), Micheletti et al. (2014), Piermattei et al. (2015)
usage of Smartphone for data acquisition	Micheletti et al. (2014)
time-lapse implementation	James & Robson (2014b)
influence of scale	Smith & Vericat (2015)
comparing tools and cameras	Eltner & Schneider (2015)
synergetic usage of terrestrial and aerial images	Stöcker et al. (2015)
sub-merged topography	Woodget et al. (2015)
under water application	Leon et al. (2015)
reuse of historical images	Gomez et al. (2015)

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1387 Table 4. Overview of the publication history divided in the main topics from 2012 until  
 1388 editorial deadline in Nov. 2015. Several publications examined more than one topic resulting  
 1389 in a larger number of topics than actual publications (number in brackets in last row). IDs  
 1390 refer to the table in appendix A1.

Topic	2012	2013	2014	2015	2016	ID	Total number of publications on the respective topic
Soil science/erosion	1	-	5	9	-	1, 2, 3, 5, 6, 9, 11, 18, 22, 23, 30, 31, 55, 60, 61	15
Volcanology	3	1	1	1	-	7, 15, 43, 44, 52, 54	6
Glaciology	-	-	4	6	-	12, 13, 14, 25, 27, 34, 37, 47, 51, 62	10
Mass movements	-	1	1	3	-	32, 35, 49, 56, 64	5
Fluvial morphology	-	1	5	3	1	4, 8, 16, 17, 21, 26, 29, 33, 37, 38	10
Coastal morphology	3	1	3	-	-	15, 20, 28, 36, 42, 50, 53	7
Others	1	2	8	5	-	7, 10, 17, 19, 24, 39, 40, 41, 45, 46, 48, 57, 58, 59, 63, 65	16
Topics (publications)	8 (6)	6 (6)	27 (25)	27 (27)	1(1)		69 (65)

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1396 Table 5: Data acquisition and error assessment protocol for SfM photogrammetry;  
 1397 independent from individual study design.

<i>in the field:</i>					
<b>target specifics</b>	study area extent		<b>ground control specifics</b>	GCP measurement (total station, GPS, ...)	
	sensor to surface distance			GCP description	
	ground sampling distance			GCP number	
	target complexity			GCP accuracy	
<b>camera specifics</b>	camera name		<b>image acquisition specifics</b>	illumination condition	
	camera type (SLR, CSC, ...)			image number	
	lens type (zoom - fixed)			image overlap	
	sensor resolution			base (distance between images)	
	sensor size			network configuration (conv. - parallel-axis)	
	pixel size			perspective (aerial - terrestrial)	
	focal length		notes		
<i>at the office:</i>					
<b>data processing specifics</b>	SfM tool		<b>accuracy assessment</b>	registration residual	
	GCP integration (1-/2-staged)			reference type (LiDAR, RTK pts, ...)	
	output data type			reference error	
<b>error ratios</b>	relative error			error measure (M3C2, raster difference, ...)	
	reference superiority		statistical value (RMSE, std dev, ...)		
	theoretical error ratio		notes		

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1399 Figure captions

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1401 Figure 1: Schematic illustration of the versatility of SfM photogrammetry.

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1403 Figure 2. Map of the research sites of all studies of this review.

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1405 Figure 3. Variety of SfM photogrammetry tools used in the 65 reviewed studies.

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1407 Figure 4. Boxplots summarizing statistics: a) of the scale of the study reaches (N: 56; ID 1-3  
1408 and 5-39 in Appendix A), b) the relative error (calculated in regard to distance and measured  
1409 error, N: 54; ID 1-3, 5-12 and 14-39 in Appendix A), and c) the reference superiority  
1410 (calculated in regard to measured error and reference error, N: 33; ID 1-30 and 32-39 in  
1411 Appendix A) of reviewed studies.

1412

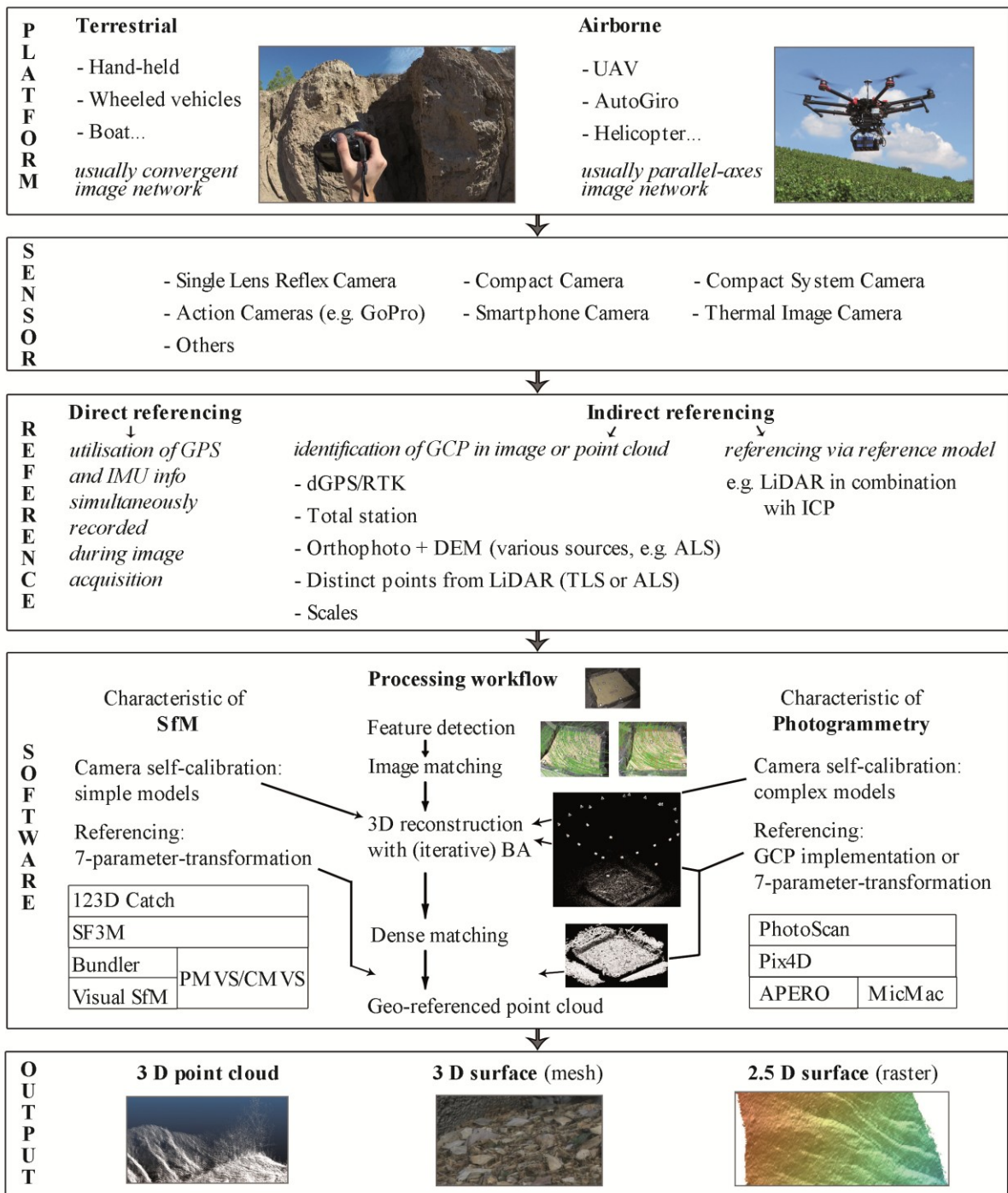
1413 Figure 5. Performance of several error parameters in regard to the camera to surface  
1414 distance. a) Characteristics of measured error and relative error (N: 54; ID 1-3, 5-12 and 14-39  
1415 in Appendix A) . For grey coloured points GCPs are measured in point cloud (in total 9 times  
1416 corresponding to the studies: ID 8, 11, 12, 28, 36, 37 in Appendix A) and for white points  
1417 GCPs are measured in images (corresponding to the remaining studies) for model  
1418 transformation. b) Superiority of the reference data (N: 33), which is calculated as ratio  
1419 between measured error and error of the reference. Area based (ID 5-7, 12, 15, 17, 22, 25, 26,  
1420 30 and 32 in Appendix A) and point based (ID 2, 3, 8, 9, 20, 24, 28-30, 33, 35 and 37 in  
1421 Appendix A) reference measurements are distinguished. c) Theoretical error ratio, considering  
1422 the theoretical and measured error, to illustrate SfM photogrammetry performance in field  
1423 applications (N: 23; ID 1-3, 8, 10-12, 15, 21, 22, 25, 26, 28-30 and 32 in Appendix A).

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1426 Figure 1:



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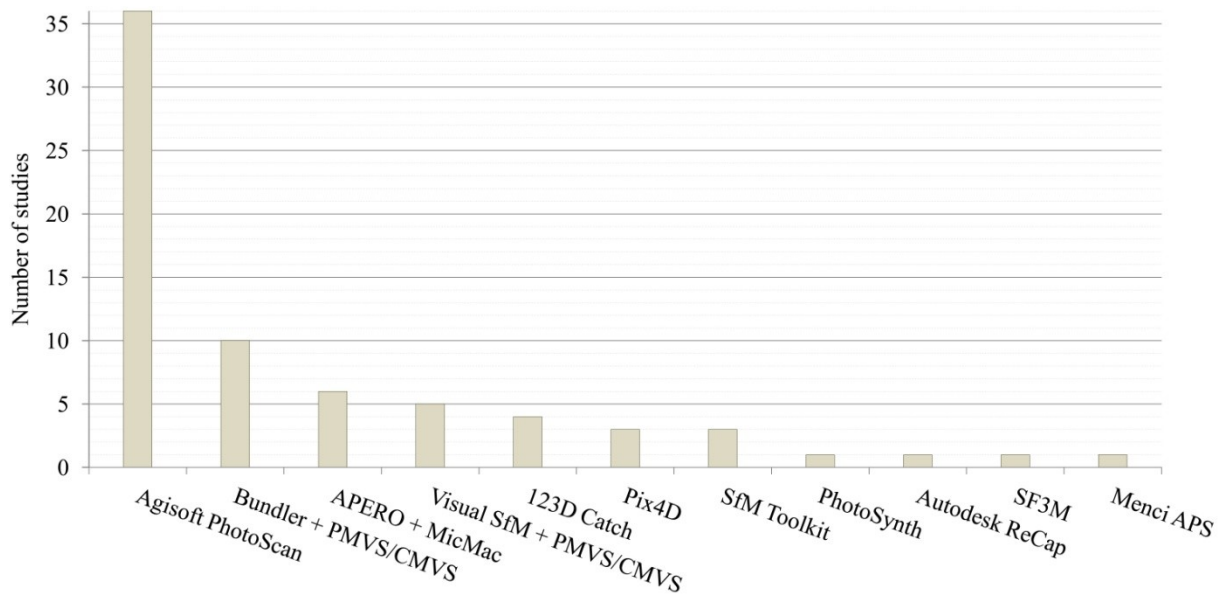
1429 Figure 2:



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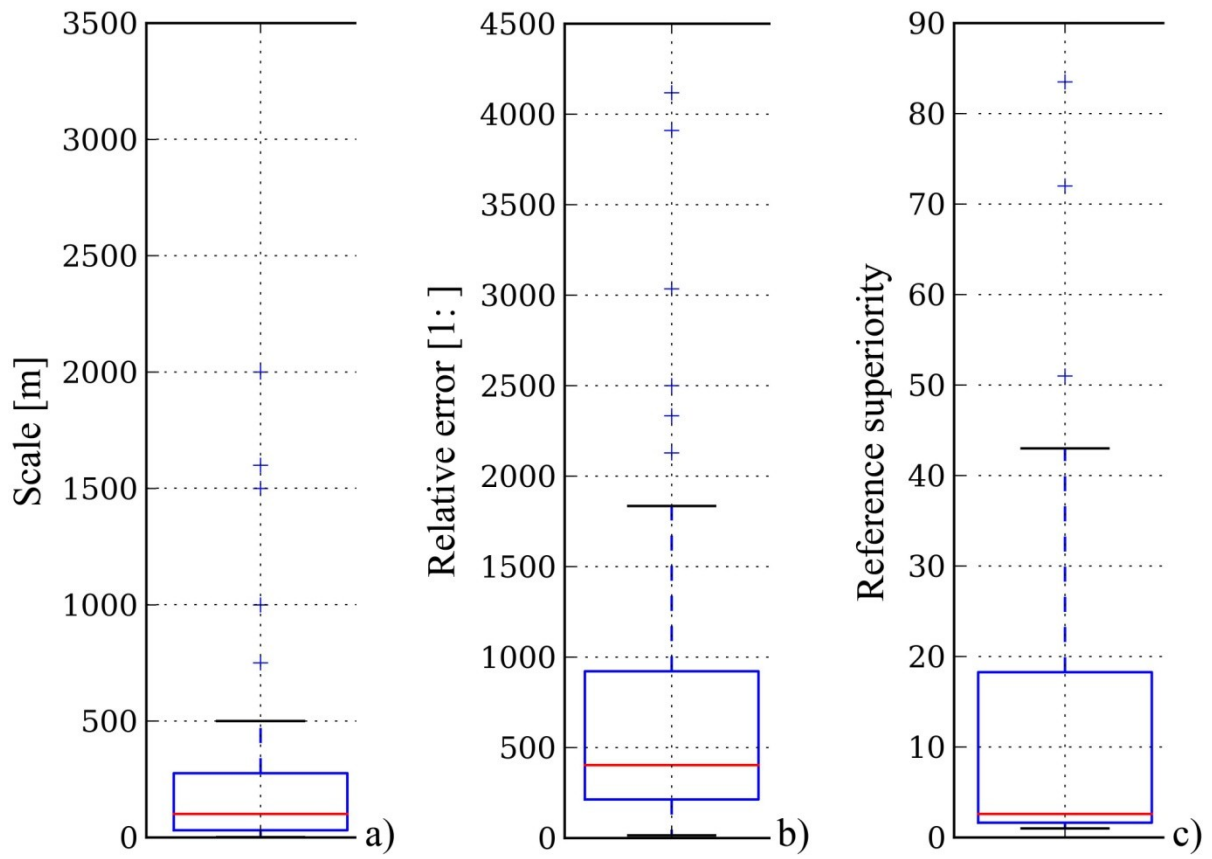
1432 Figure 3:



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1435 Figure 4:



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1438 Figure 5:

