

Perspective – Synthetic DEMs: A vital underpinning for the quantitative future of landform analysis?

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Abstract

Physical processes, including anthropogenic feedbacks, sculpt planetary surfaces (e.g., Earth's). A fundamental tenet of Geomorphology is that the shapes created, when combined with other measurements, can be used to understand those processes. Artificial or synthetic Digital Elevation Models (DEMs) might be vital in progressing further with this endeavour in two ways. First, synthetic DEMs can be built (e.g., by directly using governing equations) to encapsulate the processes, making predictions from theory. A second, arguably under-utilised, role is to perform checks on accuracy and robustness that we dub 'synthetic tests'. Specifically, synthetic DEMs can contain *a priori* known, idealised morphologies that numerical landscape evolution models, DEM-analysis algorithms, and even manual mapping can be assessed against. Some such tests, for instance examining inaccuracies caused by noise, are moderately commonly employed whilst others are much less so. Derived morphological properties, including metrics and mapping (manual and automated) are required to establish whether or not conceptual models represent reality well, but at present their quality is typically weakly constrained (e.g., by mapper inter-comparison). Relatively rare examples illustrate how synthetic tests can make strong 'absolute' statements about landform detection and quantification; e.g., 84% of valley heads in the real landscape are identified correctly. From our perspective, it is vital to verify such statistics quantifying the properties of landscapes as ultimately this is the link between physics-driven models of processes

33 and morphological observations that allows quantitative hypotheses to be tested. As
34 such the additional rigor possible with this second usage of synthetic DEMs feeds
35 directly into a problem central to the validity of much of geomorphology. Thus, this note
36 introduces synthetic tests and DEMs, then it outlines a typology of synthetic DEMs
37 along with their benefits, challenges and future potential to provide constraints and
38 insights. The aim is to discuss how we best proceed with uncertainty-aware landscape
39 analysis to examine physical processes.

40 1 Introduction

41 Physical processes sculpt planetary surfaces such as the Earth's. A fundamental tenet
42 of Geomorphology is that the form of the surface created, when combined with other
43 data or modelling, can be used to understand those processes. This endeavour to
44 reconcile observation and theory is, essentially, model validation [e.g., Martin and
45 Church, 2004; Pretty, 2009; 2010] summarized by the question: Has the right model
46 been constructed? [Balci, 1998]. Fig. 1 illustrates the pathways towards reconciliation
47 between observations and models, which in geomorphology is conducted through some
48 properties or metrics diagnostic of the landscape of interest; the pathways lead to this
49 reckoning from both physical reality and from conceptual models, which may vary in
50 sophistication (e.g., may even be qualitative). Whilst visual comparisons of landscape
51 properties are obviously possible, quantitative morphometrics of DEMs ('observe' in Fig.
52 1) are a stronger approach and these vary according to the types of study being
53 undertaken.

54 Discrete landforms [cf. Evans, 2012] (e.g., craters, cirques, drumlins, volcanoes) can be
55 delimited with a closed boundary and then isolated in order to quantify key
56 characteristics such as height H or slope of a flank [e.g., Hillier, 2008]. Linear features
57 (e.g., rivers) can also be measured. Equally, spatially continuous properties of Digital
58 Elevation Models (DEMs) can be quantified (e.g., roughness, Wetness Index) [Beben
59 and Kirkby, 1979; Grohmann et al., 2011; Eisank et al. 2014]. Such morphology-derived
60 observational data, including metrics from mapping that is both manual and automated,
61 add to the more qualitative assessments that may be drawn directly from
62 geomorphological maps.

63 Quantifying discrete landforms can give additional insights and provide constraints on
64 models of physical processes. For example, discrete fluvial bedforms and their
65 variability are quantified and used to predict extremes for engineering purposes (e.g.,
66 depth to place a pipeline) [van der Mark et al., 2008]. Impact crater size-frequency
67 distributions are used to estimate the age of the surface of the Moon and planetary
68 bodies (e.g., Mars and Mercury) [e.g., Hartmann and Neukum, 2001; Ivanov, 2002].
69 Similarly, size-frequency distributions of volcanoes have been used to examine how
70 melt penetrates the tectonic plates [e.g., Wessel, 2001; Hillier and Watts, 2007]. Aeolian
71 dune formation can be constrained by their sizes [e.g., Duran, 2011; Bo et al., 2011]. In
72 sub-glacial geomorphology 'flow-sets' of proximal bedforms thought to created by the
73 same ice motion occur exponentially less often as their size increases (Fig. 2), which

74 arguably indicates that substantive elements of the ice-sediment-water system beneath
75 ice sheets contain randomness [Hillier et al., 2013].

76 Quantitative analysis can also provide constraints when applied to linear features and
77 spatially continuous measures. Channel geometry is measured to investigate the
78 influences of tectonic or climatic landscape forcing [e.g., Brummer and Montgomery,
79 2003; Wohl, 2004; Sofia et al., 2015], and channel networks are identified to evaluate
80 hydrological responses in floodplains [e.g., Cazorzi et al. 2013]. Continuous measures
81 such as curvature can arguably distinguish dominant geomorphic processes (e.g.,
82 diffusive vs fluvial) [e.g., Tarolli and Dalla Fontana, 2009; Lashermes et al., 2007], and
83 can be designed to detect the presence of anthropogenic features (e.g., agricultural
84 terraces) [Sofia, et al., 2014]. They can also be used to estimate the probability of
85 landsliding during rainstorms or for (semi-)automated geomorphological mapping [e.g.,
86 Tarolli and Tarboton, 2006; Milledge et al., 2009; Eisank et al., 2014]. Thus, such
87 quantifications also have value for geomorphic understanding. Importantly, these
88 examples illustrate how a robust, reproducible and quantitative approach can be used to
89 develop our understanding of process.

90 Any enhanced use of landform observations, however, relies on us being able to trust
91 what we have mapped or quantified. Specifically, the key question is; in terms of
92 precision, accuracy and mapping completeness to what extent is it possible to trust the
93 metrics derived from morphometric quantification of the landforms or surface recorded
94 in the DEMs?

95 One way around this difficulty is to derive descriptive statistics that are as robust as
96 possible to observational shortcomings [e.g., Hillier et al., 2013; Sofia et al, 2013; Tseng
97 et al., 2015]. Another solution is to assess the quality of the morphological mapping and
98 quantification, perhaps either by an estimate of data completeness or quality [e.g.,
99 Hillier & Watts, 2007] or by traditional inter-comparisons between mappers [e.g.,
100 Podwysoki et al, 1975; Siegal, 1977; Smith and Clark, 2005] or techniques [e.g.,
101 Sithole et al., 2004]. The difficulty with robust statistics is that they will still be distorted if
102 shortcomings are substantial [e.g., Hillier and Watts, 2004], and inter-comparisons can
103 only ever yield relative levels of success and even complete agreement is inconclusive;
104 all techniques, mappers, or techniques calibrated to mappers [e.g., Robb et al., 2015]
105 may be systematically missing things (e.g., smaller features [Eisank et al., 2014; Hillier
106 et al., 2014]). Furthermore, it is simply not possible to calculate or estimate the
107 magnitude of potential systematic biases within these approaches. An alternative is to
108 verify each method or result against suitable features or properties known *a priori* within

109 a suitably constructed test DEM. Thus designed landscapes, or ‘synthetic’ DEMs, can
110 give strong ‘absolute’ answers (e.g., 84% of valley heads in the real landscape are
111 identified correctly), and may be vital in allowing us to proceed better with uncertainty-
112 aware landscape analysis to examine physical processes.

113 Synthetic DEMs built by directly using postulated governing equations that encapsulate
114 processes, or Landscape Evolution Models (LEMs) [e.g., Chase, 1992], are another key
115 part of examining the form-process link. By altering their constants (e.g., rainfall,
116 hillslope diffusivity) and mathematical construction they can give insights into the drivers
117 and impacts of physical processes [e.g., Willgoose et al., 1991; Montgomery and
118 Dietrich, 1994; Miyamoto and Sasaki, 1997]. LEMs are, however, not yet the whole
119 solution since to be securely compared to reality equivalent landforms within both DEM
120 types must still be robustly quantified, sometimes making validation or calibration very
121 difficult [e.g., Martin and Church, 2004; DeLong et al., 2007]. It is also possible to use
122 synthetic DEMs to test for inaccuracies in DEMs created by LEMs or by measuring a
123 landscape (i.e., ‘make’ in Fig. 1); one example of this might be requiring that LEMs
124 replicate analytic solutions of the governing equations for simple geometries and
125 forcings. Ultimately, all synthetic DEMs originate in a conceptual view of at least one
126 aspect of a landscape (e.g., drumlin shape, stream-power based fluvial behaviour).

127 This note introduces synthetic tests and DEMs, then it outlines a typology of synthetic
128 DEMs along with their benefits, challenges and future potential to provide constraints
129 and insights. Note that ‘virtual’ and ‘artificial’ are used interchangeably with ‘synthetic’,
130 as they are in the literature.

131

132 **2 Synthetic Tests and the Potential Uses of Synthetic DEMs**

133 In fields such as geophysics it is standard to verify any method against its performance
134 on some idealised or ‘synthetic’ data. A well-documented example is the classic
135 ‘synthetic checkerboard’ test [e.g., Dziewonski et al., 1977, Saygin and Kennett, 2010]
136 used in tomographic imaging of the Earth’s interior. Broadly, there are four requisite
137 stages for such a test based upon synthetic data [e.g., Nolet et al., 2007].

138

139 1. *Construct a synthetic input* including any features of interest (e.g., the
140 morphology of a landform).

- 141 2. *Create the synthetic data* that resembles the observed data, for instance adding
142 suitable noise.
- 143 3. *Invert the synthetic data* using the same numerical approach applied to the
144 observed data.
- 145 4. *Compare the inverted result with the synthetic input* to see how well the assumed
146 synthetic input (e.g., landform) is recovered.

147

148 The difficulty always lies in generating a suitable, statistically representative synthetic; in
149 the case of geomorphology the task is to create an 'appropriate' synthetic landscape or
150 DEM that is realistic enough in the aspects under investigation.

151 DEMs containing a synthetic component have been employed in 'synthetic tests' to
152 assess approaches used to estimate the fractal dimension of topography [Malinverno,
153 1989; Rodriguez-Iturbe and Rinaldo, 1997; Tate, 1998a,b], slope and aspect [Zhou,
154 2004], land surface parameters (LSPs) [e.g., Wechsler, 2006; Sofia et al, 2013], and the
155 reliability of DEMs [e.g., Fischer, 1998; Oksanen, 2010]. Additionally, they have been
156 used to evaluate how well some features (e.g., river networks, terraces) are identified
157 [Pelletier, 2013; Sofia et al., 2014] and others (e.g., submarine volcanoes and drumlins)
158 are isolated in 3D (i.e., their volumes explicitly delimited) [Wessel, 1998; Hillier, 2008;
159 Kim and Wessel, 2008; Hillier & Smith, 2014]. Synthetics have also been used to
160 assess algorithms quantifying landscape processes such as flow-routing [e.g., Pelletier,
161 2010] and to give a first insight into how effective the manual mapping of glacial
162 bedforms is [Hillier et al., 2014]. Often, when including randomness (e.g., in locations or
163 noise) in a *Monte Carlo* approach multiple realisations of a landscape (e.g., $n = 10$ or
164 1,000) are used to understand uncertainty and variability and more tightly constrain
165 results [e.g., Heuvenlink, 1998; Raaflaub and Collins, 2006; Wechsler, 2006]. The large
166 (e.g., 60-66% in Hillier et al. [2014]) and systematic trends and biases that studies so far
167 have uncovered indicates that the uses of synthetic tests in geomorphology should be,
168 arguably, similar in extent and function to the current use of inferential statistics; namely
169 they are a demonstration that the observation claimed actually exists or method actually
170 works. Some potential applications of synthetic tests in geomorphology can be
171 categorised as:

172

- 173 • Assessing the impact of 'noise' [e.g., Sofia et al., 2013; Zhou and Liu, 2002,
174 2008] that could be instrumental, anthropogenic (e.g., houses) or natural (e.g.,

175 vegetation). This applies to making DEMs from measurement, and making
176 quantitative observations from any DEM.

- 177 • When observing, verifying that a geomorphic signature is actually characteristic
178 of a particular landform type of interest, rather than other morphologies in a study
179 area [e.g., Conway et al., 2011; Sofia et al., 2014].
- 180 • Quantifying extraction of features using metrics such as completeness, reliability
181 [e.g., Hillier et al., 2014; Eisank et al., 2014]; in this the key advantage is that
182 synthetics give 'absolute' measures of accuracy simply not possible with
183 traditional mapper inter-comparisons (e.g., 34-40% of drumlins can be detected).
- 184 • Assessing filtering or other techniques used to manipulate a DEM [e.g., Hillier &
185 Smith, 2014], whose choice would otherwise be subjective.
- 186 • Evaluating the sensitivity of algorithms quantifying geomorphic processes to
187 modelling assumptions, such as DEM resolution [e.g., Pelletier, 2010].
- 188 • Determining whether or not LEMs have been correctly constructed (i.e. 'make' in
189 Fig. 1).

190 Ultimately, the geomorphological intention is to use synthetic DEMs to examine more
191 clearly the expression of physical processes. Rigor added to geomorphological
192 observations through testing with synthetic DEMs will, we believe, ultimately link
193 physics-driven models of processes to morphological observations, allowing quantitative
194 hypotheses to be formulated and tested [e.g., see McCoy, 2015]. This is illustrated in
195 Fig. 1, the crux of which is that it is necessary to quantify landscape properties to
196 rigorously reconcile DEMs, with some main elements of this described in more detail
197 below.

198 If arguably realistic forms can be generated directly by a physics-based model [e.g.,
199 Dunlop et al., 2008; Refince et al., 2012; Brown, 2015] creating a synthetic DEM, these
200 may in principle be linked directly to reality if suitably equivalent field sites can be found,
201 measured, and recorded in a DEM. The effects of various constants (e.g., rainfall),
202 conditions and processes in the physical models on observables can be viewed and
203 compared to reality by the simple expedient of turning them off or amplifying them, of
204 course allowing carefully for appropriate initial and boundary conditions. Comparisons
205 have been qualitative [e.g., Kaufman, 2001], but can provide more powerful insights if
206 they apply consistent mapping or quantification procedures [e.g., Willgoose et al., 1994].
207 Thus, creating a form-process link will still depend critically upon understanding any
208 errors or biases in landform morphometrics (e.g., in size-frequency distributions,
209 dominant wavelength) for both the measured and generated landscapes (i.e., 'observe'

210 in Fig. 1). The appropriate metrics are better understood for some landforms than for
211 others, and it is only possible to adequately assess their efficacy (i.e. in an absolute
212 sense) with tests involving *a priori* information and a DEM to apply the morphometric
213 extraction method to, or by our definition using a synthetic DEM. If laboratory
214 experiment replaces LEM-derived synthetic DEMs in the paragraph above, the same
215 logic applies.

216 For a landform that it is not yet possible to create numerically from first mathematical
217 principles, other routes exist. The challenge is to securely relate the driving process
218 (e.g., tectonic uplift rate) to a measure of morphology (i.e., 'conceptual model' to
219 'landscape properties' on Fig. 1), perhaps using its variability within geographical areas.
220 For example, drumlin sizes observed for a number of flow-sets might be compared to
221 characteristics of flow within a modelled ice sheet (e.g., flow velocity) representative of
222 the area of the flow-set. Statistical models can be formulated that link size-frequency
223 observations to parameters in numerical ice-flow models [Hillier et al., *in review*], but
224 even potential empirical rules about timing (e.g., immediately before de-glaciation) and
225 the relationships to ice flow (e.g., size directly proportional to velocity) could be tested.
226 Robustly determined observational metrics would be needed for such an inversion; i.e.,
227 synthetic tests are needed. Realistic models are likely to contain stochastic elements
228 [e.g., Tucker et al, 2001], thus a statistical understanding may help to identify more
229 effectively appropriate parameterizations for size observations (e.g., Weibull) than
230 testing a variety of established distributions [e.g., van der Mark, 2008]. Observational
231 robustness is desirable in this case, but also for approaches that make predictions
232 about landscape properties directly from conceptual models, for instance dominant
233 wavelengths [e.g., Anderson, 1953; Venditti, 2013].

234 A final use of synthetic DEMs is examining 'what if' engineering scenarios as they affect
235 behaviours such as hydrological processes [e.g., Tarolli et al., 2015]. This may be
236 somewhat tangential, but imposing a proposed artificial geometry onto a measured
237 DEM as a way of testing an artificial geometry to be created on the part of the Earth's
238 surface is clearly a legitimate pursuit.

239

240 **3 Synthetic DEM Typology**

241 Synthetic DEMs are only useful if they can be constructed, and their construction must
242 be from or clearly identify 'components' (e.g., a landforms layer). In contrast to viewing a
243 landscape as plan-view regions, height in DEMs can be described at any location (x, y)

244 as the sum of n 'components' (Eq. 1) [e.g., Wren, 1973; Wessel, 1998; Hillier and Smith,
245 2008], namely $H_{\text{DEM}} = H_1 + H_2 + \dots + H_n$. Conceptually, these components lie on top of
246 each other, like geological strata, and extend across the entire DEM although they may
247 have zero thickness for few or many parts of it.

248 For landform analysis the first component would typically be 'noise' (e.g., DEM error, or
249 surface 'clutter' such as trees), the small-scale height variations not genetically related
250 to the landform. A second component would be the landforms themselves, perhaps
251 overlying a third component of larger-scale trends (e.g., 10 km wide smoothly
252 undulating hills). However, in the limit, only one component is actually required, and
253 how the components are constructed will vary depending upon the purpose of the
254 synthetic DEM. Furthermore, the synthetic DEM might mix idealised, created
255 components with real ones. Typically randomness is involved in the creation of
256 statistical synthetics, and multiple realisations of landscapes may be created. The broad
257 approaches to constructing synthetic DEMs are outlined in the typology below.

258 **3.1 Simple and Statistical**

259 Perhaps the simplest synthetic DEMs are those constructed by using basic geometries
260 as building blocks such as cones, Gaussian functions, and planes or other surfaces
261 defined by simple equations [e.g., Hodgson, 1995; Wessel, 1997; Jones, 1998; Kim
262 and Wessel, 1998; Hillier, 2008; Pelletier, 2010; Qin et al., 2012]; admittedly, some
263 functions may be less simple [e.g., Pelletier, 2013; Minár et al., 2013]. Typically,
264 generalized shapes (e.g., 2D Gaussian, rotated parabola) are formulated based upon
265 visual or statistical fitting of the functions to measured morphologies [e.g., Conway et
266 al., 2011; Hillier and Smith, 2012; Pelletier, 2013] (Fig. 3); fits may not be perfect (Fig.
267 3b), highlighting that all synthetic DEMs are simplifications of reality.

268 These synthetics do not contain the complexity in the observed landscape, or
269 necessarily have realistic statistical properties, but they have the advantages of being
270 simple to construct and understand, and noise can be entirely omitted or modified with
271 certainty in order to investigate data errors. They contain the key morphologies under
272 investigation and are perfectly sufficient for some tests; e.g., are approximately conical
273 submarine volcanoes of variable size effectively isolated even when upon a slope? (Fig.
274 4). Statistically generated 'noise' can be added to simple synthetic DEMs to assess the
275 degradation caused [e.g., Zhou, 2004; Jordan and Watts, 2005], but for results to be
276 meaningful its statistical distribution (e.g., Gaussian, uniform), length-scale of
277 correlation, and any non-stationarity must be correct [e.g., Fischer, 1998, Sofia et al.,
278 2013].

279 Whole landscapes can be generated statistically using fractals [e.g. Mandelbrot, 1983]
280 or multi-fractals (Fig. 5a) [e.g., Gilbert, 1989; Schertzer and Lovejoy, 1989; Weissen,
281 1994; Cheng, 1996], and these can be useful if the construction matches closely the
282 element of reality being considered (e.g., uncorrelated, fractal in Swain and Kirby
283 [2003]). Even multi-fractal landscapes, however, may not be an adequate
284 representation without considering properties such as anisotropy [e.g., Evans and
285 McClean, 1995; Gagon, 2006] and characteristic scales [e.g., Perron, 2008] if they are
286 important in a particular circumstance. A limitation of these purely statistically
287 generated, or statistically altered, DEMs for landform analysis is that they do not
288 explicitly contain spatially distinct, isolated features (i.e., landforms are not labelled as
289 such during generation).

290 **3.2 Landscape Evolution Models**

291 DEMs resembling real landscapes can also be created by the application of
292 mathematical characterisations of physical processes in numerical models typically
293 known as 'Landscape Evolution Models' (LEMs) (Fig. 5b) [e.g., Chase, 1992; Braun and
294 Sambridge, 1997]; implementation approaches can vary [see Griffin, 1987]. These now
295 incorporate numerous processes [e.g., Tucker, 2010; Refice et al., 2012]; for example,
296 bedrock landslides [e.g., Densmore, 1998], flexure of the lithosphere [e.g. Lane et al.,
297 2008], and erosion by ice flow within valleys [e.g. Harbor, 1992; Brocklehurst and
298 Wipple, 2004; Amundson and Iverson, 2006; Tomkin, 2009], including when this is
299 thermo-mechanically coupled to ice sheets [e.g. Jamieson et al., 2008]. Models of the
300 evolution of single classes of feature (e.g., bedforms) and simpler 2D configurations
301 (i.e., x-z profiles) fall within this class of model [cf. Dunlop et al., 2008; Zhang et al.,
302 2010; Brown et al., 2014]. Simple geometries or measured landscapes may be used as
303 an input [e.g., DeLong et al., 2007; Refice et al., 2012; Baartman et al., 2015; Hancock
304 et al., 2015].

305 Several difficulties prevent these models from, as yet, being ideal solutions. In terms of
306 testing observational methods, the first difficulty is that the method of generating some
307 landforms such as drumlins from first principles is often contested [cf., Hindmarsh,
308 1998; Schoof, 2007; Pelletier, 2008], and it is not computationally practical to include
309 certain processes, such as impact crater formation in the MARSSIM model [Howard,
310 2007]. The simulation of rivers illustrates an area where there is progress, but also
311 much to do [cf. Coulthard et al., 2013; Brown et al., 2014]. In general, a highly accurate
312 and widely accepted unified model is still some way off. The second difficulty is that
313 these models do not currently associate processes with a type of landform. For

314 instance, a bedrock failure process is a bedrock failure process, not a bedrock failure
315 process explicitly making a V-shaped valley. Equally, sediment is not tagged as making
316 a floodplain. So, the number and location of defined features are not known *a priori*.
317 This can be seen as a strength of the models, but means that creating a secure link
318 from process to landforms as observed in reality requires a step in which consistent
319 mapping or quantification procedures are applied to both measured and simulated
320 DEMs. This is not easy [e.g., DeLong, 2007]. The lack of *a priori* features may also be
321 the reason that, although LEMs have great potential to create DEMs for synthetic tests
322 of landform mapping or extraction methodologies, we are not aware of this being done.
323 Like simple or statistical synthetic DEMs, synthetics created by a LEM have the
324 advantage of being free from errors associated with DEM measurement (e.g.,
325 instrumental, processing).

326 **3.3 Laboratory derived**

327 If LEM-derived DEMs can be considered as synthetic DEMs, then laboratory-derived
328 ones [e.g., Hancock and Willgoose, 2001; Lauge et al. 2003; Graveleau and
329 Dominguez, 2008; Sweeny et al. 2015] could also be considered so. Such experiments
330 can control variables such as rainfall and uplift that are impossible to precisely control in
331 nature [e.g. Sweeny et al 2015], but limitations in realism exist particularly in scaling
332 [see Paola et al., 2009].

333 **3.4 Complex geometrical**

334 A possible class of synthetic DEM is one that uses simple or statistical building blocks,
335 but constructed in a more complex fashion. For instance, multiple idealised shapes can
336 be given additional observed attributes (e.g., spatial clustering, size-frequency realism)
337 [e.g., Howard, 2007; Hillier and Smith, 2012], but such DEMs have so far contained
338 other elements of realism as well, perhaps making them better described as hybrids.

339 **3.5 Hybrid**

340 A 'hybrid' class of synthetic DEM contains, for reasons of practicality, elements of the
341 other classes. Typically, a morphology whose key properties cannot currently be readily
342 simulated is either retained (e.g., most or all of a measured DEM), or an idealised but
343 observationally constrained component is added (e.g., terraces [Sofia et al. 2014]), or
344 both. The spectrum of what is possible is illustrated by the, relatively rare, studies using
345 hybrid synthetic DEMs in geomorphology.

346 A first example of a hybrid synthetic DEM is impact crater formation in the MARSSIM
347 model [Howard, 2007]. This evolution model does not dynamically model crater

348 formation. Instead, randomly located craters are assigned shapes from a catalogue of
349 measurements of individual fresh craters on Mars and given sizes from a power-law
350 distribution. This introduces certain assumptions, such as the fresh craters being
351 representative, but avoids complexity. A second example deals with the quantification of
352 glacial bedforms, illustrated with drumlins [Hillier and Smith, 2012; Hillier and Smith,
353 2014; Hillier et al, 2014]. It is the association of the bedforms with underlying trends
354 (i.e., 'hills') and complex and spatially structured 'noise' (e.g., trees, roads, houses) that
355 makes the quantification difficult; in particular, this noise is problematic, and
356 geomorphological analyses have yet to attempt simulating it. The approach taken was
357 therefore to circumvent this issue entirely by leaving the hills and noise as they were,
358 and moving the drumlins such that they were randomly positioned with respect to these
359 problems for identification (Fig. 6). Orientations and spatial density distribution (i.e.,
360 number per km²) were preserved, as were the geometries (i.e., height-width-length
361 triplets) of the 173 drumlins shuffled around. In these synthetics (Fig. 7), the number
362 and location of defined features are known *a priori* such that sizes and locations of
363 mapped discrete landforms can be compared to synthetic ones directly. Similarly, but by
364 assuming the highest-quality measured LiDAR DEMs were perfect, even if this is
365 debatable, it is possible to circumvent the need to generate statistically realistic
366 landscapes when investigating DEM errors [Raaflaub and Collins, 2006; Sofia et al.,
367 2013]. Anthropogenic elements (e.g., open-cast mines, terraces) visually determined to
368 be reasonable can also be added [e.g., Baartman et al., 2015], for instance to a 2D
369 multi-fractal statistical landscape [Sofia et al., 2104; Chen et al. 2015].

370

371 **4 Discussion**

372 By providing an *a priori* known answer to test against, synthetic DEMs or DEMs
373 containing a synthetic component have some clear and powerful advantages in
374 geomorphological analyses. They can be used to test errors, systematic or random
375 biases, and unpick potential sources of misinterpretation. Furthermore, they give
376 *absolute* answers (e.g., 47% of all actual drumlins $H > 3$ m are mapped) to questions
377 about accuracy that are simply not obtainable by other means, and are often considered
378 'objective'. Through this they provide a route to answering key questions about
379 geomorphic processes (e.g., Fig. 1). There are, however, complexities surrounding
380 these statements, which are less commonly recognised. There are issues of objectivity,
381 realism, circularity and the cost in time and effort of constructing synthetics.

382 Whilst the conclusions reached through the use of synthetics may be simplistically
383 thought of as objective, it is more accurate to say that they are quantitative,
384 reproducible, and are likely to be significantly less subjective. Without perfect, all-
385 purpose synthetics an element of subjectivity will remain in the choices made when
386 designing the test DEM. Hillier and Smith [2012] illustrate some choices and a logical
387 justification for them. Manually selecting data to test against [e.g., Sithole, 2004; Hillier
388 & Watts, 2004] is faster in some circumstances, if more subjective. Reproducibility
389 makes testing using synthetic DEMs superior to subjective visual verification, even if
390 synthetic tests later indicate the visual estimate was a reasonable solution [Hillier and
391 Smith, 2012, 2014]. Pre-existing synthetic DEMs, however, are entirely objective means
392 for inter-comparison for future studies [e.g., Eisank et al., 2014].

393 A thorny question regarding synthetics is: how realistic is realistic enough? At one limit,
394 it is notable that even extreme simplifications such as conical volcanoes can give
395 significant and useful first-order insights [e.g., Kim and Wessel, 2008; Tarolli et al,
396 2015]. At the other limit, synthetic DEMs are not used on the basis that their applicability
397 to real datasets is questioned [e.g., Robb et al., 2015]. Lacking a perfect set of
398 properties, however, should not be taken to invalidate tests using a synthetic DEM; in
399 statistics for instance, Student's t-test underpinned by its idealized Gaussian distribution
400 is widely used although observations are rarely perfectly Normal. A challenge then is to
401 determine a generalised objective framework or workflow to assess the sufficiency of
402 the realism of synthetic DEMs, but in its absence what can be done? Deficiencies can
403 be visually identified. For instance, if spatial resolution is raised as an issue, it can either
404 be matched to the observed data, or varied for a sensitivity test. If a particular statistical
405 property and its variation with scale is key, it can be measured to ensure it is realistic in
406 the synthetic. So, if a clearly stated set of properties argued to be most relevant to any
407 given research task are faithfully reproduced in synthetics, we believe they will provide
408 useful insights. Ultimately, however, practitioners within a peer-group must decide what
409 is convincing, performing additional tests if necessary. For example, Hillier and Smith
410 [2012] did not locally align neighbouring drumlins with each other, but participants the
411 GMapping workshop [Hillier et al., 2014] felt that this was critical. Modified DEMs with
412 this property included were therefore provided, although in the end this proved to be a
413 minor effect. Similarly, what must be captured well in a synthetic DEM may critically
414 vary between studies. This is exemplified by the impact of life (e.g., buildings,
415 earthworks, trees, eco-geomorphic work by worms), which may either be inconvenient

416 'noise' [e.g., Hillier & Smith, 2012] or the morphology of interest [e.g., Dietrich and
417 Perron, 2006].

418 A more subtle potential issue is circularity. It is important to avoid basing aspects of a
419 synthetic DEM on an assumption, and then using it to support the assumption. This is
420 easily avoided in simple synthetic DEMs but a synthetic DEM based on a landscape
421 evolution model, for instance, should not later be justified because a search algorithm
422 trained on it finds only similar features in a real landscape; the algorithm might just be
423 missing things in the real landscape that differ from what it has been trained to detect. A
424 similar issue was faced by Hillier and Smith [2012], but demonstrably avoided as the
425 filter later found to be optimal was not the one initially assumed [Hillier and Smith,
426 2014].

427 So, subjectivity is reduced, even synthetic tests using basic DEMs can give some
428 insight, and circularity can be avoided. On balance we argue that, if designed
429 appropriately and used with appropriate care, tests using synthetic DEMs are worth the
430 cost in time as they can be used to access results and insights of real significance and
431 power. Exactly the same can be said for the application of statistical techniques, and so
432 it seems reasonable to advocate the use of synthetic tests with similar strength.

433 By making observations more robust synthetic tests using synthetic DEMs containing a
434 *priori* known landforms have the potential to strengthen the insights that can be gained
435 through synthetic DEMs generated using physics-based numerical models, i.e.
436 Landscape evolution models. LEMs can provide useful insights, but they are not the
437 entire solution; firstly, they cannot model all processes yet, and secondly they are
438 insufficient without synthetic tests to secure the observational part of the linkage
439 between measured and generated DEMs. It is also worth noting that landscape
440 evolution models are not the only route to creating a form-process link since the other
441 routes described (e.g., statistical) also provide a quantitative means of establishing a
442 form-process link even without a LEM. Thus, there are a number of valid types and
443 specific uses of synthetic DEMs, but in combination we believe that they form a vital
444 underpinning for the quantitative future of landform analysis [e.g., see McCoy, 2015].

445

446 **5 Conclusions**

447 From this discussion on the uses of synthetic digital landscapes (i.e., DEMs), or
448 synthetic elements within them, the following overarching points can be drawn:

- 449 • Synthetic DEMs can help to link physics-based models of processes to
450 morphological observations, allowing quantitative hypotheses to be formulated
451 and tested; importantly, this is not only through the use of landscape evolution
452 models.
- 453 • By establishing ‘absolute’ answers tests using synthetic DEMs containing *a priori*
454 known landforms are a powerful tool with which to test and add rigor to
455 geomorphological observations, and arguably should become as standard as
456 statistical tests in geomorphology or synthetic test data in other arenas (e.g.,
457 Geophysics).
- 458 • A ‘perfect’ synthetic DEM faithfully representing all aspects of an environment is
459 likely impractical or impossible to create at present, but is not necessary.
- 460 • Synthetic DEMs for tests may be easy and simple to construct, yet still provide
461 valuable insights.
- 462 • Synthetic tests using DEM’s should be tailored to each research question, and
463 their appropriateness to the key aspects of each inquiry (e.g., resolution, biases,
464 and sensitivities) set out clearly and logically.

465

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472

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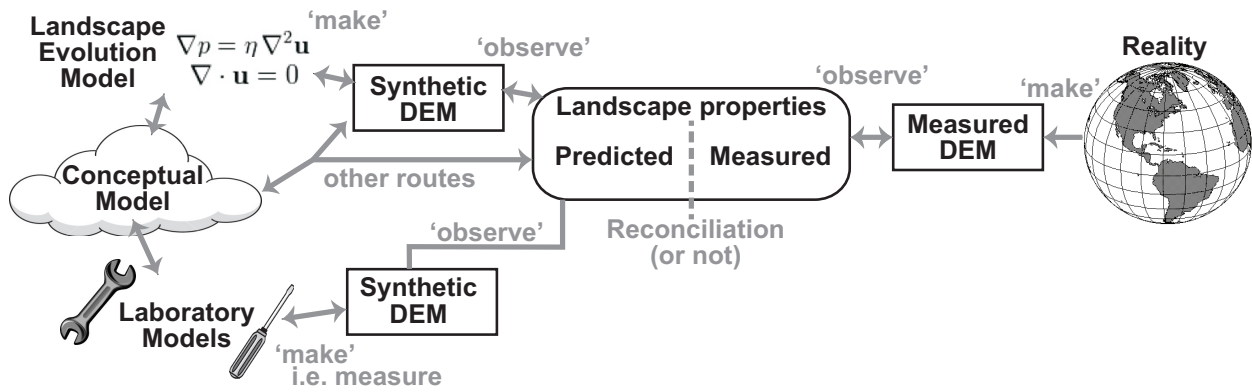
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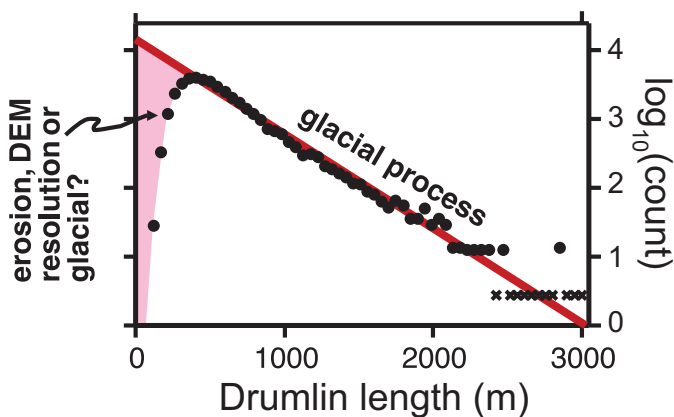
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859 **Fig 1:** Illustration of the pathways and stages in reconciling geomorphological models
 860 with reality in order to understand the physical processes that sculpt planetary surfaces.
 861 Stages are in black, and tasks undertaken to move between them are in grey, with
 862 double-headed arrows indicating possible feedbacks. Synthetic DEMs may be created
 863 through various routes, and may be employed to add rigor to both the making of DEMs
 864 and the observing of them to derive landscape properties.

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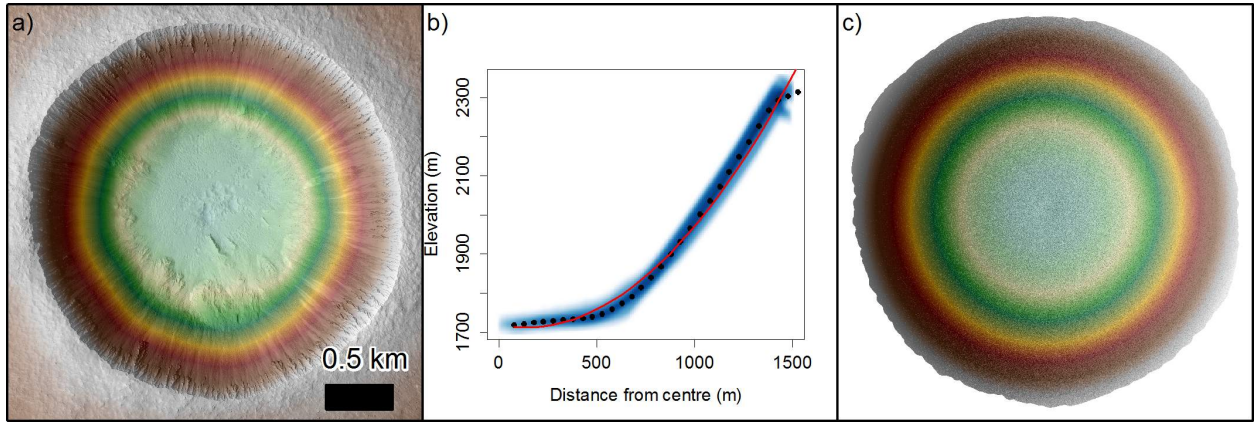
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867 **Fig. 2:** Semi-logarithmic frequency plot of the lengths, L , of UK drumlins adapted from
 868 Hillier et al. [2013]. Black dots are data digitised from Fig. 8 of Clark et al. [2009], with a
 869 bin width of ~ 50 m. Red line is the exponential trend. Crosses indicate zero counts,
 870 placed at a nominal value of 1. Aspects of the curve are speculatively associated with
 871 processes, glacial or related to erosion and DEM construction.

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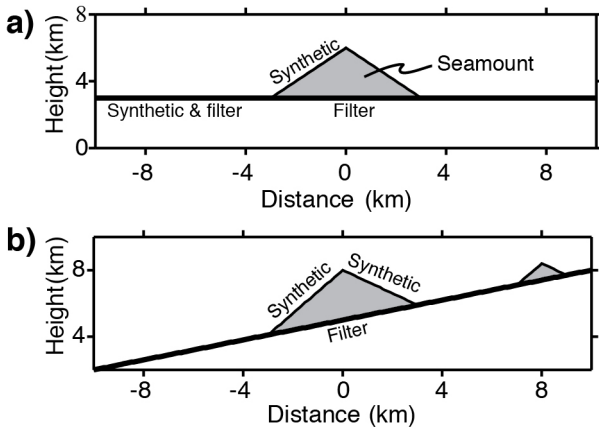
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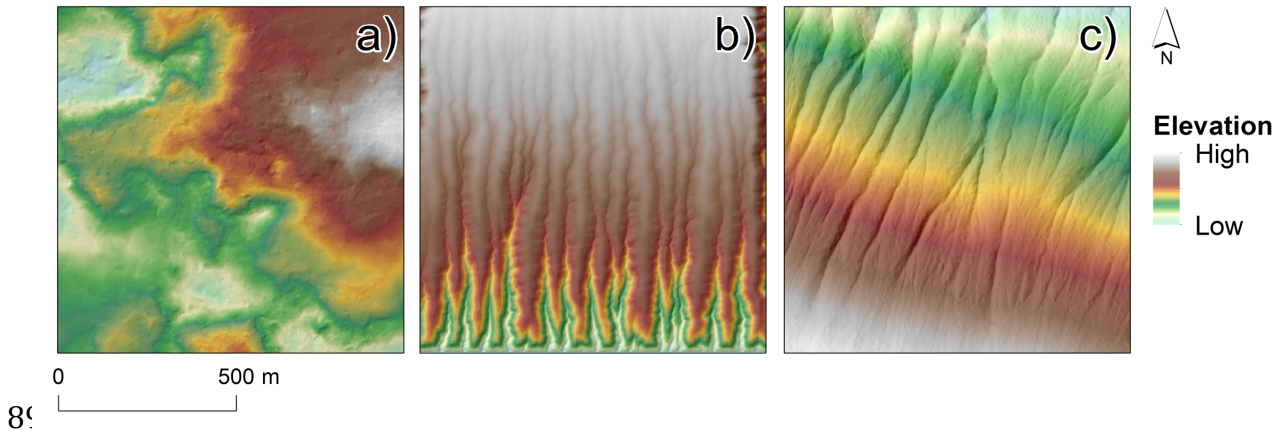
876 **Fig. 3:** a) HiRISE image of Zumba crater on Mars coloured according to elevation;
 877 HiRISE image DT2EA_002118_1510_003608_1510_A01 and DEM
 878 DTEEC_002118_1510_003608_1510_A01, credit NASA/JPL/UofA. b) Radial elevation
 879 profile; blue shading illustrates the data distribution, black dots are averages within 50 m
 880 distance bins, and the red line is a parabolic fit to those points. c) A synthetic crater
 881 created by rotating the parabolic equation, overlain by uncorrelated Gaussian noise and
 882 displayed as in a).

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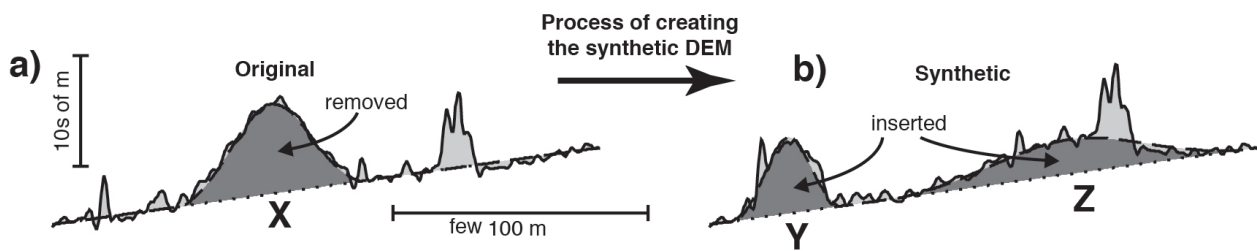
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885 **Fig. 4:** a) A simple 2D (i.e., distance-height profile) synthetic seamount (grey shading)
 886 [Hillier, 2008], which following Kim & Wessel [2008] is conical with a radius of 3 km and
 887 summit height of 3 km above the surrounding seafloor. The thin black line is the
 888 synthetic topography, and the thick black line the filter's output b) A more demanding
 889 test of two, variably sized seamounts upon a sloping surface.

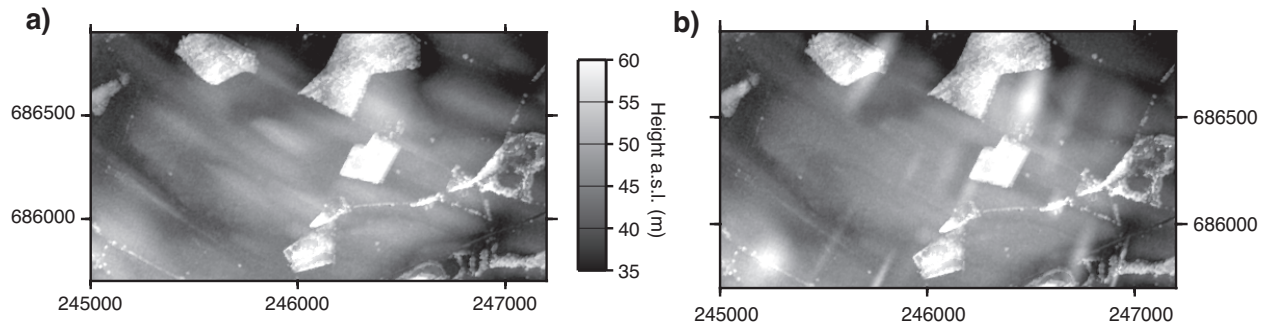


891 **Fig 5.** Comparison of simulated DEMs in a) and b) with LiDAR measurement of a real
 892 landscape in the South of Italy in c). a) Fractional Brownian motion [Mandelbrot, 1983];
 893 initial roughness of the surface = 0.2, initial elevation of the surface = 0.0, and change of
 894 roughness over change of terrain = 0.005. Output is dimensionless, but is effectively
 895 given the same scale and resolution as c) by assigning each pixel a 2x2 m size. b) A
 896 landscape model [Refice et al. 2012] that evolves through time a southward-dipping
 897 initial topography containing small-scale randomness, with all 4 boundaries closed
 898 except lower right corner. Simulated time is ~30 kyr and the run parameters are:
 899 tectonic uplift $u_f = 1 \text{ mm/yr}$; diffusivity constant $k_d = 0.2 \text{ m}^2/\text{yr}$; with channelling
 900 parameters of $K_c = 10^{-4} \text{ m}^{(1-2m)}/\text{yr}$, $m = 0.5$, and $n = 1$. The spatial dimensions of b) are
 901 as in c). Centroid in c) is $14^\circ 37' 59.46''\text{E}$, $40^\circ 43' 25.80''\text{N}$.

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905 **Fig. 6:** Idealised distance-height profiles to illustrate the process used by Hillier and
 906 Smith [2012] to create synthetic DEMs. There are three 'components'. Drumlins, that
 907 are shaded dark grey, rise above a regional trend indicated by a dotted line. These are
 908 overprinted by 'clutter' or 'noise' shown in light grey. a) In the process the upper and
 909 lower surfaces of the drumlin (X) are estimated to define it, and its height is subtracted
 910 from the measured DEM. b) Two Gaussian shaped drumlins (Y and Z) are then inserted
 911 by adding their height to create the synthetic DEM.



912

913 **Fig. 7:** Illustration of a real DEM in a) and a 'hybrid' synthetic generated from it. Method
 914 used is as in Fig. 6 [Hillier and Smith, 2012], adapted to locally align drumlins with each
 915 other [Hillier et al., 2014]. Map coordinates are of the British National Grid (5 m grid).
 916 Synthetic drumlins were orientated at 90 degrees to the original to avoid any possible
 917 confusion with any incompletely removed original ice flow fabric during the mapping
 918 exercise.

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