

A hydro-climatological approach to predicting regional landslide probability using Landlab

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Abstract

15 We develop a hydro-climatological approach to modeling of regional shallow landslide initiation
that integrates spatial and temporal dimensions of parameter uncertainty to estimate an
annual probability of landslide initiation based on Monte Carlo simulations. The physically-
based model couples the infinite slope stability model with a steady-state subsurface flow
20 representation and operates on a digital elevation model. Spatially distributed gridded data for
soil properties and vegetation classification are used for parameter estimation of probability
distributions that characterize model input uncertainty. Hydrologic forcing to the model is
through annual maximum daily recharge to subsurface flow obtained from a macroscale
hydrologic model. We demonstrate the model in a steep mountainous region in northern
25 Washington, U.S.A., over 2,700 km². The influence of soil depth on the probability of landslide
initiation is investigated through comparisons among model output produced using three
different soil depth scenarios reflecting uncertainty of soil depth and its potential long-term
variability. We found elevation dependent patterns in probability of landslide initiation that
showed the stabilizing effects of forests in low elevations, an increased landslide probability
30 with forest decline at mid elevations (1,400 to 2,400 m), and soil limitation and steep
topographic controls at high alpine elevations and post-glacial landscapes. These dominant
controls manifest in a bimodal distribution of spatial annual landslide probability. Model
testing with limited observations revealed similarly moderate model confidence for the three
hazard maps, suggesting suitable use as relative hazard products. The model is available as a
component in Landlab, an open-source, Python-based landscape earth systems modeling
35 environment, and is designed to be easily reproduced utilizing HydroShare cyberinfrastructure.

1 Introduction

In steep mountainous landscapes, episodic shallow landslides (generally <2 m depth; Bordoni et al., 2015) and landslide-triggered debris flows are often the dominant form of hillside erosion and major source of sediment into streams (Benda and Dunne, 1997a, b; Goode et al., 2012).

Where landslide processes intersect with human development, they cause property damage, disruption of infrastructure, injury, and loss of life (Taylor and Brabb, 1986; Baum et al., 2008a), contribute to sedimentation in reservoirs (Bathurst et al., 2005), and may even lead to dam failures (Ghirotti, 2012). Landslides provide punctuated sediment input to streams, affecting stream geomorphology (Benda and Dunne, 1997a, 1997b) and ecosystem dynamics (Pollock, 1998; May et al., 2009). Landslide hazard maps are a common tool used to characterize the relative potential for landslide occurrence in space, either qualitatively (using susceptibility levels) or quantitatively (using modeled landslide probabilities) (van Westen et al., 2006; Raia et al., 2014).

Our objective is to develop a parsimonious probabilistic model of regional shallow landslide initiation that can be implemented with minimal calibration for landslide hazard mapping using regionally available, spatially distributed input data for soil, vegetation type, topography, and hydroclimatology. Based on the literature review presented below, we propose that a regional landslide hazard model should: (1) be flexible enough to incorporate changes in intrinsic and extrinsic conditions, such as vegetation and climate; (2) account for spatial variability in model parameters and forcings, and (3) integrate spatial and temporal dimensions of uncertainty to quantify landslide probability. With these principles in mind, we develop a hydro-climatological approach to modeling regional landslide hazard using the Landlab earth surface modeling toolkit - an open-source, Python-based earth surface modeling framework that provides flexible model customization and coupling (Hobley et al., 2017). Next, we provide a short literature review that guides the design of our landslide modeling approach.

1.1 Geomorphology and Modeling Background

Landslides occur when destabilizing forces due to gravity and pore-water pressure exceed the resisting forces of friction and cohesion over a failure plane. These forces are controlled by intrinsic hillslope conditions, including attributes of topography, such as local slope and upslope contributing area, and properties of rock, soil, and vegetation root cohesion; and extrinsic drivers of rainfall, snowmelt, and earthquakes (Crozier, 1986; Wu and Sidle, 1995; van Beek, 2002; Naudet et al., 2008). There are three primary components of a landslide: (1) a source area or landslide scar where the initial failure begins, (2) a transmission or scour zone, such as a debris flow channel, and (3) a toe or zone of deposition (Lu and Godt, 2013).

Landslide susceptibility can be identified through numerous methods, which can be broadly grouped into empirical methods and process-based numerical models (Hammond et al., 1992; Wu and Sidle, 1995; Sidle and Ochiai, 2006). Data-driven empirical approaches relate the number and frequency of historical landslide observations in a region to triggering events (Caine, 1980; Crozier, 1999; Glade, 2001), landscape attributes (Carrara et al., 1995; Chung et

al., 1995; Lee et al., 2007), or a combination of both (Kirschbaum et al., 2012) using threshold relations and various statistical models such as logistic regression, fuzzy logic, artificial neural networks, and support vector machine (Lee et al., 2007; Pardeshi et al., 2013; Chen et al., 2014).

Process-based models employ effective stress principles to characterize the destabilizing and resisting forces under hydrologic drivers (Iverson, 2000; Montrasio and Valentino 2016), offering the ability to explore changes in environmental and climatic conditions, critical for areas with limited landslide inventories (Pardeshi et al., 2013). Recent process-based numerical models have largely focused on improving the characterization of the space-time dynamics of subsurface flow as a driver of pore-water pressure (e.g., Baum et al., 2008b; Raia et al., 2014; Anagnostopoulos et al., 2015; Montrasio and Valentino, 2016). Distributed hydrology models that use steady-state or transient solutions for subsurface flow depth were coupled with an infinite-slope stability model that solves the ratio of stabilizing to destabilizing forces on a failure plane parallel to the land surface (Montgomery and Dietrich, 1994; Miller, 1995; Wu and Sidle, 1995; Pack et al., 1998; Borga et al., 1998; Casadei et al., 2003; Tarolli and Tarboton, 2006; Baum et al., 2008b).

Steady-state models assume that lateral subsurface flow, driven by the topographic gradient, at each point on the landscape is in equilibrium with a steady-state recharge rate (Montgomery and Dietrich, 1994; Pack et al., 1998). The degree of soil saturation is predicted proportional to the ratio of upslope contributing area to local slope, and a ratio of watershed recharge and local soil transmissivity, following TOPMODEL assumptions (Beven and Kirkby, 1979; O'Loughlin, 1986; Pack et al., 1998). More recent efforts have focused on the development of transient flow models in various complexities by coupling vertical infiltration and redistribution processes in the unsaturated zone, using the Richards equation for unsaturated flow (Richards, 1931) or its variants, with lateral flow parameterizations such as kinematic wave in 1- and 2-dimensions (Iverson, 2000; Casadei et al., 2003; Baum et al., 2008b; Godt and McKenna, 2008; Raia et al., 2014; Alvioli et al., 2014; Anagnostopoulos et al., 2015).

While transient flow models have contributed to improved understanding of the influence of weather forcing and temporal variability in precipitation on landslide initiation, they remain tools typically applied for relatively small-scale assessments (Iverson, 2000; Raia et al., 2014). Transient models require a large number of hydrologic soil and vegetation parameters that are highly variable, uncertain, and difficult to measure or estimate (Godt and McKenna 2008; Baum et al., 2008b). In addition, in most steep forested mountains where landslide risk is high, the presence of macropores due to connected root structures, biological activity, fractures, large clasts, and lenses, leads to preferential and funneled flows that violate the assumptions of most matrix-flow models (Nimmo, 2005; Sidle et al., 2001; Gabet et al., 2003; Montrasio and Valentino 2008; Beven and Germann 2013). Numerical solutions to flow equations also present a major computational bottleneck in large-scale applications for probabilistic quantification of landslide hazard.

While using transient hydrologic models provided slight improvements in the prediction of landslide locations, overall, statistical comparisons of model outputs between steady-state and transient models revealed fairly similar degrees of success (Gorsevski et al., 2006; Zizioli et al., 2013; Anagnostopoulos et al., 2015; Boroni et al., 2015; Formetta et al., 2016). In some applications, model complexity increased the accuracy of predicted landslide locations at the expense of overestimating instability on unsaturated hillslopes (e.g., Godt et al., 2008; Bellugi 2011). In other cases, model precision increased while accuracy decreased (Gorsevski et al., 2006).

Data uncertainty due to spatial and temporal variability of parameters continues to be one of the major challenges in predicting landslides over broad regions (Crozier, 1986; Sidle and Ochiai, 2006; van Westen et. al., 2006; Baum et al., 2014; Anagnostopoulos et al., 2015). Parameter uncertainties can develop from geological anomalies, inherent spatial heterogeneities in soil and vegetation properties and their changes over time, and sampling limitations (El-Ramly et al., 2002; Cho, 2007; Baum et al., 2014). Uncertainties in hydro-climatic variables, such as precipitation, air temperature, and resulting hydrologic fluxes, are particularly pronounced in steep high mountain regions due to lack of observations to capture complex atmospheric processes (Roe, 2005; Wayland et al., 2016). Designating landslide hazard as a probability, rather than an index, systematically accounts for uncertainty and variability in stability analysis (Hammond et al., 1992; Simoni et al., 2008; Arnone et al., 2014) and more appropriately represents complex systems (Berti et al., 2012). Recently, some promising advances have been made in process-based models accounting for data uncertainty in landslide hazard mapping (e.g., Raia et al., 2014; Arnone et al., 2016a).

Lastly, most landslide hazard methods disregard a temporal dimension over which landslide probability is defined (Wu and Sidle, 1995; van Westen et al, 2006). As a result of that, instead of using estimated probabilities directly in the form of return periods of observed landslides or expected values for risks resulting from landslides, models use probability estimates as relative indices (eg., Pack et al., 1998) that can be used for hazard zonation (Pardeshi et al., 2013). Lack of temporal dimension limits the incorporation of model results into risks assessments and the decision-making processes in high-risk regions.

1.2 Approach Overview

We develop a process-based modeling approach for shallow landslide initiation that incorporates imprecision and uncertainty in hydro-climatological forcing, soils, and vegetation parameters using Monte Carlo simulation. Our approach aims to develop a spatially continuous probability of landslide *initiation* that can be updated as conditions and triggers change. The model evaluates factor of safety using the infinite slope stability equation at the scale of a grid cell from a Digital Elevation Model (DEM) through Monte Carlo simulation and calculates the probability of landslide initiation (Hammond et al., 1992; Raia et al., 2014). A Landlab component (LandslideProbability) and a model “driver” that runs the component are written and a workflow is developed for mapping shallow landslide probability. The model driver and data are deployed on HydroShare (www.hydroshare.org), an online collaboration environment

for sharing data, models, and code (Horsburgh et al., 2016; Idaszak et al., 2016), and made available for cloud computing via HydroShare JupyterHub infrastructure using a web browser (see Sect. 2.5).

5 In this work we explore the following question using Landlab and regional landslide observations: How do spatial patterns in hydro-climatology, vegetation, and soil depth influence shallow landslide initiation over large geographic scales? We demonstrate our approach in a mountainous region of Washington, USA. This Pacific Northwest (PNW) region is naturally susceptible to landslides because of high and intense rainfall, steep mountains, active
10 tectonics, and geologic and glacial history (Nadim et al., 2006; Sidle and Ochiai, 2006). The Oso landslide, which occurred in the vicinity of our study area in 2014, resulting in 43 fatalities and over \$50 million in economic losses (Wartman et al., 2016).

2 Methodology

2.1 Probabilistic approach to landslide initiation

15 The infinite slope stability equation, derived from the Mohr-Coulomb failure law, predicts the factor-of-safety (FS) of an infinite plane from the ratio of stabilizing forces of cohesion and friction, reduced by pore-water pressure, to destabilizing forces of gravity (Hammond et al., 1992; Wu and Sidle, 1995). The model as given by Pack et al. (1998) is:

$$FS = \frac{(C_r + C_s)/h_s \rho_s g}{\sin \theta} + \frac{\cos \theta \tan \phi (1 - R_w \rho_w / \rho_s)}{\sin \theta} \quad (1a)$$

$$20 \quad C^* = (C_r + C_s)/h_s \rho_s g \quad (1b)$$

C* is a dimensionless cohesion (Eq. 1b) embodying the relative contribution of cohesive forces to slope stability. When C*>1, cohesion is sufficient to hold the soil slab vertically (Pack et al., 1998). Cr and Cs are root and soil cohesion respectively [Pa], hs is the soil depth perpendicular to slope [m], ρs and ρw are saturated soil bulk density and water density [kg/m³], respectively, g
25 is acceleration due to gravity [m/s²], θ is slope angle of the ground, and ø is soil internal friction angle [°]. Relative wetness, R_w, is defined as the ratio of subsurface flow depth, h_w, flowing parallel to the soil surface, to hs. Deterministically, a hillslope element is unstable if FS < 1 and stable if FS > 1 (Sidle and Ochiai, 2006; Shelby, 1993). When FS = 1, the slope is “just-stable” or in a state of “limited equilibrium” (Lu and Godt, 2013).

30 Relative wetness is arguably the most dynamic factor at short time scales, relating to water table depth and to recharge rate. Considering that hillslope hydrology is more likely to attain equilibrium conditions during prolonged wet conditions (e.g., Barling et al., 1994; Borga et al., 2002), a steady-state representation of subsurface flow is used. It is derived from local
35 subsurface lateral flow, qs [m² d⁻¹], represented by a 1-D (i.e., flow parallel to bedrock) form of the kinematic wave approximated by Darcy’s law using topographic gradient of hillslope, qs=Ksh_wsinθ (Wu and Sidle, 1995). Under a steady-state assumption, lateral flow is in balance with the rate of water input, qr [m² d⁻¹], through a uniform rate of recharge, R [m d⁻¹], defined

across the upslope specific contributing area, a [m], $q_r=Ra$. This assumption gives: $Ra=K_s h_w \sin \theta$, where K_s is saturated hydraulic conductivity [$m\ d^{-1}$]. Solving this equation for h_w and dividing both sides by h_s gives R_w (Montgomery and Dietrich, 1994; Pack et al., 1998):

$$R_w = \frac{h_w}{h_s} = \min \left(\frac{R a}{T \sin \theta}, 1 \right) \quad (2)$$

Here T is local soil transmissivity [$m^2\ d^{-1}$], which is depth-integrated saturated hydraulic conductivity, K_s . For uniform K_s within the soil profile overlying impermeable bedrock $T=K_s h_s$. Ground saturates when $R_w = 1$, the maximum value for R_w (Montgomery and Dietrich, 1994). These assumptions are appropriate for steep topography to efficiently characterize wetness over large areas (Tarolli and Tarboton, 2006; van Westen et. al., 2006).

A Monte Carlo simulation is used with equation (1a) by assuming R , T , C ($C=C_r+C_s$), h_s and ϕ as random variables represented by probability distributions (Tobutt, 1982; Hammond et al., 1992). The uncertainty in R is represented using a dataset of the maximum daily recharge in each year (e.g., Benda and Dunne, 1997a; Borga et al., 2002; Istanbuluoglu et al., 2004). The model includes both spatially uniform and spatially distributed options for sampling recharge (described further in Sect. 2.3). Using sampled random variables in Eq. (1a), FS is calculated in each model iteration, i , during the simulation. Annual probability of failure $P(F)$ and landslide return period (RP) at each grid cell are defined as (Hammond et al., 1992; Cullen and Frey, 1999):

$$P(F) = P(FS \leq 1) = n(FS \leq 1)/N \quad (3a)$$

$$RP = P(F)^{-1} \quad (3b)$$

where $n()$ is the number of conditions met in bracket and N is the number of iterations. Our model does not predict the size of a probable landslide at the initiation point, which can be smaller or larger than the size of a DEM grid. $P(F)$ gives a relative propensity that a landslide could initiate within the grid cell. If some random samples lead to a low deterministic FS, they contribute to an increase of the $P(F)$ within that cell. Sensitivity analysis of the infinite slope stability model was shown in the literature (see: Sidle 1984; Hammond et al., 1992).

2.2 Model Development in Landlab

Landlab is a python-based earth surface modeling toolkit (landlab.github.io). It provides a grid architecture, a suite of pre-built components for modeling surface or near-surface processes, and utilities that handle data creation, management, and interoperability among process components (Tucker et al., 2016; Hobbey et al., 2017; Adams et al., 2017). The LandslideProbability component is written in python and implemented with a model “driver” (written as a Jupyter Notebook) using the workflow shown in Fig. 1 of the component’s User Manual (See Sect. 6). driver imports Landlab and necessary Python libraries, loads and processes data, and executes the LandslideProbability component on RasterModelGrid (RMG), which is a Landlab class for creating raster grid objects. A structured grid is generated by the RMG class that covers the model domain. The spatial model parameters and model forcing data are completed in preprocessing steps outside of Landlab. They are loaded and stored on grid

nodes (the central point of grid cells) of the RMG as Landlab data fields, composed of NumPy arrays.

The LandslideProbability component is instantiated by passing four arguments: the grid, number of iterations, recharge distribution, and recharge parameters. Once the component has been instantiated, the component's method *calculate_landslide_probability()* is executed in a for loop that performs the calculations at each node. The number of iterations in the range of 700 (Malkawi et al., 2000) to >1,200 (Abbaszadeh et al., 2011) were found sufficient in the literature. We used 3,000 in this study. At each node the method generates unique model parameters, and calculates the relative wetness (Eq. 2) and FS (Eq. 1a) for each iteration. At the end of the iterations, probability of saturation and probability of failure are calculated at each node.

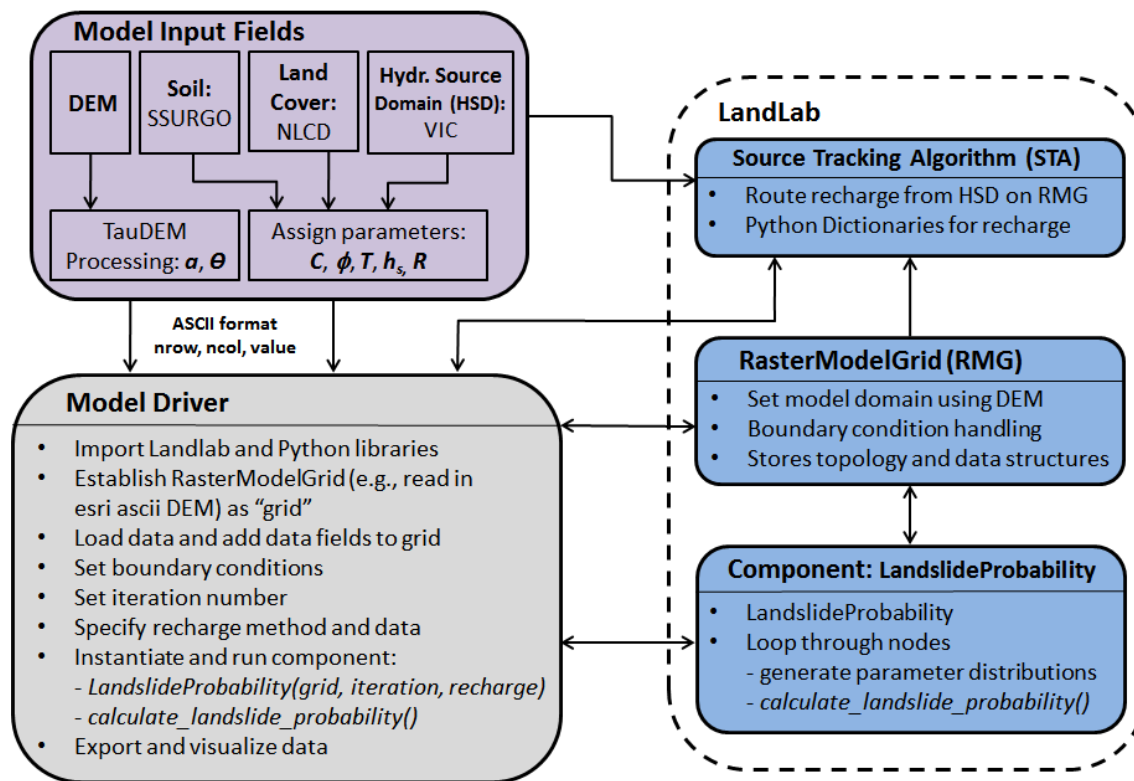


Figure 1. Workflow for landslide modeling using the Landlab LandslideProbability component. The user creates input parameter fields (purple box). The model driver (gray) imports Landlab, Python libraries, and model parameter fields; instantiates (e.g., create an instance) the RasterModelGrid and the component; and runs utilities and the Landlab component (blue inside dashed box).

Slope angle and specific contributing area are static parameters derived from a DEM in pre-processing steps. Total cohesion, C (i.e., $C_r + C_s$), ϕ , h_s , and T are treated as random variables following a triangular distribution specified with three parameters (minimum, mode, and

maximum) (Cho, 2007; Dou et al., 2014). Options for user-provided T or K_s are accepted by the component; although comparison of resulting landslide probabilities were found to be similar given that the value of T was derived from h_s . Triangular distributions give weight to the most likely value (i.e., mode) and have been proposed in other Monte Carlo simulation studies for slope stability (Hammond et al., 1992; El-Ramly et al., 2002; Strenk, 2010).

Mode parameters of the triangular distribution used for all soil and vegetation parameters are developed as raster grids as part of preprocessing steps, loaded to Landlab, and assigned to nodes of the RMG (Fig. 1). For root cohesion we used vegetation types from the National Land Cover Data (NLCD) (Jin, 2013; USGS, 2014b), with a lookup table for cohesion obtained from the literature (Table 1). Only for cohesion, minimum and maximum parameters are also provided as raster grids to represent distributed variation with vegetation. Gridded Soil Survey Geographic Database (SSURGO) (DOA-NRCS 2016) is used to assign ϕ , h_s , and T (see 3.2.1 for details). The current model design assumes negligible correlation between C and ϕ as assumed in other studies (e.g., Abbaszadeh et al., 2011; Arnone et al., 2016a). Other spatial soil and vegetation datasets can be used in the preprocessing of the model. Exposed bedrock and glaciated surfaces can be excluded from the model domain by user.

In each Monte Carlo iteration, we use annual maximum daily recharge, R , which represents a steady-state uniform recharge rate defined for the upslope contributing area of each RMG node. Local recharge (i.e., flux of water entering saturated zone) within the upslope contributing area of RMG nodes can be incorporated from a variety of grid resolutions from hydrologic models, referred to as a Hydrologic Source Domain (HSD). A “Source Tracking Algorithm” (STA) is developed that uses spatially variable recharge data from a HSD, re-sampled to the grid resolution of slope stability calculations, and routes local recharge in the downstream direction following the steepest descent until a target cell is reached. Then it calculates the spatially-averaged upslope recharge for each node of the RMG, used as R in the model. STA is described in more detail in the component’s User Manual (See Sect. 6).

Four options for sampling R are provided for Monte Carlo simulation at each node, identified in the model driver by selecting a probability distribution: *uniform*, *lognormal*, *lognormal_spatial*, and *data_driven_spatial*. The first two options assign spatially uniform random variables of R across the whole model domain with respective parameters of minimum and maximum, and mean and standard deviation. The latter two “spatial” options are designed to represent spatial variability in R , constructed based on the statistics of annual maximum R obtained from a HSD using the STA utility. The *lognormal_spatial* option assigns mean and standard deviation of R at each node derived from the modeled R data, while the *data_driven_spatial* option uses a non-parametric sampling approach to sample from the cumulative distribution of R data produced for each node of the RMG. In this regional application of the landslide component, the VIC macroscale (1/16° or 5x6 km grid cell) hydrology model is used as HSD.

2.3 Hydrologic Data Processing

A key aspect of the regional landslide modeling approach is the linking of landslide hazard to hydro-climatological forcing at regional scales. The Landlab LandslideProbability component is written with the capability to accept input from hydrologic model outputs, such as the VIC macroscale hydrologic model (Liang et al., 1994) we demonstrate in this paper. VIC is semi-distributed, predominantly physics-based macro-scale hydrology model that characterizes elevation-dependent differences in regional precipitation and temperature and their influence on recharge through regulating rain-on-snow, snow accumulation and melt, evapotranspiration, and soil moisture (Hamlet et al., 2013).

The steady-state subsurface model coupled with the infinite slope stability equation in our model requires a steady-state recharge rate as input. Recharge refers to the input of water to subsurface flow from precipitation and snowmelt less evapotranspiration and soil storage. In a VIC model simulation, this condition can be obtained by adding baseflow and surface runoff. Observations and model experiments suggest that widespread landslides are usually associated with the largest rainfall events (e.g., Page et al., 1994; Gorsevski et al., 2006). To characterize when the ground is likely to be the most saturated each year, daily baseflow and surface runoff are summed at each VIC grid cell to represent daily recharge [mm d^{-1}] and the annual maximum daily value is selected for each year of the dataset, similar to others (e.g., Benda and Dunne, 1997a; Borga et al., 2002; Istanbuluoglu et al., 2004). To obtain a steady-state average recharge rate in the upslope contributing area of each RMG, the Landlab STA utility is used (see Sect. 2.2., and Fig 1. of User Manual link provided in Sect. 6)

2.4 Soil Evolution Model

Soil depth controls the temporal and spatial patterns of landsliding over geomorphic time scales and is considered one of the most significant variables controlling the FS stability index, especially at depths less than 1.5 m (Benda and Dunne, 1997a; Istanbuluoglu et al., 2004; Catani et al., 2010; Sidle and Ochiai, 2006). Soil depth can vary in space and time as a function of weathering and sediment transport in relation to climate, lithology, topographic position, and vegetation cover (Dietrich et al., 1995). Despite its fine grid resolution, the SSURGO database (DOA-NRCS 2016) only broadly captures topographic controls on soil depth and reflect existing conditions in the field based on soil surveys. In an attempt to improve the representation of spatial granularity and local uncertainties of soil depth, a soil evolution model is used (Dietrich et al., 1995; Simoni et al., 2008; Pelletier and Rasmussen, 2009; Tesfa et al., 2009; Bellugi et al., 2015). The model is run to develop time series of soil depth from which triangular distribution parameters for soil depth (mode, minimum and maximum) can be obtained and used in Landlab LandslideProbability component.

In the soil evolution model, change in soil depth is modeled as the annual sum of local soil production, divergence of sediment flux due to soil creep, and soil removal by landslides (e.g., Tucker and Slingerland, 1997; Heimsath et al., 1997; Braun et al., 2001; Istanbuluoglu et al., 2004; Nicótina et al., 2011). The rate of soil production is related exponentially to local soil

depth (Heimsath et al., 1997). Soil creep is linearly related to local elevation gradient (e.g., McKean et al., 1993). Soil removal by landslide initiation is modeled with the infinite slope stability equation, implemented with representative parameters (Table 2). When $FS \leq 1$, soil is removed to bedrock by setting it to a very small value (0.005 m). In each model iteration C and T were randomly sampled and used in the FS Eq. (1a). Calibration of the soil evolution model is done by adjusting soil production rate and hillslope diffusivity parameter to obtain long-term soil loss consistent with long-term regional erosion rates. Details on model application and the utilization of model outputs are presented in Sect. 4.1.2.

2.5 Reproducibility

To publish a reproducible version of this research, we used the HydroShare (www.hydroshare.org) cyberinfrastructure platform, designed for reproducing, reusing and sharing models (Tarboton et al., 2014; Horsburgh et al., 2016; Morsy et al., 2017). Steps that supported reproducibility included using the HydroShare sharing settings with a workflow that started with *Private* while data and models were developed, *Discoverable* while research was being shared with colleagues for review, and *Public*, once our results were accepted for publication. We used the *Select a license* function to add No Commercial (NC) use to our Creative Commons license. We made use of the *Groups* social collaboration, by making early versions of our research results available to invited participants of workshops and tutorial demonstrations to our Landlab group in HydroShare. The data and model are accessed by launching Jupyter Notebooks that access Landlab installed on JupyterHub servers at the National Center for Supercomputing Applications (Yin et al, 2017; Castranova, 2017). HydroShare features enable our current and future researchers to use the *Copy Resource* function to replicate our published resource (i.e., the landslide model) in their own account with *Derived from* metadata that references back to the published resource DOI, to serve as a starting point for their work. The Supplement provides instructions on how to access Hydroshare and run a Jupyter Notebook that reproduces portions of the application below.

3 Model Application

3.1 Study Area

The model described above is applied within the geographical limits of the North Cascades National Park Complex (NOCA) in the state of Washington, U.S.A, managed by the U.S. National Park Service (Fig. 2). In recent decades, NOCA has experienced damaging and disruptive landslides that have impacted infrastructure and the public. Furthermore, the park area is covered by a recent soil survey between 2003 and 2009, including field investigation (DOA-NRCS and DOI-NPS, 2012), and has a complete map of mass wasting processes visually observed in the field (Riedel and Probala, 2005). The application is designed to demonstrate the potential capability of Landlab LandslideProbability component using existing data in a real setting and to provide a site-specific stability analysis for landslide susceptibility for NOCA land management.

NOCA is approximately 2,757 km², with 93% wilderness, where motorized or mechanized devices are not allowed (DOI-NPS, 2012), which is ideal for studying naturally triggered landslides. The elevation ranges from about 100 m to 2,800 m (Fig. 2a). The terrain is composed of rock slopes at the highest elevations, short (<100 m) soil-mantled hillslopes, and landslides upslope of relatively straight debris flow channels connected to the fluvial system. Over 300 glaciers occupy mountain peaks in NOCA. The influence of the Pacific Ocean, approximately 80 km to the west, provides a humid temperate climate. However, the north-south oriented Cascade Mountains create an effective orographic climate boundary, separating a wetter west side from a drier east side. Reported mean annual precipitation ranges from about 708 mm in the low elevations of the eastern slopes to 4,575 mm at the highest mountain elevations west of the Cascade crest, with about 70% falling in November through March (Fig. 2b). This spatial precipitation gradient is the result of orographically-enhanced precipitation that leads to a strong rain shadow (Roe 2005). Average annual air temperatures range from -2 to 11°C, depending on elevation (DOA-NRCS and DOI-NPS, 2012).

In this study vegetation classes were grouped into herbaceous, shrubland, and forest using the 2014 NLCD data, based on the land use/land cover (LULC) classification of 2011 Landsat satellite imagery (Jin, 2013; USGS, 2014b). Other LULC types include water, wetland, snow/ice, barren, and developed (e.g., roads, campgrounds), covering about 13% of NOCA. Based on this classification, forest, shrubland, and herbaceous vegetation represent 58%, 17%, and 12% of the park, respectively. Elevation ranges for these vegetation classes are from 106 to 2363 m (forest), 110 to 2465 m (shrubs), and 121 to 2759 m (herbaceous), showing vegetation co-existence.

The park geology is composed of a complex mosaic that includes mostly faulted and folded sedimentary and volcanic rocks on the west side, unmetamorphosed sedimentary and volcanic rock on the eastern edge, and highly squeezed and recrystallized metamorphic rock originating from great depth in middle (Haugerud and Tabor, 2009). Alpine and continental glaciation, along with rivers and mass-wasting processes linking peaks with rivers, have created the landscape. The glaciers eroded U-shaped valleys with steep valley walls prone to landslides and flat valley floors with gravel-bed rivers. The lower ends of many valleys on the east side with lower precipitation were not covered in alpine glaciers and have narrow, winding V-shaped canyons and steep, narrow rivers.

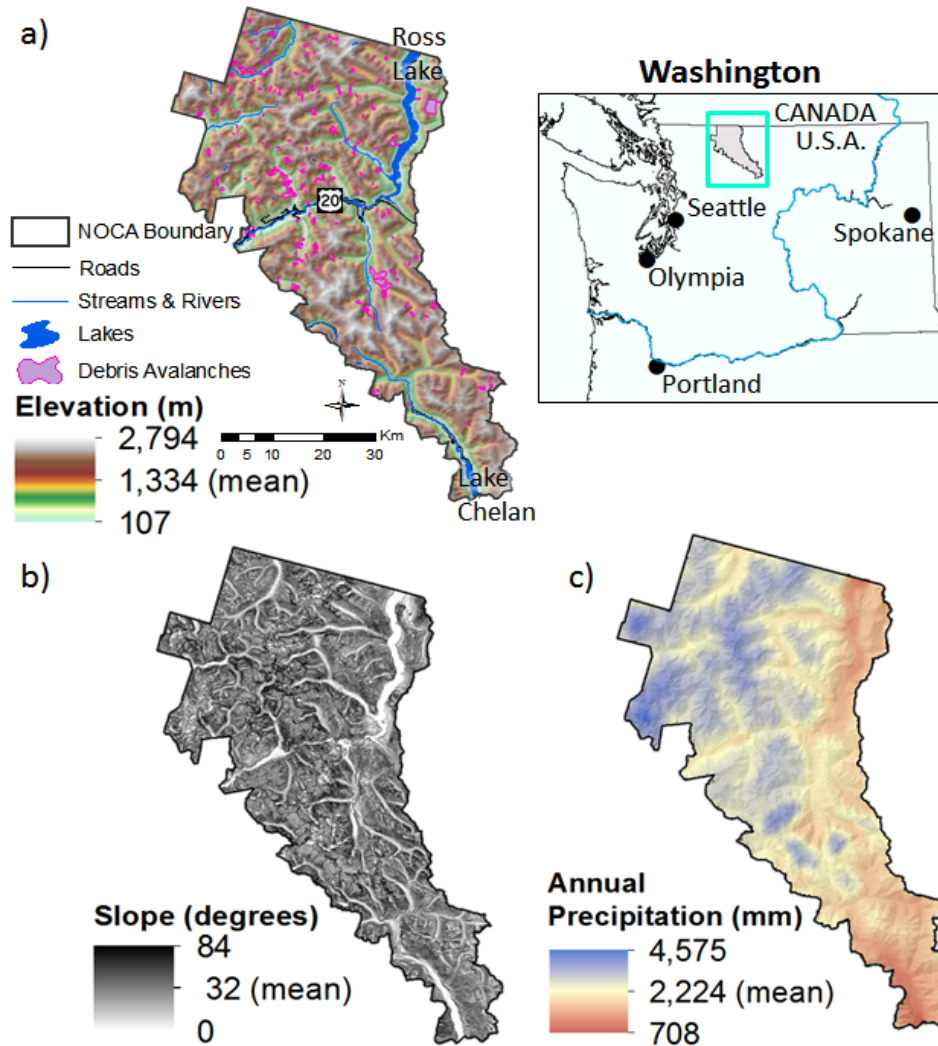


Figure 2. North Cascades National Park Complex (NOCA) in northern Washington state, U.S.A: **(a)** a 30-m DEM of the domain overlain by debris avalanches and major water bodies; **(b)** slope derived from DEM; and **(c)** mean annual precipitation (1981-2010 average) mapped at 800-m resolution from PRISM (Daly et al., 2008).

A park-wide landform mapping study identified six different types of mass wasting landforms: rock fall/topple, debris avalanche, debris torrent, slump/creep, sackung, and snow avalanche-impacted landforms (Riedel et al., 2015). Mass wasting landforms were identified using 1998 air photos at 1:12,000 scale, 7.5 minute topographic maps, bedrock geology maps, and field investigations. The minimum mapping unit was approximately 1,000 m², except for a few smaller slump units. In this study, we only used mapped debris avalanches for model confirmation as they often initiate by a shallow landslide. Debris avalanches typically represent a mixture of failed rock and debris. Their mapping included polygons that combine head scars, transport and scour channels, and deposition zones in a single polygon (Fig 3a). We extract the highest 10% of the elevations in the mapped debris avalanche polygons as landslide source areas through comparison to aerial imagery (Tarolli and Tarboton, 2006). This analysis located

75% of landslide source areas in intermediate elevations from 1,200 m to 2,000 in NOCA (Fig. 3b).

Some areas in mountainous regions are covered by glaciers, permanent snowfields, and exposed bedrock, which are unsuitable locations to model landslides with the infinite slope model (Borga et al., 2002). These landforms as well as wetlands and other water surfaces are excluded from our modeling domain. The total area excluded from the stability analysis accounts for about 21% of NOCA's land area.

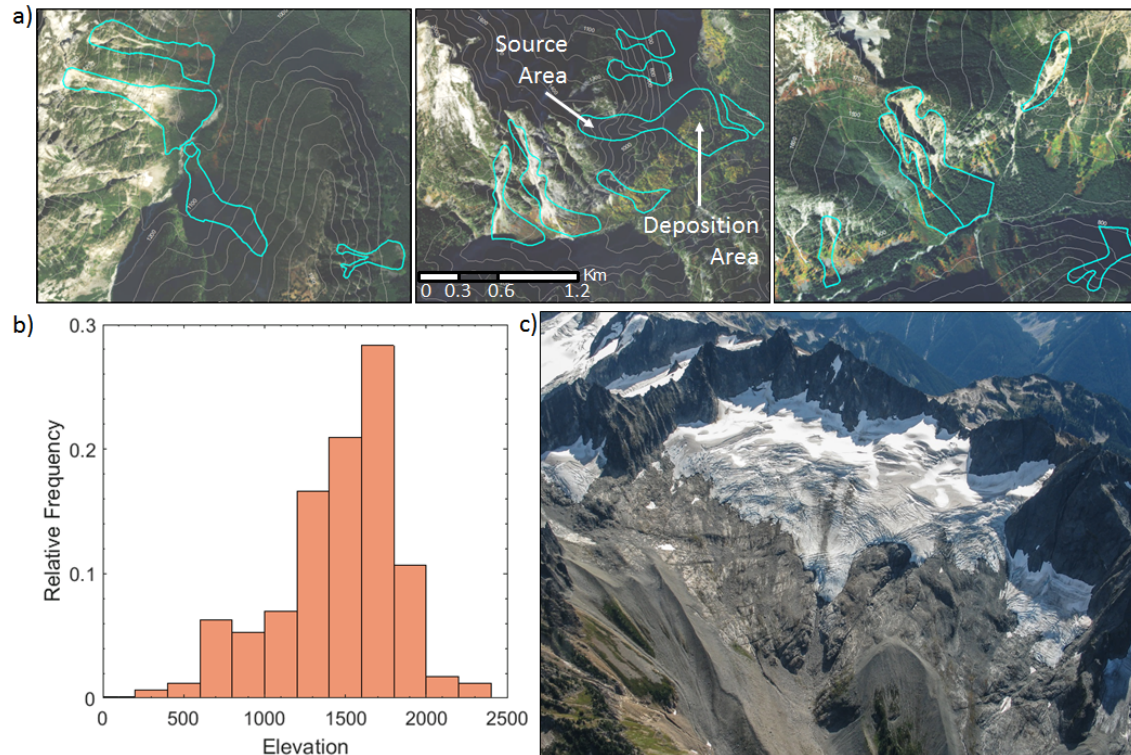


Figure 3. (a) Example debris avalanches (cyan) mapped in three areas within NOCA. Contours are in 100-m intervals. Aerial image source from World Imagery, Esri Inc.¹; **(b)** elevation distribution of the relative frequency of mapped debris avalanche source areas (upper 10%); and **(c)** High elevation rock and glacier mapped surrounding Spiral Glacier in North Cascades showing a bedrock glacier cirque with thin barren soils and moraine deposits (photo by John Scurlock used with permission).

3.2 Model Input Fields

We used a grid resolution of 30 m from the National Elevation Dataset (NED) (USGS, 2014a). Evaluation of model performance was intended at this resolution for regional modeling as NASA's Shuttle Radar Topography Mission (SRTM) DEM is available globally at a 30-m resolution. The minimum mapping unit used for landslides is 30 m for NOCA (Riedel et al.,

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2015). Slope ($S=\tan\theta$), total curvature (Curv) (i.e., both planar and profile), and contributing area (CA) attributes were derived from the DEM (Fig. 2a).

3.2.1. Vegetation and Soil parameters

- 5 Vegetation classes are obtained from the NLCD in 30-m resolution (Jin, 2013; USGS, 2014b). Parameters of a triangular distribution for C , ϕ , T , and h_s are provided in Table 1. In our case study, C represents root cohesion. Soils across the study domain are assumed cohesionless, due to low clay content (<10%) in this mountain substrate with large clasts (Kulhawy et al., 1990). Estimating root cohesion is challenging because of temporal and spatial variability in root density and size, differential breakage or pullout mechanisms, interaction among roots, and difficulty in measuring at a field scale (Pollen and Simon 2005; Schwarz et al., 2013). We developed spatial coverages for minimum, mode, and maximum C for NOCA by relating vegetation classes with corresponding published C values in the literature (Table 1), where field observations suggest right-skewed distribution (Hammond et al., 1992; Schmidt et al., 2001; Gabet and Dunne 2002; Hales et al., 2013). Based on ranges available in the literature, we selected a mode value as a commonly reported value, minimum parameter as 30% of the mode, representing death and loss of productivity (Sidle, 1991; 1992), and a maximum near the highest reported value for C . Forest have higher C than shrubland because of the greater root area and deeper roots (Arnone et al., 2016b). Small C values are assigned for barren and developed land uses (~14% of the domain) having minimal vegetation. Mode values of C mapped over NOCA are shown in Fig. 4b. Forest communities of the valley bottom and lower valley walls show high values of C , which declines as vegetation transitions from forests to shrublands to herbaceous communities with increasing elevation.

- 25 **Table 1.** Parameters defined for vegetation and soil types in the study region. For spatially continuous variables T and h_s obtained directly from SSURGO, values represent spatial statistics.

Variable	Minimum	Mode (Mean)	Maximum
Root Cohesion [kPa]			
Barren/Developed	0.03	0.10	0.15
Forest (coniferous)	3	10	20
Shrubland	1.2	4	10
Herbaceous	0.6	2	5
Internal angle of friction [$^{\circ}$]¹			
Loamy sand	26.2	32	42.2
Sandy loam	28.7	35	46.2
Developed areas (loamy, sandy)	28.7, 31.2	35, 38	46.2, 50.2
Transmissivity [$m^2 d^{-1}$]²	0.42	(3.39)	16.4
Soil depth [m]²	0.09	(0.62)	2.01
¹ Developed areas within the two soil types, respectively, have mode values 3 ^o larger due to compaction.			
² Values for the continuous variables, transmissivity and soil depth, represent the minimum, mean, and maximum for spatial statistics for the study area, not individual soil map units.			

In order to investigate the contribution of soil depth to mapping landslide probability, we developed and used two alternative soil depth products: 1) based on SSURGO and 2) based on a soil evolution model. The nationally available SSURGO database maintained by the Natural Resources Conservation Service (NRCS) is a readily available data source that includes depth-to-restrictive layer (DOA-NRCS 2016), which we used to specify the mode of soil depth (Fig. 4c). Using the *Soil Data Viewer* of Esri ArcGIS (DOA-NRCS, 2015a), the weighted-average aggregation option is used to extract soil depth within each soil map unit (DOA-NRCS and DOI-NPS, 2012). SSURGO soil depth (SSURGO-SD) is uniform for each soil map unit and thus, lacks finer scale spatial heterogeneity and create edge incongruities (Fig. 4c), a limitation identified previously for landslide modeling (Bordoni et al., 2015). A more spatially heterogeneous soil depth map is developed using the output of a soil evolution model.

SSURGO-SD represents the recent conditions in soil depth. The difference between the actual soil depth in the field and the SSURGO reported soil depth will likely be associated with the limited number of soil depth measurements used to develop SSURGO maps, measurement errors, and spatial interpolation assumptions. In addition, for the locations that have already produced landslides before SSURGO mapping, we assume that the maximum value of the triangular distribution represents the soil depth prior to a landslide. To represent uncertainty, minimum h_s is assumed to be 70% of the mode and maximum h_s adds 10% to the mode value. These values give a left-skewed triangular distribution, commonly observed for soil depth (Hammond et. al., 1992). The selected skewed distribution was confirmed by the soil evolution model discussed in Sect. 4.1.2.

Transmissivity is derived as the product of weighted-average aggregated K_s of all soil layers above the restrictive layer and h_s for each soil map unit (DOA-NRCS, 2015a). Similar to h_s , this T value was considered the mode (Fig. 4d) and the minimum and maximum values needed for an asymmetrical triangular distribution calculated as: $T_{\min} = T_{\text{mode}} - 0.3 * T_{\text{mode}}$ and $T_{\max} = T_{\text{mode}} + 0.1 * T_{\text{mode}}$. Closely related to soil depth, T is high in valley bottoms as well on plateaus because of deeper soils, thus, more water can move through the soil when saturated (Fig. 4d). T is low in the thin veneer soils below retreating glaciers as well on steeper side slopes.

The percent sand, silt, and clay for each soil map unit in NOCA were derived from SSURGO data using Soil Data Viewer (DOA-NRCS, 2015b). This revealed largely sandy loam or loamy sand soil textures, based on USDA classification, across the NOCA. These soil textures corresponded to Unified Soil Classification System (USCS) soil types silty sand and well-graded (diverse particle size) fine to coarse sand, respectively. Reported ϕ values for these USCS soil types were assigned as the mode of ϕ , ϕ_{mode} used in triangular distribution. Developed land cover type was assigned an additional 3° to the mode to compensate for higher soil density from development activity, such as compaction (Sidle and Ochiai, 2006). Given the mode and ranges of ϕ for these soil types, minimum and maximum ϕ were calculated to generate right-skewed distributions for both soil types as: $\phi_{\min} = \phi_{\text{mode}} - 0.18 * \phi_{\text{mode}}$ and $\phi_{\max} = \phi_{\text{mode}} + 0.32 * \phi_{\text{mode}}$, based on literature review (i.e., Table 5.5 in Hammond et al., 1992 and Table 5.2 in Shelby, 1993). The soil and water density terms in Eq. (1a), were assigned a constant value of 2,000 kg m⁻³ and

1,000 kg m⁻³, respectively similar to Pack et al. (2005). Factor-of-safety has been found to be insensitive to soil density (Hammond et al., 1992; Lepore et al., 2013).

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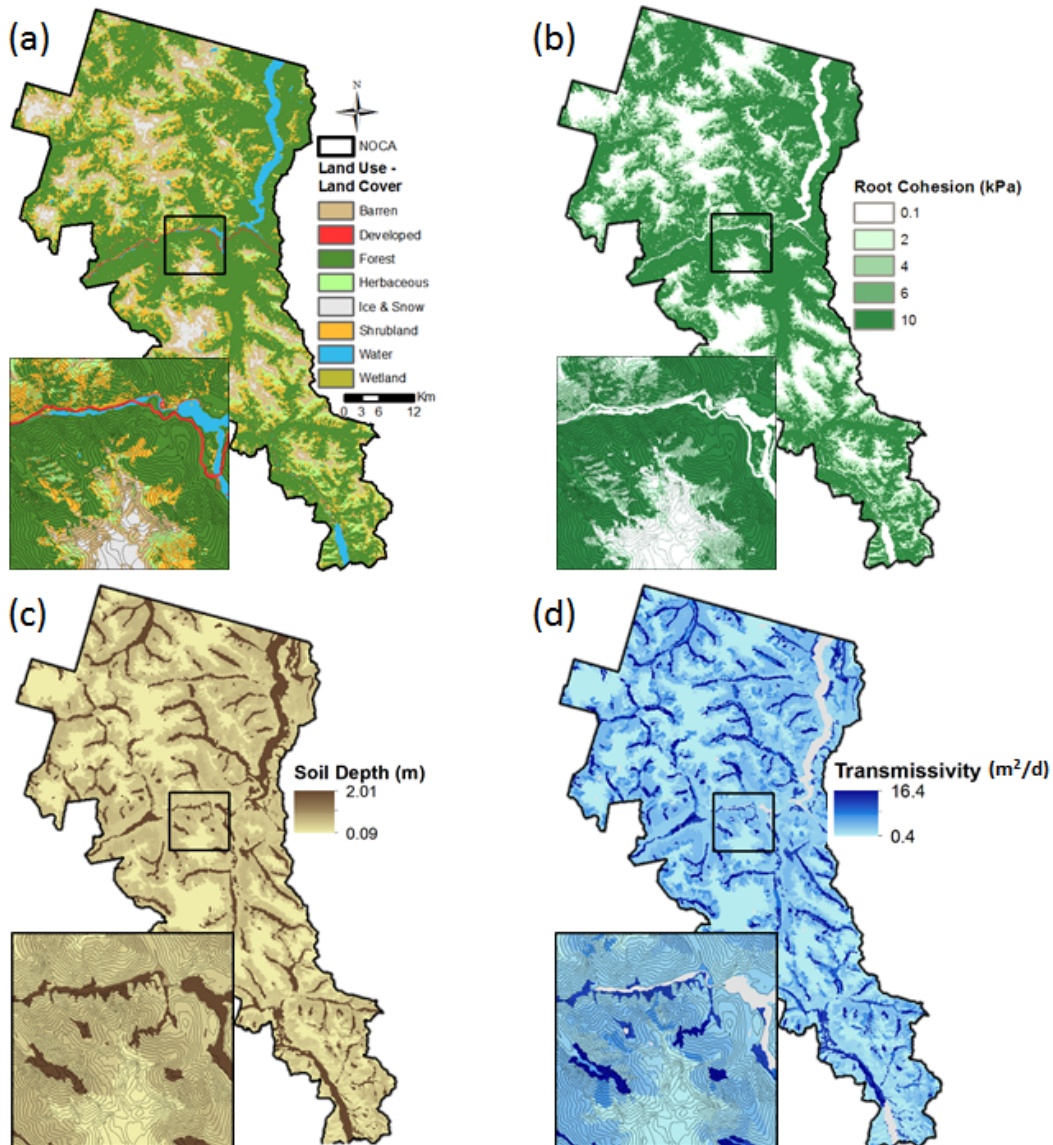


Figure 4. NOCA maps for: **(a)** LULC classified from NLCD (2014); **(b)** root cohesion based on LULC; **(c)** soil depth from SSURGO; and **(d)** transmissivity based on SSURGO soil depth. Mapped values in (b) through (d) represent the mode values used in triangular distribution for Monte Carlo simulations. Insert shows zoomed-in area with 100 m contours.

10

3.2.2. Model Recharge

We used existing VIC model runs for the PNW region developed through the Columbia Basin Climate Change Scenarios Project (Elsner et al., 2010; Hamlet et al., 2013). The project developed a calibrated implementation of VIC (1/16° or 5x7 km grid resolution) covering the Columbia River basin in Washington to produce validated historical hydrologic simulations (water years 1916-2006) driven by spatially interpolated daily station observations of temperature and precipitation (Hamlet et al., 2013). Archived model output at a daily-time-step includes gridded baseflow and runoff. Hydrologic simulations using VIC have also been run for all of the contiguous United States (CONUS) (Data available from: Livneh et al., 2013, 2015). We determined the maximum daily recharge for each year to generate a 91-year long time series to characterize the wettest ground saturation conditions for shallow landsliding. Modeling with maximum recharge provides an indicator of individual storm events that typically trigger shallow landslides (Lu and Godt, 2013), although lesser amounts of recharge may also be sufficient to trigger landslides in some locations. The average annual maximum daily recharge over NOCA is about 35 mm/d (± 15 mm/d), ranging from a low of 7 mm/d along the eastern edge of the park to a high of 79 mm/d on the western edge and at higher elevation peaks.

4 Results and Discussion

4.1. Geomorphic Analysis and Soil Evolution

Understanding the spatial distribution of dominant geomorphic processes can aid the development of landslide hazard maps consistent with geomorphic theory. In this section, we discuss the mapping of dominant processes on the landscape on the slope and area domain, and explore the proposed soil evolution model to develop modeled soil depth maps.

4.1.1. Investigation of Process Domains

Hillslope diffusion, landslide, debris flow, and fluvial transport processes leave unique imprints on landforms, manifested in the slope-contributing area (S-CA) domain as different scaling relationships (Montgomery and Dietrich, 1992; Tucker and Bras, 1998; Montgomery, 2001; Stock and Dietrich, 2003; Tarolli and Fontana, 2009). The infinite-slope factor-of-safety model is only applicable to the initiation of landslides. Therefore, hazards associated with debris flow scour and deposition cannot be predicted by this model. We used a S-CA plot and the infinite slope stability theory to: (1) identify process domains and limit the analysis of the landscape to slopes where there is shallow landslide potential, (2) evaluate observations of debris avalanches to identify landslide source areas, and (3) infer plausible ranges of the infinite slope stability model parameters to corroborate those we compiled from the literature for NOCA (Table 1).

Our geomorphic analysis was based on plotting, in log-log scale, S (as $\tan(\theta)$) and CA pairs of each DEM grid cell in NOCA, cells within mapped debris avalanches (including depositional areas), and most likely source areas of landslides identified as the single highest elevation grid cell within each mapped debris avalanche (Fig. 5). The general trend in the S-CA relationship is

acquired for all grid cells of NOCA as well as debris avalanche (DA) cells by binning the data with respect to CA and calculating the mean S for each CA bin. The negative linear relation in the log-log plot suggest a power-law scaling in the form of $S \sim CA^{-B}$ where B is the slope of the S-CA relation on the log-log domain, which reflects channel longitudinal profile concavity. Concavity is generally associated with fluid driven processes, while the degree of concavity is tightly related to the nonlinearity of fluvial transport with respect to S and CA (Roering et al, 1999; Montgomery 2001; Stock and Dietrich 2003; Istanbuluoglu 2009). Based on the scaling transitions that mark changes in concavity, process domains interpreted in Fig 5 are: (1) a hillslope zone where slope-dependent processes such as dry ravel and soil creep dominate, leading to convex slopes, (2) a landsliding zone where pore-pressure driven slope failures introduce concavity as landslides arise with shallower slopes as recharge CA grows, (3) a debris flow or saturated landslide zone in headwater channels where mass wasting processes in saturated ground evolve into high-concentration transport (Iverson et al., 1997), and (4) a fluvial region (Montgomery and Foufoula-Georgiou, 1993; Tucker and Bras, 1998). Debris flow-dominated slopes were shown to exhibit reduced concavity relative to channels and pore-pressure driven landslide zones in the S-CA domain (Montgomery and Foufoula-Georgiou, 1993; Tucker and Bras, 1998; Stock and Dietrich, 2003).

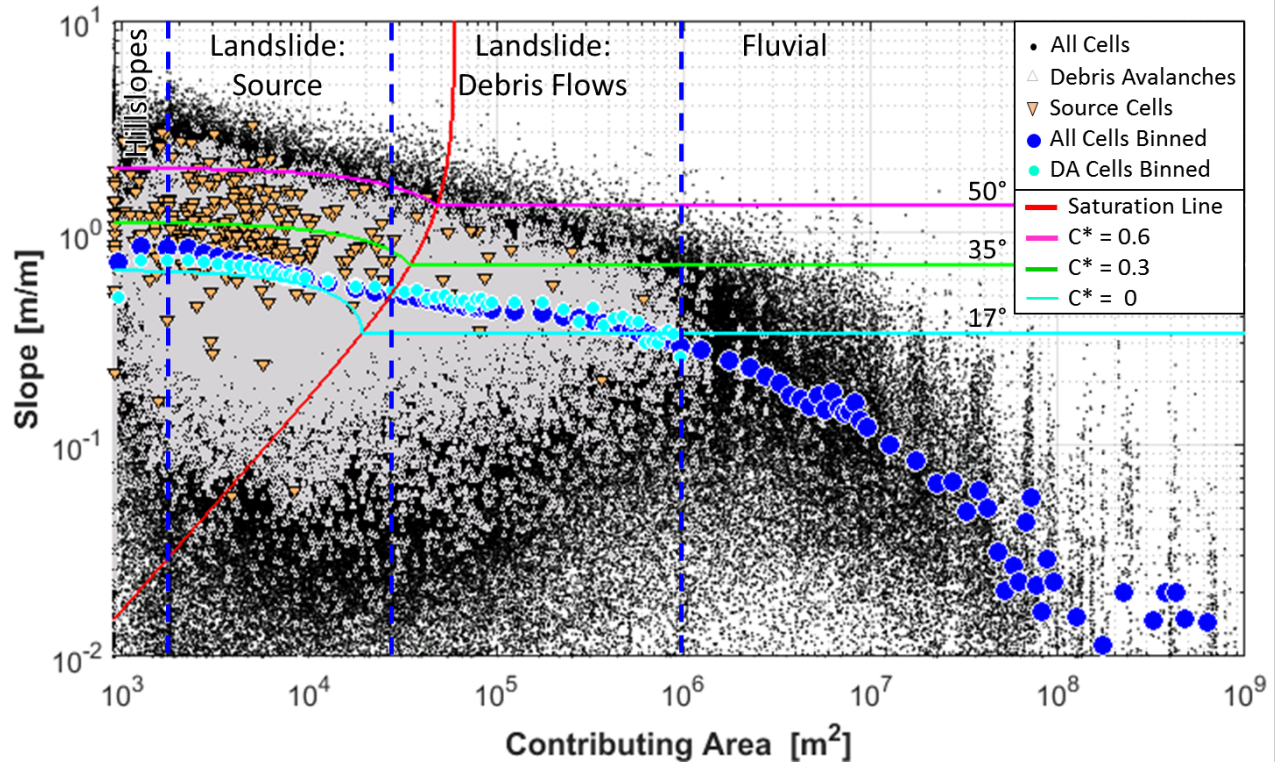


Figure 5. Slope-contributing area (S-CA) log-log plot for North Cascades National Park Complex. Mean S for bins of CA are indicated by blue dots and cyan dots for all cells and debris avalanche (DA) cells, respectively. DA source cells (orange triangles) are the single highest elevation grid cell within mapped debris avalanches (gray). Horizontal slope stability curves plot the solution of S (Eq. 1a and 2) as a function of CA, given $FS=1$, $R/T=0.0005$, $\phi=34^\circ$ and select values of dimensionless cohesion, C^* ; S for horizontal line portion (fully saturated regions) are labeled in $^\circ$ for ease of understanding. Above each

curve landscape is unstable for a given C^* . Saturation line (red curve) separates partially saturated areas (left) from saturated areas (right). Blue vertical lines divide the plot into geomorphic process domains in relation to CA of the landscape (e.g., Montgomery 2001). Cyan horizontal line at 17° generally separates potential landslide dominated areas from fluvial dominated areas.

- 5 A threshold CA of approximately 1 km^2 and a slope threshold of $\theta=17^\circ$ generally separates colluvial mass wasting and debris transport processes from fluvial processes (Fig. 5; see also Legg et al., 2014). Nearly all grid cells within mapped debris avalanches plot to the left of the 1 km^2 dashed line. An average θ value of 17° may also correspond to a low-end of a slope threshold for landsliding. Fully saturated cohesionless soils are unconditionally stable at $\tan(\theta) \leq \frac{1}{2} \tan(\phi)$ (i.e. half of ϕ), assuming a ratio of water to saturated soil density of 0.5 (e.g.,
- 10 Montgomery and Dietrich, 1994). Solving for ϕ when $\theta = 17^\circ$ gives 34° , generally consistent with selected ϕ values from soil texture (Table 1) (Hammond et al., 1992). Approximately 85% of NOCA landscape lies above $\theta > 17^\circ$, suggesting a dominant role of mass wasting processes in this landscape. We included areas above this slope threshold in our landslide model domain.
- 15 The red saturation curve is calculated as aR/T , where R/T is calibrated to 0.0005 m^{-1} (e.g., $a/\sin\theta = 2000 \text{ m}$) to capture most of landslide source cells (left of curve) and a scaling break in the binned S-CA plot (Fig. 5). The saturation curve partitions the landscape into partially saturated (left) and saturated (right) areas, which generally delineates the S-CA pairs separating
- 20 landsliding from debris flow tracks that form under full soil saturation. For a $T = 10 \text{ m}^2 \text{ d}^{-1}$, R is 5 mm d^{-1} , which is within the range of the lowest maximum annual modeled recharge values in most of the study area, indicating that the plotted saturation line could reasonably map regions that experience saturation annually.
- 25 The three lines stacked vertically (i.e., cyan, green, and pink) plot the solution of S in the infinite slope stability equation (Eq. 1a and 2) as a function of CA, and given $FS=1$, $R/T=0.0005$, $\phi=34^\circ$ and select values of dimensionless cohesion, C^* . Conditioned on the C^* value, slopes that plot above the S-CA solution are unstable. Consistent with the binned S-CA data, the solution of the infinite slope stability equation curves down as a function of CA, and following soil saturation, a
- 30 constant instability S threshold is reached. Root cohesion is approximately 6 kPa for $C^*=0.3$ (middle green line) and 12 kPa for $C^*=0.6$ (upper pink line), assuming a soil depth of 1 m and cohesionless soil. These root cohesion values are reasonable for shrub and mature forest vegetation found in the literature (Table 1) and they facilitate stability with steeper slopes. When $C^*=0$ (bottom cyan line), landslides initiate at lower slopes than when cohesion is
- 35 greater. This solution also envelopes the low slope-end of nearly all landslide source S-CA pairs identified from debris avalanche data. Only a small portion of the unstable areas plot above the $C^*=0.6$ solution of Eq. (1a), which implies areas with higher root cohesion.

4.1.2. Modeled Soil Depth

- 40 We ran the soil evolution model described in Sect. 2.4 at a population of representative topographic conditions and vegetation types (forest, shrub, herb) instead of running the simulations over the whole study domain. Capitalizing on the S-CA analysis (see Sect. 4.1.1),

local θ [$^{\circ}$], CA, and Curv triplets in each of the CA bins are used from the landscape dominated by colluvial transport processes ($\theta > 17^{\circ}$ and $CA \leq 1 \text{ km}^2$). In order to further classify landscapes within each CA bin, θ and Curv pairs are grouped into shallow ($\theta \leq$ the 10th percentile θ), moderately steep (between 10th and 90th percentiles of θ), and steep ($\theta \geq$ the 90th percentile θ) slope classes. Within each class, θ and Curv are averaged. This led to 53 number of triplets used for the soil evolution model, with the assumption that landslides do not significantly change local θ and Curv, implying long-term equilibrium conditions. The model is run for 10,000 years to represent the postglacial landscape (i.e., roughly the current interglacial period or Holocene) using the calibrated parameters listed in Table 2.

Local erosion is calculated within the soil evolution model. Calibration of the soil evolution model was performed by adjusting model parameters from the literature (e.g., Tucker and Slingerland, 1997; Nicótina et al., 2011) and comparing the mean annual rock erosion rate estimated by the model to long-term average rock erosion rates published for the Cascade Mountains, which range from 0.02 to 0.5 mm y^{-1} over roughly the last several Ma (Reiners et al., 2002, 2003) and slightly higher rates over the last millennia of 0.08 to 0.57 mm y^{-1} (Moon et al., 2011). In addition to published erosion rates, the resulting soil depths were compared to the SSURGO-SD, which ranged from 0.09 to 2.01 m across NOCA.

In Fig. 6 we show modeled mean annual erosion rates in relation to mode of modeled soil depth (M-SD) for a steep and moderate slope class, and illustrate the local variability of modeled soil depth under forest and shrub conditions. The relative frequency histogram of local soil depth resembles a triangular distribution, with mode values generally higher than mean values, indicating a negatively (left) skewed distribution for soil depth (Fig. 6a, 6c). Therefore, there is a higher frequency of deeper soil relative to shallower soils. Soil creep fills hollows, thickening soils, as FS gradually drops, leading to episodic landslides that evacuate sediment (Fig. 6b, 6d).

Table 2. Model parameters used in the soil evolution model

Parameter	Value	Units
h(initial) – initial soil depth	0.01	m
α – rate of exponential decay with depth	3	m^{-1}
Po – soil production rate from exposed bedrock	0.0005	m yr^{-1}
Kd – linear hillslope diffusion coefficient	0.01	$\text{m}^2 \text{ yr}^{-1}$
ρ_r / ρ_s – Rock to soil density	2.65/2	[-]
Ks – saturated hydraulic conductivity	7	m d^{-1}
ϕ – internal angle of friction	35	Degrees
Root cohesion ¹	Varies	kPa
Recharge (mean) ² and Coefficient of variation	32, 0.35	mm d^{-1}

¹ Root cohesion varied by vegetation type based on Table 1 and soil cohesion was assumed to be zero.

² Recharge extracted from average values found at four representative VIC grid cells within NOCA.

Both θ and Curv have been found to be correlated with soil depth (Heimsath et al., 1997; Braun et al., 2001; Mitchell and Montgomery, 2006; Hren et al., 2007). A multivariate nonlinear regression in the form of $y = \theta_1 \cdot x_1^m + \theta_2 \cdot x_2 + C$ was fit to mode of soil depth (predictand, y) given θ and Curv (predictors, x_1 and x_2) for each vegetation type with $R^2 > 0.9$ for all slope classes (not reported). Maps for mode of the modeled soil depth (M-SD) were developed over the portion of the NOCA domain by applying the regression equations using the distributed θ and Curv and vegetation type at each grid cell. Minimum soil depth was set at 0.005 m and maximum soil depth was set to 2 m. Outside the colluvial transport process domain are conditions outside the regression analysis; therefore, vegetated areas were assigned a depth of 0.5, 1, and 2 m for herbaceous, shrubland, and forest, respectively, to generate a contiguous soil depth map for NOCA consistent with SSURGO. Areas with barren land cover were assigned a soil depth of 0.05 m, representing the minimum range of modeled herbaceous areas. Developed areas were assigned a value of 0.5 m. Areas assigned fixed values are about 2% of the model domain. The evolved soil depth was also used to revise T , using the K_s provided by SSURGO, which provides a more-distributed continuous field of T . The revised T map is used when Landlab is run based on mode from M-SD.

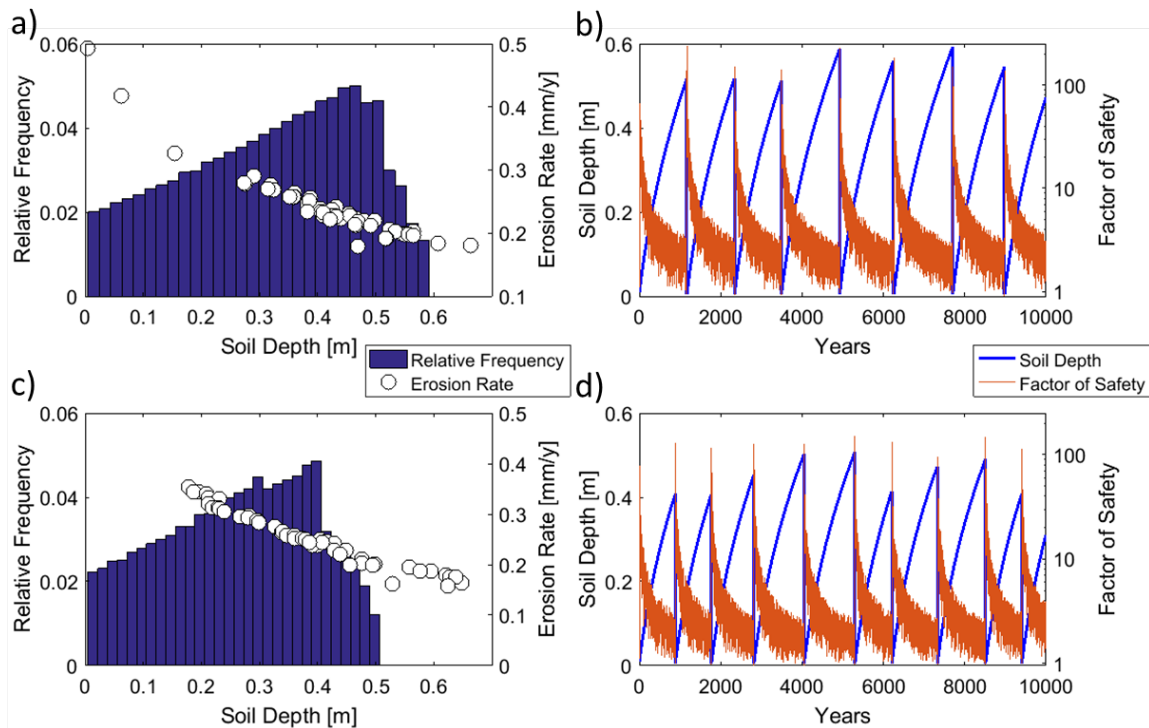


Figure 6. Illustration of the soil evolution model run using (a, b) steep slope class and forest vegetation and (c, d) moderately steep slope class and shrub vegetation. (a, c) Modeled mean annual erosion rates plotted with respect to mode of modeled soil depth, along with soil depth temporal relative histogram for a representative convergent location. (b, d) Temporal evolution of soil depth and FS (logarithmic scale) for a representative convergent location with: (a) $S=40^\circ$ and $Curv=-0.01$; and (b) $S=29^\circ$ and $Curv=-0.01$.

M-SD exhibits substantially more spatial variability than the SSURGO-SD (Fig. 7). While both spatial soil depth distributions have similar median values, M-SD has a wider distribution with a higher proportion of shallower and deeper soils than SSURGO-SD. In general, the M-SD is shallower than SSURGO-SD on steeper, convex hillslopes with herbaceous or shrub vegetation and deeper on gentler, concave hillslope with forest vegetation. For both datasets, soil depth is deeper in the valleys and shallower near the ridge tops (Fig. 7c, d), consistent with other studies (Anagnostopoulos et al., 2015; Montgomery and Dietrich, 1994).

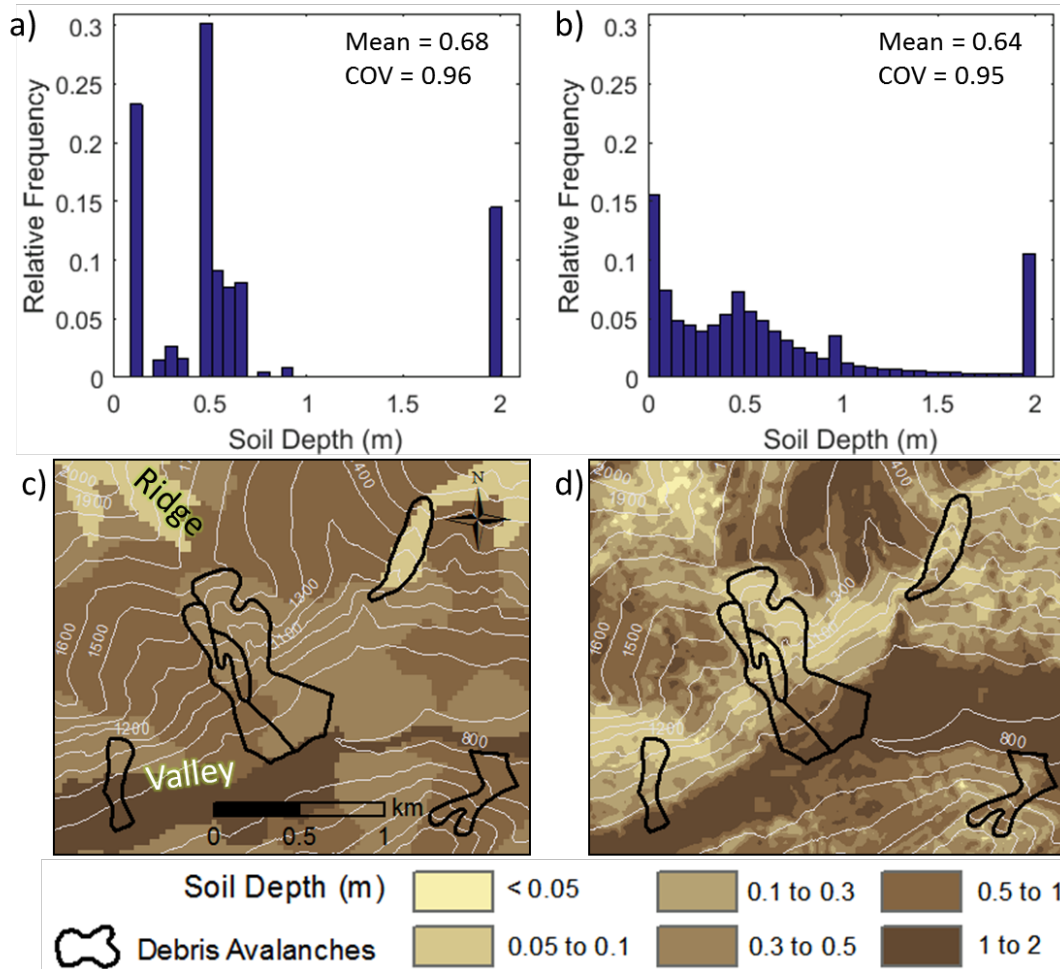


Figure 7. Sample illustration of the soil evolution model. Relative histograms of soil depths within NOCA: (a) SSURGO-SD and (b) mode of M-SD, with respective spatial mean and coefficient of variation (COV). Mapped soil depth, with mapped debris avalanches outlined in black and contours are at 100-m for: (c) SSURGO-SD and (d) M-SD.

The maximum and minimum soil depth parameters of the triangular distribution were obtained by analyzing soil evolution model results. At most θ , CA, and Curv triplets used, a landslide occurred at least once over the modeled duration. As described in Sect. 3.2.1, given the negatively-skewed nature of the temporally evolved soil depth (Figure 6 a,c), the maximum soil depth parameter of the triangular distribution was set equal to 10% of the mode in all model simulations. Two scenarios for the minimum parameter of the triangular distribution were used

to reflect soil depth uncertainty for contemporary and long-term conditions. In the first case, we set the minimum parameter as 70% of the mode. The LandslideProbability model was run for this scenarios for both SSURGO (SSURGO-SD) and modeled soil depth (M-SD) input. In the long-term scenario, the minimum soil depth was set to 0.005 m, reflecting bedrock scour conditions by landslides. We argue that this assumption implicitly introduces a temporal uncertainty component to soil depth, which may be used to more accurately estimate landslide return period over the long-term. The model run was called M-SD LT for this case.

4.2 Probability of Failure

Modeled annual probability of failure of shallow landslides, $P(F)$, for NOCA simulated by the Landlab LandslideProbability component using SSURGO-SD and two M-SD scenarios are shown in Fig. 8. In each model run, 3,000 values were sampled (i.e., iterations) for model parameters at each grid cell in the Monte Carlo simulations.

$P(F)$ derived from simulations exhibit low probabilities where slopes are moderate and cohesion is high (e.g., forest). Highly unstable areas largely correspond to steep barren landscape (13% of the model domain) mostly located below retreating alpine glaciers, with steep glacial landforms, transitioning from glacier to colluvial processes (similar to Guthrie and Brown 2008; Tarolli et al., 2008; Legg et al., 2014) (Fig. 9). These areas with a thin veneer colluvium, except for moraines, appear to be “continuously sliding” (Borga et al., 2002) or “chronically unstable” (Montgomery, 2001). Frequent slides impede the colonization of vegetation (Dietrich et al., 1995; Istanbuluoglu and Bras, 2005). Slides in barren areas were not completely included in our landslide inventory as they do not pose major risks to humans and infrastructure.

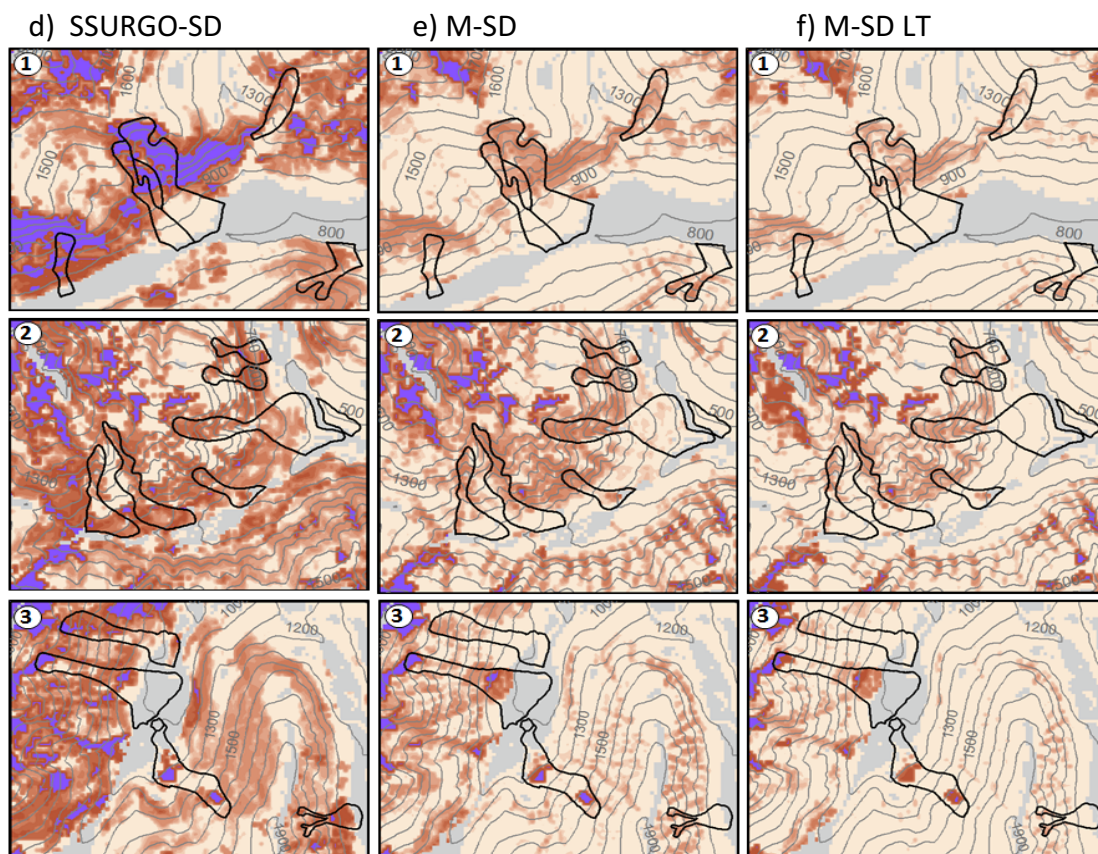
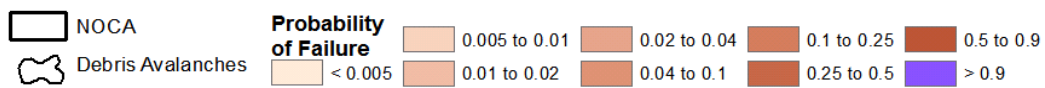
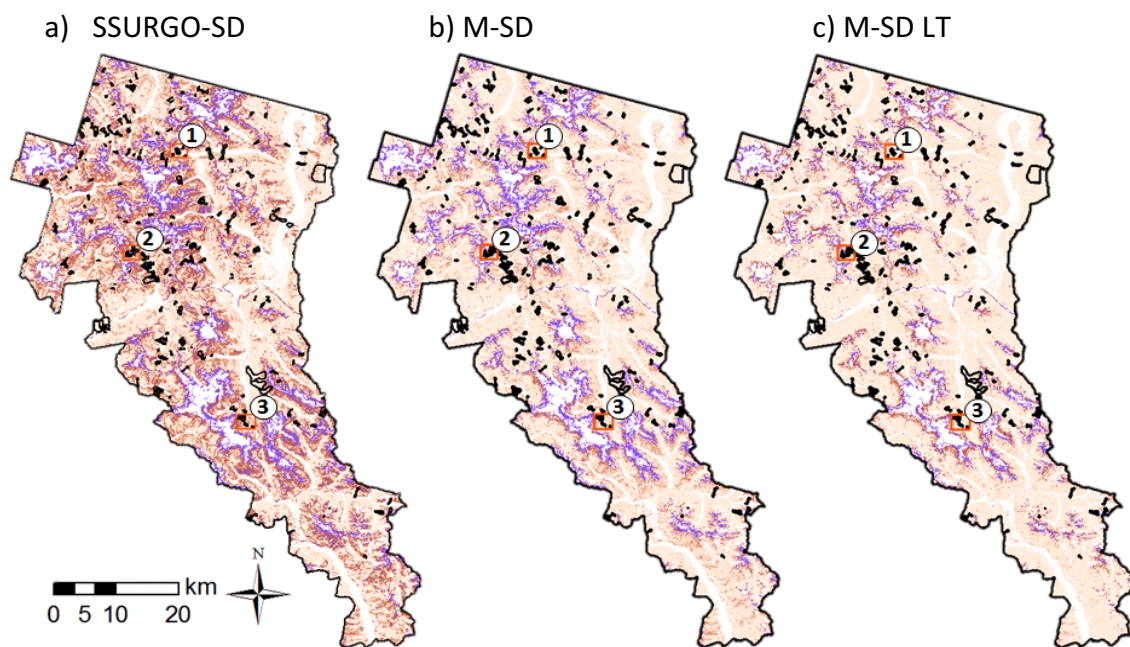


Figure 8. Landslide annual $P(F)$ map for NOCA overlain with mapped debris avalanches for simulations with: **(a)** SSURGO-SD; **(b)** M-SD; **(c)** M-SD LT. Zoomed-in areas are shown for greater detail in the lower panel in the same order and according to number designated. Purple areas are considered chronically unstable and areas excluded from analysis are shown as gray. Contours are at 100 m. Aerial images of zoomed-in areas are provided in Fig. 3.

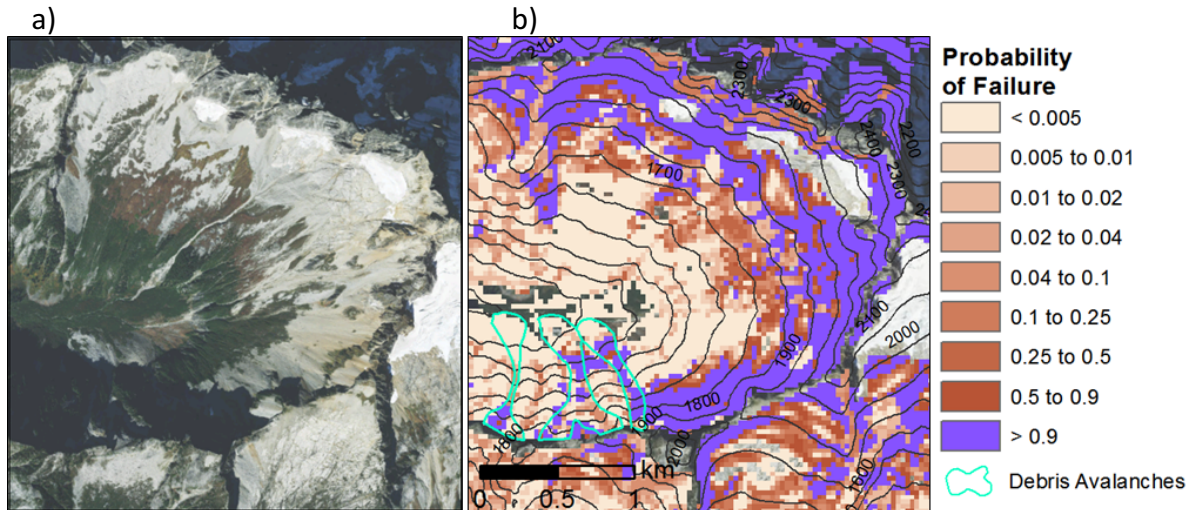


Figure 9. Illustration of highly unstable steep areas: **(a)** High resolution (0.3 m) imagery of a NOCA mountain (World Imagery, Esri Inc.)¹ compared to **(b)** $P(F)$ simulated by M-SD with mapped debris avalanches. Contours at 100 m. Notice the barren areas below retreating glaciers with high $P(F)$.

Other locations of higher $P(F)$ are located in topographic hollows (Fig. 8, 9). These converging areas accumulate deeper soils, which decreases the effectiveness of root cohesion, and enhance pore pressure through convergence of subsurface flow (Dietrich et al., 1995). Converging areas often correspond to the upper portions of mapped debris avalanches, which clearly display higher landslide probabilities than the runout portions downstream. Thus, the landslide probability visually appears to capture the source area of debris avalanches.

Substantial differences between $P(F)$ derived with different soil depth maps are evident (Fig. 8 and Fig. 10) and corroborate previous studies showing the influence of various soil depth estimates on landslide susceptibility (Dietrich et al., 1995; Okimura, 1998). In general, probabilities are higher and more spatially extensive when the model is parameterized using SSURGO-SD compared to both M-SD scenarios.

To investigate the spatial distribution of $P(F)$ in relation to soil depth, we plot the cumulative distribution of $P(F)$, referred to as the fraction of modeled area where $P(F)$ is less than or equal to a given value, for each simulation (Fig 10a). We present our general observations of the spatial distribution of $P(F)$ in the order of SSURGO-SD, M-SD, and M-SD LT as depicted in Fig 8. Simulations show approximately 26%, 38%, and 49% of the modeled domain (79% of NOCA, where $\theta > 17^\circ$) as stable (i.e., $P(F)=0$) under the current vegetation cover and climate. We refer to these sites as unconditionally stable (i.e., stable even when saturated, and with minimum C

and σ sampled) (Pack et al., 1998; Montgomery 2001). A bimodal spatial distribution for $P(F)$ is evident (Fig. 10a, 10b). Areas with low probabilities, around $P(F) \leq 0.1$, dominate the spatial distribution of $P(F)$, manifested with a steep rise in the fraction of area from $P(F)=0$ to $P(F)=0.1$ (Fig 10a). For $P(F) \leq 0.1$ ($RP \geq 10$ years), the order of aerial cover for the model domain, including the stable regions, is 72%, 85%, and 87%. When the unconditionally stable areas are excluded, the percentages become 46%, 47% and 38%, respectively, for the three soil depth products used. This region approximately marks the first peak of the relative histogram of $P(F)$ (Fig. 10b).

In the broad $0.9 > P(F) \geq 0.1$ range, the increase in fraction of area with $P(F)$ is gradual especially for the two M-SD simulations (Fig. 10a). In the highly unstable regions, with $P(F) \geq 0.9$ ($RP \leq 1.1$) as mapped in Fig. 8 and 9, the fractional area begins to rise again in all simulations (Fig 10a). $P(F)=1$ occupies 11% and 7% of the modeled area in the SSURGO-SD and M-SD simulations, which can be conceptually named as unconditionally unstable (i.e., unstable even when dry and with the highest combinations of C and σ sampled) (Pack et al., 1998; Montgomery 2001). The model run using M-SD LT soil scenario shows a smaller area percentage, $\sim 6\%$, with $P(F) \geq 0.9$, while SSURGO-SD and M-SD had 16% and 10%. M-SD LT soil scenario provides a more realistic estimate as some locations are not likely to produce slope failures annually due to limited soil development. The second peak of the relative frequency histogram of $P(F)$ appears when $P(F) > 0.9$, largely associated with postglacial barren lands with steep mountain slopes, and converging topography, especially in the case of SSURGO-SD (Fig. 10b). Dominant factors that control the relative frequency of $P(F)$ are labeled in Fig 10b, and further discussed in subsequent sections.

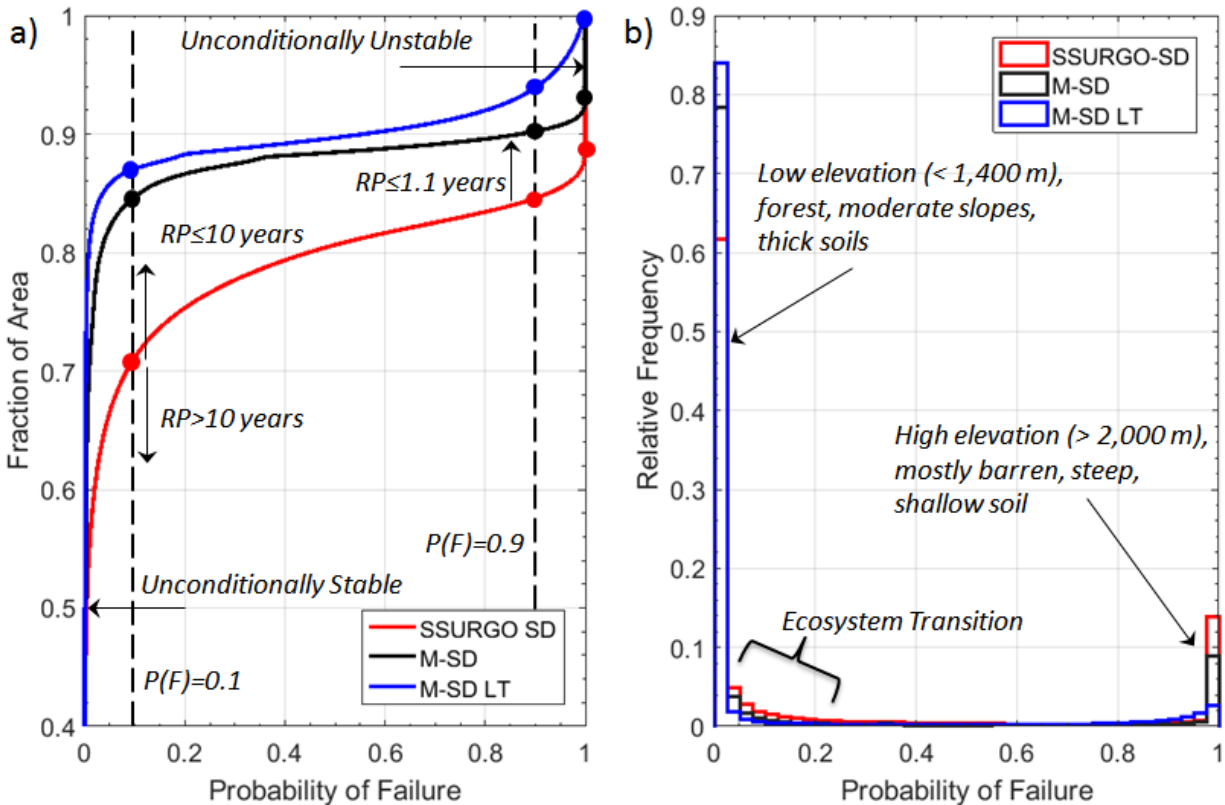


Figure 10. (a) Cumulative distribution and **(b)** relative frequency of $P(F)$ (bin size $\Delta P(F)=0.025$) mapped over NOCA from Landlab simulations using SSURGO-SD and two M-SD scenarios. Labels indicate dominant controls on the distribution of $P(F)$ in (b). Fraction of area is used for cumulative spatial probability, plotted using the Weibull plotting position. Return Period for landslides are illustrated only for SSURGO-SD.

We expressed the annual probability of landsliding in the form of a RP, plotted with respect to fraction of area for all three simulations, and mapped RPs for the M-SD LT scenario in Fig. 11. The M-SD LT reduces the probability and increases the return period estimates of landslide initiation, revealing the influence of long-term memory of landsliding on the probability distribution of soil thickness obtained from the soil evolution model. Therefore, the M-SD LT scenario would better suit the definition of RP, while the other two simulations provide reference for relative comparisons. In general and in concert with the $P(F)$, landslides at nearly all RPs affect a greater proportion of the domain when SSURGO-SD is used. Approximately 28% of the model domain is simulated to have a landslide return period of less than or equal to 10 years (i.e., $P(F) \geq 0.1$ or frequent slides) based on SSURGO-SD, compared to half as much area, 15%, for simulations using M-SD; M-SD LT had slightly less at 13%. Low return periods (i.e., < 10 years) coincide with steep slopes in barren areas that show chronic landsliding, low-cohesion vegetation type, such as herbaceous, as well as some steep hollows.

At the high end of the return period, 46% of the model domain was simulated to have landslides with a return period of ≥ 500 years for SSURGO-SD scenario, including stable areas, compared to 52% and 70% for model runs that used M-SD and M-SD LT scenario, respectively (Fig. 11). High return periods (i.e., $RP > 500$ years, $P(F) < 0.002$) are found where slopes are gentler, on divergent topography, and in forested areas. The fraction of the model domain with a landslide return period between 100 and 500 years is 10%, 18%, and 21% for SSURGO-SD, M-SD, and M-SD LT, respectively, showing a larger fraction in the M-SD products. These landslide frequency rates relate to long-term averages and the actual failures are likely to be clustered in space and time depending on triggering event and the time since the last landslide at the same location (Guthrie and Evans, 2004).

As soils in landslide locations are formed by sediment accumulation from surrounding hillsides and weathering of the local bedrock, landslides can be the main source of denudation across landslide-prone regions. The expected values of mean annual denudation rate is approximated by the spatial mean of $P(F) \cdot h_s / (\rho_r / \rho_s)$ for each simulation. This gives spatial average of the long-term denudation rates due to landslides as 51.9 mm y^{-1} , 7.06 mm y^{-1} , and 5.04 mm y^{-1} for SSURGO-SD, M-SD, and M-SD-LT scenarios, respectively. While these rates are higher than the reported mean annual denudation rates in this region over the last millennia of 0.08 to 0.57 mm y^{-1} (Moon et al., 2011), M-SD-LT clearly gives the closest estimates to observations among the three soil depth scenarios. Over an order of magnitude variation in denudation rates is also common as part of long-term records of erosion rates (e.g., Molnar, 2004).

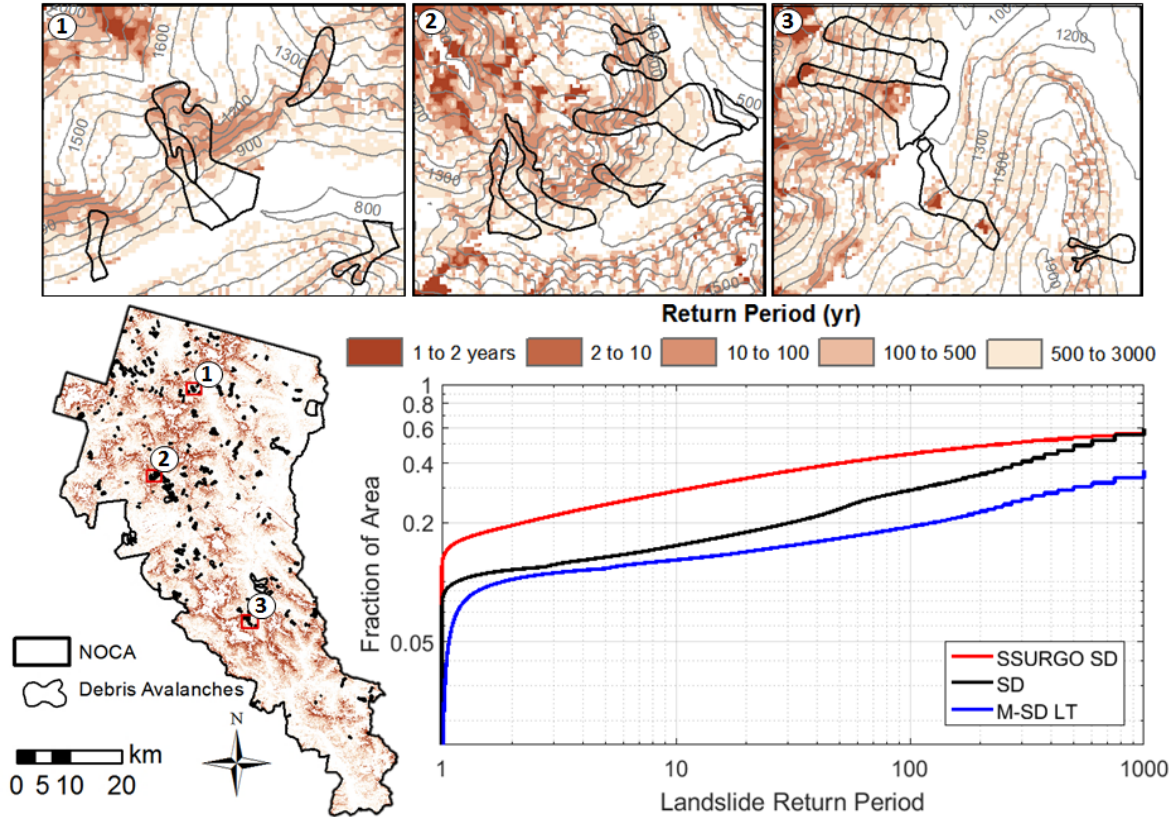


Figure 11. Modeled landslide return period simulations with M-SD LT for NOCA overlain with mapped debris avalanches, including zoomed in areas at top for greater detail. Cumulative distribution of return periods for SSURGO-SD, M-SD, and M-SD LT scenarios, plotted on a log-log scale using the Weibull plotting position.

A critical question that remains is: what are the dominant controls that lead to the bimodal distribution of landslide probability in the modeled domain? First, we examined if topography alone, represented by S and CA pairs, can explain this behavior. The S-CA data pairs from each model grid cell are colored by the value of $P(F)$ in the order from low to high value using output from the M-SD LT scenario (Fig. 12). As slopes get steeper ($S > 0.45$ or 24.2°), a relatively rapid increase in $P(F)$ in relation to slope from $P(F) = 0.4$ to 1.0 can be seen, surrounded with lower probabilities. CA does not seem to impose a visually detectable increase in $P(F)$, which is likely largely due to the wet climate in region. The landslide source cells identified from the highest elevation of debris avalanche shapefiles fall in the “eye” of this high- $P(F)$ region in the S-CA domain. Interestingly, $P(F)$ diminishes in the steepest slopes of most CAs. While the trend of increasing $P(F)$ as slope gets steeper generally shows the influence of slope in Eq. (1a), landscape with $P(F) \geq 0.4$ only constitute about 11% of the model domain (Fig. 10a). For comparison $P(F) \geq 0.1$ was 13%. On the other hand, about 57% of the domain has steeper slopes than 24.2° ($S = 0.45 \text{ m/m}$). This suggest that the majority of the domain with similar pairs of S and CA exhibit lower landslide probability, which can be largely attributed to the spatial distribution and influence of vegetation type and soil depth (e.g., Roering et al., 2003).

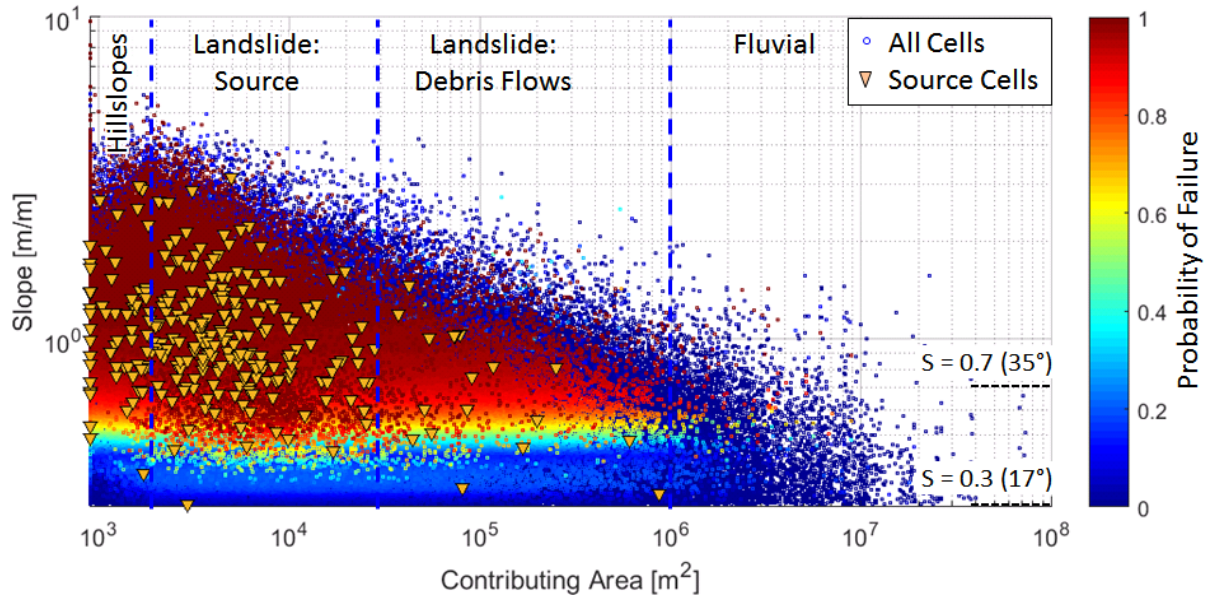


Figure 12. S-CA plot colored by the $P(F)$ simulated with from the M-SD LT. Source cells (orange triangles) are the single highest-elevation grid cell within mapped debris avalanches. Comparable to Fig. 5. High probabilities plot over low probabilities.

We investigated the roles of vegetation, slope steepness, and soil depth on $P(F)$ in relation to elevation (Fig 13). From low to high elevations, vegetation changes from predominantly forest (elevation <1,400 m) to coexisting shrub, herbaceous plants, and barren land (1,400 m to 2,200 m) as a result of elevation-dependent ecoclimatic controls (e.g., temperature) on vegetation survival and growth (Fig. 13a). In this region of ecosystem transition, the mean $P(F)$ shows a persistent increase from 1,400 m until a maximum is reached between 2,200 and 2,400 m, depending on simulation (Fig. 13b, 13c). Observations of debris avalanche by elevation confirm the pattern of $P(F)$ dependence on elevation in relation to ecosystem change; 75% of the extracted landslide initiation zones from mapped debris avalanches are located between 1,200 m to 2,000 m (Fig. 3b). In the 1,400 to 1,900 m elevation range of the ecosystem transition zone, mean slope is relatively constant ~ 0.75 m/m ($\sim 37^\circ$), and rises up to 0.9 m/m (42°) between 1,900 and 2,200 m (Fig 13c), consistent with the binned-averaged slopes of the landslide source area in the S-CA plot in Fig 5. Mean soil depth begins to drop in both SSURGO and modeled soil depth products above 2,200 m.

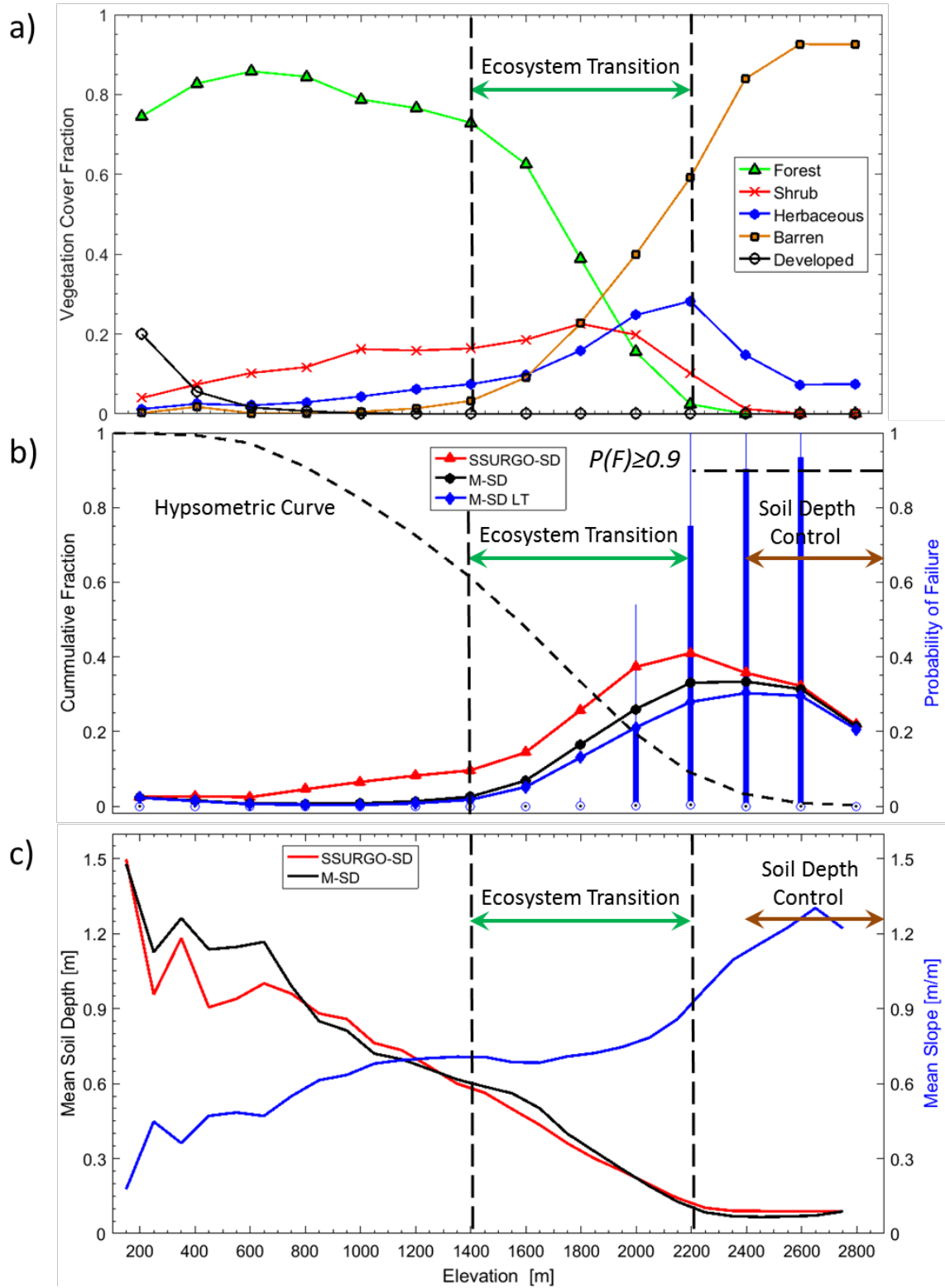


Figure 13. Elevation (200 m bands or bin) influence on: **(a)** vegetation cover fraction for NOCA, taken as fraction of vegetation type within each elevation band, **(b)** mean $P(F)$ using SSURGO-SD and two M-SD scenarios, along with compact box-whisker plots for $P(F)$ of M-SD LT scenario, circle-dot symbols

represents median (outliers not shown), overlaid with hypsometric curve for NOCA, and **(c)** mean soil depth for SSURGO-SD and M-SD products with mean slope. Mean values calculated within each 200-m elevation band.

5 These model results confirm the strong control of ecosystem transition on landslide activity in the region. Below about 1,400 m (~40% of NOCA), forested vegetation combined with deeper soils and moderate slopes keep $P(F)$ low. In the 1,400 to 2,200 m range, loss of root cohesion with ecosystem transition combined with gradual increase in landscape slopes contribute to increased $P(F)$. Above 2,200 m elevation, soils become very shallow and slopes exhibit the
10 steepest angles in the modeled domain. This combination leads to the largest variability in $P(F)$, combining the highest $P(F)$ values ($P(F) \geq 0.9$) mostly attributed to barren areas (~6% of the model domain in the M-SD LT scenario), with lower $P(F)$ values where thinner soils reduce the driving force within Eq. (1a). Total cohesion has been found to affect FS estimates more on thin soil than on thick soils (Hammond et al., 1992). The sensitivity of FS to cohesion is even more
15 pronounced on steep slopes, especially when saturated (Sidle 1984). Forest vegetation has also been found to stabilize slopes through the hydrological process of root water uptake and transpiration, which leads to drier soil conditions (Arnone et al., 2016b). In aggregate, thinner soils at higher elevations lead to lower mean $P(F)$, which we referred to as soil depth control (see also Sidle 1984). The general contribution of elevation on the spatial organization of $P(F)$ is
20 labeled in Fig 10b.

4.3 Model Evaluation

The performance of a landslide model is often based on its ability to capture existing mapped landslides. We statistically evaluated our model using Receiver Operating Characteristics (ROC)
25 (Fawcett, 2006) and Success Rate (SR) curves (Bellugi et al., 2015).

We limited our performance assessment to the source areas of the mapped debris avalanches. Source areas of debris avalanches were not mapped separately from the remaining debris avalanche features (i.e., transition and deposition zones), hindering the evaluation of model
30 predictions (Tarolli and Tarboton, 2006). Source areas we identified in relation to elevation (4318 samples) were treated as 'observed' landslide source cells during validation of the landslide probability using ROC and SR performance metrics. In this validation, we excluded barren areas with slopes $\geq 37^\circ$ (~5% of the model domain), which characterizes slopes of active small-scale dry landslides (failure depth $\leq$ soil depth) more appropriately represented by
35 nonlinear hillslope diffusion models (see Roering et al., 1999; DiBiase et al., 2010; Pelletier et al., 2013). For comparison of $P(F)$ with source area cells, we randomly sampled 50,000 grid cells outside mapped debris avalanches (~2% of the modeled domain), similar to the number of grid cells within entire mapped debris avalanche areas. We recognize that the areas outside mapped debris avalanches have the potential to be unmapped landslides, other landslide types,
40 or unstable areas deficient a triggering event; therefore, we interpret the test results conservatively.

ROC curves were used to examine how our model compares with randomly distributed landslides over the landscape. These curves are constructed from confusion matrices generated from comparisons between observed and modeled landslides, based on varying $P(F)$ threshold (e.g., 0.1, 0.2, 0.3, etc.). Details on calculating metrics used to generate these curves have been provided elsewhere (see: Mancini et al., 2010; El-Ramly et al., 2002; Anagnostopoulos et al., 2015). A better performing model will exhibit a curve toward the upper left of a false positive rate (x-axis) and true positive rate (y-axis) plot. A 1:1 line in the plot represents a trivial model that randomly assigns stable and unstable cells. The area under the curve (AUC) generated by ROC curve quantifies the performance of a model for identifying landslide and non-landslide locations. The AUC statistic represents the probability of correctly ranking a landslide and non-landslide pair randomly selected from those two datasets (Hanley and McNeil, 1982). SR curves are similar to ROC curves, but plot the fraction of landscape predicted as unstable (x-axis). Again, a relatively well performing model would plot farther away from the 1:1 line representing a trivial model.

For this comparison, we used the same datasets used in the cumulative probability analysis discussed Sect. 4.2. Both simulations using SSURGO and M-SD modeled 10% source areas and non-landslide areas better than random selection as demonstrated by the curves plotted above the 1:1 line (Fig. 14). However, the model's strength in the classification is modest as indicated by the AUC values of between 0.60 and 0.61, compared to an AUC of 1 representing a perfect classification. The TRIGRS-P probabilistic landslide model tested by Raia et al. (2014) found higher AUC results (i.e., 0.65 to 0.73). However, their study tested small areas (3 to 6 km²) that were well studied locations with detailed inventories of landslides resulting from one or two winter rainfall seasons and the entire landslide was tested rather than source areas only.

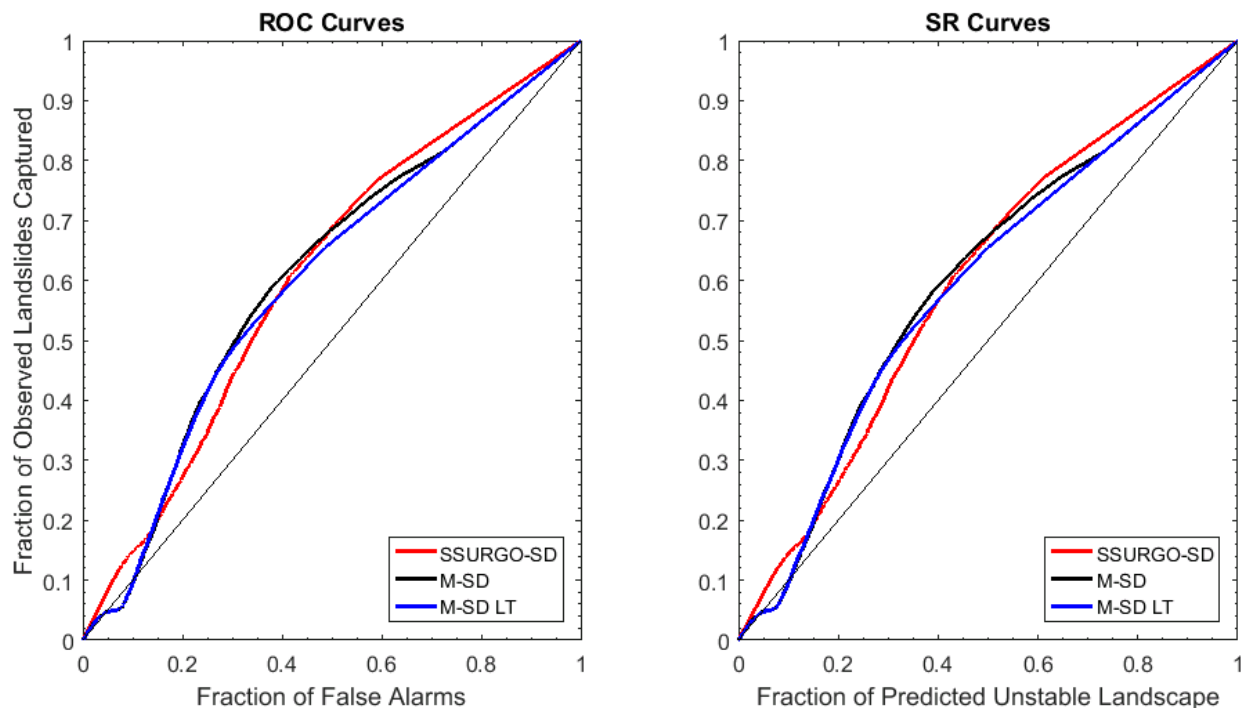


Figure 14. a) ROC curves and b) SR curves for simulations using SSURGO-SD, M-SD, and M-SD long-term (LT). Comparison represent P(F) for the upper 10% of DA as observed landslides to a random sample of 5,000 cells outside DAs. Thresholds for simulated probabilities associated with positive classification of a source areas declines along the curves from lower left to upper right. Black diagonal line on a 1:1 line represents the case of a trivial or random classification model. AUC values range from 0.60 to 0.61.

ROC and SR curves provide an indication of how well the modeled simulations of P(F) classify both observed landslide source cells and non-landslide grid cells compared to random classification. The crossing of ROC and SR curves in the simulations with M-SD (Fig. 14) implies that at higher probability thresholds, simulated probabilities delineate more false alarms (e.g., areas outside DAs as unstable) than capturing source areas. This may be indicative of the high probability values at high elevations even outside the debris avalanches where vegetation is sparse, as was indicated above in the analysis of cumulative distribution plots. We found for our case study that the optimal probability threshold to maximizing landslides captured and minimizing false alarms (i.e., point around the apex of the ROC curves) declines by half depending on the simulation: $P(F) \geq 0.008$ (i.e., $RP \leq 125$ years) for SSURGO-SD, $P(F) \geq 0.004$ (i.e., $RP \leq 250$ years) for M-SD, and $P(F) \geq 0.002$ (i.e., $RP \leq 500$ years) for M-SD LT.

The modeled potentially unstable landscape has generally been greater than observed landslides when infinite slope stability models are calibrated with limited observations (Sidle and Ochiai, 2006; Baum et al., 2010). As pointed out by Borga et al. (2002), concluding “overrepresentation” of areas potentially subject to shallow landsliding can be misleading because the absence of mapped landslides does not necessarily indicate an absence of landslide hazard over time across the landscape. Locations with high landslide probability outside mapped landslides in both simulations could be indicators of where to conduct additional investigations for missed landslides or areas on the verge of failing.

Validating hazard maps is challenging, especially in large areas of remote mountainous regions, because inventories are typically incomplete, lack the date of landslide occurrence, different landslide types likely have different meteorological triggers, environmental conditions change after a landslide event, and unidentified high probability areas may fail in the near future even though they appear to be stable during an inventory (van Westen et al., 2006; Tarolli and Tarboton, 2006). Additional evaluation of model performance would benefit from field investigation in areas of high and low modeled P(F) to identify any landslides or instability that may have been missed during the original inventory. Future work that couples the volume of sediment available for landsliding will lead to further improvements in estimating hazards and potential impacts from landslides.

4.4. Model Limitations

For model design and computation efficiency, we made several simplifying assumptions. We neglect groundwater leakage to the bedrock in recharge estimation and apparent soil cohesion through the effect of surface tension in unsaturated zones (e.g., Lepore et al., 2013), both of which could be added to future updates to the component. Tree and snow surcharge is also

disregarded, although it may have some stabilizing effect where soils are shallower than 1 m (Hammond et al., 1992). Our approach does not simulate the actual number of landslides, landslide type, nor the size of the landslide because the discretized nature of the failure field precludes specific knowledge of which and how many grid units may be involved in a failure at a particular time. These model omissions present opportunities for future customization of the component or coupling with other models.

Modeled probability does not capture the runout of debris avalanches, which can travel considerable distances in steep mountainous environments. Some unexpected results depicted higher probability in runout portions of some debris avalanches when using SSURGO-SD, but these probabilities were lower when M-SD scenarios were used (e.g., Fig. 8, middle zoomed-in panels). Mis-mapping of probabilities of failure and observed landslide are likely attributed to variations in soil depth, material properties, and hydrologic routing (Schmidt et al., 2001). Model variables such as slope derived from DEMs developed with post-landslide mapping can also contribute to reduced probabilities in observed landslides where slope and soil depth were reduced. Furthermore, inventories over broad areas are challenging as landslides are isolated processes that may occur with regularity, but may not be large in size (Van Westen et al., 2006). Finally, steady-state flow that we used for subsurface flow neglects transient processes and roles of macro-pores. Macropores from decayed roots or animal activity can be important in transporting water relatively quickly from the surface to deeper soil layers and groundwater (Sidle et al., 2001; Gabet et al., 2003; Beven and Germann, 2013).

5 Conclusion

We develop a regional model of probabilistic shallow landslide initiation based on the infinite slope stability equation coupled by steady-state subsurface hydrology driven by groundwater recharge. Uncertainty in model parameters is explicitly accounted for through Monte Carlo simulation. A geomorphic soil evolution model provides a spatially-distributed soil depth alternative to homogeneous patches of soil depths provided by SSURGO. This feature allows the landslide model to be used where soil depth information is uncertain, sparse, or absent. Our model workflow developed in Landlab (Hobley et al., 2017) is made up of a landsliding component, a Landlab utility for hydrologic data processing, and a model driver that runs the component. The model driver can be run on personal computers or online via Hydroshare through cloud computing creating reproducible results. Our approach demonstrates:

- Regional maps of landslide hazard produced with three different soil depth scenarios reveal alternative simulations of probability of landslide initiation, reflecting the importance in soil depth in landslide hazard prediction.
- Simulations using SSURGO-SD returned higher probability of failures and shorter return periods than simulations using modeled soil depth products (M-SD and M-SD LT). The M-SD LT simulation further reduces the probability of failure and increases the return period. Mean annual denudation estimates from the M-SD LT scenario show closer

estimates to published rates of denudation over the last millennia than the other simulations.

- SSURGO-SD scenario provide a short-term tool for high risk planning using conservative estimates of probability of failure, while M-SD LT provides long-term estimates arguably more consistent with landslide frequency in the region and useful for management of ecosystems and aquatic habitats, and estimation of sediment budgets for watershed planning.
- Elevation dependent patterns in probability of landslide initiation show the stabilizing effects of forests in low elevations, an increased landslide probability with forest decline at mid elevations (1,400 to 2,400 m), and soil limitation and steep topographic controls at high alpine elevations and post-glacial landscapes. These dominant controls manifest in a bimodal distribution of spatial annual landslide probability, peaks controlled by highly stable forested and chronically unstable post-glacial domains and other barren areas. This suggests that potential declines in forest cover with climate change could lead to widespread landslide activity.
- Model confirmation with limited observations revealed similar model confidence for the three hazard maps, suggesting suitable use as relative hazard products. Validation of the model with observed landslides is hindered by the completeness and accuracy of the inventory, estimation of source areas, and unmapped landslides.
- Our shallow landslide hazard model provides regional scale estimates of the relative annual probability of shallow landslide initiation as well as landslide return period, which is useful for civil protection through land use planning to minimize geohazard consequences from precipitation triggers.

6 Data and Model Availability

To facilitate ease of use of the landslide hazard model, we developed the landslide model as a component of Landlab, an open-source Python toolkit for two-dimensional numerical modeling of Earth-surface dynamics available at GitHub: <http://github.com/landlab/landlab> (Hobley et al., 2017). Documentation, installation instructions, and software dependencies for the entire Landlab project can be found at: <http://landlab.github.io/>. The Landlab project is tested on recent-generation Mac, Linux and Windows platforms using Python versions 2.7, 3.4, and 3.5. The Landlab modeling framework is distributed under a MIT open-source license. A component User Manual and driver scripts for the application of the Landlab LandslideProbability component can be found at https://github.com/RondaStrauch/pub_strauch_et al_esurf.

Online access to the Landlab LandslideProbability model is freely provided through <https://www.hydroshare.org>, where data and code drivers are available to demonstrate and explore the model using interactive IPython notebooks in a JupyterHub. Thus, users can access, test, adapt, and apply the landslide model for their area of interest without downloading Landlab or the components. Data and driver code used in this analysis are available at hydroshare (Strauch et al., 2017). Existing demonstration driver codes can be adapted to fit data provided in raster format by the user to create distributed data fields used as variables in

the component. Instructions for accessing HydroShare and the online demonstrations, codes, and data used in this paper are provided in the Supplement.

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10 contributors to the earth surface processes modeling community. Data repository (Strauch et al., 2017), testing, and online reproducibility was facilitated by the cyber infrastructure of HydroShare services provided by researchers associated with the Consortium of Universities for the Advancement of Hydrologic Science, Inc. (CUAHSI), particularly the support of Tony Castronova, and the team at CyberGIS Center for Advanced Digital and Spatial Studies for their
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