

1 **Clast imbrication in coarse-grained mountain streams and stratigraphic archives**
2 **as indicator of deposition in upper flow regime**

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11 **Abstract**

12 Clast imbrication is one of the most conspicuous sedimentary structures in coarse-
13 grained clastic deposits of modern rivers but also in the stratigraphic record. In this
14 paper, we test whether the formation of this fabric can be related to the occurrence of
15 upper flow regime conditions in streams. To this end, we calculated the Froude number at
16 the incipient motion of coarse-grained bedload for various values of relative bed roughness
17 and stream gradient as these are the first order variables that can practically be extracted
18 from preserved deposits. We found that a steeper energy gradient, or slope, and a larger
19 bed roughness tend to favor the occurrence of supercritical flows. We also found that at
20 the onset of grain motion, the ratio ϕ between the critical shear stress for the entrainment
21 of a sediment particle and its inertial force critically controls whether flows tend to be
22 super- or subcritical during entrainment. We then mapped the occurrence of clast
23 imbrication in Swiss streams and compared these data with the hydrologic calculations.
24 Results indicate that imbrication may record supercritical flows provided that (i) ϕ -values
25 are larger than c. 0.05, which is appropriate for streams in the Swiss Alps; (ii) average
26 stream gradients exceed c. $0.5 \pm 0.1^\circ$; and (iii) relative bed roughness values, i.e. the ratio
27 between water depth d and bed sediment D_{84} , are larger than $\sim 0.06 \pm 0.01$. We cannot rule
28 out that imbrication may be formed during subcritical flows with ϕ -values as low as 0.03,
29 as demonstrated in a large number of flume experiments. However, our results from Alpine
30 streams suggest that clast imbrication likely reflects upper flow regime conditions where
31 clasts form well sorted and densely packed clusters. We consider that these differences
32 may be rooted in a misfit between the observational and experimental scales.

33
34 **1 Introduction**

35 Conglomerates, representing the coarse-grained spectrum of clastic sediments, bear
36 key information about the provenance of the material (Matter, 1964), the sedimentary
37 environments (Rust, 1978; Middleton and Trujillo, 1984), and the hydro-climatic
38 conditions upon transport and deposition (Duller et al., 2012; D'Arcy et al., 2017).

39 Conglomerates display the entire range of sedimentary structures including a massive-
40 bedded fabric, cross-beds and horizontal stratifications. However, the most striking
41 feature is clast imbrication (Figure 1A), which refers to a depositional fabric where
42 sediment particles of similar sizes overlap each other, similar to a run of toppled
43 dominoes (e.g., Pettijohn, 1957; Yagishita, 1997; Rust, 1984; Potsma and Roep, 1985;
44 Todd, 1996). Imbrication may lead to armor development and the interlocking of clasts.
45 As a consequence the search for possible controls on this fabric has received major
46 attention in the literature (e.g., Bray and Church, 1980; Carling, 1981; Aberle and
47 Nikora, 2006).

48 In the past decades, clast imbrication in streams has been considered to record high
49 stage flows (Rust, 1978; Miall, 1978; Sinclair and Jaffey, 2001). This could occur in the
50 upper flow regime, where the flow velocity of a stream v exceeds the wave's celerity c
51 (Allen, 1997), i.e. the speed of a wave on the water surface. The ratio v/c of these
52 velocities has been referred to as the Froude number F where, in theory, $F > 1$ denotes an
53 upper flow regime or a supercritical flow, while $F < 1$ is characteristic for a lower flow regime
54 or a subcritical flow (Engelund and Hansen, 1967). A hydraulic jump, which is
55 characterized by a distinct increase in flow surface elevation and a decrease in flow
56 velocity, marks the downstream transition from a super- to a subcritical flow (Figure 1A).
57 This hydrological condition is particularly mirrored by the surface texture in relation to
58 water depth. Surface waves of subcritical flows have wavelengths that are smaller than
59 water depths (Figure 1B). The surface waves tend to migrate and fade out in the upstream
60 direction with respect to the flow. Contrariwise, the wavelength of a standing wave, which
61 is a feature of a supercritical flow ($F \approx 1$), is larger than water depth, and the surface wave is
62 stationary (supplement). Hydraulic jumps are manifested by a sudden decrease of the flow
63 velocity and by an overturning of the flow surface (Figure 1).

64 Significant sediment accumulation may occur underneath the hydraulic jump upon
65 deceleration of the flow's velocity (Slootman et al., 2018). Contrariwise, a downstream
66 change from a lower to an upper flow regime has no distinct surface expression, neither in
67 terms of flow depth nor flow surface texture. While these mechanisms have been well
68 explored and reported both from modern environments (e.g., Figure 1) and fine grained
69 stratigraphic records (Alexander et al., 2001; Schlunegger et al., 2017; Slootman et al.,
70 2018) and illustrated on photos from the field (Spreafico et al., 2001), less evidence for a
71 supercritical flow has been documented from conglomerates. This even led Grant (1997)
72 to note that supercritical flows in fluvial channels are rare, and that the use of the Froude
73 number lacks justification from sedimentary records. In addition, Jarrett (1984) and Trieste
74 (1992, 1994) considered that reports of inferred upper flow regimes might be biased by
75 underestimations of the bed roughness in mountain streams. Nevertheless, the surface
76 texture of the flow illustrated in Figure 1A is characteristic for many streams (Spreafico et
77 al., 2001), where hydraulic jumps are observed on the stoss side of large imbricated clasts.

78 Furthermore, because the shift of large clasts such as cobbles and boulders does involve
79 large shear stresses and thus high-discharge flows (Rust, 1978; Miall, 1978; Sinclair and
80 Jaffey, 2001), the deposition of these particles, and particularly the formation of an
81 imbricated fabric, is likely to occur during supercritical flows. Here, we explore the validity
82 of this hypothesis for modern coarse-grained streams and stratigraphic records, and we
83 calculate the related hydrological conditions. Similar to Grant (1997), we determine the
84 Froude number at the incipient motion of coarse-grained bedload for various bed
85 roughness and stream gradient values. We compare these results with data from modern
86 streams in the Swiss Alps, stratigraphic records and published laboratory experiments.

88 2 Methods

89 2.1 Expressions relating flow regime to channel gradient and bed roughness

90 Channel depth and grain size are the simplest variables that can be extracted from
91 stratigraphic records (Duller et al., 2012). These variables can additionally be used to
92 calculate palaeo-slope and roughness values of streams for the geologic past (Paola and
93 Mohring, 1996; Duller et al., 2012; Schlunegger and Norton, 2015; Garefalakis and
94 Schlunegger; 2018), and they form the basis to related channel depth and grain size to
95 flow strength and sediment transport. We therefore decided to focus on the simplest
96 expressions that can also be applied to geological records. We are aware that this requires
97 large generalizations and simplifications, which will not consider the entire range of
98 hydrological complexities.

100 2.2 Boundary conditions

101 In the following, we consider the hydrological situation at the incipient motion of coarse-
102 grained bedload. For these conditions, the dimensionless Shields parameter ϕ can be
103 computed, which is the ratio between the shear stress exerted by the fluid on the bed
104 τ_{cDi} at the onset of motion of a sediment particle with a distinct grain size D_i , and the
105 inertial force of this grain (Shields, 1936; Paola et al., 1992; Paola and Mohring, 1996;
106 Tucker and Slingerland, 1997):

$$107 \quad \phi = \frac{\tau_{cDi}}{(\rho_s - \rho)gD_i} \quad (1a).$$

108 Here, the constants ρ_s (2700 kg/m³) and ρ denote the sediment and water densities,
109 and g is the gravitational acceleration. The relationship expressed in equation (1a)
110 predicts that a sediment particle with diameter D_i will be transported if the ratio
111 between the fluid's shear stress τ_{cDi} and the particle's inertial force equals ϕ .
112 Assignments of values to ϕ vary considerably and range between c. 0.03 and 0.06,
113 depending on the site-specific arrangement, the sorting, and the interlocking of the clasts
114 (Buffington and Montgomery, 1997; Church, 1998). This also includes the hiding and

115 protrusion of small and large clasts, respectively, which exert a strong influence on the
 116 thresholds for clast entrainment (e.g., Egiazaroff, 1965; Parker et al., 1982; Andrews,
 117 1984; Kirchner et al., 1990). Likewise, a smooth channel bed surface, such as a well-
 118 armored channel floor with well-sorted clasts, is likely to offer a greater resistance for the
 119 entrainment of a sediment particle than a gravel bar with poorly sorted material (Egiazaroff,
 120 1965; Buffington and Montgomery, 1997).

121 The relationships denoted in equation (1a) differ for channel forming floods, where channel
 122 forming Shield stresses $\tau_{channel}$ are up to 1.2 times (Parker, 1978) above the threshold τ_{cDi}
 123 for the onset of grain motion. Pfeiffer et al. (2017) additionally showed that some rivers
 124 have a $\tau_{channel}/\tau_{cDi}$ ratio that is even higher. The consideration of channel forming floods
 125 thus requires larger thresholds:

$$126 \quad \phi' \geq \frac{\tau_{channel}}{(\rho_s - \rho)gD_i} \approx 1.2 \frac{\tau_{cDi}}{(\rho_s - \rho)gD_i} = 1.2\phi \quad (1b).$$

127 Accordingly, the critical shear stress τ_{cDi} for the entrainment of a sediment particle with a
 128 distinct grain size D_i can be computed through:

$$129 \quad \tau_{cDi} = \phi(\rho_s - \rho)gD_i \quad (2).$$

130 Among the various grain sizes, the D_{84} has been considered as more representative for
 131 the gravel bar structure than the D_{50} (Howard, 1980; Hey and Thorne, 1986; Grant et
 132 al., 1990). In addition, the D_{84} has also been used for the quantification of the relative
 133 bed roughness, which is the ratio between grain size and water depth (e.g., Wiberg and
 134 Smith, 1991). If this inference is valid, then a major alteration of channel-bar
 135 arrangements requires a flow that is strong enough to entrain the D_{84} grain size.

136 A Shields variable of $\phi=0.047$, which is based on flume experiments (Meyer-Peter and
 137 Müller, 1948) and observations in the field (Andrews, 1984), has conventionally been
 138 employed in a large number of studies (e.g., Paola and Mohring, 1996) particularly if
 139 the D_{50} is considered. Note that a re-analysis (Wong and Parker, 2006) of the Meyer-
 140 Peter and Müller (1948) data returned a value of $\phi=0.0495 \approx 0.05$, which we employed
 141 in this paper. However, experiments also showed that material transport can occur at a
 142 lower threshold with a ϕ -value are as low as 0.03 (Ferguson, 2012; Powell et al., 2016).
 143 This might particularly be an appropriate threshold for the entrainment of the D_{84} ,
 144 because of possible protrusion effects (e.g., Kirchner et al., 1990). Alternatively, Mueller
 145 et al. (2005) and Lamb et al. (2008) proposed that ϕ depends on channel gradient, where
 146 ϕ (for the D_{50} grain size) might exceed 0.1 for channels steeper than 1.1° . It appears that
 147 the threshold for the onset of grain motion varies depending on site and experiment
 148 specific conditions. We therefore employed the entire range of ϕ -values from 0.03 to
 149 1.1 to comply with these complexities, which also includes channel forming floods
 150 (Parker, 1978).

151

152 2.3 Hydrology, bed shear stress and onset of grain motion

153 Bed shear stress is calculated using an approximation for a steady, uniform flow down an
154 inclined plane, where channel width is more than 20 times larger than water depth (e.g.
155 Tucker & Slingerland, 1997):

$$156 \quad \tau = g\rho Sd \quad (3).$$

157 Here, S denotes channel gradient, and d is water depth.

158 Alternatively, bed shear stress can also be computed as a function of the kinetic energy
159 represented by the flow velocity v (Ferguson, 2007):

$$160 \quad \tau = \frac{f}{8}\rho v^2 \quad (4).$$

161 The variable f , referred to as the Darcy-Weisbach friction factor (e.g., Papaevangelou et al.,
162 2010), is a measure for the friction effect within the roughness layer at the flow bottom
163 (Krogstad and Antonia, 1999). It also considers skin friction within the flow column
164 (Ferguson, 2007). Ferguson (2007) reduced these complexities to a single expression,
165 where f depends on water depth d relative to the grain size D_{84} and thus on the relative
166 bed roughness:

$$167 \quad \frac{f}{8} = \frac{\left(\frac{D_{84}}{d}\right)^2}{a_2^2} + \frac{\left(\frac{D_{84}}{d}\right)^{1/3}}{a_1^2} \quad (5).$$

168 Here, a_1 and a_2 are constants that vary between 7–8 and 1–4, respectively (Ferguson,
169 2007), which have been calibrated to $a_1 = 7.5$ and $a_2 = 2.36$ (Ferguson, 2007). We
170 additionally considered possible consequences of energy loss through assignments of
171 different values to the Shields (1936) variable (see explanation of equation 1a above). We
172 are aware that we could also employ the Manning's number n for the characterization of
173 the channel's fabric (Whipple, 2004) and the relative bed roughness (Jarrett, 1984).
174 Related expressions (Jarrett, 1984) predict that n hinges on channel gradient and
175 water depth only and not on bed structure. We thus prefer to use Ferguson's (2007)
176 approach (eq. 5), which explicitly considers the relative bed roughness, consistent with
177 the most recent work by Wickert and Schildgen (2018, see their equation 13).

178 As outlined in the introduction, the Froude number F depends on the ratio of flow velocity v
179 and surface wave celerity c . For shallow waters, which is commonly the case for rivers and
180 streams, this relationship can be computed if water depth d is known:

$$181 \quad F = \frac{v}{c} = \frac{v}{\sqrt{gd}} \quad (6).$$

182 The combination of equations 3, 4, and 6 then yields a simple expression where:

$$183 \quad F = \sqrt{8\frac{S}{f}} \quad (7).$$

184 This expression states that the Froude number F depends on two partly non-related
 185 variables. In particular, for a given bed friction f , an upper flow regime tends to
 186 establish for steep channels. Contrariwise, a lower regime is maintained where poorly
 187 sorted material exerts a large resistance on the flow, thereby reducing the flow velocity
 188 and hence the Froude number. Accordingly, the dependency of F on channel gradient S
 189 can be computed through the combination of equations 2, 3, 5 and 7:

$$190 \quad F = \sqrt{\frac{S}{\left(\frac{\rho S}{\phi(\rho_s - \rho)}\right)^2 * a_2^{-2} + \left(\frac{\rho S}{\phi(\rho_s - \rho)}\right)^{1/3} * a_1^{-2}}} \quad (8).$$

191 Alternatively, an expression where the Froude number depends on the bed roughness
 192 D_{84}/d only can be achieved through the combination of equations 2, 3 and 7:

$$193 \quad F = \sqrt{8 * \frac{\phi(\rho_s - \rho)}{\rho * f} * \frac{D_{84}}{d}} \quad (9).$$

194 We thus used equations 8 and 9 to calculate the Froude numbers at the onset of motion
 195 of the D_{84} grain size. We then compared these results with data from modern streams and
 196 stratigraphic records.

197

198 2.4 Collection of data from modern streams and stratigraphic records

199 We used observations about clast arrangements in gravelly streams in Switzerland. We
 200 paid special attention to the occurrence of clast imbrication, as we hypothesize that this
 201 fabric may document the occurrence of an upper flow regime (Figure 1) upon
 202 sedimentation and gravel bar migration. We explored multiple gravel bars for the
 203 occurrence or absence of clast imbrication over a reach of several hundreds of meters
 204 where Litty and Schlunegger (2017) reported grain size data (Table 1). We then
 205 determined a mean energy gradient over a c. 500 m-long reach, which we calculated from
 206 topographic maps at scales 1:10'000.

207 The selected streams are all situated around the Central Alps (Figure 2), have different
 208 source rock lithologies (Spicher, 1980) and grain size distributions. At sites where grain
 209 size data has been collected, the ratio between the clasts' medium b - and longest a -axes
 210 is constant and ranges between 0.67 and 0.72 irrespective of the grain size distribution in
 211 these streams (Litty and Schlunegger, 2017). For these sites, we calculated the bed
 212 roughness D_{84}/d at the incipient motion of the D_{84} . Here, related water depths d were
 213 determined through the combination of equations (2) and (3), and using the channel
 214 gradient S at these sites.

215 The Swiss Federal Office for the Environment (FOEN) estimated the Froude numbers for
 216 various flood magnitudes of streams on the northern side of the Swiss Alps (Spreafico et
 217 al., 2001; see Figure 2 for location of sites). These estimates are based on flow velocities,
 218 flow depths and cross-sectional geometries of channels. The authors of this study also

219 determined the corresponding channel gradient over a reach of several hundred meters.
220 We will thus use the Spreafico et al. (2001) dataset to constrain the range of possible ϕ -
221 values for streams in Switzerland.

222 We finally identified relationships between channel gradient, bed roughness, and clast
223 imbrication from stratigraphic records. We focused on the Late Oligocene suite of alluvial
224 megafan conglomerates (Rigi and Thun sections, Figure 2) deposited at the proximal
225 border of the Swiss Molasse basin. For these conglomerates, Garefalakis and
226 Schlunegger (2018) and Schlunegger and Norton (2015) collected data about the depth
227 and gradient of palaeo-channels, and information about the grain size distribution along c.
228 3000 to 3600 m-thick sections (Table 1). We returned to these sections and examined c.
229 50 sites for the occurrence of clast imbrication within the conglomerate suites.

230

231 **3 Results**

232 3.1 Calculation of flow regime as a function of bed roughness and channel gradient

233 We calculated the Froude numbers F for different channel gradient S and bed roughness
234 D_{84}/d values, and thresholds ϕ for the incipient motion of material. We compared these
235 results with observations from modern streams and stratigraphic records. We avoided
236 calculation of the Froude numbers for slopes steeper than 1.4° because channels tend to
237 adapt a step-pool geometry in their thalwegs (Whipple, 2014), for which our calculations no
238 longer apply. We set the thresholds for a critical flow to a Froude number $F=0.9$, which is
239 consistent with estimations for the formation of upper flow regime bedforms by Koster
240 (1978). Calculations were initially carried out using $\phi=0.0495\approx 0.05$, as this value has
241 commonly been used in a large number of studies (see above). The results reveal that F
242 increases with steeper channels (Figure 3A) and reaches the field of a critical flow for
243 $\sim 0.5^\circ$ slopes. The values reach a maximum of $F\approx 1$ where channel gradients are between
244 $\sim 0.8^\circ - 1^\circ$. Froude numbers F then slightly decrease for channels steeper than 1° and finally
245 reach a value of 0.9 for gradients $> 1.2^\circ$. In the case of a greater threshold for the onset of
246 grain motion, expressed through $\phi = 0.06$, flows adapt supercritical conditions for channels
247 steeper than $\sim 0.4^\circ$. For a lower threshold, expressed here through $\phi = 0.03$, streams remain
248 in the lower flow regime.

249 The Froude number pattern is quite similar for increasing bed roughness (Figure 3B). For
250 $\phi = 0.0495\approx 0.05$ the Froude numbers increase with higher relative bed roughness.
251 Supercritical conditions are reached for a bed roughness of c. 0.1, after which the Froude
252 numbers decrease with larger roughness. For $\phi = 0.06$ an upper flow regime might prevail
253 for bed surface roughness values between 0.06 and 0.5. Smaller and larger roughness
254 values will keep the flow in the lower regime. Contrariwise, the flow will not shift to the
255 upper regime for ϕ -values as low as 0.03. Note that the consideration of the full range of
256 roughness-layer and skin friction effects, expressed through the coefficients a_1 and a_2 in

257 equation (8), shifts the pattern of Froude numbers to lower and higher values. But this will
258 not alter the general finding that at the onset of grain motion an upper flow regime is
259 expected for a channel gradient S steeper than $0.5^\circ \pm 0.1^\circ$, and for a bed roughness D_{84}/d
260 greater than ~ 0.06 .

261 We also calculated the Froude numbers for $\phi = 0.1$, because observations have shown that
262 thresholds for the entrainment of sediment particles increase with steeper channels
263 (Mueller et al., 2005; Ferguson, 2012). This might be an exaggeration (Lamb et al., 2008),
264 but will give an upper bound for the dependence of the Froude number F on the Shields
265 variable ϕ . We additionally considered the case where ϕ depends on S through
266 $\phi = 2.81 * S + 0.021$ (Mueller et al., 2005). These relationships have been established based
267 on bed load rating curves for mountain streams in North America and England. We found
268 that the flows shift to critical conditions for channels steeper than between 0.5° and 0.6°
269 (slope dependent ϕ) and for a bed roughness > 0.04 ($\phi = 0.1$).

270 In summary, the calculations predict that water flow may shift to an upper flow regime for:
271 (i) ϕ -values greater than 0.05; (ii) slopes steeper than $\sim 0.5^\circ \pm 0.1^\circ$; and (iii) relative bed
272 roughness values greater than $\sim 0.06 \pm 0.01$.

273

274 3.2 Estimates of ϕ -values from modern streams in the Central Alps

275 Spreafico et al. (2001) estimated the Froude numbers for various streams situated on the
276 northern side of the Swiss Alps. The F -values range between 0.2 and 1.1 and generally
277 increase with channel gradients (vertical bars on Figure 3A). The flow's surfaces
278 particularly of the Birse and Thur streams (labeled as b and t on Figure 3A) are
279 characterized by multiple hydraulic jumps (Spreafico et al., 2001, p. 71 and p. 77).
280 Therefore, the inferred small Froude numbers (between 0.6 and 0.9) of these streams
281 have to be treated with caution.

282 The Froude number estimates by Spreafico et al. (2001) disclose a large scatter in the
283 relationship to channel gradient (Figure 3A, vertical bars). This can partially be explained
284 by site-specific differences in bed roughness due to anthropogenic corrections and
285 constructions (Spreafico et al., 2001). Nevertheless, the comparison between these data
286 and the results of our calculations reveal that the entire range of ϕ -values between 0.03
287 and 0.1 has to be taken into account for the hydrological conditions in the streams
288 surrounding the Swiss Alps (Figure 3A). This also implies that the selection of a threshold,
289 expressed by the ϕ -value, warrants a careful justification, which we present in the
290 discussion.

291

292 3.3 Occurrence or absence of clast imbrication in modern streams

293 Here, we present evidence for imbrication and non-imbrication from modern rivers
294 situated both in the core of the Swiss Alps and the foreland, which we relate to channel

295 slope (Figure 4A) and bed roughness (Figure 4B). The bedrock-geology of the
296 headwaters includes the entire range of lithologies from sedimentary units to schists,
297 gneisses and granites. In addition, the streams cover the full range of water sources
298 including glaciers and surface runoff. Except for the Maggia River between the sites
299 Bignasco and Losone (Figure 2), all streams are channelized by artificial riverbanks. These
300 are either made up of concrete walls or oversized boulders. Information about the
301 hydrographs, grain size and the results of the shear stress calculations consider the time
302 after these constructions have been made.

303

304 *Channel morphologies*

305 The thalweg of the streams meanders between the artificial walls within a 20 to 50 m-wide
306 belt. Flat-topped longitudinal bars that are several tens of meters long and that emerge up
307 to 1.5 m above the thalweg are situated adjacent to the artificial riverbanks on the slip-off
308 slope of these meanders. They evolve into subaquatic transverse bars, or riffles, farther
309 downstream where the thalweg shifts to the opposite channel margin. Channels are
310 deepest and flattest along the outer cutbank side of the meanders and in pools
311 downstream of riffles, respectively. The thalweg then steepens where it crosses the
312 transverse bars and riffles. This is also the location where some streams show evidence
313 for standing waves with wavelengths >5 m (e.g., at Reuss, Figure 5). Standing waves have
314 also been encountered in the Waldemme River at Littau (Figure 6B; see supplement)
315 when water runoff at that particular site was c. $100 \text{ m}^3/\text{s}$ and when rumbling sounds
316 indicated that clasts were rolling or sliding. The streams thus display a complex pattern
317 where channel depths, flow velocities and hydrological regimes alternate over short
318 distances of tens to hundreds of meters. These arrangements of channel-bar pairs and
319 particularly their positions within the channel belt has been stable over the past years
320 because the gravel bars are situated in the same locations as the ones reported by Litty
321 and Schlunegger (2016).

322

323 *Streams with evidence for clast imbrication*

324 Inspections of gravel bars have shown clear evidence for imbrication in the Glenner, the
325 Landquart, the Verzasca, and the Waldemme rivers (Table 1). In these streams, channel
326 gradients range between 0.6° (Waldemme) and 1.2° (Glenner) (Figure 4A). The sizes of
327 the D_{84} range between 3 cm (Waldemme) and 12 cm (Glenner). The gravel lithology
328 includes the entire variety from sedimentary (Waldemme) to crystalline constituents
329 (Glenner, Landquart, Verzasca). The inferred bed roughness at the onset of motion of the
330 D_{84} includes the range between c. 0.125 (Waldemme) and 0.31 (Glenner) (Figure 4B). In
331 these streams, bars with imbricated clasts alternate with pools over a reach of several
332 hundreds of meters.

333 At Maggia, Reuss and Waldemme Littau, the largest clasts are arranged as triplets or
334 quadruplets of imbricated constituents within generally flat lying to randomly-oriented finer
335 grained sediment particles. The density of these arrangements ranges between 5 groups
336 per 10 m² (Maggia Bignasco, Maggia Losone) to c. 10 groups per 10 m² (Maggia Visletto,
337 Reuss, Waldemme Littau e.g. Figure 6D). The channel gradients at these sites span the
338 range between c. 0.3 and 0.6°, and the D_{84} clasts are between 3 and 9 cm large (Reuss
339 and Maggia Visletto). Accordingly, the relative bed roughness at the incipient motion of the
340 D_{84} ranges between 0.07 and 0.16.

341 At all sites mentioned above, clasts on subaquatic and subaerial gravel bars are generally
342 arranged as well-sorted and densely packed clusters, possibly representing incipient
343 bedforms (e.g., Figure 6D). In most cases, grains imbricate behind an outsized clast, which
344 usually delineates the front of imbricated grains. In addition, the lowermost 10-20% part of
345 most of the large clasts is embedded, and thus buried, in a fine-grained matrix, which was
346 most likely deposited during the waning stage of a flood. Isolated, non-buried clasts that
347 are flat lying on their *a-b*-planes are less frequent than embedded clasts or constituents
348 arranged in clusters. The inclination dip of the *a-b*-planes ranges between c. 20-40°
349 (Figure 6D). Finally, streams with clast imbrications display surface expressions, which
350 point to an upper flow regime during low (e.g., Reuss, Figure 5B) and high-water stages
351 (e.g., Waldemme, Figure 6B, see supplement).

352

353 *Streams with little or no evidence for clast imbrication*

354 Gravel bars within the Emme stream are made up of generally flat lying gravels and
355 cobbles. A small tilt (<10°) of *a-b*-planes occurs where individual clasts slightly overlap
356 each other, similar to a shingling arrangement of particles. This is particularly the case in
357 pools and on the upstream stoss-side of longitudinal and transverse bars where channel
358 gradients are flat. Also in the Emme River, clast imbrication occurs in places only where
359 gravel bars have steep downstream slip faces, which are mainly observed at the end of
360 transverse bars. At sites where imbrication is absent, most of the clasts are lying flat on
361 their *a-b*-planes, and embedding by finer-grained material is less frequently observed than
362 in streams with clast imbrication. The channel gradient is less than 0.5°, and the size of the
363 D_{84} measures 2 cm. The bed roughness of this stream, calculated for the incipient of
364 motion of the 84th grain size percentile, ranges between 0.07 and 0.10. Finally, the flow
365 has a smooth surface during low- and high-water stages (Spreafico et al., 2001, p. 53),
366 which points to a lower flow regime.

367 The Sense River differs from the Emme stream in the sense that bedrock reaches
368 alternate with alluvial segments over 100-200 meters and more. Alluvial segments are flat
369 (c. 0.3°) and host lateral and transverse gravel bars where the D_{84} measures 6 cm. On top
370 of these bars, gravels generally rest flat on their *a-b*-planes (Figure 6C). Imbrication is
371 observed where some of these gravels overlap each other, resulting in a dip angle of 10-

372 20°. Contrariwise, bedrock reaches (site *S'* on Figure 4A) that form distinct steps in the
373 thalweg, are up to 0.5° steep and partly covered by subaquatic longitudinal bars (Figure
374 1B) where imbricated clasts alternate with flat-lying grains at the meter scale. The channel
375 bed surface is generally well-sorted and well-armored. Clasts are either interlocked, partly
376 isolated, and also rooted in a finer-grained matrix (Figure 6A). At these sites, upper flow
377 regime segments laterally change to lower flow regime reaches over short distances of a
378 few meters (Figure 1B). While we have made this observation during low water stages only,
379 it is likely that sub- and supercritical flows also change during flood stages over short
380 distances, as various examples of Alpine streams show (Spreafico et al., 2001).

381

382 3.4 Data about clast imbrication from stratigraphic records

383 Here, we calculated patterns of bed roughness and related channel gradients from
384 stratigraphic records and explored c. 50 conglomerate sites for clast imbrication. We used
385 published data about channel depth *d*, surface gradient *S* and information about the
386 pattern of the D_{84} , which have been reported from the Late Oligocene alluvial megafan
387 conglomerates at Rigi (47°03'N / 8°29'E) and Thun (46°46'N / 7°44'E) situated in the
388 Molasse foreland basin north of the Alpine orogen (Figure 2, Table 1). The depositional
389 evolution of these conglomerates has been related to the rise and the erosion of the Alpine
390 mountain belt (Kempf et al., 1999; Schlunegger and Castellort, 2016).

391 The Rigi deposits are c. 3600 m thick and made up of an alternation of conglomerates and
392 mudstones (Stürm, 1973) that were deposited between 30 and 25 Ma according to
393 magneto-polarity chronologies and mammal biostratigraphic data (Engesser and Kälin,
394 2017). Garefalakis and Schlunegger (2018) subdivided the Rigi section into four segments
395 labeled as α through δ . The lowermost segments α and β are an alternation of mudstones
396 and conglomerate beds and were deposited by gravelly streams (Stürm, 1973). According
397 to Garefalakis and Schlunegger (2018), the depositional area was characterized by a low
398 surface slope between $0.2 \pm 0.06^\circ$ and $0.4 \pm 0.2^\circ$. Channel depths span the range between
399 1.7 and 2.5 m, and the D_{84} values are between 2 and 6 cm. These measurements result in
400 bed roughness values between 0.02 and 0.05. Except for one site, we found no evidence
401 for imbrication in α and β units (Figures 4, 7A).

402 The top of the Rigi section, referred to as segments γ and δ by Garefalakis and
403 Schlunegger (2018), is an amalgamated stack of conglomerate beds deposited by non-
404 confined braided streams (Stürm, 1973). Garefalakis and Schlunegger (2018) inferred
405 values between $0.65 \pm 0.2^\circ$ and $0.9 \pm 0.4^\circ$ for the palaeo-gradient of the river (Table 1). D_{84}
406 values range between 6 and 12 cm, and palaeo-channels were c. 1.2 m deep. This yields
407 a relative bed roughness between c. 0.05 and 0.12. Interestingly, a large number of
408 conglomerate sites within γ and δ display evidence for clast imbrication in outcrops
409 parallel to the palaeo-discharge direction (Figures 4, 6B). In addition, some outcrops show

410 sedimentary structures that correspond to cluster bedforms of imbricated clasts (C on
411 Figure 7B). However, at all sites, the lateral extent of these bedforms is limited to 1-2
412 meters. Please refer to Garefalakis and Schlunegger (2018) and their Figure 2 for location
413 of sites displaying units α through δ .

414 The ages of the up to 3000 m-thick Thun conglomerates are younger and span the time
415 interval between c. 26 and 24 Ma according to magneto-polarity chronologies
416 (Schlunegger et al., 1996). Similar to the Rigi section, the Thun conglomerates start with
417 an alternation of conglomerates, mudstones and sandstones (unit A). This suite is overlain
418 by an up to 2000 m-thick amalgamated stack of conglomerate beds (unit B). Channel
419 depths within unit A range between 3 to 5 m, and streams were between 0.1° and 0.3°
420 steep. Channels in the overlying unit B were shallower and between 1.5 and 3 m deep.
421 Stream gradients varied between 0.4° and 1° , depending on the relationships between
422 inferred water depths and maximum clast sizes (Schlunegger and Norton, 2015). In
423 outcrops parallel to the palaeo-discharge direction, sequences with imbricated clasts have
424 only been found in unit B where palaeo-channel slopes were steeper than 0.4° (Figure 4A).
425 Similar to the Rigi section, the lateral extents of imbricated clasts are limited to a few
426 meters only. No data is available for computing the D_{84} grain size, so that we cannot
427 estimate the bed roughness for the Thun conglomerates. Please refer to Schlunegger and
428 Norton (2015) for location of sites where units A and B are exposed.

429 Similar to the modern examples, imbricated clasts form a well-sorted cluster and
430 commonly include the largest constituents of a gravel bar. In most cases, clasts imbricate
431 behind an outsized constituent, which usually delineates the front of imbricated grains
432 (Figure 7B).

433

434 **4 Discussion**

435 4.1 Selection of preferred boundary conditions

436 Our calculations reveal that the results strongly dependent on: (i) the selection of values
437 for the Shields variable ϕ ; (ii) the way of how we consider variations in slope S at the bar
438 and reach scales, and (iii) the consideration of flood magnitudes which either result in the
439 motion of individual sediment particles or the change of an entire channel (channel forming
440 floods). This section is devoted to justify the selection of our preferred boundary conditions.

441

442 *Channel forming floods versus onset of grain motion and related thresholds*

443 We constrained our calculations on the incipient motion of individual clasts and used
444 equation (1a) for all other considerations. This might contrast to the hydrological conditions
445 during channel forming floods where thresholds for the evacuation of sediment are up to
446 1.2 times larger, as theoretical and field-based analyses and have shown (Parker, 1978;
447 Philips and Jerolmack, 2016; Pfeiffer et al., 2017). However, a 1.2-times larger threshold
448 will increase the ϕ -values (equation 1b) to the range between 0.036 and 0.072. As

449 illustrated in Figure 3, this will not change the general pattern. In addition, while channel
450 forming floods mainly result in the shift of a large range of sediment particles, the formation
451 of an imbricated fabric involves the clustering of individual clasts only. We use these
452 arguments to justify our preference for equation 1a (incipient motion of clasts) rather than
453 equation 1b (channel forming floods).

454

455 *Protrusion and hiding effects and consequences for the selection of ϕ -values*

456 Larger bed surface grains, as is the case for most of the imbricated clasts, may exert lower
457 mobility thresholds because of a greater protrusion and a smaller intergranular friction
458 angle, as noted by Buffington and Montgomery (1997) in their review. This has been
459 explored through experiments and field-based investigations (e.g., Buffington et al., 1992;
460 Johnston et al., 1998). These studies resulted in the notion that the entrainment of the
461 largest clasts (e.g., the D_{84}) requires lower flow strengths than the shift of median-sized
462 sediment particles. Accordingly, while ϕ -values might be as high as 0.1 upon the
463 displacement of the D_{50} (Buffington et al., 1992), conditions for the incipient dislocation of
464 large clasts could be significantly different. In particular, for clasts that are up to five times
465 larger than the D_{50} (which corresponds to the ratio between the D_{84} and the D_{50} of the
466 Swiss data, Table 1), Buffington et al (1992) and also Johnston et al. (1998) predicted ϕ -
467 values that might be as low as 0.03 or even less. Similar ϕ -values, for instance, have
468 indeed been applied for mountain streams where the supply of sediment from the lateral
469 hillslopes has been large (van der Berg and Schlunegger, 2012). This has been
470 considered to result in a poor sorting and a low packing of the material, and thus in low
471 thresholds particularly for the incipient motion of large clast (Lenzi et al., 2006; van der
472 Berg and Schlunegger, 2012). Our calculations predict that an upper flow regime will not
473 establish at these conditions (ϕ -value of 0.03).

474 However, we consider it unlikely that the formation of most of the imbrication, as we did
475 encounter in the analyzed Alpine streams and in the stratigraphic record, was associated
476 with thresholds as low as those proposed by e.g., Lenzi et al. (2006) and van der Berg and
477 Schlunegger (2012). We base our inference on the observation that the large clasts are
478 generally well sorted and densely packed, both on subaerial (during low water stages) and
479 subaquatic bars. This results in a high interlocking degree within the bars we have
480 encountered in the field. In addition, field inspections showed that the base of most of the
481 large clasts, particularly those in subaquatic bars, are embedded and thus buried in finer
482 grained material, and only very few clasts are lying isolated and flat on their a - b -planes.
483 This implies that the fine-grained material has to be removed before these clasts can be
484 entrained. In this case, hiding effects associated with ϕ -values >0.5 would possibly be
485 appropriate for the prediction of material entrainment (Buffington and Montgomery, 1997).
486 Accordingly, a dislocation of the large clasts and thus a rearrangement of the sedimentary

487 fabric most likely requires high-discharge events with large flow strengths, because large
488 thresholds have to be exceeded. We thus propose that a ϕ -value of c. 0.05, which is
489 commonly used for the entrainment of the D_{50} (Paola and Mohring, 1996), is also adequate
490 for predicting the hydrological conditions in Alpine streams at the onset of grain motion.
491 We do acknowledge, however, that this hypothesis warrants a test with quantitative data,
492 which we have not available. Please note that the low Froude numbers and thus the low ϕ -
493 values of 0.3 inferred for the Thur and the Birse streams might be underestimates,
494 because photos taken during high stage flows display clear evidence for multiple hydraulic
495 jumps over m-long reaches in these streams (Spreafico et al., 2001, p. 71 and 77).

496

497 *Variations in channel gradient at the bar and reach scales*

498 Figure 3 shows that the results largely hinge on the values of ϕ and S . We applied
499 equation 3 while inferring a steady uniform flow and a bed slope, which is constant over a
500 distance of 500 m. We did not consider any smaller-scale slope variations associated with
501 alternations of bars, riffles and pools as we lack the required quantitative information. Our
502 simplification results in an energy slope, which is neither equal to the water surface slope
503 nor to the bed slope. Such inequalities increase substantially when unsteady non-uniform
504 super-critical flows and transitions are considered (e.g., Figure 1A). This is not fully
505 described by equations 3 and 4 and thus introduces a bias. Similar variations in bar
506 morphologies are not depicted in experiments either (e.g., Buffington et al., 1992; Powell et
507 al., 2016), which could partially explain the low ϕ -values that result from these studies. We
508 justify our simplification because we are mainly interested in exploring whether
509 supercritical flows are likely to occur for particular ϕ - and channel gradient values.

510

511 4.2 Relationships between channel gradient, bed roughness and flow regime

512 We have found an expression where the Froude number F , and thus the change from the
513 lower to the upper flow regime, depends on the channel gradient S and the bed roughness
514 D_{84}/d (eq. 7). This relationship also predicts that the controls of both parameters on the
515 Froude number are to some extent independent from each other. Under these
516 considerations, the similar patterns on Figure 3 are unexpected. However, we note that we
517 computed both relationships for the case of the incipient motion of the D_{84} . This threshold
518 is explicitly considered by equation 2, which we used as basis to derive an expression
519 where the Froude number F depends on the channel gradient or the bed roughness only.
520 Therefore, it is not surprising that the dependency of F on gradient and bed roughness
521 follows the same trends. In addition, Blissenbach (1952), Paola and Mohring (1996) and
522 also Church (2006) showed that channel gradient, water depth and grain size are closely
523 related during the entrainment of sediment particles. In particular, channels with coarser
524 grained gravel bars tend to be steeper and shallower than those where the bed material is

525 finer grained (Church, 2006). In the same sense, bed roughness tends to be larger in
526 steeper streams than in flatter channels (Whipple, 2004). We use the causal relationships
527 between these variables to explain the similarities in Figures 3A and 3B.

528 The tendency towards lower Froude numbers for a channel gradient $>1^\circ$ ($\phi >0.05$) and a
529 bed roughness >0.3 ($\phi >0.05$) is somewhat unexpected. We explain these trends through
530 the non-linear relationships between slope, water depth, the energy loss within the
531 roughness-layer, and the velocity at the flow's surface.

532

533 4.3 The formation of imbrication in experiments

534 Interpretations of the possible linkages between hydrological conditions upon material
535 transport and the formation of imbrication are hampered because experiments have not
536 been designed to explicitly explore these relationships. In addition, as noted by Carling et
537 al. (1992), natural systems differ from experiments because of the contrasts in scales.
538 Nevertheless, many experiments have reproduced clast imbrication in subcritical flumes
539 (Carling et al., 1992) or even in stationary flows (Aberle and Nikora, 2006). For instance,
540 imbrication was reproduced at low Froude numbers between c. 0.55 and 0.9 (Powell et al.,
541 2016; Bertin and Friedrich, 2018), or at least during some non-specified subcritical flow
542 (Johansson, 1963). Note that we inferred the Froude numbers from the experimental setup
543 of these authors. Also in experiments, material transport occurred at ϕ -values as low as
544 0.03 (Powell et al., 2016), which is consistent with the low Froude numbers for some of the
545 streams in Switzerland. Based on field observations, Sengupta (1966) reported examples
546 where pebbles embedded in sand formed started to imbricate during lower regime flows. In
547 these examples, eddies developed at the upstream end of pebbles, which then lead to the
548 winnowing of the fine-grained sand at the upstream edge and the tilting of this particular
549 clast. Additional sliding, pivoting and vibrating of these sediment particles then resulted in
550 the final imbrication. If this process occurs multiple times and affects the sand-gravel
551 interface at various sites, then an armored bed with imbricated clasts can establish without
552 the necessity of supercritical flows, or changes in flow regimes, as experimental results
553 have shown (Aberle and Nikora, 2006; Haynes and Pender, 2007). Such a fabric may
554 even form in response to prolonged periods of sub-threshold flows, as summarized by
555 Ockelford and Haynes (2013). Also through flume experiments in a 0.3 m-wide, 4 m-long,
556 recirculating tilting channel flume, Brayshaw (1984) was able to reproduce cluster
557 bedforms with imbricated clasts during subcritical flows (F -numbers between 0.03 and
558 0.07). In addition to these complexities, Carling et al. (1992) showed that the shape of a
559 clast has a strong control on the thresholds for incipient motion, the style of motion, and
560 the degree of imbrication.

561 However, inspections of photos illustrating the experimental set up reveal that the surface
562 grains are either flat lying on finer-grained sediments before their entrainment (Figure 3 in

563 Powell et al., 2016), occur isolated on the ground (Figure 2.1b in Carling et al., 1992), or
564 have a low degree of interlocking (Figure 3a in Lamb et al., 2017). Interestingly, the
565 experiment by Buffington et al. (1992) followed a different strategy, where a natural bed-
566 surface of a stream was peeled off with epoxy. They subsequently used this peel in the
567 laboratory to approximate a natural channel bed surface (see their Figure 4), on top of
568 which they randomly placed grains with a known size distribution. Buffington and co-
569 authors then measured the friction angle of the overlying grains, based on which they
570 calculated the critical boundary shear stress values ϕ . In all experiments, the surface
571 morphology lacks topographic variations, which we found as reach-scale alternations of
572 riffles, transverse bars and pools in the field. The low ϕ -values of 0.03, which appears to
573 be typical of bed surfaces in laboratory flumes (Ferguson, 2012), as summarized by Powell
574 et al. (2016), could possibly be explained by these conditions. Furthermore, and probably
575 more relevant, the experimental reaches are quite short in comparison to natural settings
576 and range between e.g., 4.0 meters (Brayshaw, 1984), 4.4 meters (Powell et al., 2016), 15
577 meters (e.g., Lamb et al., 2017) and 20 meters (Aberle and Nikora, 2006). We
578 acknowledge that in most experiments the variables have been normalized through an e.g.,
579 constant Reynolds or Froude number (Brayshaw, 1984). This normalization also includes
580 the experimental D_{50} -grain sizes, which are very similar to those of our streams (Litty and
581 Schlunegger, 2017). Nevertheless, we find it really hard to upscale some of the
582 experimental results to our natural cases where standing waves of 1 m, and even between
583 5 and 8 meters lengths may occur (our Figures 1B, 5B, 6B, supplement), which are not
584 reproducible in experiments. In addition, Powell et al. (2016) observed that the water
585 surface stayed relatively stable during their experiments, and that the flows were steady
586 and uniform without hydraulic jumps. This contrasts to our natural cases where upper and
587 lower flow regimes alternate over short distances even during low-stage flows. Finally,
588 while winnowing of fine-grained material, tilting and imbrication of clasts and subsequent
589 bed armoring might be valuable mechanisms during subcritical flows in experiments, we
590 consider it unlikely that this can be directly translated to our field observations. We base
591 our inference on two closely related arguments. First, our reported groups of imbricated
592 clasts tend to be arranged as cluster bedforms (e.g., Figures 6D, 7B), which rather form in
593 response to selective deposition of large clasts (Brayshaw, 1984) than selective
594 entrainment of fine-grained material (Figure 6A). Second, observations (Berther, 2012) and
595 calculations (Litty and Schlunegger, 2017) have shown that effective sediment transport in
596 these streams is likely to occur on decadal time scales (and most likely much shorter; van
597 der Berg and Schlunegger, 2012), at least for subaquatic bars. Sediment transport is then
598 likely to occur over a limited reach only. This means that a large fraction of the shifted
599 material per flood has a local source situated in the same river some hundreds of meters
600 farther upstream where bars are also well armored. This possibly calls for large thresholds
601 for the removal of clasts. In addition, on subaerial bars, fine-grained material is deposited

602 and not winnowed during waning stages of floods, as our observations have shown.
603 Accordingly, while low ϕ -values and thus a lower flow regime might be appropriate for
604 predicting the entrainment of sediment particles in experiments, greater thresholds and
605 thus larger ϕ -values are likely to be appropriate for our natural examples for the reasons
606 we have explained above.

607

608 4.4 Relationships between flow regime and clast imbrication in the field

609 Here, we provide evidence for linking clast imbrication with supercritical flows provided that
610 gravels are well-sorted and densely packed and form a clast-supported fabric. We sustain
611 our inferences with (i) published examples from natural environments; (ii) our observations
612 from Swiss streams; and (iii) the results of our calculations,

613 For the North Saskatchewan River in Canada, Shaw and Kellerhals (1977) reported gravel
614 mounds on a lateral gravel bar with a spacing between 2 and 3 meters and a relatively flat
615 top. Shaw and Kellerhals considered these bedforms as antidunes, which might have
616 formed in the upper flow regime. In the same sense, transverse ribs were considered as
617 evidence for the deposition either under upper flow regime conditions, or in response to
618 upstream-migrating hydraulic jumps (e.g., Koster, 1978; Rust and Gostin, 1981). These
619 features have been described from modern streams as a series of narrow, current-
620 normally orientated accumulations of large clasts. Koster (1978) additionally reported that
621 transverse ribs are associated with clast imbrication (Figure 2 in Koster, 1978). Alexander
622 and Fielding (1997) found modern gravel antidunes with well-developed clast imbrication in
623 the Burdekin River, Australia. Finally, Taki and Parker (2005) reported cyclic steps of
624 channel floor bedforms with wave-lengths 100–500 times larger than the flow thickness.
625 These bedforms most likely represent chute-and-pool configurations (Taki and Parker,
626 2005), which could have formed in response to alternations of upper and lower flow regime
627 conditions, as outlined by Grant (1997). In such a situation, the upstream flow on the
628 stoss-side of the bedform experiences a reduction of the flow velocity, with the effect that
629 the flow may shift to subcritical conditions. This would be associated with a hydraulic jump
630 and a flow velocity reduction and thus with a drop of shear stresses (Figure 1A), which
631 could result in the deposition of clasts. In such a scenario, the site of sediment
632 accumulation most likely migrates upstream (Figure 8).

633 Our inspections of modern gravel bars and stratigraphic records (Figure 4) reveal the
634 occurrence of imbrication where channel slopes are steeper than 0.4° - 0.5° , and where the
635 values of bed roughness exceed c. 0.06. The results of our generic calculations (Figure 3)
636 reveal that flows might become supercritical under these conditions, provided ϕ is greater
637 than c. 0.05 (Figure 3). This is supported by observations from the Waldemme and Reuss
638 Rivers (slope $>0.5^{\circ}$) during high and low stage flows (Figures 5B and 6B) that provide
639 evidence for standing waves and thus supercritical flows (supplement). Contrariwise, the

640 reach of the Emme River is flatter (slope $<0.4^\circ$), imbrication is largely absent, and flows
641 are generally subcritical (Spreafico et al., 2001, p. 53). We thus propose that a channel
642 gradient of c. 0.5° is critical for both the formation of clast imbrication and possibly also for
643 the establishment of supercritical flows. Based on these relationships, we suggest that the
644 generation of imbrication occurs at upper flow regime conditions.

645 The proposed threshold slope is consistent with the results of previous work, where upper
646 flow regime bedforms such as transvers ribs have been described for e.g., the Peyto
647 Outwash (slope c. 1.09°), the Spring Creek (same slope; McDonald and Banerjee, 1971),
648 and the North Saskatchewan River (slope 0.52° ; Dept. Mines and Tech. Survs., 1957).
649 This is also in agreement with observations (Mueller et al., 2005) and the results of
650 theoretical work calibrated with data (Lamb et al., 2008). In particular, Mueller et al. (2005)
651 suggested that a ϕ -value of c. 0.03 is suitable for slopes $<0.35^\circ$, while $\phi > 0.1$ might be
652 more appropriate for the mobilization of coarse-grained material in channels steeper than
653 1.1° . This might be an overestimate of the ϕ -dependency of slope (Lamb et al., 2008), but
654 it does show that ϕ -values larger than 0.04 and 0.05 might be appropriate where channels
655 are steep (see also Ferguson, 2012). Finally, Simons and Richardson (1960, p. 45) noted
656 that flows rarely exceed unity Froude numbers over an extended period of time in a stream
657 with erodible banks. We thus use the conclusion of these authors to explain the limited
658 spatial extent of imbricated clasts in modern streams and stratigraphic records.

659

660 **5 Summary and conclusions**

661 We started with the hypothesis that the transport and deposition of coarse-grained
662 particles, and particularly the formation of an imbricated fabric, may be related to changes
663 in flow regimes. We then calculated the Froude number F at conditions of incipient motion
664 of coarse-grained bedload for various bed roughness and stream gradient values, and we
665 compared the results with data from modern streams and stratigraphic records. The results
666 suggest that imbrication is likely to provide evidence for supercritical conditions particularly
667 where channels are steeper than $\sim 0.5^\circ$ and where ϕ -values are greater than c. 0.05. We
668 do acknowledge that our field-based inferences are associated with large uncertainties
669 regarding channel gradients and grain size (Litty and Schlunegger, 2017), and that they
670 lack a quantitative measure of the spatial distribution of clast imbrication (Bertin and
671 Friedrich, 2018). In the same sense, our hydrologic calculations are based on the simplest
672 published relationships between water flow and sediment transport. Greater complexities
673 about material transport (Engelund and Hansen, 1967) have not been considered. This
674 includes, for instance, large supply rates of sediment (van der Berg and Schlunegger,
675 2012; Bekaddour et al., 2013), changes in bed morphology, spatial variations in
676 turbulences, the shape and the sorting of grains, the 3D arrangement of clasts (Lamb et al.,
677 2008; Hodge et al., 2009), and complex hydrological conditions including upper-stage plain

678 beds, hydraulic drops, and standing waves (Johannson, 1963). In addition, the occurrence
679 or absence of imbrication also depends on the shape of the involved clasts (Carling et al.,
680 1992), where a relatively large *c*-axis tends to form a steeper imbrication compared to a
681 short *c*-axis. In addition, experiments showed that spheres and rods have a higher mobility
682 than blades and discs (Hattingh and Illenberger, 1995). Unfortunately, we lack the
683 quantitative dataset to properly address these points. We also acknowledge that
684 imbrication is formed in experiments under subcritical flows with low ϕ -values (Brayshaw,
685 1984; Carling et al., 1992; Powell et al., 2016; Lamb et al., 2017). However, as already
686 noted above, we find it quite hard to upscale the experimental results (<20 meters) to the
687 reach scale of our observations where standing waves with wavelengths as long as 8
688 meters have been observed (Figure 6B, supplement).

689 Despite our simplifications, we find evidence for proposing that the formation of imbrication
690 likely occurs at supercritical conditions provided that (i) channels are steeper than c .
691 $0.5^\circ \pm 0.1^\circ$, and (ii) large clasts are tightly packed, closely arranged as cluster bedforms and
692 partly embedded in finer-grained sediment. Mobilization and rearrangement of these
693 structures require greater thresholds (Brayshaw, 1985), which might be large enough
694 (ϕ -values possibly >0.05) to allow supercritical conditions to occur. These findings might
695 be useful for the quantification of hydrological conditions recorded in the stratigraphic
696 record such as conglomerates. As a further implication, the occurrence of imbrication in
697 geological archives may be used to infer a minimum palaeo-topographic slope of $0.5^\circ \pm 0.1^\circ$
698 at the time the sediments were deposited. Such a constraint might be beneficial for palaeo-
699 geographic reconstructions and for the subsidence analysis of sedimentary basins (e.g.,
700 Schlunegger et al., 1997). Finally, for modern streams, the presence of imbrication on
701 gravel bars might be more conclusive for inferring an upper flow regime upon material
702 transport than other bedforms such as transverse ribs or antidunes (Koster, 1978; Rust
703 and Gostin, 1981), mainly because clast imbrication has a better preservation potential
704 and is easier to recognize in the field.

705

706 **Figure captions**

707 **Figure 1:** A) Photo showing hydraulic jump, and conceptualization of situation displayed
708 in photo of Figure 1A. F =Froude number; v =flow velocity, d =water depth. B)
709 Photo from Sense River, and cross-sections through reaches with upper and
710 lower flow regimes. Surface waves ($\lambda \approx 20$ -30 cm) tend to fade out towards the
711 upstream direction relative to the flow movement where subcritical flows prevail
712 (section to the left). A hydraulic jump separates supercritical from subcritical
713 flow where the bedrock builds a ramp. The reach illustrated by the section to
714 the right is characterized by standing waves with wavelengths $\lambda \approx 100$ cm. The

715 dashed line illustrates the trace of the plane that separates lower from upper
716 regime flows. Please see Figure 2 for location of photo.

717

718 Figure 2: Sites where modern gravel bars in streams were inspected for the occurrence
719 of clast imbrication (blue dots). The figure also shows the locations of the
720 stratigraphic sections where conglomerates were analyzed for their
721 sedimentary structures. S=Sense; E=Emme; WE_{I-V}=Waldemme,
722 WL=Waldemme at Littau, R=Reuss; L=Landquart; G=Glenner; M_B, M_V,
723 M_L=Maggia at Bignasco, Visletto and Losone; V_F, V_M, V_L=Verzasca at Frasco,
724 Motta and Lavertezzo. See Table 1 for coordinates of sites.

725 The black squares are sites where Spreafico et al. (2001) have estimated
726 channel gradients and Froude numbers for low and high-stage flows. b=Birse-
727 Moutier, e=Emme-Burgdorf, g/=Glatt-Fällanden, g=Gürbe-Belp, m=Minster-
728 Euthal, /=Lütschine-Gsteig, s=Suze-Sonceboz, t=Thur-Stein

729

730 Figure 3: Relationships between A) channel slope and Froude number F , and B) relative
731 bed roughness and F . These were calculated as a function of various Shields
732 (1936) variables ϕ . The pale green field indicates the conditions where an
733 upper flow regime could prevail, while the yellow field delineates the
734 occurrence of lower flow regime conditions. In this context, we set the
735 threshold to a Froude number of c. 0.9. This is consistent with the estimation of
736 parameters for the formation of upper flow regime bedforms by Koster (1978).
737 Note that the bed roughness is the ratio between the D_{84} and the water depth d
738 at the onset of motion of that particular size class. The vertical bars on Figure
739 3A also illustrate the Froude numbers that have been estimated by Spreafico
740 et al. (2001) for the following streams and locations: b=Birse-Moutier,
741 e=Emme-Burgdorf, g/=Glatt-Fällanden, g=Gürbe-Belp, m=Minster-Euthal,
742 /=Lütschine-Gsteig, s=Suze-Sonceboz, t=Thur-Stein. Please note that the low
743 values for the Thur and Birse Rivers might represent underestimates as these
744 streams show evidence for multiple hydraulic jumps during high stage flows.

745

746 Figure 4: This figure relates the occurrence of imbrication (blue bars) or no imbrication
747 (red bars) to A) channel slopes and B) relative bed roughness. Red bars with
748 blue hatches indicate that imbrication has been found in places. Blue bars with
749 red hatches suggest that imbrication dominate the bar morphology, but that
750 reaches without imbrication are also present on the same gravel bar. Data from
751 modern streams are displayed above the horizontal axes, while information
752 from stratigraphic sections are placed below the slope and roughness axes,
753 respectively. S=Sense, S'=Sense with bedrock reach, E=Emme, WE_I.

754 $_{iV}$ =Waldemme, WL =Waldemme at Littau, R =Reuss; L =Landquart; G =Glenner;
755 M_B , M_V , M_L =Maggia at Bignasco, Visletto and Losone; V_F , V_M , V_L =Verzasca at
756 Frasco, Motta and Lavertezzo. See Table 1 for coordinates of sites, and Figure
757 2 for locations where data were collected.

758

759 Figure 5 A) Reuss River with evidence for standing waves along the thalweg. Othophoto
760 reproduced by permission of swisstopo (BA 18065). Please see Figure 2 for
761 location. B) Transverse and lateral bars in the Reuss River with imbricated
762 clasts on the lateral bar forming a riffle, and standing waves where the thalweg
763 crosses the riffle. The wavelength of the standing wave is c. 5 m. Arrow
764 indicates flow direction. Please see Figures 2 and 5A for location of photo.

765

766 Figure 6: Photos from the field. A) Photo of subaquatic longitudinal bar taken along the
767 steep bedrock/gravel bar reach of the Sense River (see Figure 1B for location
768 of photo). The clasts in the foreground are clustered and imbricated, forming
769 the nucleus of a possible cluster bedform. This fabric most likely formed when
770 rolling clasts came to a halt behind the boulder at the front. The clasts in the
771 background are either flat lying or slightly imbricated. Except for a few sites,
772 nearly all grains are either partially buried by finer grained material or
773 interlocked by neighboring clasts. The overlying flow shows evidence for
774 supercritical conditions with standing waves. B) Standing waves with a
775 wavelength of c. 8 m in the Waldemme at Littau. Water fluxes are c. 100 m³/s.
776 Arrow indicates flow direction. See also supplement. C) Flat lying clasts on a
777 lateral bar in the Sense River. Arrow indicates clasts that are overlapping each
778 other, resulting in a shallow dip of <10° of the overriding clast. D) Imbricated
779 clasts within the Maggia River at Visletto. Arrow indicates flow direction. Please
780 note that the imbricated arrangements of clasts mainly include the largest
781 constituents of the gravel bar in the middle of the photo, and clasts of similar
782 sizes. Therefore, for this set of imbricated clasts, we do not consider that
783 protrusion effects might play a major role. See Figure 2 for location and Table
784 1 for coordinates.

785

786 Figure 7: A) Conglomerates at Rigi with no evidence for clast imbrication. White lines
787 indicate the orientation of the bedding. B) Conglomerates at Rigi with
788 imbricated gravels to cobbles that are arranged as cluster bedforms (C). Arrow
789 indicates palaeoflow direction. White line refers to the bedding. Note that the
790 steep dip (>25°) of the *a-b*-planes of the imbricated clasts. See Figure 2 for
791 location and Table 1 for coordinates.

792

793 Figure 8: Conceptual sketch illustrating the formation of an ensemble of imbricated
794 clasts as time proceeds (A through C). According to this model, the site of
795 sediment accumulation will migrate upstream. F =Froude number; v =flow
796 velocity, d =water depth.

797

798 Table 1: Grain size and observational data and that have been collected in the field.
799 See text for further explanations.

800

801

802 **Author contribution**

803 FS designed the study and carried out the calculations, PG and FS collected the data, FS
804 wrote the text with contributions by PG, both authors contributed to the analyses and
805 discussion of the results.

806

807 **Data availability**

808 The authors declare they have no conflict of interest.

809

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813

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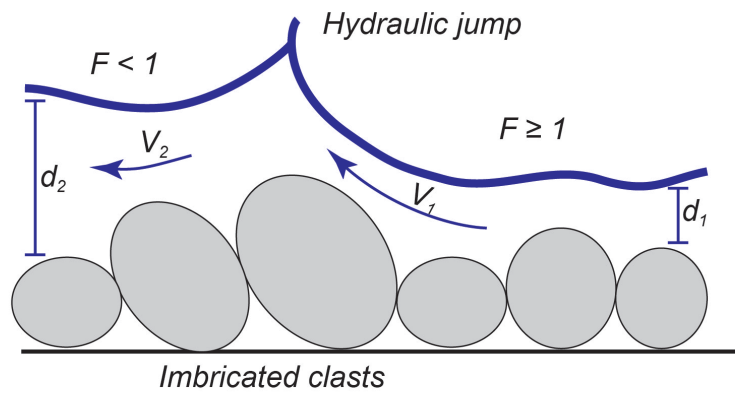
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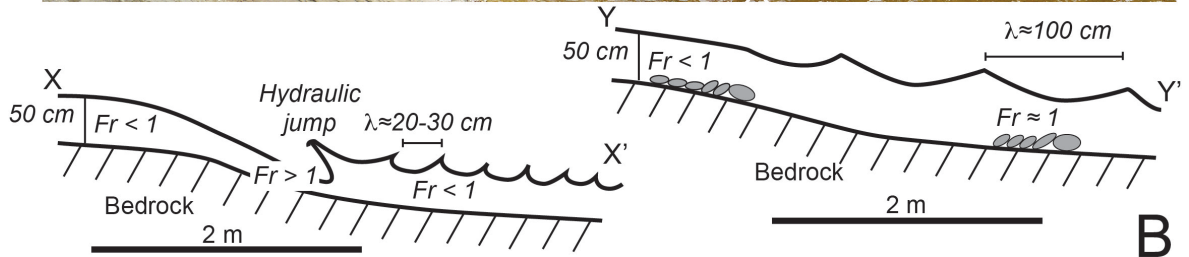
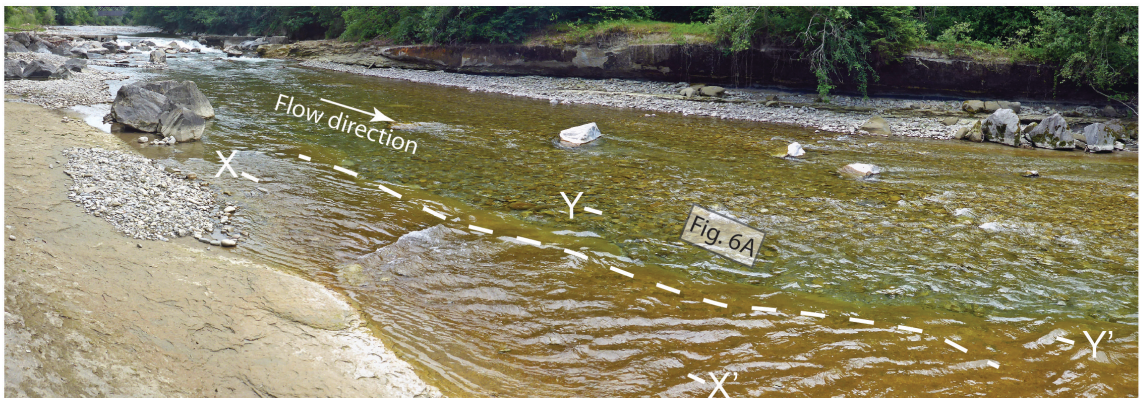
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- 1039



A



B

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Figure 1

Figure 1

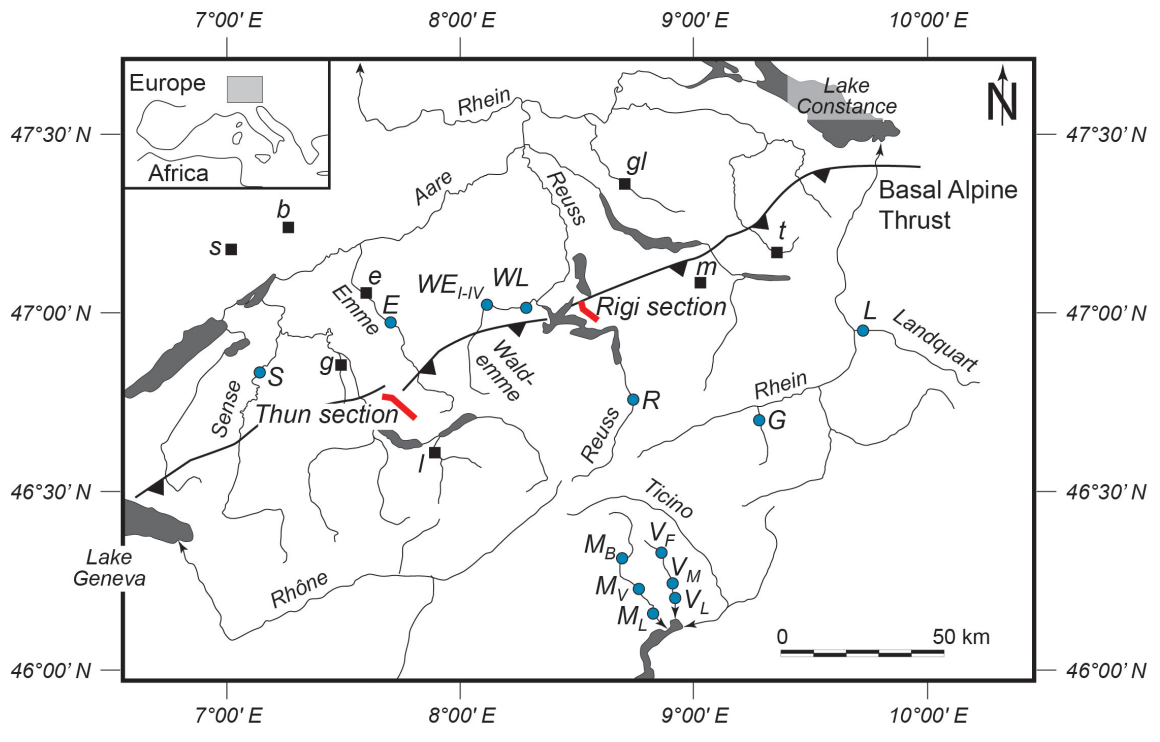
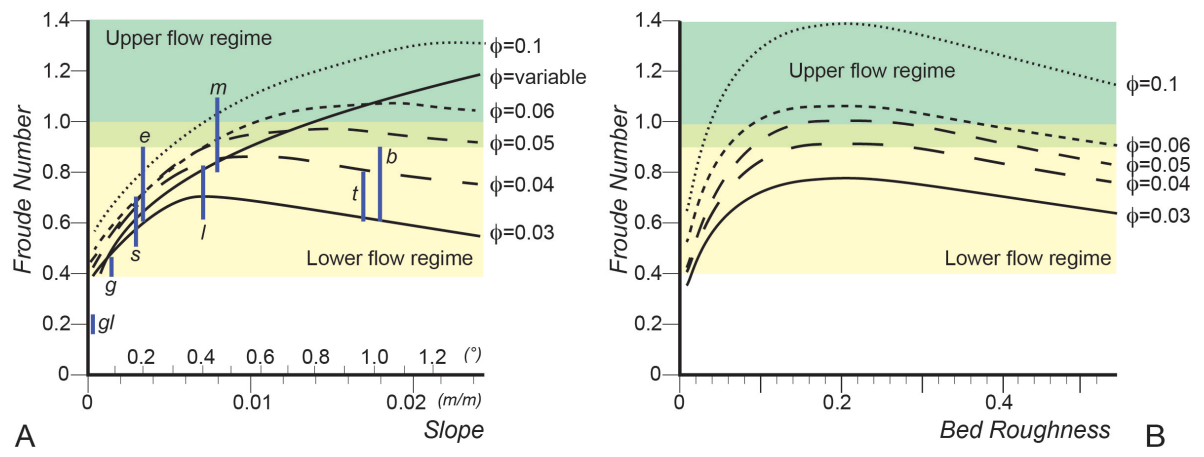


Figure 2

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1043 Figure 2

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1046 Figure 3

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Figure 3

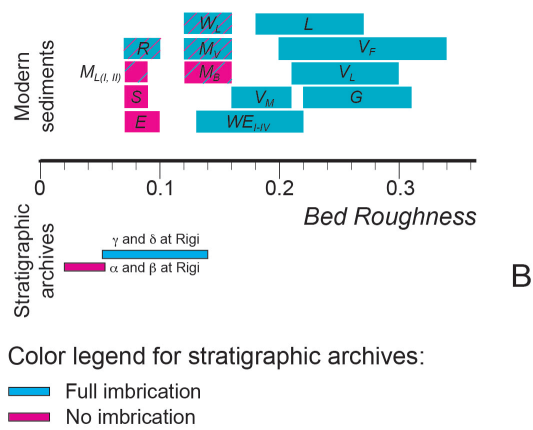
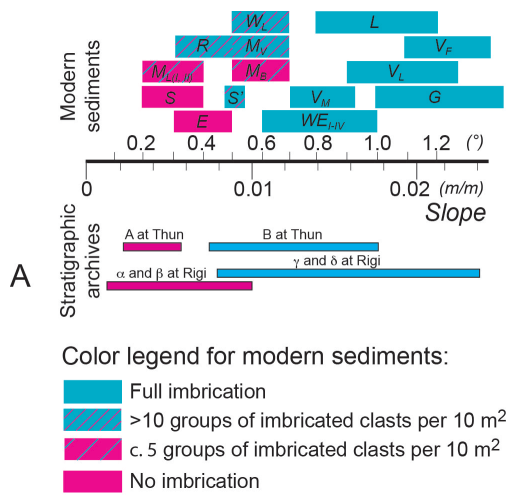
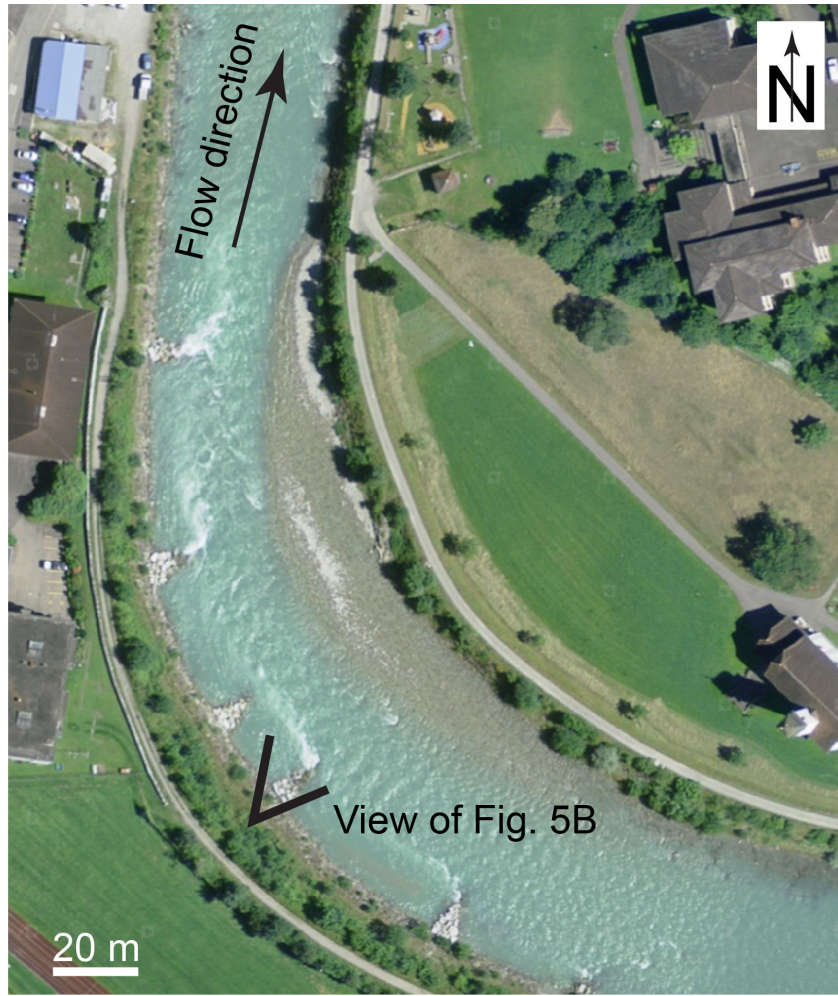


Figure 4

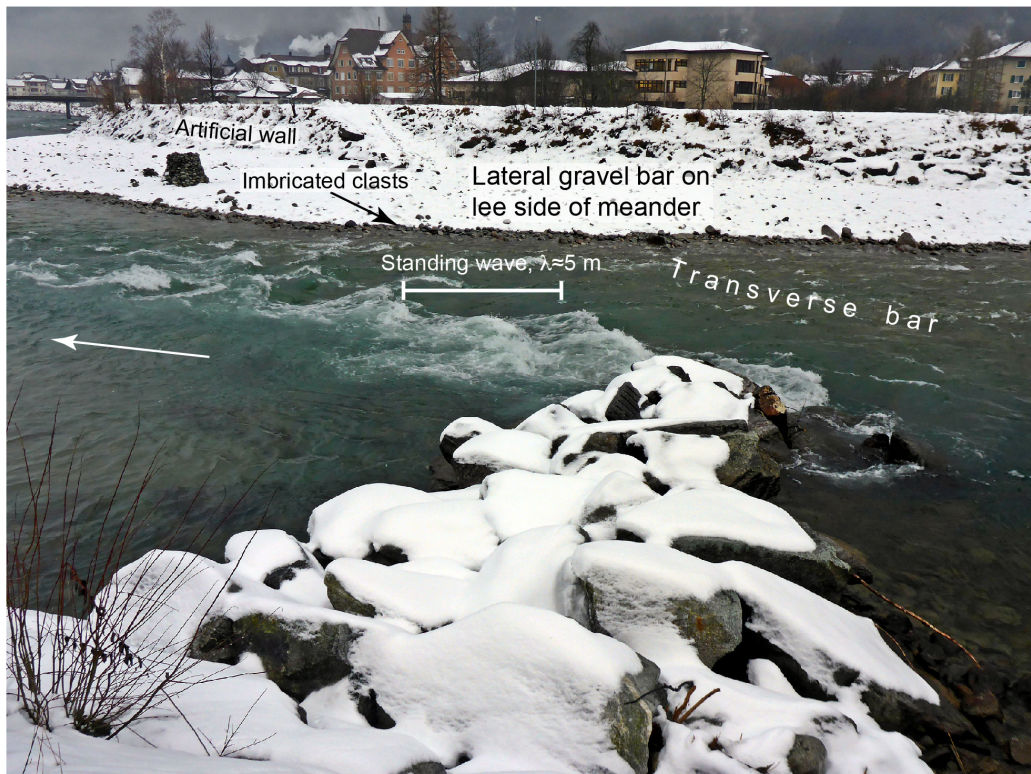
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1049 Figure 4

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A

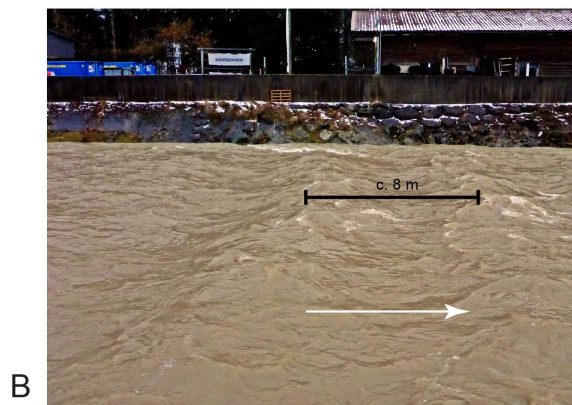
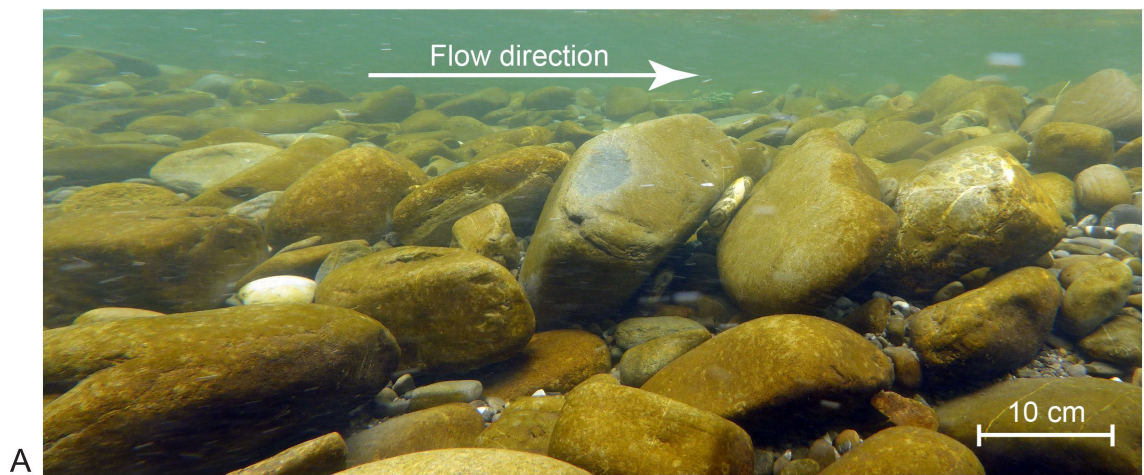


B

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1052 Figure 5

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Figure 6

Figure 6



A



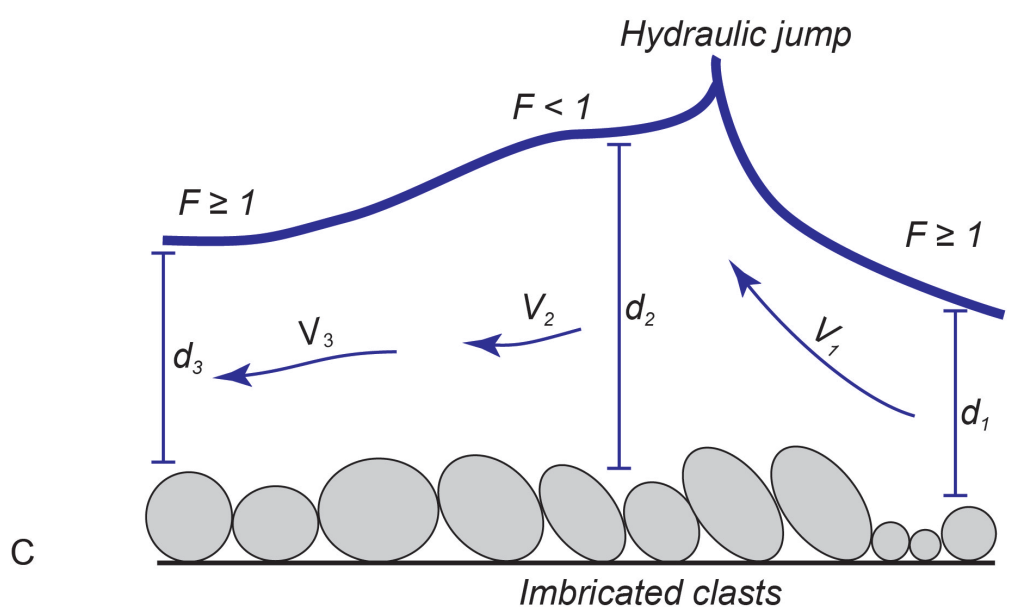
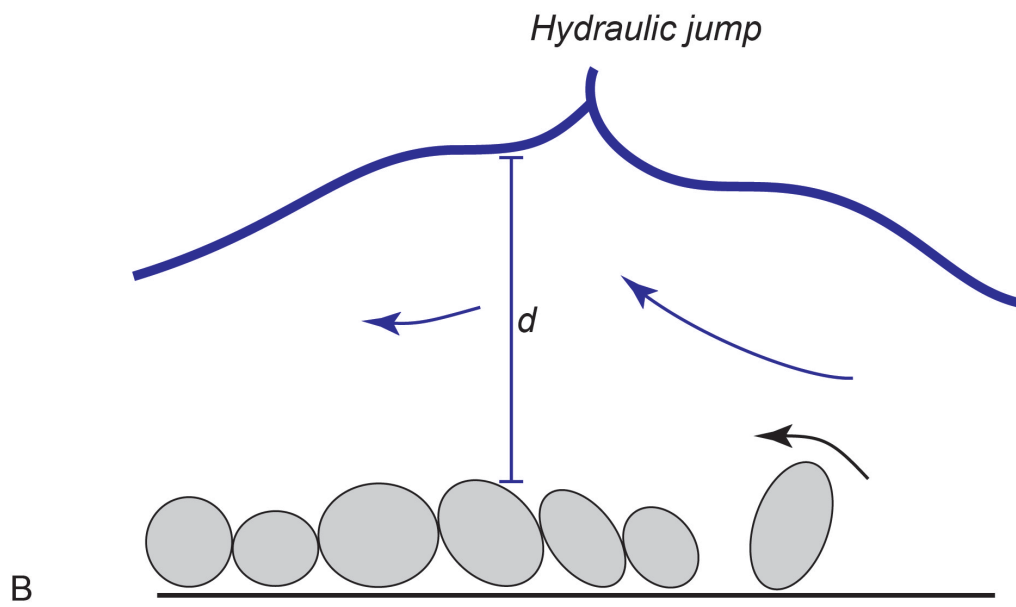
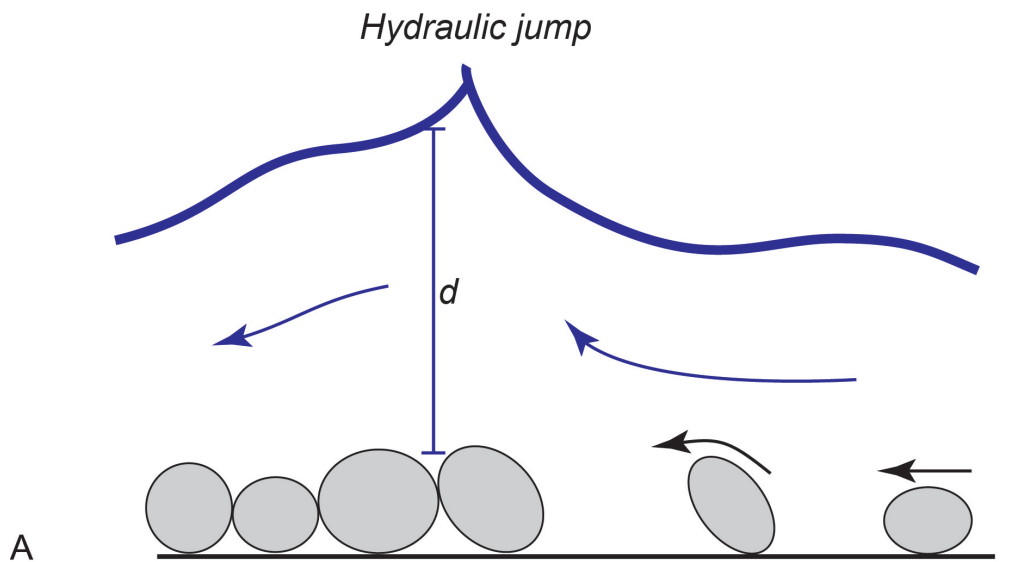
B

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1058 Figure 7

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Figure 7



1060
1061 Figure 8

Figure 8

Modern gravel bars											
Site name	Abbreviation	Site coordinates	D84 (cm)	D50 (cm)	D84/D50	D86 (cm)	Gradient (mm)	Gradient (°)	Inferred water depth d (m)	Roughness	Imbrication
Emme	E	48°57'08N / 7°44'59E	2.3	0.9	2.56	5.2	0.005-0.008	0.4x0.1	0.5-0.8	0.07-0.10	mostly no
	G	48°44'42N / 8°13'04E	12	2.88	4.17	27.4	0.017-0.024	1.2x0.2	0.4-0.6	0.22-0.31	mostly yes; largest boulders imbricated; smaller pebbles deposited in-between without preferred orientation, sand covers the clast fabric
Landquart	L	48°57'08N / 7°44'59E	10	2.5	4.00	13.5	0.014-0.021	1.0x0.2	0.4-0.6	0.18-0.27	yes
Maggia Bigrasso	MB	48°44'42N / 8°13'04E	2.7	0.85	3.18	13	0.009-0.012	0.6x0.1	0.2	0.12-0.16	mostly no, but triplets of imbricated clasts occur in places as inferred from photos
Maggia Visello	MV	48°58'26N / 8°36'29E	9.5	2.29	4.15	20	0.009-0.012	0.6x0.1	0.3-0.5	0.12-0.16	partly yes
Maggia Losone I	ML I	48°20'08N / 8°36'25E	4	0.79	5.06	14	0.005-0.007	0.3x0.1	0.5-0.6	0.07-0.09	triplets and quadruplets of imbricated clasts occur in places
Maggia Losone II	ML II	48°18'30N / 8°36'35E	6	1.12	5.38	12.65	0.005-0.007	0.3x0.1	0.7-1.0	0.07-0.09	triplets and quadruplets of imbricated clasts occur in places
Verzasca Frasco	VF	48°10'46N / 8°45'33E	2.5	0.75	3.33	7	0.015-0.026	1.3x0.2	0.1	0.20-0.34	imbricated
Verzasca Motta	VM	48°10'15N / 8°46'10E	4.3	1.44	2.99	18.75	0.012-0.016	0.9x0.2	0.2-0.3	0.16-0.21	largest boulders imbricated
Verzasca Lavatezzo	LV	48°20'20N / 8°48'03E	5	1.3	3.85	30	0.016-0.023	1.1x0.2	0.2-0.3	0.21-0.30	smaller pebbles deposited in-between without preferred orientation, finer-grained bedforms show imbricated clasts
Reuss		48°16'28N / 8°48'34E	3.2	0.88	3.64	6.37	0.005-0.008	0.4x0.1	0.3-0.5	0.07-0.10	largest boulders imbricated
Sense		48°15'21N / 8°50'23E	6	2.42	2.48	9.58	0.005-0.007	0.3x0.1	0.7-1.0	0.07-0.09	smaller pebbles deposited in-between without orientation as inferred from photos
Waldemre Littau	WL	48°48'53N / 8°39'16E	3.5	0.9	3.89	8.36	0.009-0.012	0.6x0.1	0.2-0.3	0.12-0.16	to large extent yes; triplets and quadruplets of imbricated clasts occur in places
Waldemre Erlebuech I	WE I	48°53'20N / 7°20'56E	3	1	3.00	9	0.01-0.017	0.8x0.2	0.1-0.2	0.13-0.22	yes
Waldemre Erlebuech II	WE II	47°03'04N / 8°10'13E	8	2.43	3.29	18	0.01-0.017	0.8x0.2	0.4-0.6	0.13-0.22	yes
Waldemre Erlebuech III	WE III	47°01'57N / 8°04'03E	5.7	2.57	2.22	14	0.01-0.017	0.8x0.2	0.3-0.5	0.13-0.22	yes
Waldemre Erlebuech IV	WE IV	47°01'57N / 8°04'03E	8.2	2.68	3.06	18	0.01-0.017	0.8x0.2	0.4-0.7	0.13-0.22	yes

Stratigraphic archives

Rigi conglomerates							
Segment	D84 (m)	Slope (m/m)	Slope (°)	Inferred water depth d (m)	D84/d	Imbrication	
δ	0.07-0.12	0.009-0.027		0.9x0.4	1.2x0.35	0.05-0.14	yes, in places
τ	0.06-0.1	0.008-0.015		0.6x0.2	1.2x0.4	0.04-0.12	partly yes
β	0.04-0.06	0.005-0.01		0.4x0.2	1.7x0.5	0.02-0.05	no
α	0.04-0.06	0.002-0.005		0.2x0.06	2.5x0.8	0.02-0.04	no

Thun conglomerates							
Unit	D84 (m)	Slope (m/m)	Slope (°)	Inferred water depth d (m)	D84/d	Imbrication	
B	not available	0.008-0.017		0.72x0.3	1.5-3	not available	yes, in places
A	not available	0.003-0.005		0.23x0.1	3-5	not available	no

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