

1 Possible threshold controls on sediment grain properties of  
2 Peruvian coastal river basins

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9

10 **ABSTRACT**

11 Twenty-one coastal rivers located along the entire western Peruvian margin were analyzed to  
12 determine possible controls on sediment grain properties. This represents one of the largest grain  
13 size dataset that has been collected over a large area. Modern gravel beds were sampled along a  
14 north-south transect on the western side of the Peruvian Andes where the rivers cross the tip of  
15 the mountain range, and at each site the long *a*-axis and the intermediate *b*-axis of about 500  
16 pebbles were measured. Morphometric properties of each drainage basin, sediment and water  
17 discharge together with flow shear stresses were determined and compared against measured  
18 grain properties. Grain size data show that the values for the  $D_{50}$  are nearly constant and range  
19 between 2-3 cm, while the values of the  $D_{96}$  range between 6 and 12 cm. The ratios between the  
20 intermediate and the long axis range from 0.67 to 0.74. Linear correlations between all grain size  
21 percentiles and water shear stresses, mean basin denudation rates, mean basin slopes and basin  
22 sizes are small to non-existent. However exceptionally large  $D_{50}$  values of 4-6 cm were measured  
23 for basins situated between 11-12°S and 16-17°S latitude where hillslope gradients are steeper

24 than on the average or where mean annual stream flows exceed the average values of the western  
25 Peruvian streams by a factor of 2. We suggest that the generally uniform grain size pattern has  
26 been perturbed where either mean basin slopes, or water fluxes exceed threshold conditions.

27

## 28 **1. INTRODUCTION**

29 The size and shape of gravels bear crucial information about (i) the transport dynamics of  
30 mountain rivers (Hjulström, 1935; Shields, 1936; Blissenbach, 1952; Koiter et al., 2013;  
31 Whittaker et al., 2007; Duller et al., 2012; Attal et al., 2015), (ii) the mechanisms of sediment  
32 supply and provenance (Parker, 1991; Paola et al., 1992a, b; Attal and Lavé, 2006), and (iii)  
33 environmental conditions such as uplift and precipitation (Heller and Paola, 1992; Robinson and  
34 Slingerland, 1998; Foreman et al., 2012; Allen et al., 2013; Foreman, 2014). The mechanisms by  
35 which grain size and shape change from source to sink have often been studied with flume  
36 experiments (e.g. McLaren and Bowles, 1985; Lisle et al., 1993) and numerical models (Hoey  
37 and Ferguson, 1994). These studies have mainly been directed towards exploring the controls on  
38 the downstream reduction in grain size of gravel beds (Schumm and Stevens, 1973; Hoey and  
39 Fergusson, 1994; Surian, 2002; Fedele and Paola, 2007; Allen et al., 2016). In addition, it has  
40 been proposed that the grain size distribution particularly of mountainous rivers mainly depends  
41 on: (i) tectonic uplift resulting in steepening of the entire landscape (Dadson et al., 2003;  
42 Wittmann et al., 2007; Ouimet et al., 2009), (ii) earthquakes and seismicity causing the release of  
43 large volumes of landslides (Dadson et al., 2003; McPhillips et al., 2014); (iii) precipitation rates  
44 and patterns controlling river discharge and shear stresses (D'Arcy et al., 2017; Litty et al.,  
45 2017); and (iv) bedrock lithology where low erodibility lithologies are sources of larger volumes  
46 of material (Korup and Schlunegger, 2009, Allen et al., 2015). Accordingly, the sediment caliber

47 in these rivers could either reflect the nature of erosional processes in the headwaters and  
48 conditions thereof (such as lithology, slope angles, seismicity releasing landslides), which then  
49 corresponds to supply-limited conditions. Alternatively, if enough material is supplied to the  
50 streams, then the grain size pattern mainly depends on the runoff and related shear stresses in  
51 these rivers, which in turn corresponds to transport-limited conditions.

52 The western margin of the Peruvian Andes represents a prime example where these mechanisms  
53 and related controls on the grain size distribution of river sediments can be explored. In  
54 particular, this mountain belt experiences intense and frequent earthquakes (Nocquet et al., 2014)  
55 in response to subduction of the oceanic Nazca plate beneath the continental South American  
56 plate at least since late Jurassic times (Isacks, 1988). Therefore, it is not surprising that erosion  
57 and the transfer of material from the hillslopes to the rivers has been considered to strongly  
58 depend on the occurrence of earthquakes (McPhillips et al., 2014). On the other hand, it has also  
59 been proposed that denudation in this part of the Andes is controlled by distinct precipitation rate  
60 gradients. These inferences have been made based on concentrations of in-situ cosmogenic  $^{10}\text{Be}$   
61 measured in river-born quartz (Abbühl et al., 2011; Carretier et al., 2015; Reber et al., 2017), and  
62 on morphometric analyses of the western Andean landscape (Montgomery et al., 2001).  
63 Accordingly, erosion along the western Peruvian Andes has been related to either the occurrence  
64 of earthquakes and thus to tectonic processes (McPhillips et al., 2014) or rainfall rates (Abbühl et  
65 al., 2011; Carretier et al., 2015) and thus to the stream's mean annual runoff (Reber et al., 2017).  
66 Therefore, we hypothesize that hillslope erosion paired with the streams runoff are likely to have  
67 a measurable impact on the grain size pattern in the Peruvian streams.

68 Here we present data on sediment grain properties from rivers situated on the western margin of  
69 the Peruvian Andes (Figure 1A) in order to elucidate the possible effects of intrinsic factors such

70 as morphometric properties of the drainage basins (mean slope, drainage area, stream lengths),  
71 and extrinsic properties (runoff and seismic activity) on sediment grain properties. To this extent,  
72 we collected grain size data from gravel bars of each stream along the entire western Andean  
73 margin of Peru that are derived from 21, over 700-km<sup>2</sup>-large basins. Sampling sites were situated  
74 at the outlets of valleys close to the Pacific Coast. This represents one of the largest grain size  
75 datasets that have ever been collected over areas which have experienced different tectonic and  
76 climatic conditions.

77

### 78 *1.1 Geologic and tectonic setting*

79 The study area is located at the transition from the Peruvian Andes to the coastal lowlands along  
80 a transect from the cities of Trujillo in the north (8°S) to Tacna in the south (18°S). In northern  
81 and central Peru, a flat, up-to 100 km wide, broad coastal forearc plain with Paleogene-Neogene  
82 and Quaternary sediments connects to the western Cordillera. This part of the western Cordillera  
83 consists of Cretaceous to late Miocene plutons of various compositions (diorite, but also tonalite,  
84 granite and granodiorite) that crop out over an almost continuous, 1600-km long arc that is  
85 referred to as the Coastal Batholith (e.g. Atherton, 1984; Mukasa, 1986; Haederle and Atherton,  
86 2002; Figure 1B). In southern Peru, the coastal plain gives way to the Coastal Cordillera that  
87 extends far into Chile. The western Cordillera comprises the central volcanic arc region of the  
88 Peruvian Andes with altitudes of up to 6768 m.a.s.l., where currently active volcanoes south of  
89 14°S of latitude are related to a steep slab subduction. On the other hand, Cenozoic volcanoes in  
90 the central and northern Peruvian arc have been extinct since c. 11 Ma due to a flat slab  
91 subduction, which inhibited magma upwelling from the asthenosphere (Ramos, 2010).



92 The bedrock of the Western Cordillera is dominated by Paleogene, Neogene and Quaternary  
93 volcanic rocks (mainly andesitic or dacitic tuffs, and ignimbrites) originating from distinct  
94 phases of Cenozoic volcanic activity (Vidal, 1993). These rocks rest on Mesozoic and Early  
95 Paleogene sedimentary rocks (Figure 1B).

96 The tectonic conditions of the western Andes are characterized by strong N-S gradients in  
97 Quaternary uplift, seismicity and long-term subduction processes, which in turn seem controlled  
98 by a plethora of tectonic processes. The northern segment of the coastal Peruvian margin (i.e. to  
99 the north of 13°S latitude), hosts a coastal plain that shows little evidence for uplift, and the  
100 Nazca plate subducts at a low angle. Also in this region, the occurrence of large historical  
101 earthquakes at least along the coastal segment has been much less (Figure 2c). Only in  
102 northernmost Peru (4° to 6° S latitude) uplift of the coastal area is associated with subduction  
103 earthquakes (Bourgeois et al., 2007). Further south, in the Cordillera Blanca area (around 12° S  
104 latitude) may have been uplifted due to upwelling of magma (McNulty and Farber, 2002). In  
105 particular, the coastal segment south of 13°S hosts raised Quaternary marine terraces (Regard et  
106 al., 2010), suggesting the occurrence of surface uplift at least during Quaternary times. Since the  
107 number and altitude of the terraces increases closer to the area where currently the Nazca ridge  
108 subducts, uplift of the coastal area in a radius of approximately 200 km around the ridge (roughly  
109 12° to 14° S latitude) is attributed to ridge subduction (Sébrier et al., 1988; Macharé and Ortlieb,  
110 1992). Between 15° and 18° S latitude, uplift is associated with bending of the Bolivian orocline  
111 (Noury et al., 2016). The area south of 12° S latitude is also the segment of the Andes where the  
112 number of earthquakes with magnitudes > 4 has been large relative to the segment farther north  
113 (Figures 1 and 2c). In contrast, the northern segment of the coastal Peruvian margin (i.e., to the  
114 north of 13°S latitude), hosts a coastal plain that has been subsiding and the Nazca plate subducts

115 at a low angle. Also in this region, the frequency of large historical earthquakes at least along the  
116 coastal segment has been much less (Figure 2c)

117

## 118 *1.2 Morphology*

119 The local relief along the western Cordillera has been formed by deeply incising rivers that flow  
120 perpendicular to the strike of the Andes (Schildgen et al., 2007). The morphology of the  
121 longitudinal stream profiles is characterized by two segments separated by a distinct knickzone  
122 (Trauerstein et al., 2013). These geomorphic features have formed through headward retreat in  
123 response to a phase of enhanced surface uplift during the late Miocene (e.g., Schildgen et al.,  
124 2007). Upstream of these knickzones, the streams are mainly underlain by Cenozoic  
125 volcanoclastic rocks, while farther downstream incision has disclosed the Coastal Batholith and  
126 older meta-sedimentary units (Trauerstein et al. 2013). The upstream edges of these knickzones  
127 also delineate the upper boundaries of the major sediment sources (Litty et al., 2017). Little to  
128 nearly zero clastic material has been derived from the headwater reaches on the Altiplano, where  
129 the flat landscape has experienced nearly zero erosion, as  $^{10}\text{Be}$ -based denudation rate estimates  
130 (Abbühl et al., 2011) and provenance tracing have shown (Litty et al., 2017).

131 The pattern of mean slopes per drainage basin reveals a distinct N-S trend (Table 1). The  
132 corresponding values increase from  $20^\circ$  to  $25^\circ$  going from  $6^\circ\text{S}$  to  $10^\circ\text{S}$  latitude (where they reach  
133 maximum values between 0.4 to 0.45 m/m) after which they decrease by nearly 50% to values  
134 ranging between  $10^\circ$  and  $15^\circ$ . These relationships have not been explored yet, but most likely  
135 reflect the extent to which streams have crossed the western escarpment and sourced their waters  
136 in the relatively flat plateau of the Puna region. Indeed, most of the western Peruvian streams  
137 have their water sources on this flat area and then cross the western escarpment, which yields

138 relatively low mean basin slopes particularly for basins south of 12°S. Contrariwise, the basins  
139 around 11°-12°S latitudes (which are characterized by the steep slopes) have their sources in the  
140 relatively steep Cordillera Negra (Figure 1A), which is a relatively dry mountain range situated  
141 on the steep escarpment. Along these latitudes, the high Andes are constituted by the high and  
142 heavily glaciated Cordillera Blanca situated farther to the east (Figure 1A). This mountain range  
143 is drained by the Rio Santa, which flows parallel to the Andes strike within the valley of the Rio  
144 Santa , and then crosses the Cordillera Negra at a right angle (Figure 1A).

145

### 146 *1.2 Climatic setting and runoff of streams*

147 The Peruvian western margin shows an E-W contrasting precipitation pattern with high annual  
148 precipitation rates up to 800 mm on the Altiplano and c. 0 mm per year on the coast ((Huffman et  
149 al., 2007; Figure 1C). This precipitation gradient in the western Andes is related to the position  
150 of the Intertropical Convergence Zone (ITCZ, inset of Figure 1C) associated with an orographic  
151 effect on the eastern side of the Andes (Bookhagen and Strecker, 2008). During austral summer  
152 (January) the center of the ITCZ is located farther south, transferring the moisture from the  
153 Amazon tropical basin to the Altiplano (Garreaud et al., 2009) and leading to a wet climate on  
154 the Altiplano with high precipitation rates. During austral winter, the Altiplano is under the  
155 influence of dry air masses from the subsiding branch of the Hadley cell that result in a more  
156 equatorial position of the ITCZ and in a dry persistent westerly wind with almost no precipitation  
157 on the Altiplano. Additionally, the Andes form an orogenic barrier preventing Atlantic winds and  
158 moisture from reaching the coast. In addition, every 2 to 10 years, near to the Equator, the  
159 Pacific coast is subjected to strong precipitation resulting in high flood variability, related to the  
160 El Niño weather phenomenon (DeVries, 1987).

161 Mean annual discharge of streams along the western Peruvian margin has been reported by  
162 Reber et al. (2017). These authors calculated mean annual discharge values using the TRMM-  
163 V6.3B43.2 precipitation database by Huffman et al. (2007) as a basis. Reber et al. (2017, see  
164 their Table 3) corrected the theoretical values for water losses due to evaporation and irrigation  
165 using the gauging record of a minimum of 12 basins situated close to the Pacific ocean. For these  
166 areas hydrological data has been reported by the Sistema Nacional de Información de Recursos  
167 Hídricos (SNIRH). The hydrological data thus cover a time span of c. 12 years. The results show  
168 a pattern where mean annual runoff of these streams ranges between c. 10-40 m<sup>3</sup>/s. Rivers where  
169 mean annual runoff values are nearly 80 m<sup>3</sup>/s comprise the Rio Santa at c. 9°S latitude (Figure  
170 1A), which derives its water from glaciers in the Cordillera Blanca. Two other streams with high  
171 discharge values are situated at 16°-17°S (Rio Ocoña and Rio Camaña, Figure 1A) where the  
172 corresponding headwaters spread over a relatively large area across the Altiplano, thereby  
173 collecting more rain than the other basins.

174

## 175 **2. SITE SELECTION AND METHODS**

176 Sampling sites are situated in the main river valleys in the western Cordillera between 8°S and  
177 18°S latitude just before it gives way to the coastal margin. Only the 21 main river basins were  
178 selected, which were generally larger than 700 km<sup>2</sup>. We selected the downstream end of these  
179 rivers for simplicity because this yields comparable conditions as the base level is the same for  
180 all streams. Sampling sites are all accessible along the Pan-American Highway (see Table 1 for  
181 the coordinates of the sampling sites). Additionally, the Majes basin (marked with red color on  
182 Figure 1A) has been sampled at five sites from upstream to downstream to explore the effects  
183 related to the sediment transport processes for a section across the mountain belt, but along the

184 stream (Figure 3; Table 2). The Majes basin has been chosen because of its easy accessibility in  
185 the upstream direction and because the morphology of this basin has been analyzed in a previous  
186 study (Steffen et al., 2010).

187 We randomly selected five longitudinal bars where we collected our grain size dataset. It has  
188 been shown that using a standard frame with fixed dimensions to assist gravel sampling reduces  
189 user-biased selections of gravels (Marcus et al., 1995; Bunte and Abt, 2001a). In order to reduce  
190 this bias, we substituted the frame by shooting an equal number of photos at a fixed distance (c. 1  
191 m) from the ground surface at each longitudinal bar. Ten photos were taken from an  
192 approximately 10 m<sup>2</sup>-large area to take potential spatial variabilities among the gravel bars into  
193 account. From those photos, the intermediate *b*-axes and the ratio of the *b*-axes and the long *a*-  
194 axis of around 500 randomly chosen pebbles were manually measured (Bunte and Abt, 2001b)  
195 and processed using the software program ImageJ (Rasband, 1997). Our sample population  
196 exceeds the minimum number of samples needed for statistically reliable estimations of grain  
197 size distributions in gravel bars (Howard, 1993; Rice and Church, 1998).

198 The pebbles were characterized on the basis of their median ( $D_{50}$ ), the  $D_{84}$  and the coarse ( $D_{96}$ )  
199 fractions. This means that 50%, 84% and 96% of the sampled fraction is finer grained than the  
200 50<sup>th</sup>, 84<sup>th</sup> and 96<sup>th</sup> percentiles of the samples. On a gravel bar, pebbles tend to lie with their short  
201 axis perpendicular to the surface, thus exposing their section that contains the *a*- and *b*-axes  
202 (Bunte and Abt, 2001b). However, the principal limitation is the inability to accurately measure  
203 the fine particles < 3 mm (see also Whittaker et al., 2010). While we cannot resolve this problem  
204 with the techniques available, we do not expect that this adds a substantial bias in the grain size  
205 distributions reported here as their relative contributions to the point count results are minor (i.e.  
206 < 5%, based on visual inspection of the digital images).

207 Catchment-scale morphometric parameters and characteristics, including drainage area, mean  
 208 slope angle for each catchment, slope angle of the stream channel at the sampling site and  
 209 distances from the sample sites to the upper edge of the Western Escarpment were extracted  
 210 from the 90-m-resolution digital elevation model (DEM) Shuttle Radar Topography Mission  
 211 (SRTM; Reuter et al., 2007).

212 Because grain size patterns largely depend on water shear stresses, we explored where such  
 213 correlations might exist. We thus computed water shear stresses  $\tau$  following Hancock and  
 214 Anderson (2002) and Litty et al. (2016), where:

$$215 \quad \tau = 0.54\rho g \left(\frac{Q}{W}\right)^{0.55} S^{0.93} \quad (1).$$

216 Here,  $\rho=1000 \text{ kg/m}^3$  is the water density,  $g$  the gravitational acceleration,  $Q$  ( $\text{m}^3/\text{s}$ ) is mean  
 217 annual water discharge that we have taken from Reber et al. (2017),  $W$  ( $\text{m}$ ) the channel width,  
 218 and  $S$  ( $\text{m/m}$ ) is the channel gradient. Stream channel widths with an estimated error of 2 m were  
 219 measured on satellite images where available, and on photos taken during the field campaign.

220 We were also interested in exploring whether sediment flux has a measurable impact on the grain  
 221 size pattern because higher denudation rates could be associated with the supply of more coarse-  
 222 grained material to the trunk stream This in turn could result in larger clasts in these streams and  
 223 this could potentially cause gravel fronts to shift towards more distal sites (Dingle et al., 2017),  
 224 thereby coarsening the sediment caliber at our sampling sites. These basins have recently been  
 225 analyzed for  $^{10}\text{Be}$ -based catchment averaged denudation rates and mean annual water fluxes  
 226 (please see Reber et al., 2017, and information presented above). This allows us to explore  
 227 whether sediment flux, which equals the product between  $^{10}\text{Be}$ -based denudation rates and basin  
 228 size, has a measurable impact on the grain size pattern. We have considered the  $^{10}\text{Be}$ -based

229 basin mean denudation rates (Reber et al., 2017; Table 1) as variable because higher denudation  
230 rates could be associated with the supply of more coarse-grained material to the trunk stream,  
231 which in turn could result in larger clasts in these streams. Furthermore, we also calculated mean  
232 basin sediment fluxes as a product between  $^{10}\text{Be}$ -based denudation rates and basin size. We  
233 considered this variable because

234 Possible covariations and correlations between grain size and/or morphometric parameters and  
235 basin characteristics were evaluated using Pearson correlation coefficients, thus providing  
236 corresponding r-values (Table 3). The r-values measure the linear correlations between variables.  
237 The values range between +1 and -1, where +1 reflects a 100% positive linear correlation, 0  
238 reflects no linear correlation, and -1 indicates a 100% negative linear correlation (Pearson,  
239 1895). Threshold values of  $> + 0.30$  and  $< - 0.30$  were selected to assign positive and negative  
240 correlations, respectively.

241

## 242 **3. RESULTS**

### 243 ***3.1 Grain size***

244 The results of the grain size measurements reveal a large variation for the *b*-axis where the  
245 values of the  $D_{50}$  range from 1.3 cm to 5.5 cm (Figure 2h; Table 1). Likewise,  $D_{84}$  values vary  
246 between 3 cm and 10.5 cm. The sizes for the  $D_{96}$  reveal the largest spread, ranging from 6 cm to  
247 31 cm. The ratio between the lengths of the *b*-axis and *a*-axis (sphericity ratio) is nearly constant  
248 and varies between 0.67 and 0.74 (Figure 2i). Note that between  $15.6^{\circ}\text{S}$  and  $13.7^{\circ}\text{S}$ , no gravel  
249 bars are encountered in the rivers where they leave the mountain range, and only sand bars can  
250 be found. Therefore no results are exhibited for these latitudes (Figure 2h and 2i).

251

### 252 **3.2 The Majes basin**

253 The  $D_{50}$  percentile of the  $b$ -axis decreases from 6.2 cm to a value of 5.2 cm c. 80 km farther  
254 downstream (Figures 3 and 4 and Table 2). Likewise, the  $D_{84}$  decreases from 19 cm to 8.7 cm,  
255 and the  $D_{96}$  decreases from 31 cm to 11.6 cm (Figure 4). Geomorphologists widely accept the  
256 notion that the downstream hydraulic geometry of alluvial channels reflects the decrease of  
257 particle size within an equilibrated system involving stream flow, channel gradient, sediment  
258 supply and transport (Hoey and Ferguson, 1994; Fedele and Paola, 2007; Attal and Lavé, 2009).  
259 Sternberg (1875) formalized these relations and predicted an exponential decline in particle size  
260 in gravel-bed rivers as a consequence of abrasion and selective transport where the gravel is  
261 transported downstream. The relation follows the form:  $D_x = D_0 e^{-\alpha x}$  (Sternberg, 1875). Here,  
262 the exponent  $\alpha$  decreases from 0.3 for the  $D_{96}$  to 0.1 for the  $D_{50}$  (Figure 4).

263

### 264 **3.3 Correlations between grain sizes and morphometric properties**

265 Table 3 shows the Pearson correlation coefficients (r-value) between the grain sizes, the  
266 morphometric parameters and the characteristics of the basins. As was expected, the  $D_{50}$ ,  $D_{84}$  and  
267  $D_{96}$  all strongly correlate with each other ( $0.73 < r\text{-value} < 0.93$ ), but the  $b/a$  ratios do not  
268 correlate with any of the three percentiles ( $-0.1 < r\text{-value} < 0.1$ ). Likewise, inter-correlation  
269 relationships also exist among other variables such as catchment area, distance from the western  
270 escarpment, sediment flux and water discharge (Table 3). The  $D_{50}$  values positively but weakly  
271 correlate with the sizes of the catchment area ( $r\text{-value} = 0.31$ ), the distances from the Western  
272 Escarpment ( $r\text{-value} = 0.35$ ), the mean annual shear stress at the sampling site ( $r\text{-value} = 0.23$ ),  
273 the denudation rates ( $r\text{-value} = 0.34$ ) and the sediment fluxes ( $r\text{-value} = 0.42$ ; Table 3). The  $D_{84}$   
274 and the  $D_{96}$  values correlate positively with the mean annual shear stress exerted by the water



275 flux with a r-value of 0.33 and 0.39 (Table 3). However, we note that these correlations are  
276 weak, and some might break apart if the largest values for e.g. shear stresses (Table 3) are  
277 removed.

278 At a broader scale, values of the  $D_{50}$  are nearly constant between 2 and 3 cm (Table 3). The  
279 largest  $D_{50}$  with values of up to 6 cm are encountered in streams that are either sourced in the  
280 Cordillera Negra where mean basin slope angles are larger than  $20^\circ$ , or in the Rio Ocoña and Rio  
281 Camaña rivers located at  $16^\circ$ - $17^\circ$ S, which have the largest mean annual discharge as they capture  
282 their waters from a broad area on the Altiplano.

283 The ratio of the intermediate axis over the long axis negatively correlates with the distance from  
284 the Western Escarpment (r-value = -0.33), albeit with a poor correlation, but a strong and  
285 positive correlation is found with the mean slope angles of the basins (r-value = 0.63; Table 3).

286

## 287 **4. DISCUSSION**

288

### 289 **4.1 SLOPE ANGLE CONTROLS ON SPHERICITY**

290 The poor negative correlation of -0.33 between the sphericity of the pebbles and distance from  
291 the escarpment edge (Table 3) prevents us from inferring a distinct control of this variable. On  
292 the other hand, the positive Pearson correlation of 0.63 between the sphericity of the pebbles and  
293 the mean basin slope is quite high (Table 3), thus pointing towards a significant control. This  
294 suggests that basins with steeper slopes produce rounder pebbles. We do not consider that this  
295 pattern is due to differences in exposed bedrock in the hinterland because the litho-tectonic  
296 architecture is fairly constant along the entire Peruvian margin (Figure 1). We tentatively infer  
297 that time scales of transport and evacuation of material are likely to be shorter in steeper basins

298 compared to shallower ones. This might influence the shape of pebbles as they tend to flatten as  
299 effects of abrasion and 3D heterogeneities of bedrock that becomes more obvious with time and  
300 transport distance (Sneed and Folk, 1958). We thus see the positive correlation between mean  
301 hillslope angle and the sphericity of pebbles as a very likely consequence of shorter transport  
302 times in steeper basins, but we note that this hypothesis needs to be confirmed by detailed real-  
303 time surveys of material transport from sources down to the end of these rivers.

304

#### 305 4.2 CONTROLS ON GRAIN SIZE

##### 306 *Downstream fining trends in the Majes basin indicate fluvial controls*

307 In fluvial environments, the sorting of the sediment depends on the downstream distance from its  
308 source (Hoey and Ferguson, 1994; Kodoma, 1994; Paola and Seal, 1995). This is particularly the  
309 case for the Majes river, where we see an exponential, downstream fining trend (Figure 4). This  
310 is somewhat surprising because sufficiently voluminous sediment input from other sources may  
311 perturb any downstream fining trends in the grain size distribution (Rice and Church, 1998).  
312 Likewise, in the Majes basin, the sediment supply from the hillslopes to the trunk stream has  
313 occurred mainly through debris flow processes and landsliding (Steffen et al., 2010; Margirier et  
314 al., 2015). So, while the supply of hillslope-derived material is likely to have been accomplished  
315 by mass wasting processes, the evacuation and transport of this sediment down to the Pacific  
316 Ocean has occurred mainly through fluvial transport.

317

##### 318 *Grain size and earthquake impact*

319 Landslides and debris flows represent the main processes of hillslope erosion and the main  
320 source of sediment in tectonically active orogens (Hovius et al., 1997; Korup et al., 2011). They

321 are generally associated with triggers such as earthquakes or intense rainfall and generally supply  
322 coarse and voluminous sediments to the trunk rivers (Dadson et al., 2003; McPhillips et al.,  
323 2014). In that sense, we would expect a positive correlation between the frequency of large  
324 earthquakes and the grain size where an increase in earthquake frequency would induce an  
325 increase in landslide occurrence, thereby supplying coarser grained sediment from the hillslopes  
326 to the rivers. These relationships have been elaborated in multiples studies where positive  
327 relationships between landslide occurrence and the size of earthquakes have been documented  
328 (e.g., Keefer, 1984; 1994; Parker et al., 2011). We note here that a global-scale correlation  
329 between earthquake magnitudes and areas affected by landslides suggests that mass movements  
330 are triggered by earthquakes if a threshold magnitude of 5.5 is exceeded (Keefer, 1984). Here,  
331 we consider earthquakes with magnitudes  $>4.5$  because Figure 1 by Keefer (1984) suggests that  
332 earthquakes with magnitudes as low as 4.5 are theoretically able to release landslides over an  
333 area larger than  $10 \text{ km}^2$ , which is already a large area. However, we do not see correlation  
334 between the number of recorded historical earthquakes larger than 4.5 Mw and the grain size  
335 data (Figure 2c). We then expect that the frequency of earthquakes larger than 4.5 Mw, and  
336 related to this, the subduction mechanisms, do not exert a measurable control on the grain size in  
337 the rivers of the western Peruvian Andes.

338

### 339 *Possible threshold limits as controls on the grain size pattern*

340 We consider the correlations between the grain size data and the basins scale properties (basin  
341 area, mean basin denudation rates, water shear stresses, sediment fluxes) as weak and  
342 unconvincing (Table 3). However, we recall that the  $D_{50}$  records a nearly uniform pattern with  
343 values that range between 2-3 cm along the studied western Peruvian margin. However, higher

344 values of up to 6 cm are either measured in streams where mean slope angles of the bordering  
345 hillslopes in the upstream basin exceed  $20^\circ$  (between  $11^\circ$  and  $12^\circ\text{S}$ ) or where water runoff values  
346 are nearly twice as large as the mean of all Peruvian streams (ranging between  $10\text{-}40\text{ m}^3/\text{s}$   
347 between  $16^\circ$  and  $17^\circ\text{S}$ ; see Figure 3 and Table 3). Based on these observations, we tentatively  
348 interpret a supply control on the median grain size for the Cordillera Negra streams where slopes  
349 are mediating grain size through a threshold effect. In this case, these thresholds on the hillslopes  
350 are likely to be conditioned by the at-yield mechanical states of bedrock (Montgomery, 2001;  
351 Ouimet et al., 2009), where hillslopes with dip angles up to  $20\text{-}25^\circ$  can be sustained. Under these  
352 conditions, mass failure processes are likely to dominate the supply of material to the trunk  
353 stream, thereby increasing the caliber of the supplied material and causing the bedload material  
354 to coarsen. In the same sense, a threshold response to steeper slopes has been interpreted for the  
355 pattern of  $^{10}\text{Be}$ -based denudation rates in the Andes (Reber et al., 2017) and in the Himalayas  
356 (Ouimet et al., 2009). In both cases, the relationships between denudation rates and mean basin  
357 slopes was considered to follow a non-linear diffusive mass transport model where denudation  
358 rates are proportional to mean basin slopes for low gradients, while these relationships become  
359 non-linear for slopes approaching a critical value. Reber et al. (2017) set this critical value to  
360  $27.5^\circ$ , but the linear relationship of their dataset breaks apart for gradients larger than 0.4, which  
361 corresponds to an angle of c.  $21^\circ$ . At these conditions, hillslopes approach a threshold where  
362 slope angles are limited by the mechanical strength of bedrock (Montgomery, 2001; Schlunegger  
363 et al., 2013). Hillslope erosion is then mainly accomplished through mass failure processes,  
364 which in turn, is likely to supply more coarse-grained material to the trunk stream (see above), as  
365 modern examples have shown (Bekaddour et al., 2013). We note, however, that a confirmation  
366 of this hypothesis requires data about the spatial density and frequency of landslide occurrence

367 along the western Peruvian Andes. This dataset, however, is not available yet, and its  
368 establishment warrants further investigations.

369 In basins situated between 16°-17°S, mean basin slopes are clearly below threshold conditions,  
370 but the  $D_{50}$  is twice as large as in neighboring rivers. Interestingly, these streams have mean  
371 annual discharge values that are twice as large as the western Peruvian streams on the average.  
372 Similar to the Cordillera Negra, we relate the relationships at 16°-17°S to threshold controls. In  
373 this case, however, they are likely to be conditioned by transport. The mechanisms by which  
374 grain size can be mediated through a threshold effect upon transport are less well understood, but  
375 it has been known at least since the engineering work by Shields (1936), and particularly by  
376 Peter Meyer Müller (1948) that threshold conditions have to be exceeded and have a control on  
377 transport of grains in fluvial streams. As a consequence, at transport-limited conditions, sediment  
378 flux, and most likely also the caliber of the transported material, depends on the frequency and  
379 the magnitudes at which these thresholds are exceeded rather than on a mean value of water  
380 discharge (Dadson et al., 2003). This might be the reason why values of water shear stresses, that  
381 are calculated based on the annual mean of water flux, are not sufficiently strongly correlated  
382 with the  $D_{50}$  values to invoke a strong controls thereof (Table 3). However, the lack of  
383 information about discharge patterns prevents us from calculating the magnitude-frequency  
384 distribution of runoff. Nevertheless, we consider the occurrence of larger peak floods for streams  
385 that capture a large portion of their waters on the Altiplano Plateau. This might indeed be the  
386 case for the Rio Ocoña and Rio Camaña. We thus tentatively assign large peak floods for these  
387 streams, which might explain the larger  $D_{50}$  values encountered in their gravel bars. Although  
388 highly speculative, we support our statement by the highly seasonal character of precipitation  
389 occurrence particularly on the eastern Andean margin and the Altiplano Plateau, which is largely

390 conditioned by the monsoonal Andean jet (see above). We note, however, that this statement  
391 warrants a high resolution hydrological dataset for the western Peruvian streams, which is not  
392 available.

393 An exception from these relationships is presented by the Rio Santa (Figure 1A) where mean  
394 annual water discharge reaches a value of almost  $80 \text{ m}^3/\text{s}$ , but where the size of the  $D_{50}$  is low.  
395 We relate this to the possible supply-limited state of this stream, conditioned by the orogen-  
396 parallel valley of the Rio Santa between the Cordillera Blanca and the Cordillera Negra, which  
397 has acted as a subsiding graben since the past 5.4 Ma (Giovanni et al., 2010; Margirier et al.,  
398 2015) and which might thus have operated as a sediment trap. This interpretation is also  
399 consistent with the low  $^{10}\text{Be}$ -based catchment averaged denudation rates measured for the Rio  
400 Santa basin, as noted by Reber et al. (2017).

401 Note that our inferences are largely based on the pattern of the  $D_{50}$ , and that the consideration of  
402 the larger percentiles might add alternative views on our interpretations. However, since all  
403 percentiles are inter-correlated, as the pattern of the Pearson correlation coefficients suggests  
404 (Table 3), we think that our general conclusions about the occurrence of thresholds upon the  
405 supply and transport of sediment will not change. Note also that either transport or supply control  
406 and related thresholds were identified by Reber et al. (2017) for their explanation of the  $^{10}\text{Be}$ -  
407 based datasets on basin-averaged denudation rates on the western Peruvian Andes. We  
408 tentatively interpret that the grain size pattern of the Peruvian streams follows these lines.

409

## 410 **Conclusion**

411 We present a complete dataset about grain sizes for all major rivers that are situated on the  
412 western Andean margin of Peru. We did not find any correlations to the current seismic regimes,

413 where a larger occurrence of earthquakes with magnitudes larger than 4.5 Mw is expected to  
414 increase the supply of coarse-grained material. However, we found that the values for the  $D_{50}$  are  
415 nearly constant and range between 2 and 3 cm. Exceptionally larger  $D_{50}$  values of 4-6 cm were  
416 measured for basins situated between 11-12°S and 16-17°S where hillslope gradients are steeper  
417 than average (i.e., 20-22°), and where mean annual stream flows exceed the average values of  
418 the western Peruvian streams (10-40 m<sup>3</sup>/s) by a factor of 2. We suggest that the generally  
419 uniform grain size pattern has been perturbed where either mean basin slopes, or water fluxes  
420 exceed threshold conditions upon the supply and the transport of material. This might have  
421 implications for our understanding of the controls on the grain size distribution of gravelly-based  
422 streams.

423

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426

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650

651 **FIGURES AND TABLES CAPTIONS**

652

653 **Table 1:** Location of the sampling sites with the altitude in meters above sea level. The table also  
654 displays grain size results together with the rivers' and basins' properties and hydrological  
655 properties.

656

657 **Table 2:** Location of the sampling sites in the Majes basin and grain size results in the Majes  
658 basin.

659

660 **Table 3:** Results of the statistical investigations, illustrated here as correlation matrix of the  $r$ -  
661 values. The values in bold show significant correlation between the grain size data and the  
662 different catchment scale properties.

663

664

665 **Figure 1: A:** Map of the studied basins showing the sampling sites and the western escarpment  
666 (western escarpment modified after Trauerstein et al., 2013). The purple strip east of the trench  
667 axis corresponds to the swath over which the historical earthquake data, presented in Figure 3,  
668 The map also illustrates the location of the buoyant Nazca ridge, depth of the slab in dashed line,  
669 plus patterns of earthquake occurrence. **B:** Geological map of the western Peruvian Andes. **C:**  
670 Map of the precipitation rates showing the spatial extent of the ITCZ, modified after Huffman et  
671 al., 2007.

672

673

674 **Figure 2:** Topography of subducting Nazca plate, where slab depth data has been extracted from  
675 [earthquake.usgs.gov/data/slab/](http://earthquake.usgs.gov/data/slab/) modified form Reber et al., 2017. This N-S projection also  
676 illustrates: a) tectonic lineaments such as submarine ridges and MFZ: Mendaña Fracture Zone;  
677 NFZ: Nazca Fracture Zone; b) Holocene Volcanoes; c) Earthquake data, taken from  
678 [earthquake.usgs.gov/earthquakes/search/](http://earthquake.usgs.gov/earthquakes/search/); number of earthquakes  $M > 4$  within 30 km radius  
679 window. d) Coastal elevation. The data has been extracted from a 20 km-wide swath prole along  
680 the coast. The three lines represent maximum, mean and minimum elevations within the selected  
681 swath; e) Catchment averaged denudation rates have been corrected for quartz contents (Reber et  
682 al. 2017); f) Mean annual precipitation rates (Reber et al., 2017); g) Mean annual water discharge  
683 (Reber et al., 2017); h) Grain size results for the intermediate (b)-axis of the pebbles in the rivers  
684 from north to south at the sampling sites presented in Figure 1; i) Ratio between the intermediate  
685 axis and the long (a)-axis (modied after Reber et al., 2017). Exceptionally larger  $D_{50}$  values of 4-  
686 6 cm were measured for basins situated between 11-12°S and 16-17°S where hillslope gradients  
687 are steeper than 0.4 on the average (i.e., 20-22°), or where mean annual stream flows exceed the  
688 average values of the western Peruvian streams (10-40 m<sup>3</sup>/s) by a factor of 2.

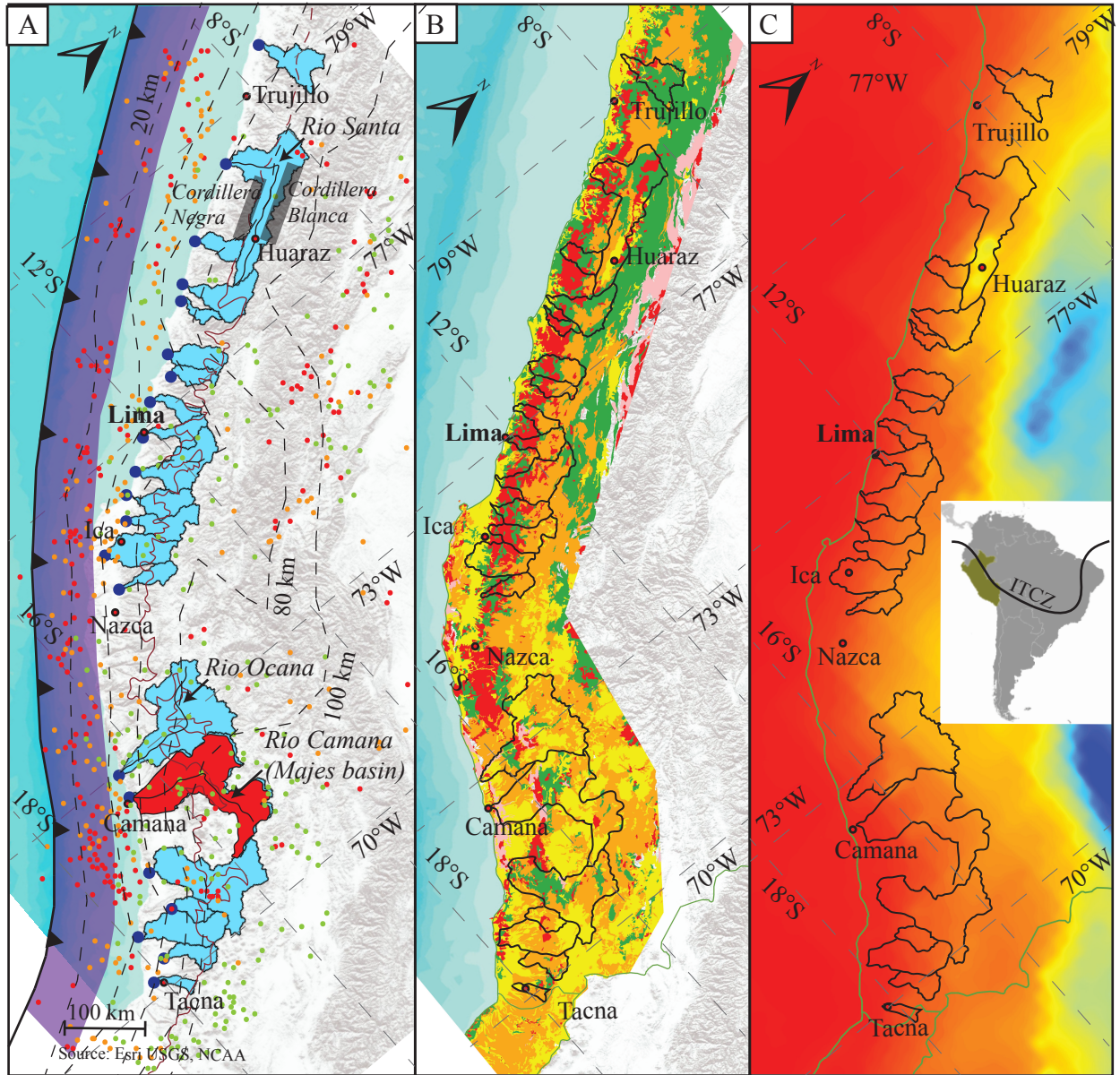
689  
690 **Figure 3:** Geological map of the Majes basin overlain by the precipitation pattern (Precipitation  
691 data from Steffen et al., 2010), where the black dashed lines show precipitation rates (mm/yr).  
692 GS1 to GS5 represent sites where grain size data has been collected. The right corner shows the  
693 Majes river long profile.

694

695 **Figure 4:** Grain size results along the Majes River.

696



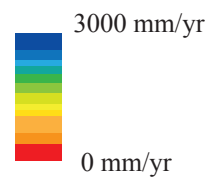


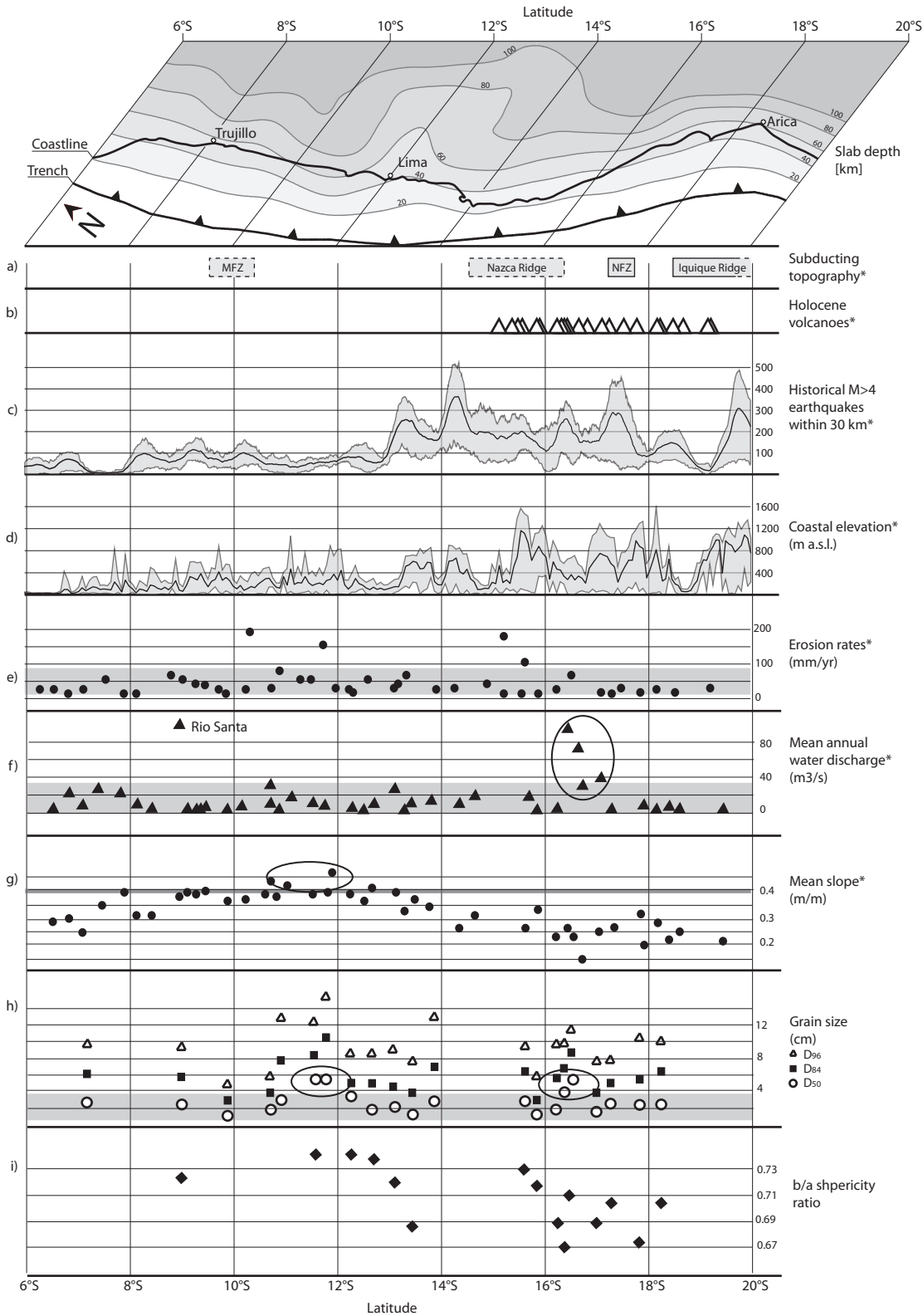
- Multiple sampled basin
  - Studied basins
  - Sampling site
  - City
  - Western escarpment
- USGS earthquake archive (Mag > 4.5)
- Depth (km)
- 0 - 33
  - 33 - 70
  - 70 - 300
- trench axis

#### Geology

- Quaternary deposits
- Cenozoic rocks
- Coastal batholith
- Mesozoic rocks
- Metamorphic rocks

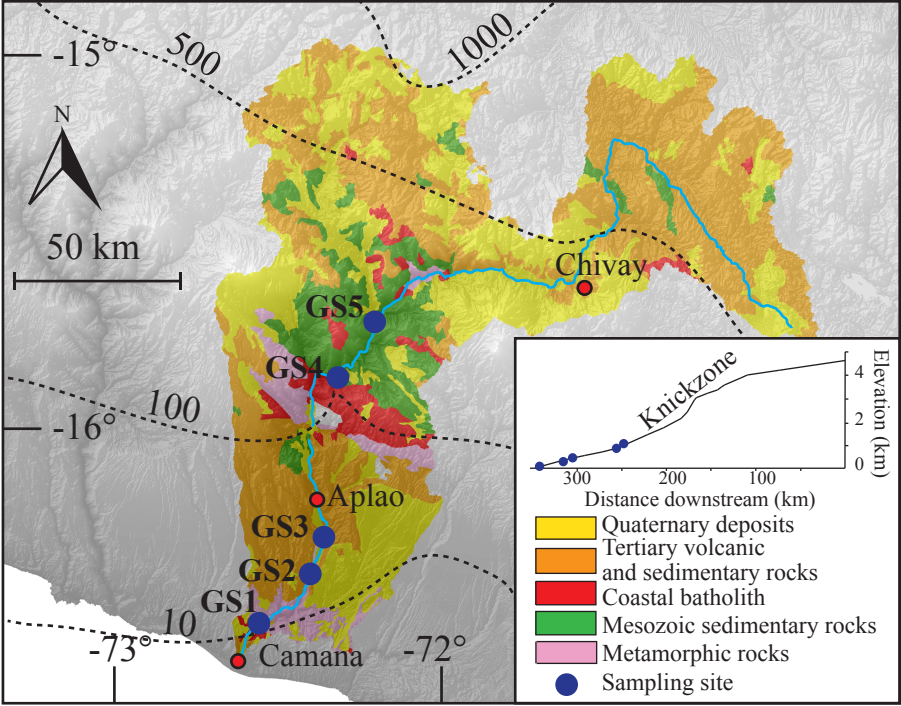
#### Annual precipitations TRMM

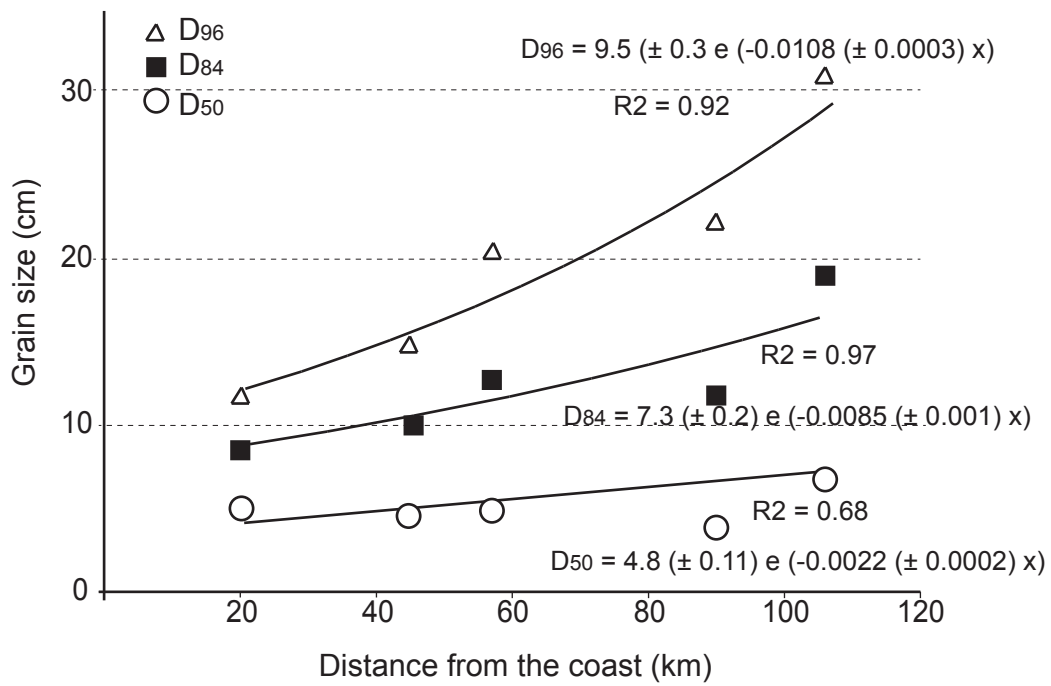




Base level in the data
  Threshold of mean catchment slope of 20°

Higher value than base level
 \* Data from Reber et al. (2017)





**Figure 4:** Grain size results along the Majes River.

River name	Sample name	Altitude (m)	Latitude (DD WGS84)	Longitude (DD WGS84)	D50 (cm)	D84 (cm)	D96 (cm)	b/a	Catchment area (km <sup>2</sup> )	Mean basin slope (m/m) (Reber et al., 2017)	Slope at the sampling site (m/m)	Distance from the western escarpment (km)	Channel width at the sampling site (m)	Mean annual water discharge (m <sup>3</sup> /s) (Reber et al., 2017)	Shear stress (kg/m.s <sup>2</sup> )	Sediment flux (m <sup>3</sup> /s)	Denudation rates (mm/ka) (Reber et al., 2017)	Denudation rates uncertainties (mm/ka) (Reber et al., 2017)	Denudation rates corrected for Qz content in bedrock (mm/ka) (Reber et al., 2017)
Tacna	PRC-ME1	231	-18.12	-70.33	2.3	6.2	10.0	0.70	899	0.28	0.015	48	6	3.4	142.68	11952	13.3	3.6	12.2
Rio Sama Grande	PRC-ME3	455	-17.82	-70.51	2.5	5.5	10.6	0.67	2150	0.3	0.013	73	6	4	114.14	61495	28.6	5.3	27.7
Ilo / Rio Osmore	PRC-ME5	1072	-17.29	-70.99	2.6	5.1	7.8	0.70	1783	0.26	0.018	53	7	3.4	184.18	38146	21.4	4.8	18.6
Rio Tambo	PRC-ME6	145	-17.03	-71.69	1.5	3.6	7.5	0.69	12885	0.24	0.051	141	26	38.1	265.69	1155744	89.7	16.7	72.1
Tambillo / Rio Sihuas	PRC-ME802	117	-16.34	-72.13	2.0	6.0	10.0	0.69	1708	0.15	0.019	70	15	30.1	88.78	58087	34	6.4	27.7
Camana / Rio Majes	PRC-ME7	69	-16.51	-72.64	5.2	8.7	11.6	0.67	17401	0.23	0.005	188	100	68.4	46.06	2218568	127.5	23.4	106.8
Ocona / Rio Ocona	PRC-ME9	14	-16.42	-73.12	4.8	6.8	10.0	0.71	16084	0.26	0.004	192	70	91.1	26.25	3893878	242.1	45	184.1
Nasca / Rio Grande	PRC-ME1402	15	-15.85	-74.26	1.3	3.0	6.0	0.71	1412	0.32	0.014	48	3	20.4	34.10	65093	46.1	8.6	29.4
Chacaltana / Rio Ica	PRC-ME15	3	-15.63	-74.64	2.9	6.4	9.6	0.73	4677	0.26	0.003	88	23	12.1	33.01	126266	27	5.7	25.1
Humay District / Rio Pisco	PRC-ME16	400	-13.73	-75.89	3	6.6	13		3649	0.34	0.013	62	20	13.6	112.91	379865	104.1	20.4	69.1
Chinca Alta / Rio San Juan	PRC-ME17	75	-13.47	-76.14	1.3	3.8	7.6	0.69	3090	0.37	0.01	78	5	10.1	48.54	189112	61.2	11.7	44.1
Rio Canete	PRC-ME19	23	-13.12	-76.39	2	4.6	8.8	0.72	6029	0.4	0.01	100	60	26.4	112.24	402743	66.8	12.3	51.2
Rio Omas	PRC-ME20	33	-12.67	-76.65	1.6	4.8	8.8	0.73	2322	0.41	0.0076	78	22	8.2	95.14	62913	27.1	5.4	17.9
Rio Lurin	PRC-ME22	40	-12.25	-76.89	3	5	8.8	0.74	1572	0.38	0.022	70	5	3.7	176.26	60515	38.5	7.1	23.6
Lima / Rio Chillon	PRC-ME39	402	-11.79	-76.99	5.3	10.5	15.5		1755	0.39	0.018	51	40	4.9	392.89	144272	82.2	15.5	53.4
Rio Chancay	PRC-ME23	72	-11.61	-77.24	5.5	8.3	12.5	0.74	3059	0.39	0.01	66	20	8.9	111.55	298866	97.7	18.4	52.8
Rio Supe	PRC-ME25	74	-11.07	-77.59	2.8	7.7	13		4306	0.38	0.012	82	5	3.8	98.55	179550	41.7	7.7	25.6
Rio Pativilca	PAT-ME	10	-10.72	-77.77	1.8	3.6	6		4607	0.44	0.014	74	30	30.9	96.30	1198281	260.1	48.8	190.9
Huarmey	PRC-ME38	24	-10.07	-78.16	1.7	3.4	5.2		2072	0.37	0.004	78	15	9.8	38.34	40816	19.7	4.5	10.1
Rio Santa	PRC-ME27	80	-8.97	-78.62	2	5.4	9	0.72	12313	0.38	0.005	65	40	96.1	23.08	876699	71.2	13.4	70.4
San Martin de Porres	PRC-ME30	67	-7.32	-79.48	2.9	6.3	10		3882	0.34	0.007	126	40	25.4	65.72	118401	30.5	5.9	25.8

Table 1 : Location of the sampling sites with the altitude in meters above sea level.  
The table also displays grain size results together with the rivers' and basins' properties and hydrological properties.  
Morphometric dataset for the sampled drainage basins. All calculations are based on the 90 m resolution DEM (NASA)  
The precipitation, water discharge data and the denudation rates are from Reber et al., in review

	Distance from the coast (km)	Altitude (m)	Latitude (°)	Longitude (°)	D50	D84	D96	b/a
GS1	20	69	-16.51	-72.64	5.2	8.7	11.6	0.67
GS2	45	283	-16.37	-72.49	4.8	10	15	0.69
GS3	57	378	-16.28	-72.45	5.4	12.7	21	0.65
GS4	90	700	-16.00	-72.48	3.3	12	22.5	0.67
GS5	106	882	-15.86	-72.45	6.2	19	31	0.71

Table 2: Location of the sampling sites in the Majes basin and grain size results in the Majes basin.

	Altitude (m)	Latitude (DD WGS84)	Longitude (DD WGS84)	D50 (cm)	D84 (cm)	D96 (cm)	b/a	Catchment area (km <sup>2</sup> )	Mean slope (m/m)	Distance form the western escarpment (km)	Mean annual water discharge (m <sup>3</sup> /s)	Shear stress (kg/m.s <sup>2</sup> )	Sediment flux (m <sup>3</sup> /s)	Denudation rates (mm/ka)	Denudation rates corrected for Qz content in bedrock (mm/ka)	
Altitude (m)	1.00															
Latitude (DD WGS84)	-0.36	1.00														
Longitude (DD WGS84)	0.46	-0.97	1.00													
D50 (cm)	0.09	0.00	-0.01	1.00												
D84 (cm)	0.14	0.04	-0.03	0.87	1.00											
D96 (cm)	0.18	0.02	-0.02	0.73	0.93	1.00										
b/a	-0.30	0.66	-0.71	0.09	0.00	-0.02	1.00									
Catchment area (km <sup>2</sup> )	-0.25	-0.12	0.12	0.31	0.16	0.04	-0.25	1.00								
Mean slope (m/m)	-0.23	0.72	-0.78	-0.07	-0.10	-0.03	0.63	-0.28	1.00							
Distance form the western escarpment (km)	-0.32	-0.14	0.14	0.35	0.16	0.03	-0.33	0.84	-0.35	1.00						
Mean annual water discharge (m <sup>3</sup> /s) (Reber et al., 2017)	-0.30	0.03	-0.01	0.18	0.05	-0.07	-0.13	0.87	-0.23	0.64	1.00					
Shear stress (kg/m.s <sup>2</sup> )	0.45	-0.11	0.14	0.23	0.33	0.39	-0.06	-0.21	0.06	-0.23	-0.37	1.00				
Sediment flux (m <sup>3</sup> /s)	-0.23	-0.19	0.17	0.42	0.17	0.03	-0.21	0.86	-0.24	0.82	0.80	-0.22	1.00			
Denudation rates (mm/ka) (Reber et al., 2017)	-0.23	0.04	-0.09	0.34	0.09	0.00	-0.09	0.56	0.12	0.48	0.56	-0.07	0.79	1.00		
Denudation rates corrected for Qz content in bedrock (mm/ka) (Reber et al., 2017)	-0.22	0.01	-0.04	0.30	0.06	-0.03	-0.17	0.64	0.05	0.54	0.65	-0.11	0.84	0.99	1.00	

Table 3: Results of the statistical investigations, illustrated here as correlation matrix values.