

1 **Clast imbrications in coarse-grained mountainous streams and stratigraphic**
2 **archives possibly suggest deposition under upper flow regime conditions**

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11 **Abstract**

12 Clast imbrications are one of the most conspicuous sedimentary structures in coarse-
13 grained clastic deposits of modern rivers but also in the stratigraphic record. In this
14 paper, we test whether the formation of such a fabric could be related to the occurrence
15 of upper flow regime conditions in streams. To this extent, we calculated the Froude
16 number at the incipient motion of coarse-grained bedload for various values of relative bed
17 roughness and stream gradient as these are the first order variables that can particularly
18 be extracted from stratigraphic records. We found that a steeper energy gradient, or slope,
19 and a larger bed roughness tend to favor the occurrence of supercritical flows. We also
20 found that at the incipient motion of grains, the ratio ϕ between the critical shear stress for
21 the entrainment of a sediment particle and its inertial force critically controls whether flows
22 tend to be super- or subcritical during sediment entrainment. We then mapped the
23 occurrence of clast imbrications in Swiss streams and compared these data with the
24 outcomes of the hydrologic calculations. The results reveal that imbrications possibly
25 record supercritical flows provided that (i) ϕ -values are larger than c. 0.05, which might be
26 appropriate for streams in the Swiss Alps; (ii) average stream gradients exceed c.
27 $0.5 \pm 0.1^\circ$; and that (iii) relative bed roughness values, i.e. the ratio between the water depth
28 d and the D_{84} , are larger than $\sim 0.06 \pm 0.01$. While we cannot rule out that imbrication may
29 be formed during subcritical flows with ϕ -values as low as 0.03, as a large number of
30 flume experiments reveal, our results from Alpine streams suggest that clast imbrications
31 are likely recorders of upper flow regime conditions, provided that the clasts form well-
32 sorted and densely packed clusters. We consider that these differences may be rooted in a
33 misfit between the observational and experimental scales.

34
35 **1 Introduction**

36 Conglomerates, representing the coarse-grained spectrum of clastic sediments, bear
37 key information about the provenance of the material (Matter, 1964), the environment
38 in which these sediments were deposited (Rust, 1978; Middleton and Trujillo, 1984),

39 and the hydro-climatic conditions upon transport and deposition of the sediments
40 (Duller et al., 2012; D'Arcy et al., 2017). Conglomerates display the entire range of
41 possible sedimentary structures including a massive-bedded fabric, cross-beds and
42 horizontal stratifications. However, the most striking features are clast imbrications
43 (Figure 1A), which refer to a depositional fabric where sediment particles of similar
44 sizes overlap each other, similar to a run of toppled dominoes (e.g., Pettijohn, 1957;
45 Yagishita, 1997; Rust, 1984; Potsma and Roep, 1985; Todd, 1996). Imbrications may
46 lead to armor development and the interlocking of clasts. As a consequence the search
47 for possible controls on the formation of this fabric has received major attention in the
48 literature (e.g., Bray and Church, 1980; Carling, 1981; Aberle and Nikora, 2006).
49 In the past decades, the occurrence of clast imbrications in streams has been
50 considered to record high stage flows (Rust, 1978; Miall, 1978; Sinclair and Jaffey,
51 2001). The related conditions could possibly correspond to the upper flow regime,
52 where the flow velocity of a stream v exceeds the wave's celerity c (Allen, 1997), i.e. the
53 speed of a wave on the water surface. The ratio v/c between these velocities has been
54 referred to as the Froude number F where in theory $F > 1$ denotes upper flow or
55 supercritical conditions, while $F < 1$ is characteristic for the lower flow regime or alternatively
56 subcritical conditions (Engelund and Hansen, 1967). A hydraulic jump, which is
57 characterized by a distinct increase in flow surface elevation and a decrease in flow
58 velocity, then marks the downstream transition from a super- to a subcritical flow (Figure
59 1A). These hydrological conditions are particularly mirrored by the surface texture in
60 relation to water depth. Surface waves that form under subcritical conditions have
61 wavelenghts that are smaller than the water depths (Figure 1B). The surface waves tend to
62 migrate and fade out in the upstream direction with respect to the flow. Contrariwise, the
63 wavelenghts of standing waves, which represent one possible characteristic feature of
64 supercritical conditions ($F \approx 1$), are significantly larger than the corresponding water depths,
65 and the surface waves are stationary. Hydraulic jumps are manifested themselves by a
66 sudden deceleration of the flow velocity and by an overturning of the flow surface (Figure
67 1).
68 Significant sediment accumulation may occur underneath the hydraulic jump upon
69 deceleration of the flow's velocity (Slootman et al., 2018). Contrariwise, a downstream
70 change from a lower to an upper flow regime occurs gradually and has no distinct surface
71 expression, neither in terms of flow depth nor flow surface texture. While these
72 mechanisms have been well explored and frequently reported both from modern
73 environments (e.g., Figure 1) and fine grained stratigraphic records (Alexander et al.,
74 2001; Schlunegger et al., 2017; Slootman et al., 2018) and illustrated on photos from the
75 field (Spreafacio et al., 2001), less evidence for an upper flow regime has been
76 documented from the coarse grained fraction of clastic sediments such as conglomerates.
77 This even led Grant (1997) to note that upper flow regime conditions in fluvial channels are

78 rare, and that the use of the Froude number for constraining flood and palaeo-flood
79 measurements lacks justification from sedimentary records. In the same sense, Jarrett
80 (1984) and Trieste (1992, 1994) considered that reports of inferred supercritical flows
81 might be biased by underestimations of the bed roughness in mountainous streams.
82 Nevertheless, the surface texture of the flow illustrated in Figure 1A is characteristic for
83 many mountainous streams (Spreafico et al., 2001), where hydraulic jumps are observed
84 on the stoss side of large imbricated clasts. In addition, because the entrainment of large
85 clasts such as cobbles and boulders does involve large shear stresses and thus high
86 discharge flows (Rust, 1978; Miall, 1978; Sinclair and Jaffey, 2001), it is possible that the
87 transport and deposition of these particles, and particularly the formation of an imbricated
88 fabric, may occur during supercritical flows. Here, we explore the validity of this hypothesis
89 for modern coarse-grained streams and stratigraphic records, and we calculate the related
90 hydrological conditions. Similar to Grant (1997), we determine the Froude number at
91 conditions of incipient motion of coarse-grained bedload for various bed roughness and
92 stream gradient values. We then compare the results with data from modern streams in
93 the Swiss Alps, stratigraphic records and published results of laboratory experiments.

94 95 **2 Methods**

96 **2.1 Expressions relating flow regime to channel gradient and bed roughness**

97 Channel depth and grain size are the simplest and most straightforward variables that can
98 be extracted from stratigraphic records (Duller et al., 2012). It has been shown that
99 quantitative information about these variables can be used as basis to calculate palaeo-
100 slope and roughness values of streams for the geologic past (Paola and Mohring, 1996;
101 Duller et al., 2012; Schlunegger and Norton, 2015; Garefalakis and Schlunegger, 2018).
102 We therefore decided to focus on the simplest expressions relating channel depth and
103 grain size to flow strength and sediment transport, such as that the resulting formulas can
104 also be applied to geological records. We are aware that this will be associated with large
105 generalizations and simplifications, which will not consider the entire range of complexities
106 that are usually associated with the transport of coarse-grained bedload in streams.

107 108 **2.2 Boundary conditions**

109 In the following, we consider the hydrological situation at the incipient motion of coarse-
110 grained bedload. For these conditions, the dimensionless Shields parameter ϕ can be
111 computed, which is the ratio between the shear stress exerted by the fluid on the bed
112 τ_{cDi} and the particle's inertial force at the incipient motion (Shields, 1936; Paola et al.,
113 1992; Paola and Mohring, 1996; Tucker and Slingerland, 1997):

$$114 \quad \phi = \frac{\tau_{cDi}}{(\rho_s - \rho)gD_i} \quad (1a).$$

115 Here, τ_{cD_i} denotes the critical shear stress, or alternatively the Shields stress, which is
 116 required to shift a sediment particle with the grain size D_i . The constants ρ_s (2700
 117 kg/m³) and ρ denote the sediment and water densities, and g is the gravitational
 118 acceleration. The relationship expressed in equation (1a) predicts that a sediment
 119 particle with diameter D_i will be transported if the ratio between the fluid's shear stress
 120 τ_{cD_i} and the particle's inertial force equals the value of ϕ . Assignments of values to
 121 ϕ vary considerably and largely range between c. 0.03 and 0.06, depending on the site-
 122 specific arrangement, the sorting, and the interlocking of the clasts (Buffington and
 123 Montgomery, 1997; Church, 1998). This also includes the hiding and protrusion of small
 124 and large clasts, respectively, which may exert a strong influence on the threshold
 125 conditions upon clast entrainment (e.g., Egiazaroff, 1965; Parker et al., 1982; Andrews,
 126 1984; Kirchner et al., 1990). In the same sense, a smooth and flat channel bed surface,
 127 which may be a well-armored channel floor with well-sorted clasts, is likely to offer a
 128 greater resistance for the entrainment of a sediment particle than a gravel bar with a poorly
 129 sorted arrangement of the bed material (Egiazaroff, 1965; Buffington and Montgomery,
 130 1997).

131 The relationships denoted in equation (1a) differ for the case of channel forming floods. At
 132 these conditions, channel forming Shield stresses $\tau_{channel}$ are up to 1.2 times (Parker, 1978)
 133 above the threshold τ_{cD_i} for the initiation of motion. Pfeiffer et al. (2017) additionally
 134 showed that some rivers have $\tau_{channel}/\tau_{cD_i}$ ratios that are even higher. The consideration of
 135 channel forming floods thus requires larger thresholds and thus a modification of equation
 136 (1a), which then takes the following form:

$$137 \quad \phi' \geq \frac{\tau_{channel}}{(\rho_s - \rho)gD_i} \approx 1.2 \frac{\tau_{cD_i}}{(\rho_s - \rho)gD_i} = 1.2\phi \quad (1b).$$

138 Equation (1a) can then be transformed to an expression, which quantifies the critical shear
 139 stress for the entrainment of a sediment particle with a distinct grain size D_i :

$$140 \quad \tau_{cD_i} = \phi(\rho_s - \rho)gD_i \quad (2).$$

141 Among the various grain sizes, the D_{84} grain size has been considered as more
 142 suitable for the characterization of the gravel bar structure than the D_{50} (Howard, 1980;
 143 Hey and Thorne, 1986; Grant et al., 1990). In addition, the D_{84} has also been
 144 considered as a valuable parameter for the quantification of the relative bed
 145 roughness, which is defined as the ratio between grain size and water depth (e.g.,
 146 Wiberg and Smith, 1991). If this inference is valid, then a major alteration of channel-
 147 bar arrangements requires a flow strength that is large enough to entrain the grain size
 148 represented by the 84th percentile.

149 Based on the results of flume experiments (Meyer-Peter and Müller, 1948) and
 150 observations in the field (Andrews, 1984), a Shields variable of $\phi=0.047$ has
 151 conventionally been employed in a large number of studies (e.g., Paola and Mohring,

152 1996) particularly if the D_{50} is considered. Note that a re-analysis (Wong and Parker,
 153 2006) of the Meyer-Peter and Müller equation (1948) returned values of
 154 $\phi=0.0495\approx 0.05$, which we thus applied in this paper. However, experiments also
 155 showed that material transport can occur at much lower thresholds where ϕ -values are
 156 as low as 0.03 (Ferguson, 2012; Powell et al., 2016). A ϕ -value of 0.03 might
 157 particularly be an appropriate threshold for the entrainment of the D_{84} , because of
 158 possible protrusion effects (e.g., Kirchner et al., 1990). Finally, Mueller et al. (2005) and
 159 Lamb et al. (2008) proposed that ϕ depends on channel gradients, where ϕ (for the D_{50}
 160 grain size) might exceed 0.1 for channels that are steeper than 1.1° . It appears that the
 161 thresholds for the entrainment of sediment strongly vary according to site and
 162 experiment specific conditions. We therefore employed the entire range of ϕ -values
 163 from 0.03 to 1.1 to comply with these complexities, which also includes channel
 164 forming floods (Parker, 1978).

165

166 2.3 Hydrology, bed shear stresses and incipient motion of clasts

167 Bed shear stress is calculated using the approximation for an uniform flow down an
 168 inclined plane (e.g. Tucker & Slingerland, 1997), where:

$$169 \quad \tau = g\rho Sd \quad (3).$$

170 Here, S denotes the channel gradient, and d is the water depth. This relationship has been
 171 considered as adequate for streams with a steady, uniform flow, and where channel
 172 widths are more than 20 times larger than water depths, which is commonly the case for
 173 most rivers (Tucker and Slingerland, 1997).

174 Alternatively, bed shear stresses can also be computed as a function of the kinetic energy
 175 (Ferguson, 2007), where:

$$176 \quad \tau = \frac{f}{8} \rho v^2 \quad (4).$$

177 In this relationship, v is the flow velocity. The variable f , referred to as the Darcy-Weisbach
 178 friction factor (e.g., Papaevangelou et al., 2010), denotes the energy loss due to friction
 179 within the roughness layer at the bottom of the flow. It also considers skin friction effects
 180 within the flow column (Ferguson, 2007). These relationships illustrate that assignments of
 181 values to f are complicated and vary considerably. Ferguson (2007) reduced these
 182 complexities to a single expression (equation 5), where he considered roughness-layer
 183 (Krogstad and Antonia, 1999) and skin friction effects on the velocity of a water column at
 184 its surface. In the Ferguson (2007) relationship, f depends on water depths d relative to the
 185 grain size D_{84} and thus on the relative bed roughness:

$$186 \quad \frac{f}{8} = \frac{\left(\frac{D_{84}}{d}\right)^2}{a_2^2} + \frac{\left(\frac{D_{84}}{d}\right)^{1/3}}{a_1^2} \quad (5).$$

187 Here, a_1 and a_2 are constants that vary between 7–8 and 1–4, respectively (Ferguson,
 188 2007). A calibration of equation 5 by Ferguson (2007), where the D_{84} was employed as the
 189 threshold grain size, returned values of 7.5 and 2.36 for a_1 and a_2 , respectively, which we
 190 adapt in this paper. We additionally considered possible consequences of energy loss
 191 through assignments of different values to the Shields (1936) variable (see explanation of
 192 equation 1a above). We are aware that we could also employ the Manning's number n
 193 for the characterization of the channel's fabric (Whipple, 2004) and the relative bed
 194 roughness (Jarrett, 1984). Related expressions deviated by Jarrett (1984) predict that
 195 the Manning's number n hinges on the channel gradient and water depth only and does
 196 not consider a dependency on the bed structure. We thus prefer to use Ferguson's
 197 (2007) approach (eq. 5), which explicitly includes the relative bed roughness,
 198 consistent with the most recent work by Wickert and Schildgen (2018, see their
 199 equation 13).

200 As outlined in the introduction, the Froude number F can be approximated through the
 201 ratio between the flow velocity v and the celerity of a surface wave c . For shallow water
 202 conditions, which is commonly the case for rivers and streams, this relationship can be
 203 computed if the water depth d is known:

$$204 \quad F = \frac{v}{c} = \frac{v}{\sqrt{gd}} \quad (6).$$

205 Combining equation 3, 4, and 6 yields then a simple expression where:

$$206 \quad F = \sqrt{8 \frac{S}{f}} \quad (7).$$

207 This expression states that the flow regime, expressed here by the Froude number F ,
 208 depends on two partly non-related variables. In particular, for a given bed friction f ,
 209 which depends on the bed roughness (Ferguson, 2007), upper flow regime conditions
 210 tend to establish for steep channels. Contrariwise, lower regime flows may occur in a
 211 steep environment where poorly sorted material exerts a large resistance on the flow,
 212 thereby reducing the flow velocity and hence the Froude number. Accordingly, where
 213 the entrainment of sediment particles can be expressed through the Shields (1936)
 214 variable ϕ , the dependency of F on the channel gradient S can be computed through the
 215 combination of equations 2, 3, 5 and 7:

$$216 \quad F = \sqrt{\frac{S}{\left(\left(\frac{\rho S}{\phi(\rho_s - \rho)}\right)^2 * a_2^{-2} + \left(\frac{\rho S}{\phi(\rho_s - \rho)}\right)^{1/3} * a_1^{-2}\right)}} \quad (8).$$

217 Alternatively, also during channel forming floods, an expression where the Froude
 218 number depends on the bed roughness D_{84}/d only can be achieved through the
 219 combination of equations 2, 3 and 7:

$$220 \quad F = \sqrt{8 * \frac{\phi(\rho_s - \rho) * D_{84}}{\rho * f * d}} \quad (9).$$

221 We thus used equations 8 and 9 to calculate the Froude numbers at the incipient motion
 222 of the D_{84} grain sizes. We then compared these results with data from modern streams
 223 and stratigraphic records.

224

225 2.4 Collection of data from modern streams and stratigraphic records

226 We used observations about clast arrangements in gravelly streams in Switzerland. We
 227 paid special attention to the occurrence of clast imbrications, as we hypothesize that this
 228 fabric may document the occurrence of upper flow regimes (Figure 1) upon sedimentation
 229 and gravel bar migration. We selected those sites for which Litty and Schlunegger (2017)
 230 reported grain size data (Table 1). At these locations, we explored multiple gravel bars for
 231 the occurrence or absence of clast imbrications over a reach of several hundreds of
 232 meters. We then determined a mean energy gradient over a c. 500 m-long reach, which
 233 we calculated from topographic maps at scales 1:10'000.

234 The selected streams are all situated around the Central Alps (Figure 2), have various
 235 upstream drainage basins and different source rock lithologies (Spicher, 1980) and grain
 236 size distributions. At sites where grain size data has been collected, the ratio between the
 237 clasts' medium b - and longest a -axes are constant and range between 0.67 and 0.72
 238 irrespective of the grain size distribution in these streams (Litty and Schlunegger, 2017).
 239 For these sites, we calculated the bed roughness D_{84}/d at the incipient motion of the D_{84} .
 240 Here, related water depths d were determined through the combination of equations (2)
 241 and (3), and using the channel gradient S at these sites.

242 The Swiss Federal Office for the Environment (FOEN) estimated the Froude numbers for
 243 various flood magnitudes of selected streams situated on the northern side of the Swiss
 244 Alps (Spreafico et al., 2001; see Figure 2 for location of sites). These estimates are based
 245 on flow velocities, flow depths and cross-sectional geometries of channels. The authors of
 246 this study also determined the corresponding channel gradient over a reach of several
 247 hundred meters. Because we will calculate the dependency of the Froude number on the
 248 channel gradient and the thresholds for the entrainment of sediment, expressed through
 249 different ϕ -values, we will use the Spreafico et al. (2001) dataset to constrain the range of
 250 possible ϕ -values for streams in Switzerland.

251 We finally identified possible relationships between channel gradient, bed roughness, and
 252 the occurrence of clast imbrications from stratigraphic records. We focused on the Late
 253 Oligocene suite of alluvial megafan conglomerates (Rigi and Thun sections, Figure 2)
 254 deposited at the proximal border of the Swiss Molasse basin. For these conglomerates,
 255 Garefalakis and Schlunegger (2018) and Schlunegger and Norton (2015) collected data
 256 about the depth and gradient of palaeo-channels, and information about the grain size

257 distribution along c. 3000 to 3600 m-thick sections (Table 1). We returned to these
258 sections and examined c. 50 sites for the occurrence of clast imbrications along the
259 conglomerate suites.

260

261 **3 Results**

262 3.1 Calculation of flow regimes as a function of bed roughness and channel gradient

263 We calculated the Froude numbers F for different values of channel gradient S , bed
264 roughness D_{84}/d and threshold conditions ϕ for the incipient motion of material, and we
265 compared these results with observations from modern streams and stratigraphic records.
266 We avoided calculation of the Froude numbers for slopes steeper than 1.4° because
267 channels tend to adapt a step-pool geometry in their thalwegs (Whipple, 2014), for which
268 our simple calculations might no longer apply. We set the thresholds for critical flow
269 conditions to a Froude number $F=0.9$, which is consistent with estimations for the
270 formation of upper flow regime bedforms by Koster (1978). Calculations were initially
271 carried out using a Shields variable of $\phi=0.0495\approx 0.05$, as this value has commonly been
272 used in a large number of studies (see above). The results reveal that the Froude number
273 increases with steeper channels (Figure 3A) and reaches the field of critical conditions for
274 $\sim 0.5^\circ$ slopes. The values reach a maximum of nearly 1 where channel gradients are
275 between $\sim 0.8^\circ-1^\circ$. Froude numbers then slightly decrease for channels steeper than 1°
276 and finally reach a value of 0.9 for gradients $>1.2^\circ$. In the case of greater thresholds for the
277 incipient motion of clasts, which is expressed through a larger Shields (1936) variable of
278 $\phi=0.06$, flows adapt supercritical conditions for channels steeper than $\sim 0.4^\circ$. For cases
279 where the thresholds for the entrainment of the material are less (expressed here through
280 a lower Shields (1936) variable of $\phi=0.03$), streams remain in the lower flow regime.

281 The Froude number pattern is quite similar for increasing bed roughness (Figure 3B). For
282 threshold conditions expressed through a Shields (1936) variable $\phi=0.0495\approx 0.05$, the
283 Froude numbers increase with higher relative bed roughness. Supercritical conditions are
284 reached for a bed roughness of c. 0.1, after which the Froude numbers decrease with
285 greater roughness. At larger threshold conditions for sediment entrainment, expressed
286 through a Shields variable $\phi=0.06$, upper flow regime conditions might prevail for bed
287 surface roughness values between 0.06 and 0.5. Smaller and larger roughness values will
288 keep the flow in the lower regime. Contrariwise, the stream will not shift to the upper
289 regime for ϕ -values as low as 0.03. Note that the consideration of the full range of
290 roughness-layer and skin friction effects, expressed through the coefficients a_1 and a_2 in
291 equation (8), shifts the pattern of Froude values to lower and higher values. But this will not
292 alter the general finding that upper flow regime conditions at the incipient motion of gravels
293 might be expected for channel gradients S that are steeper than $0.5^\circ\pm 0.1^\circ$, and for a bed
294 roughness D_{84}/d greater than ~ 0.06 .

295 We also calculated the Froude numbers for a Shields variable of $\phi=0.1$, because
296 observations have shown that thresholds for the entrainment of sediment particles may
297 increase with steeper channels (Mueller et al., 2005; Ferguson, 2012). This might be an
298 exaggeration (Lamb et al., 2008), but will give an upper bound for the dependence of the
299 Froude number on the Shields variable. We additionally considered the case where the
300 Shields (1936) variable depends on the channel gradient S through $\phi = 2.81 * S + 0.021$
301 (Mueller et al., 2005). These relationships have been established using bed load rating
302 curves, which are based on field surveys in mountainous streams in North America and
303 England. We found that the flows shift to critical conditions for channels steeper than
304 between 0.5° and 0.6° (slope dependent ϕ) and for a bed roughness >0.04 ($\phi=0.1$).
305 In summary, the calculations predict that water flow may shift to upper flow regime
306 conditions for: (i) ϕ -values larger than 0.05; (ii) slopes steeper than $\sim 0.5^\circ \pm 0.1^\circ$; and (iii)
307 relative bed roughness values greater than $\sim 0.06 \pm 0.01$.

308

309 3.2 Estimates of ϕ -values from modern streams in the Central Alps

310 Spreafico et al. (2001) estimated the Froude numbers for various streams situated on the
311 northern side of the Swiss Alps. Related values range between 0.2 and 1.1 and generally
312 increase together with channel gradients (vertical bars on Figure 3A). The surface
313 expressions of the flows particularly of the Birse and Thur streams (labeled as b and t on
314 Figure 3A) are characterized by multiple hydraulic jumps (Spreafico et al., 2001, p. 71 and
315 p. 77). Therefore, the inferred small Froude numbers (between 0.6 and 0.9) of these
316 streams have to be treated with caution.

317 The Froude number estimates by Spreafico et al. (2001) disclose a large scatter in the
318 relationship to the channel gradient (Figure 3A, vertical bars). This can partially be
319 explained by site-specific differences in bed roughness, which are related to anthropogenic
320 corrections and constructions (Spreafico et al., 2001). Nevertheless, the comparison
321 between these data and the results of our calculations reveal that the entire range of ϕ -
322 values between 0.03 and 0.1 has to be taken into account for the hydrological conditions in
323 the streams surrounding the Swiss Alps (Figure 3A). This also implies that the selection of
324 a threshold, expressed by the ϕ -value, warrants a careful justification, which we present in
325 the discussion.

326

327 3.3 Data about the occurrence or absence of clast imbrications from modern streams

328 Here, we present evidence for imbrications and non-imbrications from modern rivers,
329 and we relate these observations to channel slope (Figure 4A) and bed roughness
330 (Figure 4B). Data on grain size, stream runoff and channel morphology are available for
331 several rivers in the northern, the central and the southern part of the Swiss mountain belt.
332 These streams are situated both in the core of the Alps and the foreland. The bedrock-

333 geology of their headwaters includes the entire range of lithologies from sedimentary units
334 to schists, gneisses and granites. In the same sense, the streams cover the full range of
335 water sources in their headwaters including glaciers and surface runoff. Except for the
336 Maggia River between the sites Bignasco and Losone (Figure 2), all streams are
337 channelized, and the rivers generally flow in a bed that is laterally confined by artificial
338 riverbanks. These are either made up of concrete walls or oversized boulders. In this
339 context, information about the hydrographs, grain size and the results of the shear stress
340 calculations consider the time after these constructions have been made.

341

342 *Channel morphologies*

343 The thalweg of the streams meanders between the artificial walls within a 20 to 50 m-wide
344 belt. Flat-topped longitudinal bars that are several tens of meters long and that emerge up
345 to 1.5 m above the thalweg are situated adjacent to the artificial riverbanks on the slip-off
346 slope of these meanders. They evolve into subaquatic transverse bars, or riffles, farther
347 downstream where the thalweg shifts to the opposite channel margin. Channels are
348 deepest and flattest along the outer cutbank side of the meanders and in pools
349 downstream of riffles, respectively. The thalweg then steepens where it crosses the
350 transverse bars and riffles. This is also the location where some streams show evidence
351 for standing waves with wavelengths >5 m (e.g., at Reuss, Figure 5). Standing waves have
352 also been encountered in the Waldemme River at Littau (Figure 6B) when water runoff at
353 that particular site was c. $100 \text{ m}^3/\text{s}$ and when rumbling sounds suggested that clasts were
354 rolling or sliding. The streams thus display a complex pattern where channel depths, flow
355 velocities and possibly also hydrological regimes alternate over short distances of tens to
356 hundreds of meters. These arrangements of channel-bar pairs and particularly their
357 positions within the channel belt has been stable over the past years as the locations of
358 the gravel bars are still the same as the ones reported by Litty and Schlunegger (2016).

359

360 *Streams with evidence for clast imbrication*

361 Inspections of gravel bars have shown clear evidence for imbrications in the Glenner, the
362 Landquart, the Verzasca, and the Waldemme rivers (Table 1). In these streams, channel
363 gradients range between 0.6° (Waldemme) and 1.2° (Glenner) (Figure 4A). The sizes of
364 the D_{84} range between 3 cm (Waldemme) and 12 cm (Glenner). The gravel lithology
365 includes the entire variety from sedimentary (Waldemme) to crystalline constituents
366 (Glenner, Landquart, Verzasca). The inferred bed roughness at the incipient motion of the
367 D_{84} includes the range between c. 0.125 (Waldemme) and 0.31 (Glenner) (Figure 4B). In
368 these streams, bars with imbricated clasts alternate with pools over a reach of several
369 hundreds of meters.

370 At Maggia, Reuss and Waldemme Littau, the largest clasts are arranged as triplets or
371 quadruplets of imbricated constituents within generally flat lying to randomly-oriented finer

372 grained sediment particles. The density of these arrangements ranges between 5 groups
373 per 10 m² (Maggia Bignasco, Maggia Losone) to c. 10 groups per 10 m² (Maggia Visletto,
374 Reuss, Waldemme Littau e.g. Figure 6D). The channel gradients at these sites span the
375 range between c. 0.3 and 0.6°, and the D_{84} clasts are between 3 and 9 cm large (Reuss
376 and Maggia Visletto). Accordingly, the relative bed roughness at the incipient motion of the
377 D_{84} ranges between 0.07 and 0.16.

378 At all sites mentioned above, clasts on subaquatic and subaerial gravel bars are generally
379 arranged as well-sorted and densely packed clusters, possibly representing incipient
380 bedforms (e.g., Figure 6D). In most cases, grains imbricate behind an outsized clast, which
381 usually delineates the front of imbricated arrangements of sediment particles. In addition,
382 the lowermost 10-20% part of most of the large clasts is embedded, and thus buried, in a
383 fine-grained matrix, which was most likely deposited during the waning stage of a flood.
384 Isolated, non-buried clasts that are flat lying on their *a-b*-planes do occur but are less
385 frequent than embedded clasts or constituents arranged in clusters. The inclination dip of
386 the *a-b*-planes ranges between c. 20-40° (Figure 6D). Finally, streams with clast
387 imbrications display surface expressions, which point to an upper flow regime during low
388 (e.g., Reuss, Figure 5B) and high-water stages (e.g., Waldemme, Figure 6B).

389

390 *Streams with little or no evidence for clast imbrication*

391 Gravel bars within the Emme stream are made up of generally flat lying gravels and
392 cobbles. A small tilt of <10° of *a-b*-planes occurs where individual clasts slightly overlap
393 each other, similar to a shingling arrangement of particles. This is particularly the case in
394 pools and on the upstream stoss-side of longitudinal and transverse bars where channel
395 gradients are flat. Also in the Emme River, clast imbrications occur in places only where
396 gravel bars have steep downstream slip faces, which are mainly observed at the end of
397 transverse bars. At sites where imbrication is absent, most of the clasts are lying flat on
398 their *a-b*-planes, and embedding by finer-grained material is less frequently observed than
399 in streams with clast imbrications. The channel gradient is less than 0.5°, and the size of
400 the D_{84} measures 2 cm. The bed roughness of this stream, calculated for the incipient of
401 motion of the 84th grain size percentile, ranges between 0.07 and 0.10. Finally, the flow
402 displays a smooth surface expression during low- and high-water stages (Spreafico et al.,
403 2001, p. 53), which is a characteristic evidence for lower flow regime conditions.

404 The channel morphology of the Sense River differs from that of the Emme stream in the
405 sense that bedrock reaches alternate with alluvial segments over a wavelength of 100-200
406 meters and more. Alluvial segments are flat (c. 0.3°) and host lateral and transverse gravel
407 bars where the D_{84} measures 6 cm. On top of these bars, gravels are generally lying flat
408 on their *a-b*-planes (Figure 6C). Imbrications are observed where some of these gravels
409 are overlapping each other, resulting in a dip angle of 10-20°. Contrariwise, bedrock
410 reaches (site S' on Figure 4A) that form distinct steps in the thalweg are up to 0.5° steep

411 and partly covered by subaquatic longitudinal bars (Figure 1B) where imbricated clasts
412 alternate with flat-lying grains at the meter scale. The channel bed surface is generally
413 well-sorted and well-armored where clasts are either interlocked, partly isolated, and also
414 rooted in a finer-grained matrix, as a photo of a subaquatic longitudinal bar shows (Figure
415 6A). At these sites, upper flow regime segments laterally change to lower flow regime
416 reaches over short distances of a few meters (Figure 1B). While we have made this
417 observation during low water stages only, it is very likely that sub- and supercritical flows
418 also change during flood stages over short distances, as various examples of Alpine
419 streams show (Spreafico et al., 2001).

420

421 3.4 Data about the occurrence or absence of clast imbrications from stratigraphic
422 records

423 Here, we calculated patterns of bed roughness and related channel gradients and explored
424 c. 50 conglomerate sites for the occurrence or absence of clast imbrications. We used
425 published data about channel depth d , surface gradients S and information about the
426 pattern of the D_{84} , which have been reported from the Late Oligocene alluvial megafan
427 conglomerates at Rigi (47°03'N / 8°29'E) and Thun (46°46'N / 7°44'E) situated in the
428 Molasse foreland basin north of the Alpine orogen (Figure 2, Table 1). The depositional
429 evolution of these conglomerates has been related to the rise of the Alpine mountain belt
430 and the associated erosional history of this orogen (Kempf et al., 1999; Schlunegger and
431 Castellort, 2016).

432 The deposits at Rigi are c. 3600 m thick and made up of an alternation of conglomerates
433 and mudstones (Stürm, 1973) that were deposited between 30 and 25 Ma according to
434 magneto-polarity chronologies and mammal biostratigraphic data (Engesser and Kälin,
435 2017). Garefalakis and Schlunegger (2018) subdivided this alternation of conglomerates
436 and mudstones into four segments labeled as α through δ . The lowermost segments α
437 and β are an alternation of mudstones and conglomerate beds and were deposited by
438 gravelly streams (Stürm, 1973). According to Garefalakis and Schlunegger (2018), the
439 depositional area was characterized by a low surface slope ranging between $0.2\pm 0.06^\circ$
440 and $0.4\pm 0.2^\circ$. Channel depths span the range between 1.7 and 2.5 m, and the D_{84} values
441 are between 2 and 6 cm. These measurements result in bed roughness values between
442 0.02 and 0.05. Except for one site, we found no imbrications in outcrops of α and β units
443 (Figures 4, 7A).

444 The top of the Rigi section, referred to as segments γ and δ by Garefalakis and
445 Schlunegger (2018), is an amalgamated stack of conglomerate beds deposited by non-
446 confined braided streams (Stürm, 1973). Garefalakis and Schlunegger (2018) inferred
447 values between $0.65\pm 0.2^\circ$ and $0.9\pm 0.4^\circ$ for the palaeo-gradient of these rivers (Table 1).
448 D_{84} values range between 6 and 12 cm, and palaeo-channels were c. 1.2 m deep. This

449 yields a relative bed roughness between c. 0.05 and 0.12. Interestingly, a large number of
450 conglomerate sites within the segments γ and δ display evidence for clast imbrications in
451 outcrops parallel to the palaeo-discharge direction (Figures 4, 6B). In addition, some
452 outcrops show sedimentary structures that correspond to cluster bedforms of imbricated
453 clasts (C on Figure 7B). However, at all sites, the lateral extents of groups with imbricated
454 clasts are limited to widths of 1-2 meters. Please refer to Garefalakis and Schlunegger
455 (2018) and their Figure 2 for location of sites displaying units α through δ .

456 The up to 3000 m-thick conglomerates at Thun are slightly younger, and the ages span the
457 time interval between c. 26 and 24 Ma according to magneto-polarity chronologies
458 (Schlunegger et al., 1996). Similar to the Rigi section, the conglomerates at Thun start with
459 an alternation of conglomerates, mudstones and sandstones, which has been referred to
460 as unit A. This suite is overlain by an up to 2000 m-thick amalgamated stack of
461 conglomerate beds (unit B). Channel depths within unit A range between 3 to 5 m, and
462 streams were between 0.1° and 0.3° steep. Channels in the overlying unit B were
463 shallower and between 1.5 and 3 m deep. Stream gradients varied between 0.4° and 1° ,
464 depending on the relationships between inferred water depths and maximum clast sizes
465 (Schlunegger and Norton, 2015). In outcrops parallel to the palaeo-discharge direction,
466 sequences with imbricated clasts have only been found in unit B where palaeo-channel
467 slopes were steeper than 0.4° (Figure 4A). Similar to the Rigi section, the lateral extents of
468 groups with imbricated clasts are limited to widths of a few meters only. No data is
469 available for computing the D_{84} grain size, with the consequence that we cannot estimate
470 the bed roughness for the Thun conglomerates. Please see Schlunegger and Norton
471 (2015) for location of sites where units A and B are exposed.

472 Similar to the modern examples, imbricated clasts form a well-sorted cluster and
473 commonly include the largest constituents of a gravel bar. In most cases, clasts imbricate
474 behind an outsized constituent, which usually delineates the front of an imbricated
475 arrangement of clasts (Figure 7B).

476

477 **4 Discussion**

478 4.1 Selection of preferred boundary conditions

479 Our calculations reveal that the results are strongly dependent on: (i) the selection of
480 values for the Shields variable ϕ ; (ii) the way of how we consider variations in slope S at
481 the bar and reach scales, and (iii) the consideration of flood magnitudes which either result
482 in the motion of individual sediment particles or the alteration of the shape of an entire
483 channel (channel forming floods). This section is devoted to justify the selection of our
484 preferred boundary conditions.

485

486 *Thresholds regarding channel forming floods versus incipient motion of individual clasts*

487 We constrained our calculations on the incipient motion of individual clasts and used
488 equation (1a) for all other considerations. This approach might be perceived as a large
489 contrast to the hydrological conditions during channel forming floods where thresholds for
490 the evacuation of sediment are up to 1.2 times larger, as theoretical and field-based
491 analyses and have shown (Parker, 1978; Philips and Jerolmack, 2016; Pfeiffer et al.,
492 2017). Nevertheless, the consequences on the outcome of our calculations are minor, at
493 least when the Froude number dependencies on the slope and bed roughness parameters
494 are considered. In fact, a 1.2-times larger threshold will increase the ϕ -values (equation
495 1b) to the range between 0.036 and 0.072. However, as illustrated in Figure 3, this will not
496 change the general pattern. In addition, while channel forming floods are mainly
497 associated with equal mobility of a large range of sediment particles, the formation of an
498 imbricated fabric involves the clustering of individual clasts only. We use these arguments
499 to justify our preference for using equation 1a (incipient motion of clasts) rather than
500 equation 1b (channel forming floods).

501

502 *Protrusion and hiding effects and consequences for the selection of ϕ -values*

503 Larger bed surface grains, as is the case for most of the imbricated clasts, may exert lower
504 mobility thresholds because of a greater protrusion and a smaller intergranular friction
505 angle, as noted by Buffington and Montgomery (1997) in their review. Related
506 consequences have been explored in experiments (e.g., Buffington et al., 1992) and
507 through field-based studies, which were likewise complemented with experiments in the
508 laboratory (Johnston et al., 1998). These studies resulted in the notion that the entrainment
509 of the largest clasts (e.g., the D_{84}) most likely requires lower flow strengths than the shift of
510 median-sized sediment particles. As a consequence, while ϕ -values might be as high as
511 0.1 for the displacement of the D_{50} (Buffington et al., 1992), conditions for the incipient
512 dislocation of large clasts could be significantly different. In particular, for clasts that are up
513 to five times larger than the D_{50} (which corresponds to the ratio between the D_{84} and the
514 D_{50} of the Swiss data, Table 1), Buffington et al (1992) and also Johnston et al. (1998)
515 predicted ϕ -values that might be as low as 0.03 or even less. Related ϕ -values, for
516 instance, have indeed been applied for mountainous streams where the supply of
517 sediment from the lateral hillslopes has been large (van der Berg and Schlunegger, 2012).
518 Large sediment fluxes have been considered to result in a poor sorting and a low packing
519 of the material, and thus in low thresholds particularly for the incipient motion of large clast
520 (Lenzi et al., 2006; van der Berg and Schlunegger, 2012). Our calculations predict that an
521 upper flow regime is very unlikely to establish at these conditions (ϕ -value of 0.03).

522 However, we consider it unlikely that the formation of most of the imbrications, as we did
523 encounter in the analyzed Alpine streams and in the stratigraphic record, were associated
524 with thresholds as low as those proposed by e.g., Lenzi et al. (2006) and van der Berg and

525 Schlunegger (2012). We base our inference on the observation that the analyzed gravel
526 bars display an arrangement where large clasts are generally well sorted and densely
527 packed, both on subaerial (during low water stages) and subaquatic bars. This results in a
528 high interlocking degree of sediment particles within the bars we have encountered in the
529 field. In addition, field inspections showed that the base of most of the large clasts,
530 particularly those in subaquatic bars, are embedded and thus buried in finer grained
531 material, and only very few clasts are lying isolated and flat on their *a-b*-planes. This
532 implies that the fine-grained sediment particles have to be removed before these clasts
533 can be entrained. In this case, hiding effects associated with ϕ -values >0.5 would possibly
534 be appropriate for the prediction of material entrainment of the finer-grained sediments
535 before the larger clasts can be shifted (Buffington and Montgomery, 1997). As a
536 consequence, a dislocation of these clasts and thus a rearrangement of the sedimentary
537 fabric most likely require that large thresholds have to be exceeded, which is mainly
538 accomplished through high-discharge events with large flow strengths. We thus propose
539 that the use of ϕ -values of c. 0.05, which is commonly used for the entrainment of the D_{50}
540 (Paola and Mohring, 1996), is also adequate for the calculation of the hydrological
541 conditions associated with the fabric we have encountered in the field. We do
542 acknowledge, however, that this hypothesis warrants a test with quantitative data, which
543 we have not available. Please note that the low Froude numbers and thus the low ϕ -values
544 of 0.3 inferred for the Thur and the Birse streams might be underestimated, because
545 photos that were taken during high stage flows of these streams display clear evidence for
546 multiple hydraulic jumps over m-long reaches (Spreafico et al., 2001, p. 71 and 77).

547

548 *Variations in channel gradient at the bar and reach scales*

549 Figure 3 shows that the results largely hinge on the values of ϕ and S . We applied
550 equation 3 while inferring a steady uniform flow and a bed slope, which is constant over a
551 distance of 500 m. We did not consider any smaller-scale slope variations that are caused
552 by downstream alternations of bars, riffles and pools as we lack the required quantitative
553 information. This inference results in an energy slope, which is neither equal to the water
554 surface slope nor to the bed slope. Such inequalities increase substantially when unsteady
555 non-uniform super-critical flows and transitions are considered (e.g., Figure 1A), which is
556 not fully described by equations 3 and 4, and which introduces a bias. These variations in
557 channel floor morphologies are likewise not depicted in experiments either (e.g., Buffington
558 et al., 1992; Powell et al., 2016), which could partially explain the low ϕ -values that result
559 from these studies. We justify our simplification because we are mainly interested in
560 exploring whether supercritical flows are likely to occur for particular ϕ - and channel
561 gradient values.

562

563 4.2 Relationships between channel gradient, bed roughness and flow regime

564 We have found an expression where the Froude number F , and thus the change from the
565 lower to the upper flow regime, depends on the channel gradient S and the bed roughness
566 D_{84}/d (eq. 7). This relationship also predicts that the controls of both parameters on the
567 Froude number are to some extent independent from each other. Under these
568 considerations, the similar pattern of how the Froude number F depends on channel
569 gradient and bed roughness (Figure 3) appears unexpected. However, we note that we
570 computed both relationships for the case of the incipient motion of the grain size percentile
571 D_{84} . This threshold is explicitly considered by equation 2, which we used as basis to derive
572 an expression where the Froude number depends on the channel gradient or the bed
573 roughness only. Therefore, it is not surprising that the dependencies of the Froude number
574 on gradient and bed roughness follow the same trends. In addition, Blissenbach (1952),
575 Paola and Moring (1996) and also Church (2006) showed that channel gradient, water
576 depth and grain size are closely related parameters during the entrainment of sediment
577 particles. In particular, channels with coarser grained gravel bars tend to be steeper and
578 shallower than those where the bed material is finer grained (Church, 2006). In the same
579 sense, also in steeper streams, bed roughness values tend to be larger than in flatter
580 channels (Whipple, 2004). We use the causal relationships between these variables to
581 explain the similarity in the patterns illustrated in Figures 3A and 3B.

582 The tendency towards lower Froude numbers for a channel gradient $>1^\circ$ ($\phi >0.05$) and a
583 bed roughness >0.3 ($\phi >0.05$) is somewhat unexpected. We explain these trends through
584 the non-linear relationships between slope, water depth, the energy loss within the
585 roughness-layer, and the velocity at the flow's surface.

586

587 4.3 The formation of imbrications in experiments

588 Interpretations of the possible linkages between hydrological conditions upon material
589 transport and the formation of imbrications are hampered because experiments have not
590 been designed to explicitly explore these relationships. In addition, as noted by Carling et
591 al. (1992), natural systems differ from the conditions in experiments because of the
592 contrasts in scales. Nevertheless, it was possible to reproduce the formation of clast
593 imbrications in subcritical flumes (Carling et al., 1992), or at least in the absence of any
594 change in flow regime in many experiments. For instance, Qin et al. (2013) quantified the
595 imbrications that resulted from the experiments by Aberle and Nikora (2006) where
596 flows have been stationary. Carling et al. (1992) additionally showed that the shape of a
597 clast has a strong control on the thresholds for incipient motion, the style of motion, and
598 the degree of imbrication. A similar arrangement of clasts was formed in the experiments
599 by Powell et al. (2016) and Bertin and Friedrich (2018), who reproduced imbrications with
600 low Froude numbers between c. 0.55 and 0.9. Please note that we inferred these numbers
601 from the experimental setup of these authors. Powell et al. (2016) additionally showed that

602 the material can be entrained with ϕ -values as low as 0.03, which is consistent with
603 calculations of Froude numbers for some of the streams in Switzerland. Also during
604 experiments, Johansson (1963) reported particle vibration before entrainment either
605 through rolling or sliding. He noted that imbrication was formed at conditions, which
606 corresponded to the lower flow regime during the flume experiments. Based on field
607 observations, Sengupta (1966) reported examples where imbrication was most likely
608 initiated by the development of current crescents around pebbles that were embedded in
609 sand, and that these processes possibly occurred during lower regime flows. Such eddies
610 preferentially develop at the upstream end of pebbles, which then leads to the winnowing
611 of the fine grained sand at the upstream edge and the tilting of this particular clast.
612 Additional sliding, pivoting and vibrating of these sediment particles might then result in the
613 final imbrication. If this process occurs multiple times and affects the sand-gravel interface
614 at various sites, then an armored bed with imbricated clasts can establish without the
615 necessity of supercritical flows, or changes in flow regimes, as experimental results have
616 shown (Aberle and Nikora, 2006; Haynes and Pender, 2007). Such a fabric may even form
617 in response to prolonged periods of sub-threshold flows, as summarized by Ockelford and
618 Haynes (2013). Finally, using flume experiments in a 0.3 m-wide, 4 m-long, recirculating
619 tilting channel flume, Brayshaw (1984) was able to reproduce cluster bedforms with
620 imbricated clasts during subcritical flows (F -numbers between 0.03 and 0.07).

621 However, inspections of photos illustrating the experimental set up reveal that the surface
622 grains are either flat lying on finer-grained sediments before their entrainment (Figure 3 in
623 Powell et al., 2016), occur isolated on the ground (Figure 2.1b in Carling et al., 1992), or
624 have a low degree of interlocking (Figure 3a in Lamb et al., 2017). Interestingly, the
625 experiment by Buffington et al. (1992) followed a different strategy, where a natural bed-
626 surface of a stream was peeled off with epoxy. They subsequently used this peel in the
627 laboratory to approximate a natural channel bed surface (see their Figure 4), on top of
628 which they randomly placed grains with a known size distribution. Buffington and co-
629 authors then measured the friction angle of the overlying grains, based on which they
630 calculated the critical boundary shear stress values ϕ . In all experiments, the surface
631 morphology of the sedimentary material is flat and lacks topographic variations, which we
632 found as reach-scale alternations of riffles, transverse bars and pools in the field. The low
633 ϕ -values of 0.03, which appears to be typical of bed surface conditions that develop in
634 laboratory flumes (Ferguson, 2012), as summarized by Powell et al. (2016), could possibly
635 be explained by these conditions. Furthermore, and probably more relevant, the lengths of
636 the experimental reaches are generally less and range between e.g., 4.0 meters
637 (Brayshaw, 1984), 4.4 meters (Powell et al., 2016), 15 meters (e.g., Lamb et al., 2017) and
638 even 20 meters (Aberle and Nikora, 2006). We acknowledge that in most experiments the
639 variables have been normalized through an e.g., constant Reynolds or Froude number

640 (Brayshaw, 1984). This normalization also includes the experimental D_{50} -grain sizes, which
641 are very similar to those we have determined for our selected streams (Litty and
642 Schlunegger, 2017). Nevertheless, we find it really hard to upscale some of the results
643 associated with these experiments to our natural cases where standing waves of 1 m, and
644 even between 5 and 8 meters lengths may occur (our Figures 1B, 5B, 6B), which are not
645 reproducible in the experiments. In addition, Powell et al. (2016) observed that the water
646 surface stayed relatively stable during their experiments, and that the flows were steady
647 and uniform without hydraulic jumps. This contrasts to our natural cases where upper and
648 lower flow regimes alternate over short distances even during low-stage flows. Finally,
649 while winnowing of fine grained material, tilting of clasts and subsequent bed armouring
650 might be a valuable mechanism for the explanation of imbrications during low stage flows
651 in experiments, we consider it unlikely that these results can be directly translated to our
652 field observations. We base our inference on two closely related arguments. First, our
653 reported groups of imbricated clasts tend to be arranged as cluster bedforms (e.g., Figures
654 6D, 7B), which rather form in response to selective deposition of large clasts (Brayshaw,
655 1984) than selective entrainment of fine-grained material (Figure 6A). Second,
656 observations (Berther, 2012) and calculations (Litty and Schlunegger, 2017) have shown
657 that effective sediment transport in these streams is likely to occur on decadal time scales
658 (and most likely much shorter; van der Berg and Schlunegger, 2012), at least for
659 subaquatic bars. Sediment transport is then likely to occur over a limited reach only. This
660 means that a large fraction of the shifted material per flood has a local source situated in
661 the same river some hundreds of meters farther upstream where bars are also well
662 armored. This possibly calls for large thresholds for the removal of clasts. In addition, on
663 subaerial bars, waning stages of floods result in the deposition of fine-grained material and
664 not in the winnowing of sand, as our observations have shown. Accordingly, while low
665 ϕ -values and thus a lower flow regime might be appropriate for predicting the entrainment
666 of the sediment particles in experiments, greater thresholds and thus larger ϕ -values are
667 likely to be appropriate for our natural examples for the reasons we have explained in
668 above.

669

670 4.4 Possible relationships between flow regimes and clast imbrications based on field 671 observations

672 Here, we provide evidence for proposing that clast imbrications can be linked with
673 supercritical flows provided that the gravel bars form a well-sorted arrangement of densely
674 packed particles with a clast-supported fabric, as we have encountered in our streams. We
675 sustain our inferences with (i) published examples from natural environments; (ii) our
676 observations from Swiss streams; and (iii) the results of our calculations,

677 For the North Saskatchewan River in Canada, Shaw and Kellerhals (1977) reported gravel
678 mounds on a lateral gravel bar, which have a regular spacing between 2 and 3 meters and

679 a relatively flat top. Shaw and Kellerhals considered these bedforms as antidunes, which
680 might have formed in the upper flow regime. Also in modern gravelly streams, transverse
681 ribs, which are a series of narrow, current-normally orientated accumulations of large
682 clasts, were considered as evidence for the deposition either under upper flow regime
683 conditions, or in response to upstream-migrating hydraulic jumps (e.g., Koster, 1978; Rust
684 and Gostin, 1981). Koster (1978) additionally reported that these bedforms are associated
685 with clast imbrications (Figure 2 in Koster, 1978). Alexander and Fielding (1997) found
686 modern gravel antidunes with well-developed clast imbrications in the Burdekin River,
687 Australia. Finally, Taki and Parker (2005) reported cyclic steps of channel floor bedforms
688 with wave-lengths that are 100–500 times larger than the flow thickness. These bedforms
689 most likely represent chute-and-pool configurations (Taki and Parker, 2005), which could
690 have formed in response to alternations of upper and lower flow regime conditions, as
691 outlined by Grant (1997). In such a situation, the upstream flow on the stoss-side of the
692 bedform may experience a reduction of the flow velocity, with the effect that the flow may
693 shift to subcritical conditions. This could be associated with a hydraulic jump and a drastic
694 reduction of the flow velocity and thus with a drop of shear stresses (Figure 1A). In gravelly
695 streams, such a situation could result in the deposition of clasts. In such a scenario, the
696 site where sediment accumulates most likely migrates upstream (Figure 8).

697 Inspections of modern gravel bars in the Central European Alps and of stratigraphic
698 records (Figure 4) reveal the occurrence of imbrications where channel slopes are steeper
699 than 0.4° - 0.5° , and where the values of bed roughness exceed c. 0.06. The results of our
700 generic calculations (Figure 3) reveal that under these circumstances, flows might become
701 supercritical provided that ϕ -values are greater than c. 0.05 (Figure 3). This is supported
702 by observations from the Waldemme and Reuss Rivers (slope $>0.5^{\circ}$) during high stage
703 and low stage flows (Figures 5B and 6B) that provide evidence for standing waves and
704 thus supercritical flows. Contrariwise, the reach of the Emme River is flatter (slope $<0.4^{\circ}$),
705 imbrications are largely absent, and flows generally occur in the lower flow regime
706 (Spreafico et al., 2001, p. 53). We thus propose that a channel gradient of c. 0.5° is critical
707 for both the formation of clast imbrications and possibly also for the establishment of
708 supercritical flows. Based on these relationships, we also suggest that the generation of
709 imbrications may be associated with upper flow regime conditions.

710 The proposed threshold slope is consistent with the results of previous work, where upper
711 flow regime bedforms such as transverse ribs have been described for e.g., the Peyto
712 Outwash (slope c. 1.09°), the Spring Creek (same slope; McDonald and Banerjee, 1971),
713 and the North Saskatchewan River (slope 0.52° ; Dept. Mines and Tech. Survs., 1957).
714 This is also in agreement with observations (Mueller et al., 2005) and the results of
715 theoretical work calibrated with data (Lamb et al., 2008). In particular, Mueller et al. (2005)
716 suggested that a ϕ -value of c. 0.03 is suitable for slopes $<0.35^{\circ}$, while $\phi > 0.1$ might be
717 more appropriate for the mobilization of coarse-grained sediment particles in channels

718 steeper than 1.1° . This might be an overestimate of the ϕ -dependency of slope (Lamb et
719 al., 2008), but it does show that ϕ -values larger than the commonly used ϕ -values
720 between 0.04 and 0.05 might be appropriate where channels are steep (see also Ferguson,
721 2012). Finally, Simons and Richardson (1960, p. 45) noted that flows rarely exceeded unity
722 Froude numbers over an extended period of time in a stream with erodible banks. We thus
723 use the conclusion of their discussion to explain the limited spatial extent of individual
724 ensembles of imbricated clasts in modern streams and stratigraphic records.

725

726 **5 Summary and conclusions**

727 We started with the hypothesis that the transport and deposition of coarse-grained
728 particles, and particularly the formation of an imbricated fabric, may be related to changes
729 in flow regimes. We then calculated the Froude number F at conditions of incipient motion
730 of coarse-grained bedload for various bed roughness and stream gradient values, and we
731 compared the results with data from modern streams and stratigraphic records. The results
732 suggest that imbricated clasts are likely to provide evidence for the occurrence of
733 supercritical conditions particularly at sites where channel gradients are steeper than $\sim 0.5^\circ$
734 and where ϕ -values are greater than c. 0.05. We do acknowledge that our field-based
735 inferences are associated with large uncertainties regarding channel gradients and grain
736 size (Litty and Schlunegger, 2017), and that they lack a quantitative measure of the spatial
737 distribution of clast imbrications and clast arrangements (Bertin and Friedrich, 2018). In the
738 same sense, the hydrologic calculations and force balancing approaches are based on the
739 simplest published expressions where water flow is related to sediment transport. Larger
740 complexities, which complicate any considerations of material transport (Engelund and
741 Hansen, 1967), have not been considered. This includes, for instance, large supply rates
742 of sediment (van der Berg and Schlunegger, 2012; Bekaddour et al., 2013), changes in
743 bed morphology, spatial variations in turbulences, the shape and the sorting of grains, the
744 3D arrangement of clasts (Lamb et al., 2008; Hodge et al., 2009), and more complex
745 hydrological conditions including upper-stage plain beds, hydraulic drops, and standing
746 waves (Johannson, 1963). In addition, the occurrence or absence of imbrications also
747 strongly depends on the shape of the involved clasts (Carling et al., 1992). In particular,
748 clasts with a relatively large c -axis tend to form steeper imbrications compared to those
749 constituents where the c -axis is short. In addition, experimental results of Hattingh and
750 Illenberger (1995) showed that spheres and rods have a higher mobility than blades and
751 discs, which is explained by differences in the related lift and drag forces exerted on each
752 shape-type together with the angle of repose and pivotability of these shape types.
753 Unfortunately, we lack the quantitative dataset to properly address these points. We also
754 acknowledge that imbrications do form during subcritical flows in flume experiments at
755 conditions, which can be characterized by low ϕ -values (Brayshaw, 1984; Carling et al.,

756 1992; Powell et al., 2016; Lamb et al., 2017). However, as already noted above, we find it
757 quite hard to upscale the experimental results (<20 meters) to the reach scale of our
758 observations where standing waves with wavelengths as long as 8 meters have been
759 observed (Figure 6B).

760 Despite our simplifications, we find evidence for proposing that clast imbrications are likely
761 to be associated with supercritical flows provided that (i) channel gradients are steeper
762 than c. $0.5^{\circ} \pm 0.1^{\circ}$, and (ii) large clasts are tightly packed, closely arranged as cluster
763 bedforms and partly embedded in finer-grained sediment. Mobilization and
764 rearrangements of these structures require larger thresholds (Brayshaw, 1985), which
765 might be large enough (ϕ -values possibly >0.05) to allow supercritical conditions to occur.
766 These findings might be useful for the quantification of hydrological conditions in coarse-
767 grained stratigraphic archives such as conglomerates. As a further implication, the
768 occurrence of imbrications in clastic sediments may be used to infer a minimum value of
769 $0.5^{\circ} \pm 0.1^{\circ}$ for the palaeo-topographic slope. Such a constraint might be beneficial for
770 palaeo-geographic reconstructions and for the analysis of a basin's subsidence history
771 through the back-stripping of strata (e.g., Schlunegger et al., 1997). Finally, for modern
772 streams, the presence of imbrications on gravel bars with closely packed clasts might be
773 more conclusive for inferring an upper flow regime upon material transport than other
774 bedforms such as transverse ribs or antidunes (Koster, 1978; Rust and Gostin, 1981),
775 mainly because clast imbrications have a better preservation potential and are easier to
776 recognize in the field.

777

778 **Figure captions**

779 Figure 1: A) Photo showing hydraulic jump, and conceptualization of situation displayed
780 in photo of Figure 1A. F =Froude number; v =flow velocity, d =water depth. B)
781 Photo from Sense River, and cross-sections through reaches with upper and
782 lower flow regimes. Surface waves ($\lambda \approx 20$ -30 cm) tend to fade out towards the
783 upstream direction relative to the flow movement where subcritical flows prevail
784 (section to the left). A hydraulic jump separates segments with a supercritical
785 flow from reaches with a subcritical flow where the bedrock builds a ramp. The
786 reach illustrated by the section to the right is characterized by standing waves
787 with wavelengths $\lambda \approx 100$ cm. The dashed line illustrates the trace of the plane
788 that separates lower from upper regime flows. Please see Figure 2 for location
789 of photo.

790

791 Figure 2: Sites where modern gravel bars in streams were inspected for the occurrence
792 of clast imbrications (blue dots). The figure also shows the locations of the
793 stratigraphic sections where conglomerates were analyzed for their

794 sedimentary structures. S=Sense; E=Emme; WE_{I-IV}=Waldemme,
795 WL=Waldemme at Littau, R=Reuss; L=Landquart; G=Glenner; M_B, M_V,
796 M_L=Maggia at Bignasco, Visletto and Losone; V_F, V_M, V_L=Verzasca at Frasco,
797 Motta and Lavertezzo. See Table 1 for coordinates of sites.

798 The black squares are sites where Spreafico et al. (2001) have estimated
799 channel gradients and Froude numbers for low and high-stage flows. b=Birse-
800 Moutier, e=Emme-Burgdorf, g/=Glatt-Fällanden, g=Gürbe-Belp, m=Minster-
801 Euthal, /=Lütschine-Gsteig, s=Suze-Sonceboz, t=Thur-Stein

802

803 Figure 3: Relationships between A) channel slope and Froude number F , and B) relative
804 bed roughness and F . These were calculated as a function of various Shields
805 (1936) variables ϕ . The pale green field indicates the conditions where an
806 upper flow regime could prevail, while the yellow field delineates the
807 occurrence of lower flow regime conditions. In this context, we set the
808 threshold to a Froude number of c. 0.9. This is consistent with the estimation of
809 parameters for the formation of upper flow regime bedforms by Koster (1978).
810 Note that the bed roughness is the ratio between the D_{84} and the water depth d
811 at the incipient motion of that particular size class. The vertical bars on Figure
812 3A also illustrate the Froude numbers that have been estimated by Spreafico
813 et al. (2001) for the following streams and locations: b=Birse-Moutier,
814 e=Emme-Burgdorf, g/=Glatt-Fällanden, g=Gürbe-Belp, m=Minster-Euthal,
815 /=Lütschine-Gsteig, s=Suze-Sonceboz, t=Thur-Stein. Please note that the low
816 values for the Thur and Birse Rivers might represent underestimates as these
817 streams show evidence for multiple hydraulic jumps during high stage flows.

818

819 Figure 4: This figure relates the occurrence of imbrications (blue bars) or no imbrications
820 (red bars) to A) channel slopes and B) relative bed roughness. Red bars with
821 blue hatches indicate that imbrications have been found in places. Blue bars
822 with red hatches suggest that imbrications dominate the bar morphology, but
823 that reaches without imbrications are also present on the same gravel bar.
824 Data from modern streams are displayed above the horizontal axes, while
825 information from stratigraphic sections are placed below the slope and
826 roughness axes, respectively. S=Sense, S'=Sense with bedrock reach,
827 E=Emme, WE_{I-IV}=Waldemme, WL=Waldemme at Littau, R=Reuss;
828 L=Landquart; G=Glenner; M_B, M_V, M_L=Maggia at Bignasco, Visletto and
829 Losone; V_F, V_M, V_L=Verzasca at Frasco, Motta and Lavertezzo. See Table 1 for
830 coordinates of sites, and Figure 2 for locations where data were collected.

831

832 Figure 5 A) Reuss River with evidence for standing waves along the thalweg. Othophoto
833 reproduced by permission of swisstopo (BA 18065). Please see Figure 2 for
834 location. B) Transverse and lateral bars in the Reuss River with imbricated
835 clasts on the lateral bar forming a riffle, and standing waves where the thalweg
836 crosses the riffle. The wavelength of the standing wave is c. 5 m. Arrow
837 indicates flow direction. Please see Figures 2 and 5A for location of photo.

838

839 Figure 6: Photos from the field. A) Photo of subaquatic longitudinal bar taken along the
840 steep bedrock/gravel bar reach of the Sense River (see Figure 1B for location
841 of photo). The clasts in the foreground are clustered and imbricated, forming
842 the nucleus of a possible cluster bedform. This fabric most likely formed when
843 rolling clasts came to a halt behind the boulder at the front. The clasts in the
844 background are either flat lying or slightly imbricated. Except for a few sites,
845 nearly all grains are either partially buried by finer grained material or
846 interlocked by neighboring clasts. The overlying flow shows evidence for
847 supercritical conditions with standing waves. B) Standing waves with a
848 wavelength of c. 8 m in the Waldemme at Littau. Water fluxes are c. 100 m³/s.
849 Arrow indicates flow direction. C) Flat lying clasts on a lateral bar in the Sense
850 River. Arrow indicates clasts that are overlapping each other, resulting in a
851 shallow dip of <10° of the overriding clast. D) Imbricated clasts within the
852 Maggia River at Visletto. Arrow indicates flow direction. Please note that the
853 imbricated arrangements of clasts mainly include the largest constituents of the
854 gravel bar in the middle of the photo, and clasts of similar sizes. Therefore, for
855 this set of imbricated clasts, we do not consider that protrusion effects might
856 play a major role. See Figure 2 for location and Table 1 for coordinates.

857

858 Figure 7: A) Conglomerates at Rigi with no evidence for clast imbrications. White lines
859 indicate the orientation of the bedding. B) Conglomerates at Rigi with
860 imbricated gravels to cobbles that are arranged as cluster bedforms (C). Arrow
861 indicates palaeoflow direction. White line refers to the bedding. Note that the
862 steep dip (>25°) of the *a-b*-planes of the imbricated clasts. See Figure 2 for
863 location and Table 1 for coordinates.

864

865 Figure 8: Conceptual sketch illustrating the formation of an ensemble of imbricated
866 clasts as time proceeds (A through C). According to this model, the site of
867 sediment accumulation will migrate upstream. F =Froude number; v =flow
868 velocity, d =water depth.

869

870 Table 1: Grain size and observational data and that have been collected in the field.
871 See text for further explanations.

872

873

874 **Author contribution**

875 FS designed the study and carried out the calculations, PG and FS collected the data, FS
876 wrote the text with contributions by PG, both authors contributed to the analyses and
877 discussion of the results.

878

879 **Data availability**

880 The authors declare they have no conflict of interest.

881

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885

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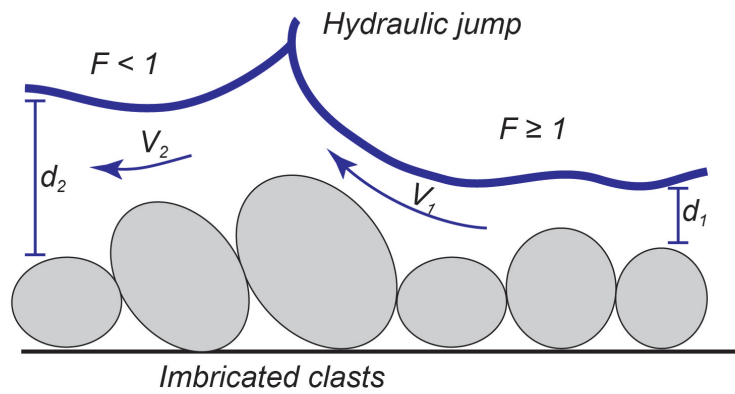
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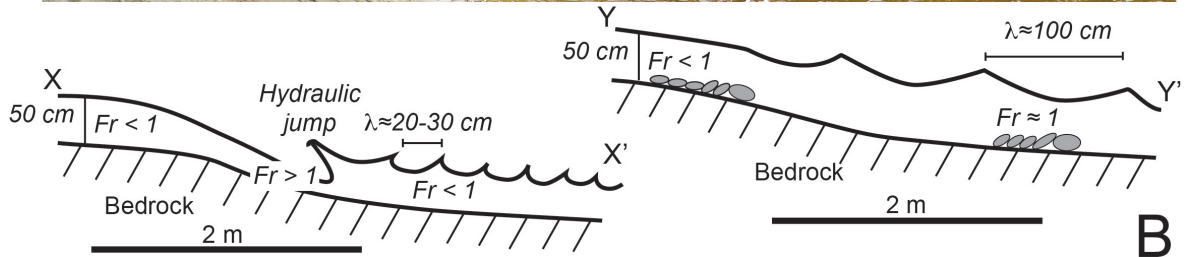
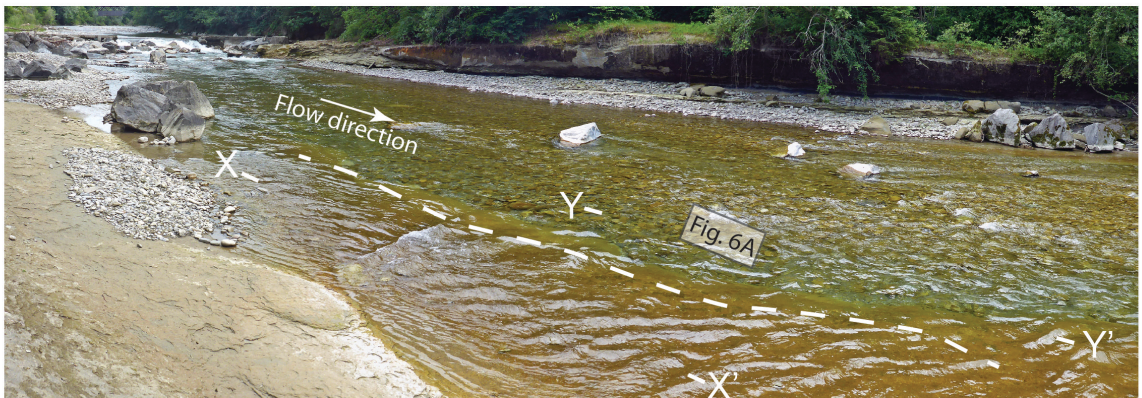
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A

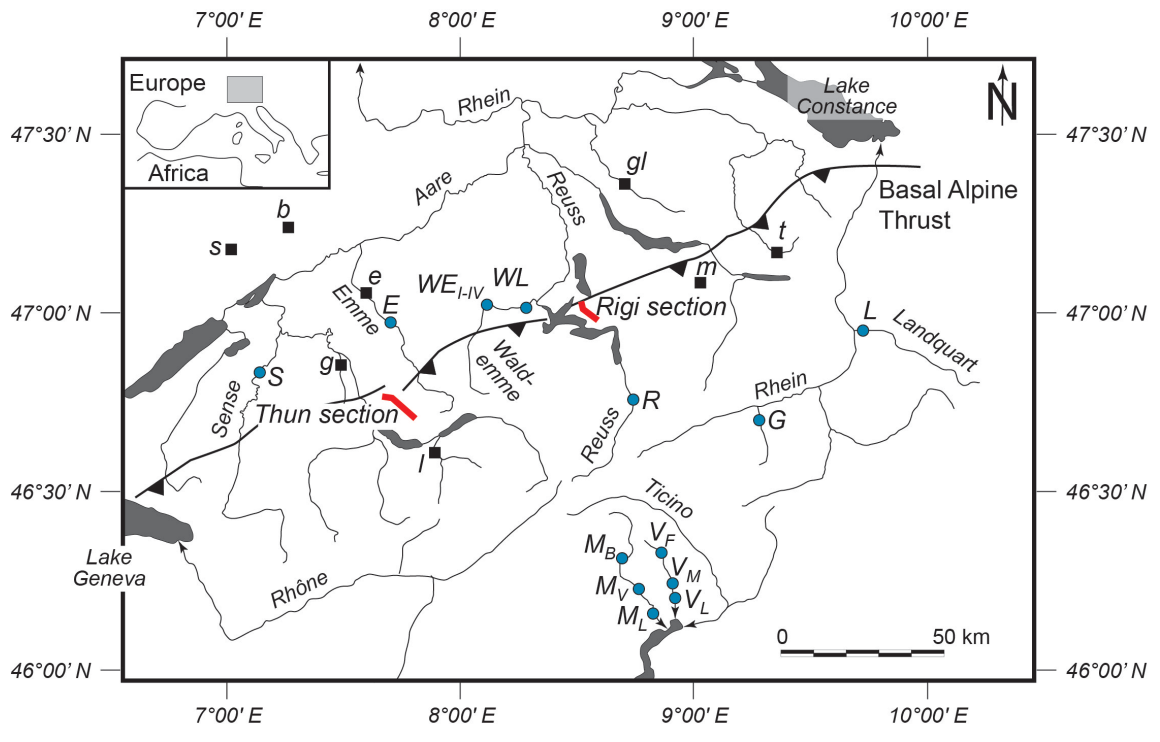


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Figure 1

Figure 1

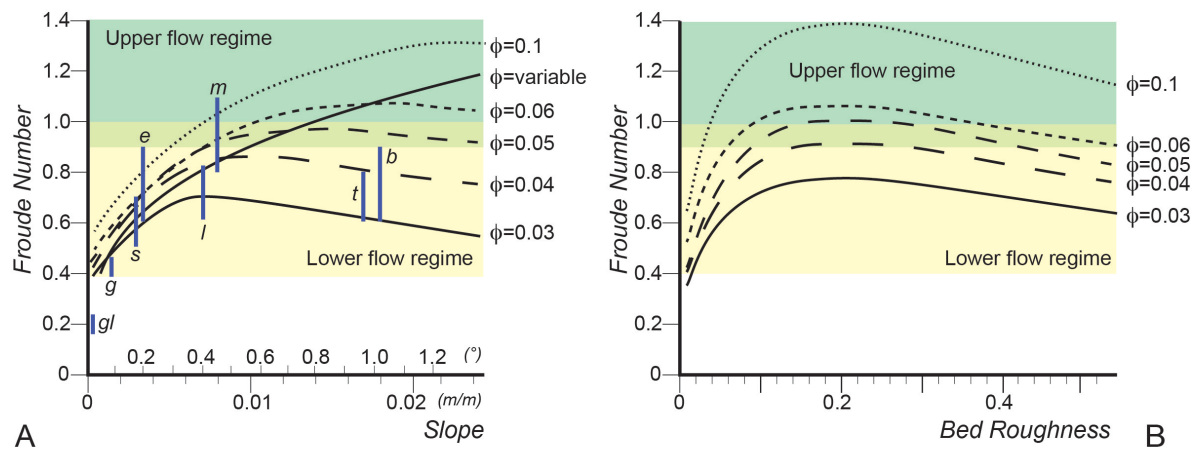


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1118 **Figure 2**

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Figure 2



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1121 Figure 3

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Figure 3

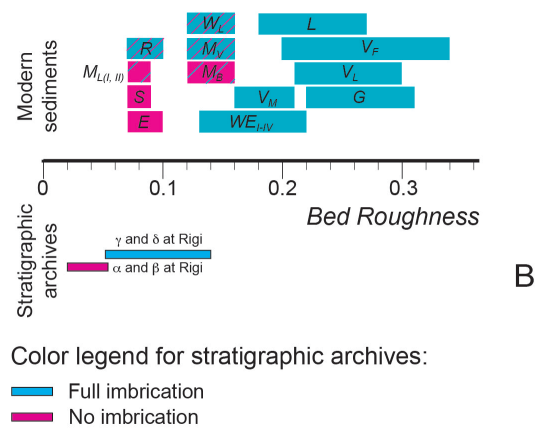
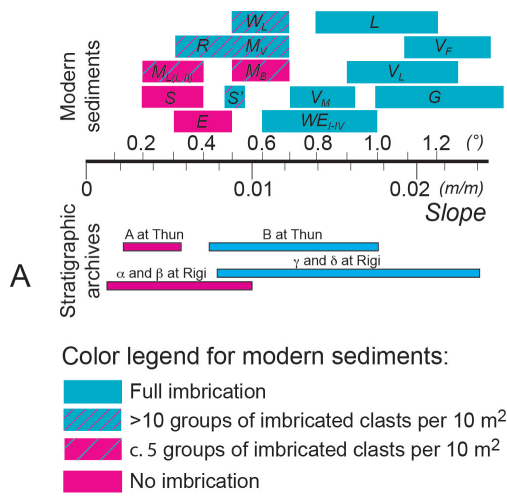
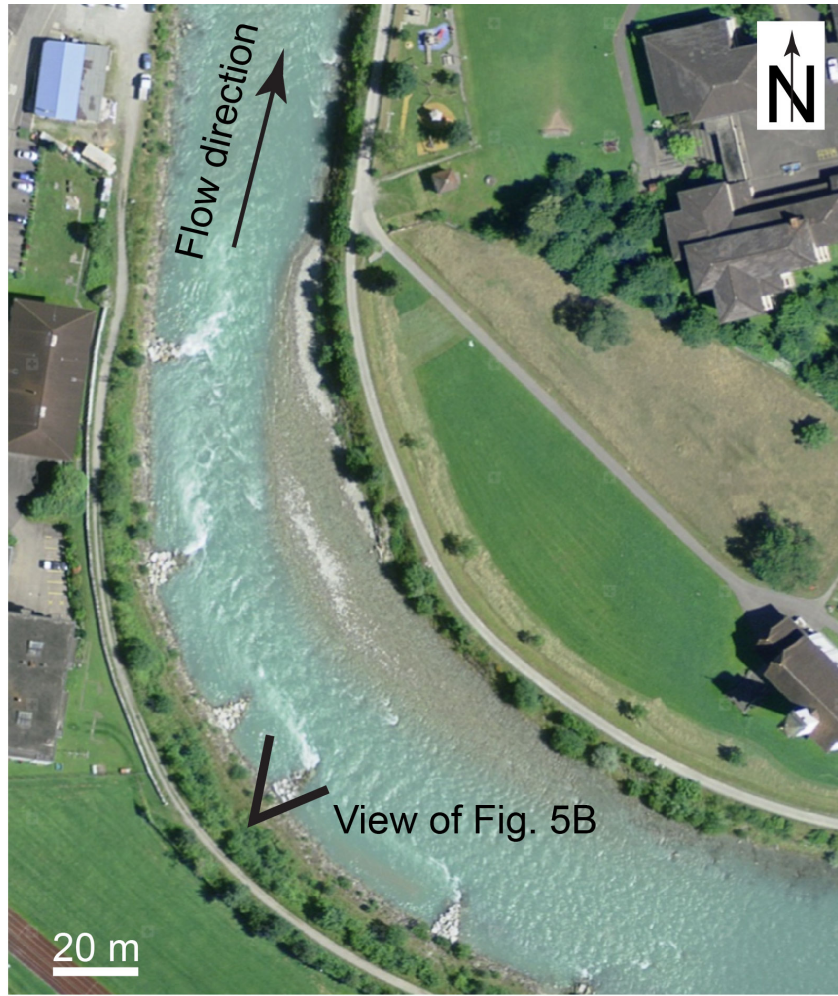


Figure 4

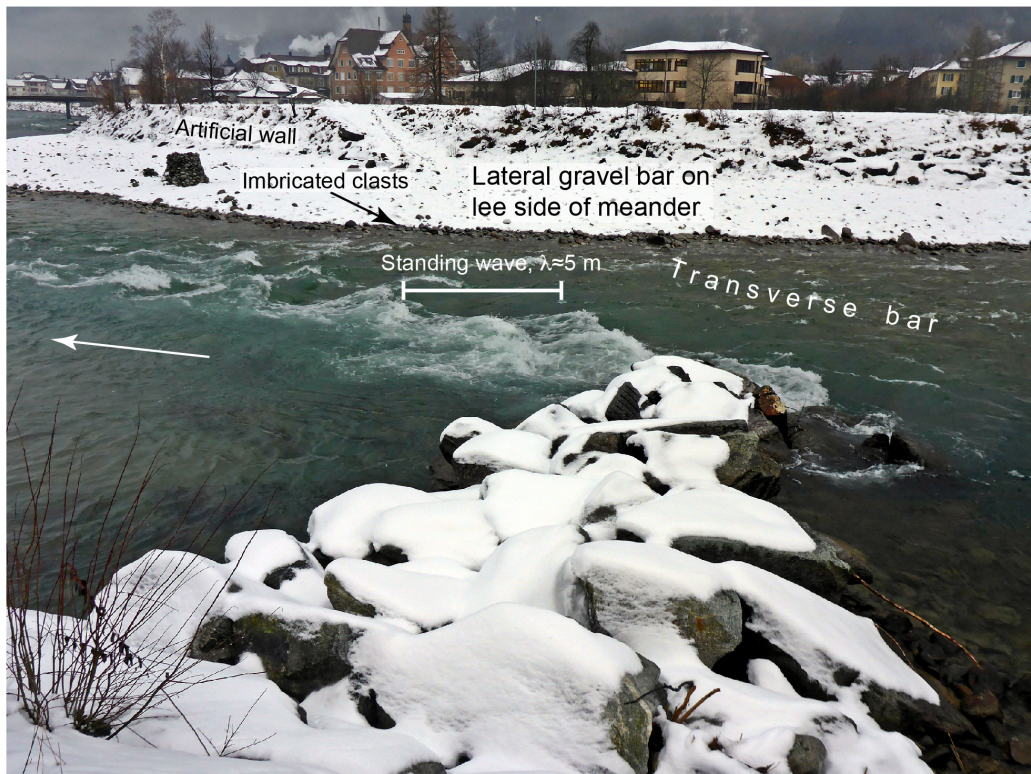
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1124 Figure 4

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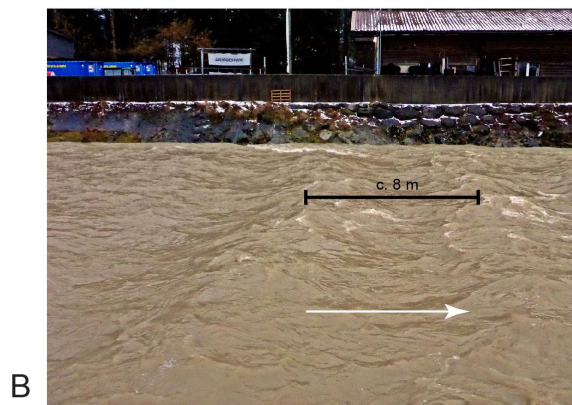
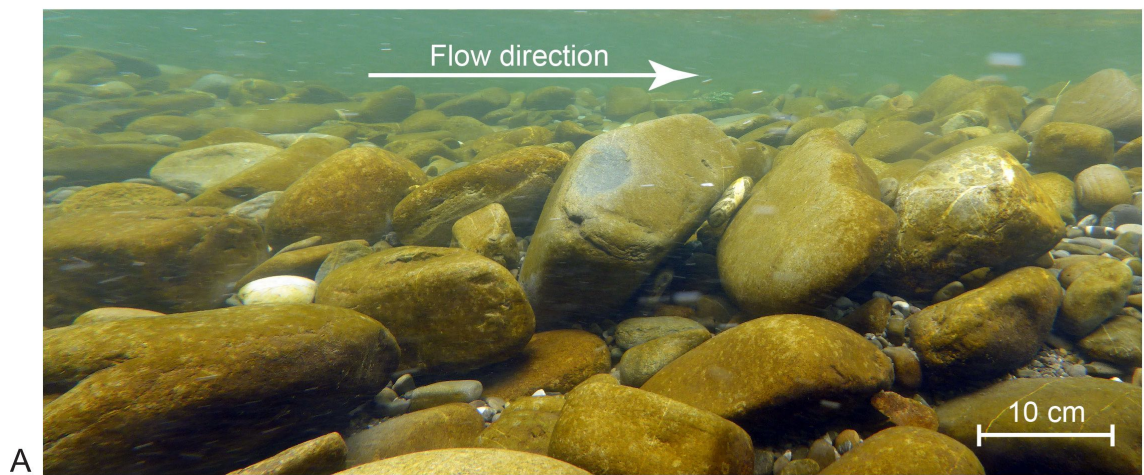


B

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1127 Figure 5

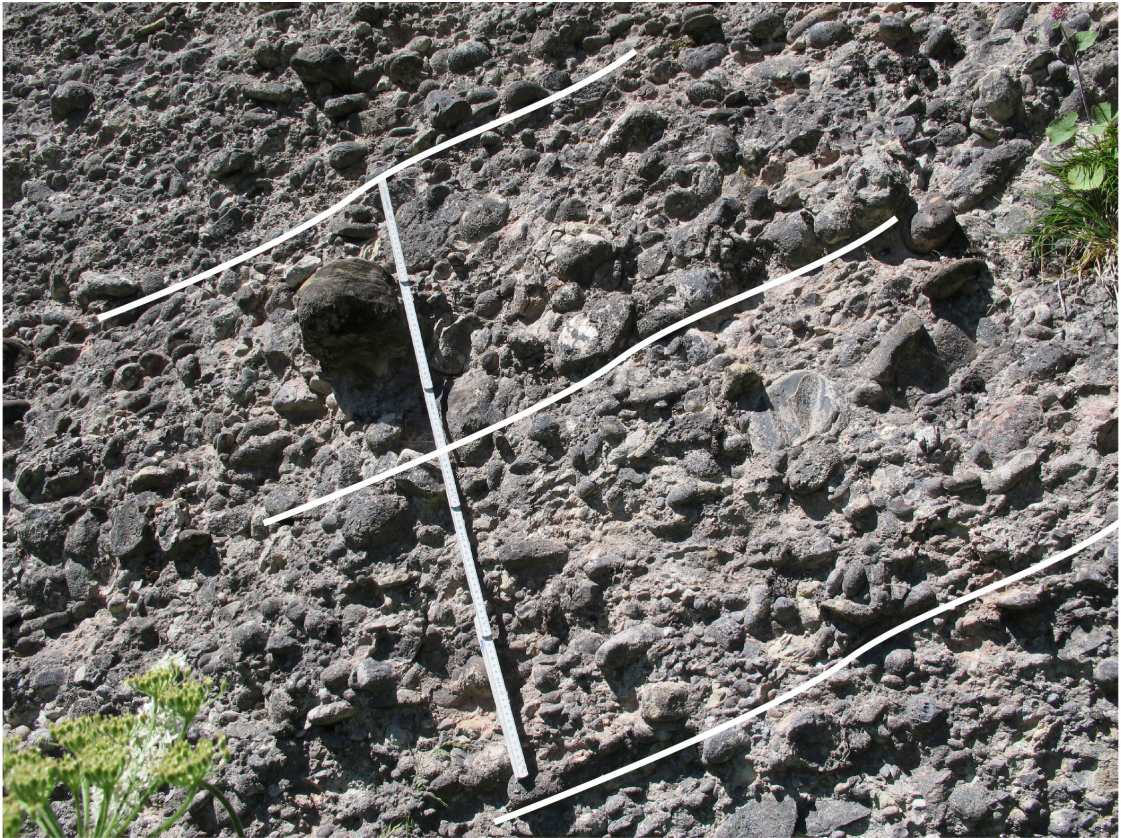
1128



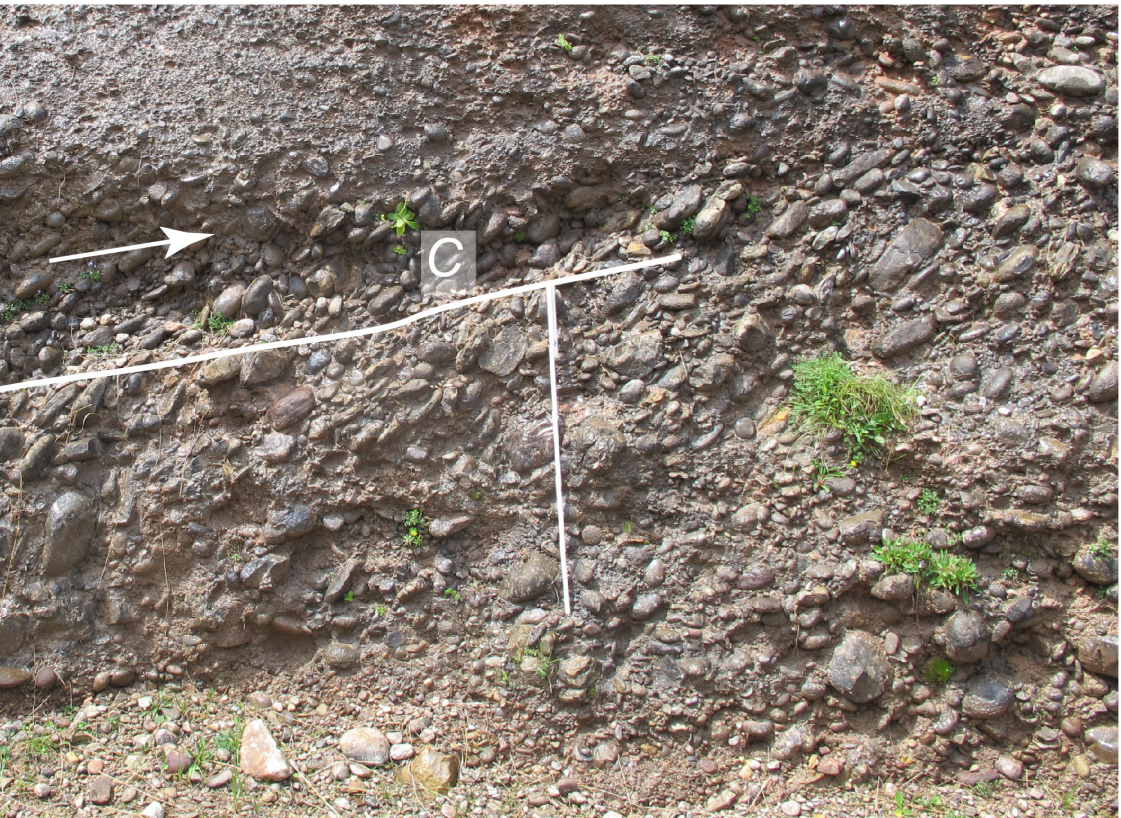
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Figure 6

Figure 6



A



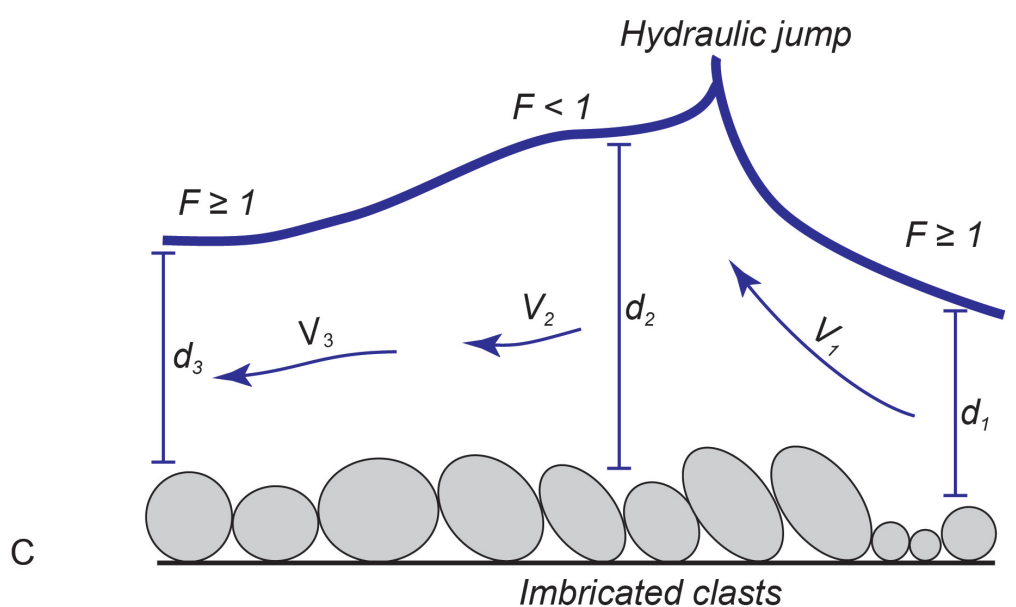
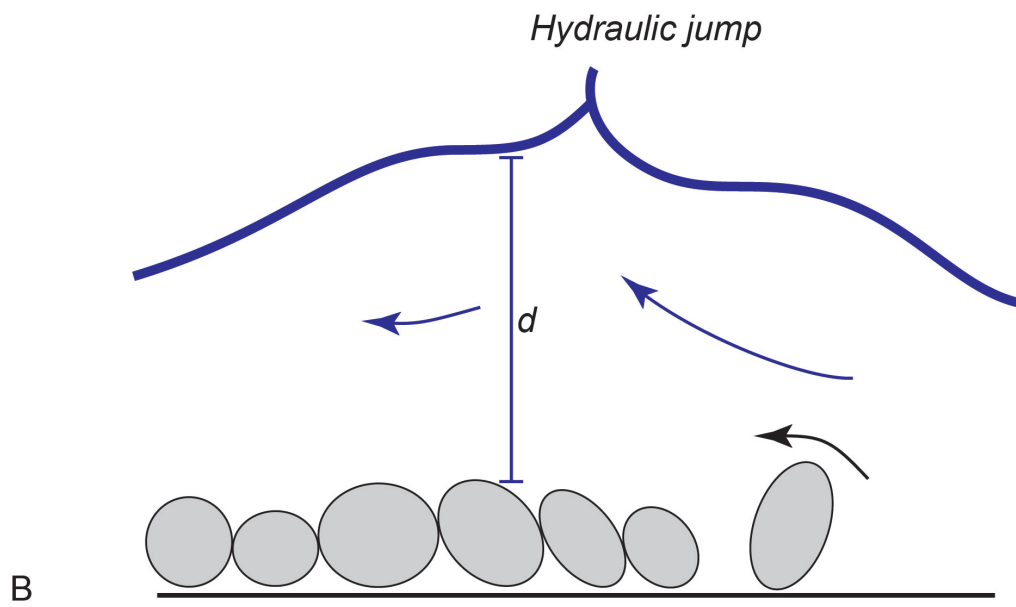
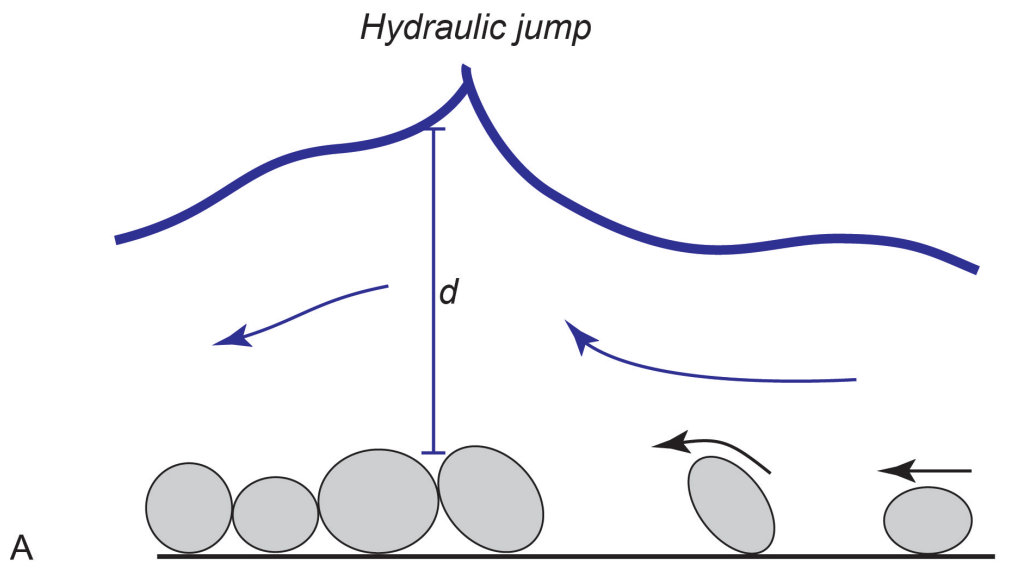
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1133 Figure 7

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Figure 7



1135
1136 Figure 8

Figure 8

Modern gravel bars

Site name	Abbreviation	Site coordinates	D84 (cm)	D50 (cm)	D84/D50	D96 (cm)	Gradient (m/m)	Gradient (°)	Inferred water depth d (m)	Roughness	Imbrication
Emme	E	46°57'08N / 7°44'59E	2.3	0.9	2.56	5.2	0.005-0.008	0.4±0.1	0.5-0.8	0.07-0.10	mostly no
Glenner	G	46°44'42N / 9°13'04E	12	2.88	4.17	27.4	0.017-0.024	1.2±0.2	0.4-0.6	0.22-0.31	mostly yes; largest boulders imbricated; smaller pebbles deposited in-between without preferred orientation, sand covers the clast fabric
Landquart	L	46°57'08N / 7°44'59E	10	2.5	4.00	13.5	0.014-0.021	1.0±0.2	0.4-0.6	0.18-0.27	yes
Maggia Bignasco	MB	46°44'42N / 9°13'04E	2.7	0.85	3.18	13	0.009-0.012	0.6±0.1	0.2	0.12-0.16	mostly no, but triplets of imbricated clasts occur in places as inferred from photos
Maggia Visletto	MV	46°58'26N / 9°36'29E	9.5	2.29	4.15	20	0.009-0.012	0.6±0.1	0.3-0.5	0.12-0.16	partly yes
Maggia Losone I	ML I	46°20'08N / 8°36'25E	4	0.79	5.06	14	0.005-0.007	0.3±0.1	0.5-0.6	0.07-0.09	triplets and quadruplets of imbricated clasts occur in places
Maggia Losone II	ML II	46°18'30N / 8°36'35E	6	1.12	5.36	12.65	0.005-0.007	0.3±0.1	0.7-1.0	0.07-0.09	triplets and quadruplets of imbricated clasts occur in places
Verzasca Frasco	VF	46°10'46N / 8°45'33E	2.5	0.75	3.33	7	0.015-0.026	1.3±0.2	0.1	0.20-0.34	imbricated
Verzasca Motta	VM	46°10'15N / 8°46'10E	4.3	1.44	2.99	18.75	0.012-0.016	0.9±0.2	0.2-0.3	0.16-0.21	largest boulders imbricated smaller pebbles deposited in-between without preferred orientation, finer-grained bedforms show imbricated clasts where no boulders are present
Verzasca Lavartezzo	LV	46°20'20N / 8°48'03E	5	1.3	3.85	30	0.016-0.023	1.1±0.2	0.2-0.3	0.21-0.30	largest boulders imbricated smaller pebbles deposited in-between without preferred orientation as inferred from photos
Reuss		46°16'28N / 8°48'34E	3.2	0.88	3.64	6.37	0.005-0.008	0.4±0.1	0.3-0.5	0.07-0.10	to large extents yes, triplets and quadruplets of imbricated clasts occur in places. Stream shows standing waves and hydraulic jumps in steep reaches and lower flow regime conditions in flat segments
Sense		46°15'21N / 8°50'23E	6	2.42	2.48	9.58	0.005-0.007	0.3±0.1	0.7-1.0	0.07-0.09	mostly no; imbrications only at the steep downstream slip faces of transverse bars
Walderme Littau	WL	46°48'53N / 8°39'16E	3.5	0.9	3.89	8.36	0.009-0.012	0.6±0.1	0.2-0.3	0.12-0.16	triplets and quadruplets of imbricated clasts occur in places
Walderme Entlebuch I	WE I	46°53'20N / 7°20'56E	3	1	3.00	9	0.01-0.017	0.8±0.2	0.1-0.2	0.13-0.22	yes
Walderme Entlebuch II	WE II	47°03'04N / 8°15'13E	8	2.43	3.29	18	0.01-0.017	0.8±0.2	0.4-0.6	0.13-0.22	yes
Walderme Entlebuch III	WE III	47°01'57N / 8°04'03E	5.7	2.57	2.22	14	0.01-0.017	0.8±0.2	0.3-0.5	0.13-0.22	yes
Walderme Entlebuch IV	WE IV	47°01'57N / 8°04'03E	8.2	2.88	3.06	18	0.01-0.017	0.8±0.2	0.4-0.7	0.13-0.22	yes

Stratigraphic archives

Rigi conglomerates

Segment	D84 (m)	Slope (m/m)	Slope (°)	Inferred water depth d (m)	D84/d	Imbrication
δ	0.07-0.12	0.009-0.027	0.9±0.4	1.2±0.35	0.05-0.14	yes, in places
γ	0.06-0.1	0.008-0.015	0.65±0.2	1.2±0.4	0.04-0.12	partly yes
β	0.04-0.06	0.005-0.01	0.4±0.2	1.7±0.5	0.02-0.05	no
α	0.04-0.06	0.002-0.005	0.2±0.06	2.5±0.8	0.02-0.04	no

Thun conglomerates

Unit	D84 (m)	Slope (m/m)	Slope (°)	Inferred water depth d (m)	D84/d	Imbrication
B	not available	0.008-0.017	0.72±0.3	1.5-3	not available	yes, in places
A	not available	0.003-0.005	0.23±0.1	3-5	not available	no

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Table 1