Image-based surface reconstruction in geomorphometry – merits, limits and developments

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14 Abstract

Photogrammetry and geosciences have been closely linked since the late 19th century due to 15 the acquisition of high-quality 3D datasets of the environment, but it has so far been restricted 16 to a limited range of remote sensing specialists because of the considerable cost of metric 17 18 systems for the acquisition and treatment of airborne imagery; Nowadays, a wide range of commercial and open-source software tools enable the generation of 3D and 4D models of 19 complex geomorphological features by geoscientists and other non-experts users. In addition, 20 very recent rapid developments in unmanned aerial vehicle (UAV) technology allows for the 21 flexible generation of high quality aerial surveying and orthophotography at a relatively low-22 23 cost.

The increasing computing capabilities during the last decade, together with the development of high-performance digital sensors and the important software innovations developed by computer based vision and visual perception research fields have extended the rigorous processing of stereoscopic image data to a 3D point cloud generation from a series of noncalibrated images. Structure from motion (SfM) workflows are based upon algorithms for efficient and automatic orientation of large image sets without further data acquisition information, examples including robust feature detectors like the scale-invariant feature transform for 2D-imagery. Nevertheless, the importance of carrying out well-established fieldwork strategies, using proper camera settings, ground control points and ground truth for understanding the different sources of errors still need to be adapted in the common scientific practice.

35 This review intends not only to summarize the current state of the art on using SfM workflows in geomorphometry, but also to give an overview of terms and fields of 36 application. Furthermore, this article aims to quantify already achieved accuracies and used 37 scales using different strategies, to evaluate possible stagnations of current developments and 38 39 to identify key future challenges. It is our belief that some lessons learned from formerarticles, scientific reports and book chapters concerning the identification of common 40 41 errors or "bad practices" and some other valuable information may help in guiding the future use of SfM photogrammetry in geosciences. 42

43

44 **1** Introduction

Early works on projective geometries date back to more than five centuries, when scientists 45 derived coordinates of points from several images and investigated the geometry of 46 perspectives (Doyle, 1964). Projective geometry represents the basis for the developments in 47 photogrammetry in the late 19th century, when Aimé Laussedat experimented with terrestrial 48 imagery as well as kites and balloons for obtaining imagery for topographic mapping 49 (Laussedat, 1899). Rapidly, photogrammetry advanced to be an essential tool in geosciences 50 during the last two decades and is lately gaining momentum driven by digital sensors leading 51 to flexible, fast and facile generation of images. Simultaneously, growing computing 52 capacities and rapid developments in computer vision led to the method of Structure from 53 54 Motion (SfM) that opened the way for low-cost high-resolution topography. Thus, the community using image-based 3D reconstruction experienced a considerable growth, not only 55 in quality and detail of the achieved results but also in the number of potential users from 56 diverse geo-scientific disciplines. 57

58 SfM photogrammetry can be performed with images acquired by consumer grade digital 59 cameras and is thus very flexible in its implementation. Its ease of use in regard to data 60 acquisition and processing makes it further interesting to non-experts (Fig. 1). The diversity of 61 possible applications led to a variety of terms used to describe SfM photogrammetry either 62 from a photogrammetric or a computer vision standpoint. Thus, to avoid ambiguous 63 terminology, a short list of definitions in regard to the reviewed method is given in Table 1. In this review a series of studies that utilise the algorithmic advance of high automation in SfM are considered, i.e. no initial estimates of the image network geometry or user interactions to generate initial estimates are needed. Furthermore, data processing can be performed almost fully automatically. However, some parameter settings, typical for photogrammetric tools (e.g. camera calibration values), can be applied to optimise both accuracy and precision, and GCP or scale identification are still necessary.

SfM photogrammetry can be applied to a vast range of temporal scales (reaching from sub-70 71 seconds to decades) as well as spatial scales (reaching from sub-millimetres to kilometres) and resolutions up to an unprecedented level of detail, allowing for new insights into earth 72 73 surface processes, i.e. 4D (three spatial dimensions and one temporal dimension) reconstruction of environmental dynamics. For instance, the concept of sediment connectivity 74 75 (Bracken et al., 2014) can be approached from a new perspective through varying spatiotemporal scales. Thereby, the magnitude and frequency of events and their interaction can 76 also be evaluated. Furthermore, the versatility of SfM photogrammetry utilising images 77 captured from aerial or terrestrial perspectives has the advantage of being applicable in remote 78 areas with limited access and in fragile, fast changing environments. 79

After the suitability of SfM has been noticed for geo-scientific applications (James and Robson, 2012, Westoby et al., 2012, Fonstad et al., 2013) the number of studies utilising SfM photogrammetry for geomorphometric investigations (thereby referring to the "science of topographic quantification" based on Pike et al., 2008) has increased significantly. However, the method needs a sophisticated study design and some experience in image acquisition to prevent predictable errors and to ensure good quality of the reconstructed scene. Smith et al. (2015) and Micheletti et al. (2015) recommend a setup for efficient data acquisition.

A total of 65 publications are reviewed in this study. They are chosen according to the 87 respective field of research and methodology. Only those studies that make use of the benefits 88 of automatic image matching algorithms, and thus apply the various SfM tools, are included. 89 Studies that lack of full automation are excluded, i.e. some traditional photogrammetric 90 software. Topic wise a line is drawn in regard to the term geosciences. The largest fraction of 91 the reviewed articles tackles questions arising in geomorphological contexts. To account for 92 the versatility of SfM photogrammetry, a few studies deal with plant growth on different 93 scales (moss, crops, forest) or investigate rather exotic topics such as stalagmites or reef 94 95 morphology.

- 96 This review aims to highlight the development of SfM photogrammetry as a valuable tool for97 geoscientists:
- 98 (1) The method of SfM photogrammetry is briefly summarised and algorithmic differences
 99 due to their emergence from computer vision as well as photogrammetry are clarified
 100 (section 2).
- (2) Open-source tools regarding SfM photogrammetry are introduced as well as beneficial
 tools for data post-processing (section 3).
- (3) Different fields of applications where SfM photogrammetry led to new perceptions ingeomorphometry are displayed (section 4).
- 105 (4) The performance of the reviewed method is evaluated (section 5).
- 106 (5) Frontiers and significance of SfM photogrammetry are discussed (section 6).
- 107

108 2 SfM photogrammetry: method outline

109 2.1 Basic concept

110 Reconstruction of three-dimensional geometries from images has played an important role in 111 the past centuries (Ducher, 1987, Collier, 2002). The production of high-resolution DEMs 112 was and still is one of the main applications of (digital) photogrammetry. Software and 113 hardware developments as well as the increase in computing power in the 1990s and early 114 2000s made aerial photogrammetric processing of large image datasets accessible to a wider 115 community (e.g. Chandler, 1999).

Camera orientations and positions, which are usually unknown during image acquisition, have 116 to be reconstructed to model a 3D scene. For that purpose, photogrammetry has developed 117 bundle adjustment (BA) techniques, which allow for simultaneous determination of camera 118 119 orientation and position parameters as well as 3D object point coordinates for a large number of images (e.g. Triggs et al, 2000). BA needs image coordinates of many tie points as input 120 data. If the BA is extended by a simultaneous calibration option, even the intrinsic camera 121 parameters can be determined in addition to the extrinsic parameters. Furthermore, a series of 122 ground control points can be used as input into BA for geo-referencing the image block (e.g. 123 Luhmann et al., 2014, Kraus, 2007, Mikhail et al., 2001). 124

Parallel developments in computer vision took place that try to reconstruct viewing geometries of image datasets not fulfilling the common prerequisites from digital photogrammetry, i.e. calibrated cameras and initial estimates of the image acquisition scheme. This led to the SfM technique (Ullman, 1979) allowing to process large datasets and to use acombination of multiple non-metric cameras.

130 The typical workflow of SfM photogrammetry (e.g. Snavely et al., 2008) comprises the131 following steps:

(1) identification and matching of homologous image points in overlapping photos (imagematching, e.g. Lowe, 1999),

(2) reconstruction of the geometric image acquisition configuration and of the corresponding
 3D coordinates of matched image points (sparse point cloud) with iterative BA,

136 (3) dense matching of the sparse point cloud from reconstructed image network geometry,

137 (4) scaling or geo-referencing, which is also performable within step 2.

Smith et al. (2015) give a detailed description of the workflow of SfM photogrammetry,especially regarding step 1 and step 2.

In contrast to classical photogrammetry software tools, SfM allows for reliable processing of 140 a large number of images in rather irregular image acquisition schemes (Snavely et al., 2008) 141 with a much higher degree of process automation. Thus, one of the main differences between 142 the usual photogrammetric workflow and SfM is the emphasis on either accuracy or 143 144 automation, with SfM focusing on the latter (Pierrot-Deseilligny and Clery, 2011). Another deviation between both 3D reconstruction methods is the consideration of GCPs (James and 145 146 Robson, 2014, Eltner and Schneider, 2015). Photogrammetry performs BA either one-staged, considering GCPs within the BA, or two-staged, performing geo-referencing after a relative 147 image network configuration has been estimated (Kraus, 2007). In contrast, SfM is solely 148 performed in the manner of a two-staged BA concentrating on the relative orientation in an 149 150 arbitrary coordinate system. Thus, absolute orientation has to be conducted separately with a seven parameter 3D-Helmert-transformation, i.e. three shifts, three rotations and one scale. 151 This can be done, for instance, with the freeware tool sfm-georef that also gives accuracy 152 information (James and Robson, 2012). Using GCPs has been proven to be relevant for 153 specific geometric image network configurations, as parallel-axes image orientations usual for 154 UAV data, because adverse error propagation can occur due to unfavourable parameter 155 156 correlation, e.g. resulting in the non-linear error of a DEM dome (Wu, 2014, James and Robson, 2014, Eltner and Schneider, 2015). Within a one-staged BA these errors are 157 minimised because additional information from GCPs is employed during the adjustment 158 159 calculation, which is not possible, when relative and absolute orientation are not conducted in 160 one stage.

The resulting oriented image block allows for a subsequent dense matching, measuring many 161 more surface points through spatial intersection to generate a DEM with very high resolution. 162 Recent developments in dense matching allow for resolving object coordinates for almost 163 every pixel. To estimate 3D coordinates, pixel values are either compared in image-space in 164 the case of stereo-matching, considering two images, or in the object space in the case of 165 MVS-matching, considering more than two images (Remondino et al., 2014). Furthermore, 166 local or global optimisation functions (Brown et al., 2003) are considered, e.g. to handle 167 ambiguities and occlusion effects between compared pixels (e.g. Pears et al., 2012). To 168 169 optimise pixel matching, (semi-)global constraints consider the entire image or image scanlines (e.g. semi-global matching (SGM) after Hirschmüller, 2011), whereas local constraints 170 consider a small area in direct vicinity of the pixel of interest (Remondino et al., 2014). 171

172 SfM photogrammetry software packages are available partially as freeware or even opensource. Most of the packages comprise SfM techniques in order to derive 3D reconstructions 173 from any collection of unordered photographs, without the need of providing camera 174 calibration parameters and high accuracy ground control points. As a consequence, no in-175 depth knowledge in photogrammetric image processing is required in order to reconstruct 176 geometries from overlapping image collections (James and Robson, 2012, Westoby et al., 177 2012, Fonstad et al., 2013). But now, also many photogrammetric tools utilise abilities from 178 SfM to derive initial estimates automatically (i.e. automation) and then perform 179 photogrammetric BA with the possibility to set weights of parameters for accurate 180 reconstruction performance (i.e. accuracy). In this review studies are considered, which either 181 use straight SfM tools from computer vision or photogrammetric tools implementing SfM 182 algorithms that entail no need for initial estimates in any regard. 183

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2.2 Tools for SfM photogrammetry and data post processing

SfM methodologies rely inherently on automated processing tools which can be provided by different non-commercial or commercial software packages. Within the commercial approach, PhotoScan (Agisoft LLC, Russia), Pix4D (Pix4D SA, Switzerland) and MENCI APS (MENCI Software, Italy) represent complete solutions for 3D photogrammetric processing that have been used in several of the reviewed works.

191 Initiatives based on non-commercial software have played a significant role in the 192 development of SfM photogrammetry approaches, either 1) open-source, meaning the source 193 code is available with a license for modification and distribution; 2) freely-available, meaning

the tool is free to use but no source code is provided or 3) under free web service with no 194 access to the code, intermediate results or possible secondary data usage (Table 2). The 195 pioneer works by Snavely et al. (2006, 2008) and Furukawa and Ponce (2010) as well as 196 Furukawa et al. (2010) provided the basis to implement one of the first open-source 197 workflows for free SfM photogrammetry combining Bundler and PMVS2/CMVS as in 198 SfMToolkit (Astre, 2015). By 2007, the MicMac project, which is open-source software 199 originally developed for aerial image matching, became available to the public and later 200 evolved to a comprehensive SfM photogrammetry pipeline with further tools such as APERO 201 202 to estimate image orientation (Pierrot-Deseilligny and Clery, 2011).

203 Further contributors put their efforts in offering freely-available solutions based on Graphical User Interfaces (GUI) for SfM photogrammetry (VisualSfM by Wu, 2013) and geo-204 205 referencing (sfm georef by James and Robson, 2012). The need for editing large point-cloud entities from 3D reconstruction led to the development of open-source specific tools such as 206 Meshlab (Cignoni et al., 2008) or CloudCompare (Girardeau-Montaut, 2015), also 207 implementing GUIs. Sf3M (Castillo et al., 2015) exploits VisualSfM and sfm georef and 208 additional CloudCompare command-line capacities for image-based surface reconstruction 209 and subsequent point cloud editing within one GUI tool. Overall, non-commercial 210 applications have provided a wide range of SfM photogrammetry related solutions that are 211 constantly being improved on the basis of collaborative efforts. Commercial software 212 packages are not further displayed due to their usual lack of detailed information regarding 213 applied algorithms and their black box approach. 214

215 A variety of tools for SfM photogrammetry (at least 10 different) are used within the differing studies of this review (Fig. 3). Agisoft PhotoScan is by far the most employed software, 216 which is probably due to its ease of use. However, this software is commercial and works on 217 the black box principle, which is in contrast to the second most popular tool Bundler in 218 combination with PMVS or CMVS. The tool APERO in combination with MicMac focuses 219 220 on accuracy instead of automation (Pierrot-Deseilligny and Clery, 2011), which is different to the former two. The high degree of possible user-software interaction, which can be very 221 advantageous to adopt the 3D reconstruction to each specific case study, might also be its 222 drawback because further knowledge into the method is required. Only a few studies have 223 used the software in geo-scientific investigations (Bretar, et al., 2013, Stumpf et al., 2014, 224 225 Ouédraogo et al., 2014, Stöcker et al., 2015, Eltner and Schneider, 2015).

3 Key developments in SfM photogrammetry

The vast recognition of SfM photogrammetry resulted in a large variety of its implementation leading to methodological developments, which have validity beyond its original application. Thus, regarding geomorphometric investigations, studies considering field of applications as well as evaluations of the method performance induced key advances for SfM photogrammetry to establish as a standard tool in geosciences (Table 3). In the following, the approach is introduced concerning the selection and retrieval of scientific papers utilising SfM photogrammetry.

A survey of 65 scientific papers published between 2012 and 2015 was conducted, covering a wide range of applications of SfM photogrammetry in geo-scientific analysis (see Appendix A for a detailed list). Common scientific journals, academic databases and standard online searches have been used to search for corresponding publications. Although, it has to be noted that our approach does not guarantee full coverage of the published works using SfM photogrammetry in geosciences. Nevertheless, various disciplines, locations and approaches from all continents are contained in this review (Fig. 2).

To put research hot spots in perspective it should be taken into account that the amount of publications in each discipline is not only dependent on the applicability of the method in that specific field of research. To a greater degree it is closely linked to the overall number of studies, which in the end can probably be broken down to the actual amount of researchers in that branch of science. Relative figures revealing the relation between SfM photogrammetry oriented studies to all studies of a given field of research would be desirable but are beyond the scope of this review.

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The previously described advantages of the method have introduced a new group of users, leading to a variety of new studies in geomorphic surface reconstruction and analysis. Different disciplines started to use SfM algorithms more or less simultaneously.

A list of all topics reviewed in this manuscript according to their year of appearance is shown in Table 4. It is important to note that most subjects are not strictly separable from each other: For instance, a heavy flash flood event will likely trigger heavy damage by soil erosion or upstream slope failures. Thus, corresponding studies are arranged in regard to their major focus. The topic soil science comprises studies of soil erosion as well as soil microtopography.

260 **3.1 Soil science**

An identification of convergent research topics of SfM photogrammetry in geosciences 261 262 revealed a distinct focus on erosional processes, especially in soil erosion (11 studies). 263 Gullies, as often unvegetated and morphologically complex features of soil erosion, are 264 predestined to serve as a research object (6 studies) to evaluate SfM performance. One of the first works on SfM in geosciences from 2012 compared established 2D and 3D field methods 265 for assessing gully erosion (e.g. LiDAR, profile meter, total station) to SfM datawith regard to 266 costs, accuracy and effectiveness revealing the superiority of the method (Castillo et al., 267 2012). Also for a gully system, Stöcker et al. (2015) demonstrated the flexibility of camera 268 based surface reconstructionby combining independently captured terrestrial images with 269 270 surface models from UAV images to fill data gaps and achieve a comprehensive 3D model. Large areal coverage and very high resolution allowed for a new quality in the assessment of 271 plot based soil erosion analysis (Eltner et al., 2015) 272

Another 6 studies tackle the 3D reconstruction of soil micro-topography by producing very dense point clouds or DEMs. This data further serves to assess pros and cons of SfM photogrammetry, e.g. to detect small-scale erosion features (Nouwakpo et al., 2014), with regard to the doming effect (Eltner and Schneider, 2015) or as input parameter for erosion modelling (Kaiser et al., 2015).

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279 3.2 Volcanology

Volcanology is a pioneering area of SfM photogrammetry research in geosciences because 3 out of 6 studies in 2012 included volcanic research sites. James and Robson (2012) acquired information on volcanic dome volume and structural variability prior to an explosion from multi-temporal imagery taken from a light airplane. Another interesting work by Bretar et al. (2013) successfully reveals roughness differences in volcanic surfaces from lapilli deposits to slabby pahoehoe lava.

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287 3.3 Glaciology

Glaciology and associated moraines are examined in 7 publications. In several UAV campaigns Immerzeel et al. (2014) detected limited mass losses and low surface velocities but high local variations of melt rates that are linked to supra-glacial ponds and ice cliffs. Rippin et al. (2015) present another UAV-based work on supra-glacial runoff networks, comparing the drainage system to surface roughness and surface reflectance measurements and detecting linkages between all three. Furthermore, snow depth estimation and rock glacier monitoring are increasingly performed with SfM photogrammetry (Nolan et al., 2015, Dall'Asta et al., 2015).

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297 3.4 Mass movements

Compared to the well-established use of LiDAR techniques on the investigation of landslides 298 (Jaboyedoff et al., 2012) the use of photogrammetric workflows for investigating hazardous 299 slopes is still scarce, wich is probably due to the stringent accuracy and safety requirements. 300 For instance, the use of UAV systems for monitoring mass movements using both image 301 correlation algorithms and DM substraction techniques has been explored by Lucieer et al., 302 (2013). More recently, SfM techniques were used by Stumpf et al. (2014) for monitoring 303 landslide displacements and erosion during several measuring campaigns, including the study 304 of seasonal dynamics on the landslide body, superficial deformation and rock fall occurrence. 305 In addition, these authors assessed the accuracy of two different 3D reconstruction tools 306 307 compared to LiDAR data.

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309 3.5 Fluvial morphology

310 Channel networks in floodplains were surveyed by Prosdocimi et al. (2015) in order to 311 analyse eroded channel banks and to quantify the transported material. Besides classic DSLR cameras, evaluation of an iPhone camera revealed sufficient accuracy, so that in near future 312 also non-scientist will be able to carry out post event documentation of damage. An 313 interesting large scale riverscape assessment is presented by Dietrich (2016), who carried out 314 a helicopter based data acquisition of a 32 km river segment. A small helicopter proves to 315 close the gap between unmanned platforms and commercial aerial photography from 316 airplanes. 317

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319 **3.6 Coastal morphology**

In the article by Westoby et al. (2012) several morphological features of contrasting landscapes where chosen to test the capabilities of SfM; one of them being a coastal cliff of roughly 80 m height. Up to 90.000 points/m² enabled the identification of bedrock faulting. Ružić et al. (2014) produced surface models of coastal cliffs to test the abilities of SfM photogrammetry in undercuts and complex morphologies.

325

326 3.7 Other fields of investigation in geosciences

In addition to the prevalent fields of attention also more exotic research is carried out 327 unveiling unexpected possibilities for SfM photogrammetry. Besides the benefit for the 328 specific research itself, these branches are important as they either explore new frontiers in 329 geomorphometry or demonstrate the versatility of the method. Lucieer et al. (2014) analyse 330 331 arctic moss beds and their health conditions by using high-resolution surface topography (2 cm DEM) to simulate water availability from snow melt. Leon et al. (2015) acquired 332 underwater imagery of a coral reef to produce a DEM with a resolution of 1 mm for 333 roughness estimation. Genchi et al. (2015) used UAV-image data of an urban cliff structure to 334 identify bio erosion features and found a pattern in preferential locations. 335

The re-consideration of historical aerial images is another interesting opportunity arising from the new algorithmic image matching developments that allow for new DEM resolutions and thus possible new insights into landscape evolution (Gomez et al., 2015).

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4 Error assessment of SfM photogrammetry in geo-scientific applications

SfM photogrammetry has been tested under a large variety of environments due to the 341 commensurate novel establishment of the method in geosciences, revealing numerous 342 advantages but also disadvantages regarding to each application. It is important to have 343 method independent references to evaluate 3D reconstruction tools confidently. In total 39 344 studies are investigated (Table Appendix A), where a reference has been setup, either area 345 based (e.g. TLS) or point based (e.g. RTK GPS points). Because not all studies perform 346 accuracy assessment with independent references, the number of studies is in contrast to the 347 number of 65 studies that are reviewed in regard to applications. In the following, methods are 348 illustrated concerning integrated consideration of error performance of SfM photogrammetry 349 350 in geo-scientific studies.

A designation of error parameters is performed prior to comparing the studies to avoid using ambiguous terms. There is a difference between local surface quality and more systematic errors, i.e. due to referencing and project geometry (James and Robson, 2012). Specifically, error can be assessed in regard to accuracy and precision.

Measurement accuracy, which defines the closeness of the measurement to a reference, 355 ideally displays the true surface and can be estimated by the mean error value. However, 356 positive and negative deviations can compensate for each other and thus can impede the 357 recognition of a systematic error (e.g. symmetric tilting) with the mean value. Therefore, 358 numerical and spatial error distribution should also be considered to investigate the quality of 359 the measurement (e.g. Smith et al., 2015). For the evaluation of two DEMs, the iterative 360 closest point (ICP) algorithm can improve the accuracy significantly if a systematic linear 361 error (e.g. shifts, tilts or scale variations) is given, as demonstrated by Micheletti et al. (2014); 362 Nevertheless, this procedure can also induce an error when the scene has changed 363 significantly between the two datasets. 364

Precision, which defines the repeatability of the measurement, e.g. it indicates how rough an actual planar surface is represented, usually comprises random errors that can be measured with the standard deviation or RMSE. However, precision is not independent from systematic errors. In this study, the focus lies on RMSE or standard deviation calculated to a given reference (e.g. to a LiDAR - light detection and ranging - point cloud) and thus the general term "measured error" is used.

Furthermore, error ratios are calculated to compare SfM photogrammetry performance between different studies under varying data acquisition and processing conditions. Thereby, the relative error (e_r) , the reference superiority (e_s) and the theoretical error ratio (e_t) are considered. The first is defined as the ratio between measured error and surface to camera distance (eq. 1).

$$376 \quad e_r = \frac{\sigma_m}{D} \tag{1}$$

377 Being:

 e_r ... relative error σ_m ... measured error D ... mean distance camera – surface

378

The reference superiority displays the ratio between the measured error and the error of the reference (eq. 2). It depicts the validity of the reference to be accountable as a reliable dataset for comparison.

$$82 ext{ } e_{S} = \frac{\sigma_{m}}{\sigma_{ref}} agenum{2}{3}$$

383 Being: $e_r \dots reference superiority$ $\sigma_{ref} \dots reference error$

384

The theoretical error ratio includes the theoretical error, which is an estimate of the 385 theoretically best achievable photogrammetric performance under ideal conditions. It is 386 calculated separately for convergent and parallel-axes image acquisition schemes. The 387 388 estimate of the theoretical error of depth measurement for the parallel-axis case is displayed by eq. 3 (more detail in Kraus, 2007). The error is determined for a stereo-image pair and thus 389 390 might overestimate the error for multi-view reconstruction. Basically, the error is influenced by the focal length, the camera-to-surface distance and the distance between the images of the 391 392 stereo-pair (base).

393
$$\sigma_p = \frac{D^2}{Bc} \sigma_i \tag{3}$$

394 Being:

 σ_p ... coordinate error for parallel – axes case c ... focal length σ_i ... error image measurement B... distance between images (base)

396

395

For the convergent case the error also considers the camera-to-surface distance and the focal length. However, instead of the base the strength of image configuration determined by the angle between intersecting homologous rays is integrated and additionally the employed number of images is accounted for (eq. 4; more detail in Luhmann et al., 2014).

401
$$\sigma_c = \frac{qD}{\sqrt{kc}}\sigma_i \tag{4}$$

402 Being:

 σ_c ... coordinate error for convergent case q ... strength of image configuration, i.e. convergence k ... number of images

403

Finally, the theoretical error ratio is calculated displaying the relation between the measured error and the theoretical error (eq. 5). The value depicts the performance of SfM photogrammetry in regard to the expected accuracy.

407
$$e_t = \frac{\sigma_m}{\sigma_{theo}}$$

408 Being:

409 e_t ... theoretical error ratio σ_{theo} ... theoretical error; eihter σ_p or σ_c

410

The statistical analysis of the achieved precisions of the reviewed studies is performed with 411 412 the Python Data Analysis Library (pandas). If several errors are given in one study due to testing of different survey or processing conditions, the error value representing the 413 enhancement of the SfM performance has been chosen, i.e. in the study of Javernick et al. 414 (2014) the DEM without an error dome, in the study of Rippin et al. (2015) the linear 415 corrected DEM, and in the study of Eltner & Schneider (2015) the DEMs calculated with 416 undistorted images. In addition, if several approaches are conducted to retrieve the deviations 417 value to the reference, the more reliable error measure is preferred (regards Stumpf et al., 418 2014 and Gómez-Gutiérrez et al., 2014 and 2015). Apart from those considerations, measured 419 errors have been averaged if several values are reported in one study, i.e. concerning multi-420 temporal assessments or consideration of multiple surfaces with similar characteristics, but 421 not for the case of different tested SfM tools. Regarding data visualisation, outliers that 422 complicated plot drawing, were neglected within the concerning graphics. This concerned the 423 study of Dietrich (2016) due to a very large scale of an investigated river reach (excluded 424 425 from Fig. 4a and Fig. 5a-b), the study of Snapir et al. (2014) due to a very high reference accuracy of Lego bricks (excluded from Fig. 4c and Fig. 5b), and Frankl et al. (2015) due to a 426 427 high measured error as the study focus was rather on feasibility than accuracy (excluded from 428 Fig. 5c).

429 Besides exploiting a reference to estimate the performance of the 3D reconstruction, 430 registration residuals of GCPs resulting from BA can be taken into account for a first error assessment. But this is not suitable as an exclusive error measure due to potential deviations 431 between the true surface and the calculated statistical and geometric model, which are not 432 detectable with the GCP error vectors alone because BA is optimised to minimise the error at 433 these positions. However, if BA has been performed two-staged (i.e. SfM and referencing 434 calculated separately), the residual vector provides reliable quality information because 435 registration points are not integrated into model estimation. 436

Error evaluation in this study is performed with reference measurements. Thereby, errors due
to the performance of the method itself and errors due to the method of quality assessment
have to be distinguished.

440

441 **4.1 Error sources of SfM photogrammetry**

The error of 3D reconstruction is influenced by many factors: scale/distance, camera calibration, image network geometry, image matching performance, surface texture and lighting conditions, and GCP characteristics, which are examined in detail in this section.

445

446 **4.1.1 Scale and sensor to surface distance**

SfM photogrammetry contains the advantage to be useable at almost any scale. Thus, in the 447 reviewed studies the method is applied at a large range of scales (Fig. 4a), reaching from 448 10 cm for volcanic bombs (Favalli et al., 2012, James and Robson, 2012) up to 10 km for a 449 river reach (Dietrich, 2016). Median scale amounts to about 100 m. SfM photogrammetry 450 reveals a scale dependent practicability (Smith and Vericat, 2015) if case study specific 451 tolerable errors are considered, e.g. for multi-temporal assessments. For instance, at plot and 452 hillslope scale 3D reconstruction is a very sufficient method for soil erosion studies, even 453 outperforming TLS (Nouwakpo et al., 2015, Eltner et al., 2015, Smith and Vericat, 2015). The 454 method should be most useful in small scale study reaches (Fonstad et al., 2013), whereas 455 456 error behaviour is not as advantageous for larger scales, i.e. catchments (Smith and Vericat, 457 2015).

Besides scale, the distance between sensor and surface is important for image-based 458 reconstructed DEM error, also because scale and distance interrelate. The comparison of the 459 reviewed studies indicates that with an increase of distance the measured error increases, 460 which is not unexpected (Fig. 5a, circles). However, there is no linear trend detectable. 461 Therefore, the relative error is not assignable. The relative error displays a large range from 462 15 to 4000 with a median of 400, thus revealing a rather low error potential (Fig. 5a, 463 triangles). Very high ratios are solely observable for very close-range applications and at large 464 distances. A general increase of the relative error with distance is observable (Fig. 5a, 465 triangles). The indication that cm-accurate measurements are realisable at distances below 466 200 m (Stumpf et al., 2014) can be confirmed by Fig. 5a because most deviations are below 467

10 cm up to that range. Overall, absolute error values are low at close ranges, whereas therelative error is higher at larger distances.

470

471 **4.1.2 Camera calibration**

SfM photogrammetry allows for straight forward handling of camera options due to integrated 472 self-calibration, but knowledge about some basic parameters is necessary to avoid unwanted 473 error propagation into the final DEM from insufficiently estimated camera models. The 474 autofocus as well as automatic camera stabilisation options should be deactivated if a pre-475 476 calibrated camera model is used or one camera model is estimated for the entire image block because changes in the interior camera geometry due to camera movement cannot be captured 477 with these settings. The estimation of a single camera model for one image block is usually 478 preferable, if a single camera has been used, whose interior geometry is temporary stable, to 479 avoid over-parameterisation (Pierrot-Deseilligny and Clery, 2011). Thus, if zoom lenses are 480 moved a lot during data acquisition, they should be avoided due to their instable geometry 481 (Shortis et al., 2006, Sanz-Ablanedo et al., 2010) that impedes usage of pre-calibrated fixed or 482 single camera models. A good compromise between camera stability, sensor size and 483 484 equipment weight, which is more relevant for UAV applications, is achieved by compact system cameras (Eltner and Schneider, 2015). However, solely three studies utilise compact 485 486 system cameras in the reviewed studies (Tonkin et al., 2014, Eltner and Schneider, 2015, Eltner et al., 2015). 487

Along with camera settings, the complexity in regard to the considered parameters of the 488 489 defined camera model within the 3D reconstruction tool is relevant as well as the implementation of GCPs to function as further observations in the BA, i.e. to avoid DEM 490 491 domes as a consequence of insufficient image distortion estimation (James and Robson, 2014, Eltner and Schneider, 2015). Also, Stumpf et al. (2014) detect worse distortion correction 492 with a basic SfM tool, considering a simple camera model, compared to more complex 493 software, integrating a variety of camera models and GCP consideration. Camera calibration 494 495 is a key element for high DEM quality, which is extensively considered in photogrammetric 496 software, whereas simpler models that solely estimate principle distance and radial distortion are usually implemented in the SfM tools originating from computer vision (Eltner and 497 Schneider, 2015, James and Robson, 2012, Pierrot-Deseilligny and Clery, 2011). 498

Image resolution is another factor influencing the final DEM quality. Especially, the absolute 501 pixel size needs to be accounted for due to its relevance for the signal-to-noise ratio (SNR) 502 because the larger the pixel the higher the amount of light that can be captured and hence a 503 more distinct signal is measured. Resolution alone by means of pixel number gives no 504 505 information about the actual metric sensor size. A large sensor with large pixels and a large amount of pixels provides better image quality due to reduced image noise than a small sensor 506 with small pixels but the same amount of pixels. Thus, high image resolution defined by large 507 pixel numbers and pixel sizes resolves in sufficient quality of images and thus DEMs 508 509 (Micheletti et al., 2014, Eltner and Schneider, 2015).

510 However, the reviewed investigations indicate no obvious influence of the pixel size at the 511 DEM quality. Mostly, cameras with middle sized sensors and corresponding pixel sizes 512 around 5 μ m are used and a large range of errors at different pixel sizes is given.

To speed up processing, down-sampling of images is often performed causing interpolation of 513 pixels and thus the reduction of image information, which can be the cause for 514 underestimation of high relief changes, e.g., observed by Smith and Vericat (2015) or 515 Nouwakpo et al. (2015). Interestingly, Prosdocimi et al. (2015) reveal that lower errors are 516 possible with decreasing resolution due to an increase of error smoothing. Nevertheless, 517 image data collection in the field should be done at highest realisable resolution and highest 518 SNR to fully keep control over subsequent data processing, i.e. data smoothing should be 519 520 performed under self-determined conditions at the desktop, which is especially important for studies of rough surfaces to allow for probate error statistics (e.g. Brasington et al., 2012). 521

522

523 4.1.4 Image network geometry

In regard to the geometry of the image network several parameters are important: number ofimages, image overlap, obliqueness and convergence.

At least three images need to capture the area of interest, but for redundancy and to decrease DEM error higher numbers are preferred (James and Robson, 2012). For instance, Piermattei et al. (2015) detect better qualities for a higher amount of images. However, the increase of images does not linearly increase the accuracy (Micheletti et al., 2014), and may ultimately lead to unnecessary increase in computation time. Generally, image number should be chosen depending on the size and complexity of the study reach (James and Robson, 2012); as high as possible but still keeping in mind acceptable processing time. High image overlap is relevant to finding homologous points within many images that cover the entire image space. Stumpf et al. (2014) show that higher overlap resolves in better results. Wide angle lenses, whose radial distortion is within the limits, should be chosen for data acquisition.

The reviewed studies reveal a large variety of applicable perspectives for DEM generation. Most applications use images captured from the ground, which is the most flexible implementation of the SfM photogrammetry method. In regard to terrestrial or aerial perspective, Smith and Vericat (2015) state that aerial images should be preferred if plots reach sizes larger than 100 m because at these distances obliqueness of images becomes too adverse. Stumpf et al. (2014) even mention a distinct value of the incidence angle of 30° to the captured surface above which data quality decreases significantly.

Furthermore, image network geometry has to be considered separately for convergent 544 acquisitions schemes, common for terrestrial data collection, and for parallel-axes acquisition 545 schemes, common for aerial data collection. The parallel-axes image configuration results in 546 unfavourable error propagation due to unfavourable parameter correlation, which inherits the 547 separation between DEM shape and radial distortion (James and Robson, 2014, Wu, 2014) 548 resulting in a dome error that needs either GCP implementation or a well estimated camera 549 model for error mitigation (James and Robson, 2014, Eltner and Schneider, 2015). However, 550 GCP accuracy has to be sufficient or else the weight of GCP information during BA is too 551 552 low to avoid unfavourable correlations, as shown by Dietrich (2016), where DEM dome error within a river reach could not be diminished even though GCPs were implemented into 3D 553 554 reconstruction. If convergent images are utilised, the angle of convergence is important because the higher the angle the better the image network geometry. Thereby, accuracy 555 increases because sufficient image overlap is possible with larger bases between images. 556 Therefore, glancing ray intersections, which impede distinct depth assignment, are avoided. 557 558 But simultaneously, convergence should not be so high that the imaged scene becomes too 559 contradictory for successful image matching (Pierrot-Deseilligny and Clery, 2012, Stöcker et 560 al, 2015).

561

562 **4.1.5 Accuracy and distribution of homologues image points**

The quality of DEMs reconstructed from overlapping images depends significantly on the image-matching performance (Grün, 2012). Image content and type, which cannot be enhanced substantially, are the primary factors controlling the success of image-matching (Grün, 2012). Image-matching is important for reconstruction of the image network geometryas well as the subsequent dense-matching.

568 On the one hand, it is relevant to find good initial matches (e.g. SIFT features are not as 569 precise as least square matches with $\frac{1}{10}$ pixel size accuracies; Grün, 2012) to perform reliable 570 3D reconstruction and thus retrieve an accurate sparse point cloud because optimization 571 procedures for model refinement rely on this first point cloud. Thus, immanent errors will 572 propagate along the different stages of SfM photogrammetry.

On the other hand, more obviously image-matching performance is important for dense 573 reconstruction, when 3D information is calculated for almost every pixel. The accuracy of 574 intersection during dense matching depends on the accuracy of the estimated camera 575 orientations (Remondino et al., 2014). If the quality of the DEM is the primary focus, which is 576 usually not the case for SfM algorithms originating from computer vision, the task of image-577 matching is still difficult (Grün, 2012). Nevertheless, newer approaches are emerging, though, 578 579 which still need evaluation in respect of accuracy and reliability (Remondino et al., 2014). An 580 internal quality control for image-matching is important for DEM assessment (Grün, 2012), but is mostly absent in tools for SfM photogrammetry. 581

582 So far, many studies exist, which evaluate the quality of 3D reconstruction in geo-scientific 583 applications. Nevertheless, considerations of dense-matching performance are still missing, 584 especially in regard of rough topographies (Eltner and Schneider, 2015).

585

586 4.1.6 Surface texture

Texture and contrast of the area of interest are significant to identify suitable homologous image points. Low textured and contrasted surfaces result in a distinct decrease of image features, i.e. snow covered glaciers (Gómez-Gutiérrez et al., 2014) or sandy beaches (Mancini et al., 2013). Furthermore, vegetation cover complicates image matching performance due to its highly variable appearance from differing viewing angles (e.g. Castillo et al., 2012, Eltner et al., 2015) and possible movements during wind. Thus, in this study, where present, only studies of bare surfaces are reviewed for error assessment.

594

595 **4.1.7 Illumination condition**

Over- and under-exposure of images is another cause of error in the reconstructed point cloud, 596 which cannot be significantly improved by utilising HDR images (Gómez-Gutiérrez et al., 597 2015). Well illuminated surfaces result in a high number of detected image features, which is 598 599 demonstrated for coastal boulders under varying light conditions by Gienko and Terry (2014). Furthermore, Gómez-Gutiérrez et al. (2014) highlight the unfavourable influence of shadows 600 because highest errors are measured in these regions; interestingly, these authors calculate the 601 optimal time for image acquisition from the first DEM for multi-temporal data acquisition. 602 Furthermore, the temporal length of image acquisition needs to be considered during sunny 603 604 conditions because with increasing duration shadow changes can decrease matching performance, i.e. with regard to the intended quality surveys lasting more than 30 minutes 605 606 should be avoided (Bemis et al., 2014). Generally, overcast but bright days are most suitable 607 for image capture to avoid strong shadows or glared surfaces (James and Robson, 2012).

608

609 **4.1.8 GCP accuracy and distribution**

GCPs are important inputs for data referencing and scaling. Photogrammetry always stresses 610 the weight of good ground control for accurate DEM calculation, especially if one-staged BA 611 612 is performed. In the common SfM workflow integration of GCPs is less demanding because they are only needed to transform the 3D-model from the arbitrary coordinate system, which 613 614 is comparable to the photogrammetric two-staged BA processing. A minimum of three GCPs are necessary to account for model rotation, translation and scale. However, GCP redundancy, 615 616 i.e. more points, has been shown to be preferable to increase accuracy (James and Robson, 2012). A high number of GCPs further ensures the consideration of checkpoints not included 617 618 for the referencing, which are used as independent quality measure of the final DEM. More complex 3D reconstruction tools either expand the original 3D-Helmert-transformation by 619 secondary refinement of the estimated interior and exterior camera geometry to account for 620 non-linear errors (e.g. Agisoft PhotoScan) or integrate the ground control into the BA (e.g. 621 APERO). For instance, Javernick et al. (2014) could reduce the height error to decimetre level 622 by including GCPs in the model refinement. 623

Natural features over stable areas, which are explicitly identifiable, are an alternative for GCP distributions, although they usually lack strong contrast (as opposed to artificial GCPs) that would allow for automatic identification and sub-pixel accurate measurement (e.g. Eltner et al., 2013). Nevertheless, they can be suitable for multi-temporal change detection applications, where installation of artificial GCPs might not be possible (e.g. glacier surface reconstruction; Piermattei et al., 2015) or necessary as in some cases relative accuracy is
preferred over absolute performance (e.g. observation of landslide movements, Turner et al.,
2015).

632 GCP distribution needs to be even and adapted to the terrain resulting in more GCPs in areas with large changes in relief (Harwin and Lucieer, 2012) to cover different terrain types. 633 Harwin and Lucieer (2012) state an optimal GCP distance between $\frac{1}{5}$ and $\frac{1}{10}$ of object distance 634 for UAV applications. Furthermore, the GCPs should be distributed widely across the target 635 area (Smith et al., 2015) and at the edge or outside the study reach (James and Robson, 2012) 636 637 to enclose the area of interest, because if the study area is extended outside the GCP area, a significant increase of error is observable in that region (Smith et al., 2014, Javernick et al., 638 2014, Rippin et al., 2015). If data acquisition is performed with parallel-axis UAV images and 639 GCPs are implemented for model refinement, rules for GCP setup according to classical 640 photogrammetry apply, i.e. dense GCP installation around the area of interest and height 641 642 control points in specific distances as function of image number (more detail in e.g. Kraus, 2007). 643

The measurement of GCPs can be performed either within the point cloud or the images, preferring the latter because identification of distinct points in 3D point clouds of varying density can be less reliable (James and Robson, 2012, Harwin and Lucieer, 2012) compared to sub-pixel measurement in 2D images, where accuracy of GCP identification basically depends on image quality. Fig. 5 a illustrates that only few studies measured GCPs in point clouds producing higher errors compared to other applications at the same distance.

650

651 **4.2 Errors due to accuracy/precision assessment technique**

652 4.2.1 Reference of superior accuracy

It is difficult to find a suitable reference for error assessment of SfM photogrammetry in geo-653 scientific or geomorphologic applications due to the usually complex and rough nature of the 654 studied surfaces. So far, either point based or area based measurements are carried out. On the 655 one hand, point based methods (e.g. RTK GPS or total station) ensure superior accuracy but 656 lack sufficient area coverage for precision statements of local deviations; on the other hand, 657 658 area based (e.g. TLS) estimations are used, which provide enough data density but can lack of sufficient accuracy (Eltner and Schneider, 2015). Roughness is the least constrained error 659 within point clouds (Lague et al., 2013) independent from the observation method. Thus, it is 660

difficult to distinguish between method noises and actual signal of method differences,
especially at scales where the reference method reaches its performance limit. For instance,
Tonkin et al. (2014) indicate that the quality of total station points is not necessarily superior
on steep terrain.

Generally, 75 % of the investigations reveal a measured error that is 20 times higher than the 665 666 error of the reference. But the median shows that the superiority of the reference accuracy is actually significantly poorer; the measured error is merely twice the reference error (Fig. 4 c). 667 668 The reviewed studies further indicate that the superior accuracy of the reference seems to depend on the camera-to-object distance (Fig. 5 b). At shorter distances (below 50 m) most 669 670 references reveal accuracies that are lower than one magnitude superiority to the measured error. However, alternative reference methods are yet absent. Solely, for applications in 671 672 further distances the references are sufficient. These findings are relevant for the interpretation of the relative error because low ratios at small scale reaches might be due to 673 the low performance of the reference rather than the actual 3D reconstruction quality but due 674 675 to the reference noise lower errors are not detectable. Low relative errors are measured where the superior accuracy is also low (distance 5-50 m) and large ratios are given at distance 676 where superior accuracy increases as well. 677

678

679 **4.2.2 Type of deviation measurement**

The reviewed studies use different approaches to measure the distance between the reference and the 3D reconstructed surface. Comparisons are either performed in 2.5D (raster) or real 3D (point cloud). Lague et al. (2013) highlight that the application of raster inherits the disadvantage of data interpolation, especially relevant for rough surfaces or complex areas (e.g. undercuts as demonstrated for gullies by Frankl et al., 2015). In this context it is important to note that lower errors are measured for point-to-point distances rather than raster differencing (Smith and Vericat, 2015, Gómez-Guiérrez et al., 2014b).

Furthermore, within 3D evaluation different methods for deviation measurement exist. The point-to-point comparison is solely suitable for a preliminary error assessment because this method is prone to outliers and differing point densities. By point cloud interpolation alone (point-to-mesh), this issue is not solvable because there are still problems at very rough surfaces (Lague et al., 2013). Different solutions have been proposed: On the one hand, Abellan et al. (2009) proposed averaging the point cloud difference along the spatial dimension, which can also be extended to 4D (x, y, z, time; Kromer et al., 2015). On the other hand, Lague et al. (2013) proposed the M3C2 algorithm for point cloud comparison that considers the local roughness and further computes the statistical significance of detected changes. Stumpf et al. (2014) and Gómez-Gutiérrez et al. (2015) illustrated lower error measurements with M3C2 compared to point-to-point or point-to-mesh. Furthermore, Kromer et al. (2015) showed how the 4D filtering, when its implementation is feasible, allows to considerably increase the level of detection compared to other well-stablished techniques of comparison.

701

702 4.3 Standardised error assessment

To compare the achieved accuracies and precisions of different studies a standardised error 703 assessment is necessary, e.g. considering the theoretical error ratio. The calculation of the 704 705 theoretical error for the convergent image acquisition schemes is possible, making some basic assumptions about the network geometry, i.e. the strength of image configuration equals 1 (as 706 707 in James & Robson, 2012), the number of images equals 3 (as in James & Robson, 2012) and an image measurement error of 0.29 due to quantisation noise (as a result of continuous signal 708 conversion to discrete pixel value). However, it is not possible to evaluate the theoretical error 709 for parallel-axes case studies because information about the distance between subsequent 710 images (base) is mostly missing, but essential to solve the equation and should not be 711 assumed. Eltner and Schneider (2015) and Eltner et al. (2015) compare their results to 712 713 parallel-axes theoretical error and demonstrate that for soil surface measurement from low flying heights at least photogrammetric accuracy is possible (e.g. sub-cm error for altitudes 714 715 around 10 m).

The results from James and Robson (2012), which show a less reliable performance of SfM than expected from photogrammetric estimation, can be confirmed by the reviewed studies. Image-based 3D reconstruction, considering SfM workflows, performs poorer than the theoretical error (Fig. 5c). The measured error is always higher and on average 90 times worse than the theoretical error. Even for the smallest theoretical error ratio the actual error is 6 times higher. Furthermore, it seems that with increasing distance theoretical and measured errors converge slightly.

As demonstrated, diverse factors influence SfM photogrammetry performance and subsequent DEM error with different sensitivity. Generally, accurate and extensive data acquisition is necessary to minimise error significantly (Javernick et al., 2014). Independent reference sources, such as TLS, are not replaceable (James and Robson, 2012) due to their differing error properties (i.e. error reliability) compared to image-matching (Grün, 2012). Synergetic effects of SfM and classical photogrammetry should be used, i.e. benefiting from the high automation of SfM to retrieve initial estimates without any prior knowledge about the image scene and acquisition configuration and adjacent reducing error by approved photogrammetric approaches, which are optimised for high accuracies.

The reviewed studies indicate the necessity of a standardised protocol for error assessment because the variety of studies inherit a variety of scales worked at, software used, GCP types measured, deviation measures applied, image network configurations implemented, cameras and platforms operated and reference utilised, making it very difficult to compare results with consistency. Relevant parameters for a standard protocol are suggested in Table 5.

737

738 5 Perspectives and limitations

SfM photogrammetry has allowed capturing massive three-dimensional datasets by non-739 specialists during the last five years, and it is highly expected that this technique will evolve 740 during the forthcoming decade. Current studies are focusing on capturing the terrain's 741 742 geometry with high precision, but several opportunities to improve our understanding, modelling and prediction of different earth surface processes still remain unexplored. For 743 744 instance, the use of super-macro imagery in conventional SfM workflows is expected to be explored soon for investigating natural phenomena in a much higher level of detail. 745 Nevertheless, some technological issues that need to be addressed include the progressive 746 degradation of the data quality at very short distances due to the effect of a limited depth of 747 field; Up to our knowledge, the use of focus stacking for extending shallow depth of field of 748 single images has not been explored yet. Some other technical and operational aspects are still 749 750 limiting our ability to derive 3D point clouds from digital imagery over naturally complex outcrops. Examples include the occurrence of biases and occlusions that can strongly 751 752 influence the quality of the acquired datasets and the progressive reduction of the ground resolution (meter/pixel) at longer distances, which can be addressed using mobile platforms 753 such as UAV systems. Eventually, SfM photogrammetry technique may become a 754 755 mainstream procedure in geomorphological studies during the next decade, perspectives include efforts in cross-disciplinarity, process automation, data and code sharing, real time 756 data acquisition and processing, unlocking the archives, etc., as follows: 757

A great potential relies on adapting three dimensional methods originally developed for the 760 treatment of 3D LiDAR data to investigate natural phenomena through SfM photogrammetry 761 techniques. Applications on 3D point cloud treatment dating back to the last decade will soon 762 SfM 763 be integrated into photogrammetry post-processing; Examples include: geomorphological investigations in high mountain areas (Milan et al., 2007), geological 764 mapping (Buckley et al., 2008; Franceschi et al. 2009), soil erosion studies (Eltner and 765 Baumgart, 2015), investigation of fluvial systems (Heritage and Hetherington, 2007, Cavalli 766 et al., 2008; Brasington et al., 2012), and mass wasting phenomena (Lim et al., 2005, 767 768 Oppikofer et al. 2009, Abellan et al., 2010).

769 Some other data treatment techniques that have been developed during the last decade and that will be adapted and enriched by the growing SfM photogrammetry community include: 770 771 automatic lithological segmentation according to the intensity signature (Humair et al., 2015), integration of ground based LiDAR with thermal/hyperspectral imaging for lithological 772 discrimination (Kääb, 2008, Hartzell et al., 2014), extraction of the structural settings on a 773 given outcrop (Jaboyedoff et al., 2007, Sturzenegger and Stead, 2009, Gigli and Casagli, 774 775 2011, Riquelme et al., 2014) and the automatic extraction of geological patterns such as surface roughness (Poropat, 2009), discontinuity spacing/persistence/waviness (Fekete et al. 776 777 2010, Khoshelham et al., 2011, Pollyea and Fairley, 2011). Concerning 4D data treatment for investigating changes on natural slope, some lessons learned may be adapted from the bi- and 778 three-dimensional tracking of mass movements (Teza et al., 2007, Monserrat and Crosetto 779 2008), investigation of progressive failures (Royan et al., 2015, Kromer et al., 2015), and 780 781 from the usage of mobile systems (Lato et al., 2009, Michoud et al., 2015).

782

783 5.2 Data and code sharing

Open data in geomorphometric studies using point clouds is also needed. The development of 784 open-source software for handling huge 3D datasets such as CloudCompare (Girardeau-785 Montaut, 2015) has considerably boosted geomorphometric studies using 3D point clouds due 786 to providing facile processing of such memory intense data. Nevertheless, appart from the 787 above mentioned case, sharing the source code or the RAW data of specific applications for 788 investigating earth surface processes is still not well-established in our discipline. A series of 789 freely available databases exist for LiDAR datasets (openTopography.org, rockbench.com, 790 3D-landslide.com). But to the knowledge of the authors, there is no specific Git-Hub cluster 791

or website dedicated to the maintaining and development of open-access software ingeosciences.

794

795 **5.3 Unlocking the archive**

The appraisal of digital photography and the exponential increase of data storage capabilities 796 have enabled the massive archive of optical images around the world. Accessing such 797 quantity of information could provide unexpected opportunities for the four dimensional 798 research of geomorphological processes using SfM photogrammetry workflows. Except for 799 800 some open repositories (e.g. Flickr, Google Street View) the possibility to access the massive optical data is still scarce. In addition, accessing to such databases may become a challenging 801 task due to data interchangeability issues. A considerable effort may be necessary for creating 802 such a database with homogeneous data formats and descriptors (type of phenomenon, 803 temporal resolution, pixel size, accuracy, distance to object, existence of GCPs, etc.) during 804 the forthcoming years. 805

A first valuable approach to use data from online imagery was presented by Martin-Brualla et al. (2015), who pave the way for further research in a new field of 3D surface analysis (i.e. time-lapse). Other possible applications might unlock the archive of ancient airborne, helicopter-based or terrestrial imaginary, ranging from the estimation of coastal retreat rates, the observation of the evolution of natural hazards to the monitoring of glacier fronts, and further.

812

813 **5.4 Real time data acquisition**

Rapid developments in automation (soft- and hardware wise) allow for in situ data acquisition
and its immediate transfer to processing and analysing institutions. Thus, extreme events are
recognisable during their occurrence and authorities or rescue teams can be informed in realtime. In this context SfM photogrammetry could help to detect and quantify rapid volume
changes of e.g. glacier fronts, pro-glacial lakes, rock failures and ephemeral rivers.

Furthermore, real-time crowd sourcing offers an entirely new dimension of data acquisition. Due to the high connectivity of the public through smartphones, various possibilities arise to share data (Johnson-Roberson et al., 2015). An already implemented example is real-time traffic information. Jackson and Magro (2015) name further options. Crowd sourced imagery can largely expand possibilities to 3D information.

825 **5.5 Time-lapse photography**

A limited frequency of data acquisition increases the likelihood of superimposition and coalescence of geomorphological processes (Abellan et al., 2014). Since time-lapse SfM photogrammetry data acquisition has remained so far unexplored, a great prospect is expected on this topic during the coming years. To date solely James and Robson (2014b) demonstrated its potential by monitoring a lava flow at minute intervals for 37 minutes. One reason why time-lapse SfM photogrammetry remains rather untouched in geosciences lies in the complex nature of producing continuous data sets.

Besides the need for an adequate research site (frequent morphodynamic activity), other aspects have to be taken into account: an automatic camera setup is required with selfcontained energy supply (either via insolation or wind), adequate storage and appropriate choice of viewing angles onto the area of interest. Furthermore, cameras need to comprise sufficient image overlap and have to be synchronised. Ground control is required and an automatic pipeline for large data treatment should be developed.

New algorithms are necessary to deal with massive point cloud databases. Thus, innovative four dimensional approaches have to be developed to take advantage of the information contained in real-time and/or time-lapse monitoring. Furthermore, handling huge databases is an important issue and although fully automatic techniques may not be necessary in some applications, a series of tedious and manual processes are still required for data treatment. Combining real-time and/or time-lapse datasets with climatic information can improve the modelling of geomorphological processes.

846

847 5.6 Automatic UAV surveying

848 Unmanned airborne vehicles already show a large degree of automation as they follow flight 849 paths and acquire data autonomously. Human control is not required except for launching of the multi-copter or fixed wing system. Automatic landing is already provided by several 850 851 systems. In near future a fully automatic UAV installation could comprise the following: repeated survey of an area of interest, landing and charging at a base station, data link for 852 853 local storage or satellite based data transfer, and safety mechanism for preventing lift-off during inappropriate weather conditions. However, a large limitation for such a realisation lies 854 855 in legal restrictions because national authorities commonly request for visual contact to the

UAV in case of failure. But in remote areas installation of an automatic system could alreadybe allowed by regulation authorities.

858

859 5.7 Direct geo-referencing

The use of GCPs is very time-consuming in the current SfM workflow. At first, field efforts 860 are high to install and measure the GCPs during data acquisition. Afterwards, more time and 861 labour is required during post-processing in order to identify the GCPs in the images, 862 although some progress is made regarding to automatic GCP identification, e.g. by the 863 864 exploitation of templates (Chen et al., 2000). The efficiency of geo-referencing can be increased significantly applying direct geo-referencing. Thus, the location and position of the 865 camera is measured in real time and synchronised to the image capture by an on-board GPS 866 receiver and an IMU (inertial measurement unit) recording camera tilts. This applies to UAV 867 systems as well as terrestrial data acquisition, e.g. by smartphones (Masiero et al., 2014). 868 869 Exploiting direct geo-referencing can reduce usage of GCPs to a minimum or even replace it, 870 which is already demonstrated by Nolan et al. (2015), who generated DEMs with spatial 871 extents of up to 40 km² and a geo-location accuracy of \pm 30 cm.

The technique can be very advantageous when it comes to monitoring areas with great spatial extents or inaccessible research sites. However, further development is necessary, thereby focusing on light-weighted but precise GPS receivers and IMU systems; on UAVs due to their limited payload and on hand-held devices due to their feasibility (e.g. Eling et al., 2015).

876

877

878 6 Conclusions

This review has shown the versatility and flexibility of the recently established method SfM 879 photogrammetry. Due to its beneficial qualities, a wide community of geoscientists starts to 880 implement 3D reconstruction based on images within a variety of studies. Summing up the 881 publications, there are no considerable disadvantages mentioned (e.g. accuracy wise) 882 compared to other methods that cannot be counteracted by placement of GCPs, camera 883 calibration or a high image number. Frontiers in geomorphometry have been expanded once 884 more, as limits of other surveying techniques such as restricted mobility, isolated area of 885 application and high costs are overcome by the SfM photogrammetry. Its major advantages lie 886

in easy-to-handle and cost-efficient digital cameras as well as non-commercial softwaresolutions.

SfM photogrammetry is already becoming an essential tool for digital surface mapping. It is employable in a fully automatic manner but individual adjustments can be conducted to account for each specific case study constrain and accuracy requirement in regard to the intended application. Due to the possibility of different degrees of process interaction, nonexperts can utilise the method depending on their discretion.

894 While research of the last years mainly focussed on testing the applicability of SfM photogrammetry in various geo-scientific applications, recent studies try to pave the way for 895 future usages and develop new tools, setups or algorithms. Performance analysis revealed the 896 suitability of SfM photogrammetry at a large range of scales in regard to case study specific 897 accuracy necessities. However, different factors influencing final DEM quality still need to be 898 899 addressed. This should be performed under strict experimental (laboratory) designs because complex morphologies, typical in earth surface observations, impede accuracy assessment due 900 901 to missing superior reference. Thus, independent references and GCPs are still needed in SfM photogrammetry for reliable estimation of the quality of each 3D reconstructed surface. 902

903

Fast and straightforward generation of DEMs using freely available tools produces new challenges. The exploitation of the entire information of the SfM photogrammetry output (3D point cloud or mesh instead of 2.5D raster) will become a significant challenge in future studies of high resolution topography (Passalacqua et al., 2015), which has to be even extended to 4D when investigating the evolution along time. Thus, especially comprehensive end user software needs further progress in these aspects.

911 Appendix A:

912	Summary of information about reviewed studies used for application evaluation and performance assessment of SfM photogrammetry. Variables are
913	explained in chapter 5.

ID	Author	Year	Application	Software	Perspective	Distance	Scale*	Pixel	Image	Complexity	Measurement	Relative	reference	Theoretical
						[m]	[m]	size	number	of SfM tool	error [mm]	error	superiority	error ratio
								[µm]						
1	Castillo et	2012	gully erosion	Bundler +	terrestrial	7	7	5.2	191	basic	20	350	-	79
	al.			PMVS2										
2	Castillo et	2014	ephemeral gully	Bundler +	terrestrial	6	25	5.2	515	basic	22	273	11	101
	al.		erosion	PMVS2										
3	Castillo et	2015	gully erosion	SF3M	terrestrial	10	350	1.5	3095	basic	69	145	3.45	455
4	ai. Dietrich	2016	riverscane	PhotoScan	heliconter	200	10000	4.3	1483	complex	730	274	-	_
•	Dictricit	2010	mapping	1 notoseun	nencopter	200	10000		1100	compiex	100	- / -		
5	Eltner et al.	2015	soil erosion	Pix4D	UAV	10	30	2.0,	100	complex	5, 6	2000,	-	-
								5.0				1667		
6	Eltner and	2015	soil roughness	VisualSfM +	UAV	12	15	5.0	13	basic,	8.1 - 9.8	1224 -	-	-
	Schneider			PMVS2,						complex		1481		
				PhotoScan,										
				Pix4D, APERO										
				+ MicMac,										
				Bundler +										
				PMVS2										
7	Favalli et al.	2012	geological	Bundler +	terrestrial	1	0.1 -	5.2	30 - 67	basic	0.3 - 3.8	367 -	-	-
			outcrops,	PMVS2			0.3					3333		
			volcanic bomb,											
			stalagmite											
8	Fonstad et	2013	bedrock channel	Photosynth	terrestrial	40	200	1.7	304	basic	250	160	2	139
	al.		and floodplain	(Bundler										
-				implementation)		_				_				
9	Frankl et al.	2015	gully	PhotoScan	terrestrial	2	10	5.2	180 -	complex	17 - 190	11 - 147	0 - 4	156 - 2184

			measurement						235					
10	Genchi et al.	2015	bioerosion	VisualSfM +	UAV	20	100	1.5	400	basic	35	571	-	29
			pattern	PMVS2										
11	Gómez-	2014	gully headcut	123D Catch	terrestrial	9.3 -	10	4.3	41 - 93	basic	12 - 32	291 -	-	31 - 85
	Gutiérrez et					10.5						792		
	al.													
12	Gómez-	2014	rock glacier	123D Catch	terrestrial	300	130	<i>8.2</i>	6	basic	430	698	72	103
	Gutiérrez et													
	al.													
13	Gómez-	2015	rock glacier	123D catch,	terrestrial	300	130	8.2	9	basic,	84 - 1029	-	-	-
	Gutiérrez et			PhotoScan						complex				
	al.	• • • •				• • • •	• - • •		• • •	_	•••			
14	Immerzeel	2014	dynamic of	PhotoScan	UAV	300	3500	1.3	284,	complex	330	909	-	-
	et al.		debris coverd						307					
1.7	т ,	2012	glacial tongue			0.7	0.1		100		1000 0000	0 (2	1 10	16 05
15	James and	2012	volcanic bomb,	Bundler +	terrestrial,	0.7 -	U.I -	5. <i>2</i> ,	133 -	basic	1000 - 2333	0 - 62	1 - 12	16 - 25
	KODSON		summit crater,	PM V 52	UAV	1000	1000	/.4	210					
16	Invormials of	2014	coastal cilli braidad rivar	DhotoScon	haliaantar	700	1500		147	aamnlay	170	4110	2	
10	Javernick et	2014	Draiueu river	rnotoscan	nencopter	/00	1300	-	14/	complex	170	4110	3	-
17	aı. Iohnson et	2014	alluvial fan	PhotoScan	UAV	50 60	300	18	233	complex	130 - 410	122 -	_	_
17	al	2014	earthquake	1 notoScan	UAV	50,00	1000	7.0	255. 450	complex	150 - 410	385	-	-
			scarn				1000		100			000		
18	Kaiser et al.	2014	gully and rill	PhotoScan	terrestrial	5	10	6.4	-	complex	73 - 141	35 - 68	-	232 - 447
10			erosion	1 110000 50001		C C	10			••••• • •••				
19	Leon et al.	2015	coral reef	PhotoScan	terrestrial	1.5	250	1.5	1370	complex	0.6	2500	-	-
			roughness		(marine)									
20	Mancini et	2013	fore dune	PhotoScan	UAV	40	200	4.3	550	complex	110 - 190	211 -	4	-
	al.									-		364		
21	Micheletti et	2014	river bank,	123D Catch	terrestrial	10, 345	10,	4.8,	13	complex	16.8 - 526.3	327 -	-	40 - 73
	al.		alluvial fan				300	1.8				595		
22	Nadal-	2015	badland erosion	PhotoScan	terrestrial	50, 125	50,	5.5	15, 17	complex	14 - 33	2500 -	1 - 2	6 - 10
	Romero et						100					4032		
	al.													

23	Nouwakpo et al.	2015	microtopography erosion plots	PhotoScan	terrestrial	2	6	6.4	25	complex	5	400	-	-
24	Ouédraogo et al.	2014	agricultural watershed	Apero + MicMac, PhotoScan	UAV	100	200	2.0	760	complex	90, 139	1111, 719	-	6, 9
25	Piermattei et al.	2015	debris covered glacier monitoring	PhotoScan	terrestrial	100	350	4.8, 6.3	35, 47	complex	300, 130	333, 769	2, 1	56, 35
26	Prosdocimi et al.	2015	channel bank erosion	PhotoScan	terrestrial	7	30	1.4 - 6.3	60	complex	57 - 78	90 - 123	1	143 - 373
27	Rippin et al.	2015	supra-glacial hydrology	PhotoScan	UAV	121	2000	2.2	423	complex	400	303	-	-
28	Ruzic et al.	2014	coastal cliff	Autodesk ReCap	terrestrial	15	50	2.0	250	basic	70	214	1	82
29	Smith et al.	2014	post-flash flood evaluation	PhotoScan	terrestrial	50	150	1.7	-	complex	135	370	14	39
30	Smith and Vericat	2015	badland changes at different scales	PhotoScan	terrestrial, UAV, AutoGiro	5 - 250	20 - 1000	1.7, 5.5	30 - 527	complex	12.8 - 445	132 - 974	2 - 89	36 - 107
31	Snapir et al.	2014	roughness of soil surface	SfMToolkit	terrestrial	0.6	3	4.3	700	basic	2.7	222	270	-
32	Stumpf et al.	2014	landslide scarp	VisualSfM + CMVS, APERO + MicMac	terrestrial	50	750	8.5	88 - 401	basic, complex	27 - 232	667 - 1852	1 - 3	13 - 64
33	Tamminga et al.	2015	change detection after extreme flood event	EnsoMOSAIC UAV	UAV	100	200	1.3	310	complex	47	2128	2	-
34	Tonkin et al.	2014	moraine-mound topography	PhotoScan	UAV	100	500	4.3	543	complex	517	193	-	-
35	Turner et al.	2015	landslide change detection	PhotoScan	UAV	40	125	4.3	62 - 415	complex	31 - 90	444 - 1290	1 - 3	-
36	Westoby et al.	2012	coastal cliff	SfMToolkit	terrestrial	15	300	4.3	889	basic	500	100	-	-
37	Westoby et	2014	moraine dam,	SfMToolkit3	terrestrial	500	500	4.3	1002,	basic	814, 85	614,	2, 43	-

	al.		alluvial debris						1054			1176		
38	Woodget et al.	2015	fan fluvial topography	PhotoScan	UAV	26 - 28	50, 100	2.0	32 - 64	complex	19 - 203	138 - 1421	-	-
39	Zarco- Tejada et al.	2014	tree height estimation	Pix4D	UAV	200	1000	4.3	1409	complex	350	571	23	-
40	Bemis et al.	2014	structural geology	PhotoScan	UAV, terrestrial	-	-	-	-	-	-	-	-	-
41	Bendig et al.	2013	crop growth	PhotoScan	UAV	30	7	-	-	-	-	-	-	-
42	Bini et al.	2014	coast erosion/abrasion	Bundler	terrestrial	-	-	-	-	-	-	-	-	-
43	Bretar et al.	2013	(volcanic) surface roughness	APERO + MicMac	terrestrial	1.5	5.9 - 24.6	-	-	-	-	-	-	-
44	Brothelande et al.	2015	post-caldera resurgence	PhotoScan	aircraft	150	6000	8.2	7000	-	3100	48	62	-
45	Burns et al.	2015	coral reef	Photoscan	terrestrial (marine)	2	28	-	-	-	-	-	-	-
46	Clapuyt et al.	2015	slope morphology	VisualSFM	UAV	50	100	-	-	-	-	-	-	-
47	Dall'Asta et al.	2015	rock glacier monitoring	APERO + MicMac, Photoscan	UAV	150		-	-	-	-	-	-	-
48	Dandois and Ellis	2013	vegetation mapping	Photoscan	UAV	130	250	-	-	-	-	-	-	-
49	Fernández et al.	2015	landslide	Photoscan	UAV	90	250	-	-	-	-	-	-	-
50	Gienko and Terry	2014	coastal boulders	Photoscan	terrestrial	3	2.5	-	-	-	-	-	-	-
51	Fugazza et al.	2015	glacier mapping	Menci APS	UAV	250	500	-	-	-	-	-	-	-
52	Gomez	2014	volcano morphology	Photoscan	aircraft	-	10000	-	-	-	-	-	-	-
53	Harwin and Lucieer	2012	coastal erosion	Bundler + PMVS2	UAV	120	100	-	1	-	-	-	-	-

54	James and	2012	volcanic dome	Bundler	aircraft	505 -	250	-	-	-	-	-	-	-
	Varley		control	Photogrammetry		2420								
				package										
55	Kaiser et al.	2015	soil hydraulic	PhotoScan	terrestrial	0.5	1	-	-	-	-	-	-	-
			roughness											
56	Lucieer et al.	2013	landslide	PhotoScan	UAV	40	125	-	-	-	-	-	-	-
57	Lucieer et al.	2014	antartic moss beds	PhotoScan	UAV	50	64	-	-	-	-	-	-	-
58	Meesuk et al.	2014	Urban flooding	VisualSfM	terrestrial	-	-	-	-	-	-	-	-	-
59	Morgenroth	2014	tree structure	Photoscan	terrestrial	5	5	-	-	-	-	-	-	-
	and Gomez													
60	Nouwakpo et	2014	soil	Photoscan	terrestrial	3.1	10	-	-	-	-	-	-	-
	al.		microtopography											
61	Stöcker et al.	2015	gully erosion	APERO +	terrestrial +	2 + 15	35	-	-	-	-	-	-	-
				MicMac	UAV									
62	Ryan et al.	2015	glacier drainage	Photoscan	UAV	500	5000	-	-	-	-	-	-	-
			observation											
63	Torres-	2015	tree plantation	Photoscan	UAV	50, 100	-	-	-	-	-	-	-	-
	Sánchez et													
	al.													
64	Turner et al.	2015	landslide	Bundler +	UAV	50	-	-	-	-	-	-	-	-
			monitoring	PMVS2										
65	Vasuki et al.	2014	structural geology	Bundler +	UAV	30 - 40	100	-	-	-	-	-	-	-
				PMVS2										

915 These studies are considered for performance analysis.

916 For most authors not all camera parameters are given. Hence, camera parameters are retrieved from dpreview.com (or similar sources).

917 * If scale or distance is not given, they are estimated from study area display.

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- 1378

Image-based 3D	recording of the three-dimensional shape of an object from
reconstruction	overlapping images from different perspectives
Computer Vision	algorithmic efforts to imitate human vision with focus on
	automation, amongst others, to reconstruct 3D scenes with methods
	of image processing and image understanding
Structure from	fully automatic reconstruction of 3D scenes from 2D images and
Motion (SfM)	simultaneous retrieval of the corresponding camera geometry in an
	arbitrary coordinate system
Photogrammetry	algorithmic efforts to determine 3D model coordinates and camera
	geometry focussing on accuracy and precise measurement in
	images
SfM	fully automatic reconstruction of 3D scenes from 2D images and
photogrammetry	camera geometry with option to set parameters for
	(photogrammetric) optimisation of accuracy and precision
Dense matching	increase of resolution of point clouds that model 3D scenes by
	pixel- or patch-wise matching in images of known intrinsic and
	extrinsic parameters
Stereo matching	reconstruction of object point through matching (in image space,
	Remondino et al., 2014) between two overlapping images
Multi-View-Stereo	reconstruction of object point through matching (in object space,
(MVS) matching	Remondino et al., 2014) from multiple overlapping images
Extrinsic	exterior camera geometry comprising position (three shifts) and
parameters	orientation (three rotations) of the camera projection centre
Intrinsic parameters	interior camera geometry comprising principle distance (distance
	between projection centre and image sensor), principle point
	(intersection of perpendicular from projection centre onto image
	plane) and distortion parameters (e.g. radial distortion)
Bundle adjustment	least-square optimisation to simultaneously solve for extrinsic (and
(BA)	intrinsic) parameters of all images; the term bundle correlates to
	rays that derive from 3D points, converge in corresponding
	projection centres and intersect with image sensor
Camera self-	intrinsic camera parameters are included as additional unknowns
calibration	into BA to solve for interior camera geometry
Ground Control	in images clearly distinguishable point whose object coordinates are
Point (GCP)	known to geo-reference surface model
Digital Elevation	3D description of the surface in either raster (grid) or vector (mesh)
Model (DEM)	format
Point cloud	quantity of points of 3D coordinates describing the surface within
	arbitrary or geo-referenced coordinate system, additional
	information such as normals or colours possible

1380Table 1. Nomenclature and brief definitions of image-based 3D reconstruction related terms

	Software	Bundler	PMVS2	Apero+ MicMac	SfMToolkit	Meshlab	Cloud Compare	Sfm_georef	VisualSFM	SF3M	Photosynth	123D Catch
	Туре	Open Source	Open Source	Open Source	Open Source	Open Source	Open Source	Freely- available	Freely- available	Freely- available	Free web service	Free web service
	Website	http://www.c s.cornell.edu/ ~snavely/bun dler	http://www.d i.ens.fr/pmvs	http://logiciel s.ign.fr/?Mic mac	http://www.v isual- experiments. com/demos/s fmtoolkit	http://meshla b.sourceforge .net	http://www.d anielgm.net/c c	http://www.l ancaster.ac.u k/staff/james m/software/sf m_georef.ht m	http://ccwu. me/vsfm	http://sf3map p.csic.es	https://photos ynth.net	http://www.1 23dapp.com/ catch
C	perative system	Linux Windows	Linux Windows	Linux Mac Windows	Windows	Mac Windows	Linux Mac Windows	Windows	Linux Mac Windows	Windows	Windows	Windows Mac
	Camera calibration			X								
	Bundle adjustment	X			x				X	X	x	X
	Bundle adjustment with GCPs			x								
nalites	Sparse 3D re- construction	X		X	X				X	X	X	X
Functic	Geo- referencing			X				X	X	x		
	Dense 3D re- construction		X	X					X	x		X
	Post- processing			X						x		
	Advanced cloud processing					x	x					

Table 2: Summary of non-commercial software tools beneficial for SfM photogrammetry processing and post-processing. 1382

Table 3: Key developments of SfM photogrammetry towards a standard tool in geomorphometry

key developments	authors
method introduction	James & Robson (2012), Westoby et al. (2012), Fonstad et al.
	(2013)
evaluation of accuracy potential	James & Robson (2012), Westoby et al. (2012), Castillo et al.
	(2012)
SfM with terrestrial images	James & Robson (2012), Westoby et al. (2012), Castillo et al.
	(2012)
SfM with UAV images	Harwin & Lucieer (2012)
application with mm resolution	Bretar et al. (2013), Snapir et al. (2014)
application covering km ²	Immerzeel et al. (2014)
mitigation of systematic errors (i.e. dome)	James & Robson (2014a), Eltner & Schneider (2015)
influence of image network geometry	Micheletti et al. (2014), Piermattei et al. (2015)
usage of Smartphone for data acquisition	Micheletti et al. (2014)
time-lapse implementation	James & Robson (2014b)
influence of scale	Smith & Vericat (2015)
comparing tools	Stumpf et al. (2014), Eltner & Schneider (2015)
comparing cameras	Eltner & Schneider (2015), Prosdocimi et al. (2015)
synergetic usage of terrestrial and aerial images	Stöcker et al. (2015)
sub-merged topography	Woodget et al. (2015)
under water application	Leon et al. (2015)
multi-temporal application	James & Varley (2012), Lucieer et al. (2013)
reuse of historical images	Gomez et al. (2015)

1388Table 4. Overview of the publication history divided in the main topics from 2012 until

editorial deadline in Nov. 2015. Several publications examined more than one topic resultingin a larger number of topics than actual publications (number in brackets in last row). IDs

1391 refer to the table in appendix A1.

Торіс	2012	2013	2014	2015	2016	ID	Total number of publications on the respective topic
Soil science/erosion	1	-	5	9	-	1, 2, 3, 5, 6, 9, 11, 18, 22, 23, 30, 31, 55, 60, 61	15
Volcanology	3	1	1	1	-	7, 15, 43, 44, 52, 54	6
Glaciology	-	-	4	6	-	12, 13, 14, 25, 27, 34, 37, 47, 51, 62	10
Mass movements	-	1	1	3	-	32, 35, 49, 56, 64	5
Fluvial morphology	-	1	5	3	1	4, 8, 16, 17, 21, 26, 29, 33, 37, 38	10
Coastal morphology	3	1	3	-	-	15, 20, 28, 36, 42, 50, 53	7
Others	1	2	8	5	-	7, 10, 17, 19, 24, 39, 40, 41, 45, 46, 48, 57, 58, 59, 63, 65	16
Topics (publications)	8 (6)	6 (6)	27 (25)	27 (27)	1(1)		69 (65)

1397 Table 5: Data acquisition and error assessment protocol for SfM photogrammetry;1398 independent from individual study design.

in the fie	eld:	_		
S	study area extent		GCP measurement (total station, GPS,)	
pecific	sensor to surface distance	contrc ifics	GCP description	
arget s	ground sampling distance	round	GCP number	
ţ	target complexity	50	GCP accuracy	
	camera name	. v	illumination condition	
	camera type (SLR, CSC,)	pecific	image number	
cifics	lens type (zoom - fixed)	ition s	image overlap	
camera spe	sensor resolution	acquisi	base (distance between images)	
	sensor size	mage 8	network configuration (conv parallel-axis)	
	pixel size	-=	perspective (aerial - terrestrial)	
	focal length	notes		
at the of	ffice:	i		ſ
ssing S	SfM tool		registration residual	
proce	GCP integration (1-/2-staged)	ssmen	reference type (LiDAR, RTK pts,)	
data s	output data type	y asse	reference error	
so	relative error	ccurac	error measure (M3C2, raster difference,)	
or rati	reference superiority	3	statistical value (RMSE, std dev,)	
erro	theoretical error ratio	notes		

1400	Figure captions
1401	
1402	Figure 1: Schematic illustration of the versatility of SfM photogrammetry.
1403	
1404	Figure 2. Map of the research sites of all studies of this review.
1405	
1406	Figure 3. Variety of SfM photogrammetry tools used in the 65 reviewed studies.
1407	
1408	Figure 4. Boxplots summarizing statistics: a) of the scale of the study reaches (N: 56; ID 1-3
1409	and 5-39 in Appendix A), b) the relative error (calculated in regard to distance and measured
1410	error, N: 54; ID 1-3, 5-12 and 14-39 in Appendix A), and c) the reference superiority
1411	(calculated in regard to measured error and reference error, N: 33; ID 1-30 and 32-39 in
1412	Appendix A) of reviewed studies.
1413	
1414	Figure 5. Performance of several error parameters in regard to the camera to surface
1415	distance.a) Characteristics of measured error and relative error (N: 54; ID 1-3, 5-12 and 14-39
1416	in Appendix A). For grey coloured points GCPs are measured in point cloud (in total 9 times
1417	corresponding to the studies: ID 8, 11, 12, 28, 36, 37 in Appendix A) and for white points
1418	GCPs are measured in images (corresponding to the remaining studies) for model
1419	transformation. b) Superiority of the reference data (N: 33), which is calculated as ratio
1420	between measured error and error of the reference. Area based (ID 5-7, 12, 15, 17, 22, 25, 26,
1421	30 and 32 in Appendix A) and point based (ID 2, 3, 8, 9, 20, 24, 28-30, 33, 35 and 37 in
1422	Appendix A) reference measurements are distinguished. c) Theoretical error ratio, considering
1423	the theoretical and measured error, to illustrate SfM photogrammetry performance in field
1424	applications (N: 23; ID 1-3, 8, 10-12, 15, 21, 22, 25, 26, 28-30 and 32 in Appendix A).
1425	

1427 Figure 1:











1439 Figure 5:

