

We would like again to thank the two referees for their careful reviews. This is especially appreciated because this is no short paper, and the constructive comments from both reviewers represent a significant investment of time that has improved the quality of our work. We have addressed all of the points raised by the referees, and as we include point-by-point responses as part of the closure to the interactive discussion, we simply include it in this combined response document. However, some changes were more significant, and a few were the result of our own review of our work. Those changes that we initiated based on our own review occurred after the interactive discussion phase and therefore received no earlier comment. We note both these significant changes and those that we did not comment on earlier as follows, with the latter in *italics*:

- *Reordering the figures in the Discussion section (following Referee Hodge's suggestion) to place the figures closer to the text to which they belong. This is especially important for Figures 5-9 in the numbering system from the first submission.*
- *Combining Figures 5 and 6 to place the response time graphics next to the responses themselves*
- Adding two additional appendices to describe (1) width and sinuosity and (2) directionality.
  - After sequestering the directionality discussion in (2), we have modified the equations in the paper to remove directionality for simplicity (following referee suggestions) accordingly.
  - Related to (1), we have fully discussed the role of sinuosity, and have corrected an error (pointed out by Sam Holo, U. Chicago) regarding the meaning of  $x$  and sinuosity: we are now consistent with  $x$  being down-valley distance.
- *Section 5.4: We were dissatisfied with our text and revised it to express the information in a way that we found clearer, especially in regards to inputs of water and sediment.*
- *Improving self-consistency in our discharge–drainage area exponent discussion among sections (i.e., using the full limits of 0.5–1.0 everywhere*
- *Multiple stylistic changes for clarity (all minor)*

Due to a difficulty with the "latexdiff" software, we were unable to show the pre-changes version of our old Figure 5, and also were unable to show tracked changes to our list of variables. We alphabetized this list (instead of introducing terms in the order in which they were listed in the paper) and copyedited it.

We welcome any requests for clarification on our revisions, and look forward to your evaluation of our revisions.

Best regards,

Andy Wickert  
2018.11.16  
Potsdam

*Reviewers 1 (anonymous) and 2 (Hodge) offer many valuable thoughts to improve our manuscript. We thank them for their careful effort, which has helped us to make this dense manuscript more readable. In addition, both of the reviewers and Sam Holo have identified relatively minor but important mistakes, and in some cases corrected them. We are especially grateful for these notes.*

*Our responses to these comments are below. Quotes from the referees are in Roman font. Our responses are in italics. Quotes from our LaTeX source – typically modifications to the manuscript text – are in `monospace` font that is also italicized.*

## **Responses to comments from Reviewer 1**

One of my big pet peeves, although I may be the only geomorphologist who thinks this, is calling slope the absolute value of the gradient. This can lead to sediment moving uphill. You use a few absolute values in your equations and then take care of the sediment moving uphill part by adding the signum function to your qs equation. But then eventually you get rid of it. I didn't understand equation 3, (qs equation), I spent more time figuring out the sgn function, than if you had just changed your if statement. Why not just change the if statement that is already in the equation if to be if  $tb^* \leq tc^*$ , then  $qs = \text{zero}$ ? I went around and around on this, and I think you get to the same place by taking out the -sgn term and the absolute value on  $\tau_b^*$  by changing the if statement which to me is much simpler. Seeing the absolute value of bed shear stress was weird for me. And it took me a while to work out why that was needed when you also had sgn. It seemed overly complicated, but maybe I am missing something.

I know this seems like a micro-detail to bring up in my big comments, but when I have to go through 50+ equations, I don't want to be struggling on equation 3. And sgn is in other equations too. Why not just make the assumption that you are calculating in the downslope direction, as you are modeling 1D profiles anyway?

*We fully agree that the any absolute value requires a signum function. The signum disappears in equation 21 because it is included in the  $\partial z / \partial x$  term (which has a sign) in the first term in the brackets (and the term on the RHS outside the brackets). Furthermore, the absolute values on  $Q$  become unnecessary due to the fact that  $(1/Q)\partial Q / \partial x$  can have only positive solutions for a real  $Q$ . This explanation aside, it appears that I can do a few things to help make this portion of the paper easier to follow!*

*The code for the model, as well as Equation 21, both include directionality. Therefore the key to solving this problem should be to shed the bulkiness (and hence confusion) caused by having these more general equations, while not losing their accuracy. The best way I can think of doing this is by moving the discussion with the signum function to an appendix. This will take some work, but I have gone through the text and begun to shorten/simplify the section on the derivation, while moving the essential information on directionality to an appendix. I maintain directionality in Equation 21 by referring to the appendix.*

I also had a hard time going between general 2D network evolution and 1D channel evolution. I think the  $dz/dt$  equations should be general, and apply to a network, right? But the analytical solutions, which make the assumption that the channel is conveying sediment through, and not eroding or depositing, is for only 1D? Further confusing me, I think, is that when you bring up the Whipple and Tucker 2002, you are making an assumption that  $Q_s$  is increasing downstream, so different from the earlier analytical solution. The W&T slope-area relationship – equation 51, and the 1D channel-only- conveying-what-is-sent-in-from-upstream slope-area relationship – equation 55, look similar, but the idea that in one, only sediment is coming in from the top of the profile, and in the other there is a network producing sediment – seems like it should make a huge difference. I wonder if by adding the area exponent on the steady-state  $Q_s$  relationship (eq. 50) but with such a low exponent – e.g. 0.2 – it basically shows that inputs of sediment from tributaries are less important for channel profile form than we thought? This is really hard for me to wrap my head around. I'm not sure I have fully appreciated this or if I am following. But possibly it is worth more discussion, or I missed the links in this study of your paper.

*The 1D vs. 2D question is a great point, and your confusion may be the result of my own mistake in describing the analytical solution. Since there are quite a few questions / points here, I will have to break this response up in order to make sure that I address them all.*

*1D VS 2D: We parameterized a full network with a set of power-law relationships between discharge, drainage area, and downstream distance, without explicitly solving for a 2D network. These create a fictitious continuously-increasing discharge, whereas rivers typically experience jumps of increases in discharge at tributary junctions. You are correct that these equations could be generalized, and to do so would require internal boundaries between 1D profiles at tributary junctions. This is indeed an ongoing project!*

*ANALYTICAL SOLUTION: I (Wickert) made a mistake in describing this that I think was the source of all of this confusion. Because I wrote the power-law functions to relate discharge to downstream distance, and the equations assume transport and capacity, then there must be increasing sediment input with discharge downstream as well. Therefore, what I am instead indicating is that all of the hillslopes, both inside and outside the computational domain, are providing an eternal supply of sediments to the channel at transport capacity. This contradicts what I wrote:*

*For such a no-uplift steady-state condition to persist over geologic time requires a constant input of sediment from upstream*

*Therefore, I have changed this text to:*

*For such a no-uplift steady-state condition to persist over geologic time requires a constant input of sediment from the hillslopes. This may be reasonable for a river that reaches an equilibrium long profile much more rapidly than the surrounding landscape evolves and its relief changes. It is also useful as a benchmark for numerical solutions (Figure \ref{fig: AnalyticalNumerical}).*

*WHIPPLE & TUCKER 2002: We are allowing sediment discharge to increase downstream, following water discharge, and removing my flub on the analytical solution description should (we hope) make this clearer. Both Equation 51 and Equation 55 include sediment from the full landscape. This is because sediment discharge in this transport-limited case is always directly proportional to water discharge, and water discharge in turn is proportional to drainage area.*

*TRIBUTARY SEDIMENTS AND  $P_\beta$ : The low exponent actually indicates that locally-derived sediments should be more important – its power (pun not intended!) to reduce sediment inputs increases as drainage area goes up. Therefore, it should imply that the tributary loads are important, and may replace sediments that are abraded, or, as Reviewer 2 (Hodge) points out, are preferentially deposited.*

I know that you relaxed the assumption of only upstream sediment supply a bit in section 5.4.3, however this is very brief. I'm not sure what the answer is, and I hate to tell you to lengthen the paper, however, I did get confused moving among the different assumptions.

*We hope that it is now clear that there was always locally-sourced sediment in a pseudo-2D sense; thank you for your above comments and for identifying my error.*

EQ 1 : Many people (I think) might be used to thinking of this equation only in terms of the first term in parentheses, and not the second one. Is it worth explaining each term?

*Yes, we agree that it is! Indeed, Exner and many others used just the 1D form of this equation, but it is significant to discuss how I expanded it for valley filling and/or emptying. We have added a new appendix to describe this. This appendix also describes the source of the sinuosity term, which we are correcting in the revision.*

Eq 17 : It's not intuitive that channel width increases with slope. Is the explanation for that coming up? I immediately thought of Finnegan, Roe, Montgomery & Hallet, Geology, 2005. Might need some discussion.

*The difference is that we are holding shear stress ratio constant (per Parker 1978) and Finnegan et al. are focusing on continuity; we have modified the text to describe this:*

*This is the width created by a channel that has a constant ratio of basal Shields stress to critical Shields stress (Equation \ref{eq:ThresholdSelfFormedWidth} \citep{Parker1978}, and Equation \ref{eq:b} predicts that for a given grain size and discharge, a steeper channel will be wider in order to reduce depth and therefore reduce applied shear stress. If the channel does not*

*widen to maintain a constant excess shear stress ratio, \citep{Finnegan2005} predict that it should narrow instead in response to steepening because, holding bed roughness constant, steeper flow is faster and therefore does not require as large a channel width to transmit a given water discharge.*

P 10, L 1 : It finally dawned on me, should sediment discharge really be termed sediment transport capacity? Isn't qs what the channel can transport, but it will only transport if that sediment is available?

*These exist as separate entities in detachment-limited rivers, but are necessarily the same in transport-limited rivers. In order to make this clear early-on, I have added the following text to the description of the Meyer-Peter & Müller sediment transport relationship:*

*This formula is technically for sediment-transport capacity,  $Q_c$ , per unit channel width, but in a transport-limited river, sediment is always supplied at or above capacity such that  $Q_s \equiv Q_c$ .*

P 12, L 23 : I'm not sure you should cite Whipple and Tucker here. I don't think they show any data on the discharge-area relationship or Hack's law, they just use them.

*You are correct: I included it because it was one of my (Wickert's) personal introductions to these concepts; reference removed.*

P 14, L 12 : Do you mean constant? Or uniform? I think uniform as you say "a short reach ... with no significant tributaries"

*We mean both steady and uniform – uniform for the reason that you give here, and steady in order to find a time-invariant analytical solution. We have clarified this in the text.*

Eq 44 : In the context of W&T 2002, I think what you have derived above is  $Q_c$ , not  $Q_s$ . It might be worth stating that. Maybe some people will get confused. Even in the appendix you call  $Q_s$  sediment discharge, and  $Q_c$  sediment discharge capacity. But it seems to me your equation 3, which your  $Q_s$  equations comes from, is really a capacity, and in a sediment starved system this would not be the sediment discharge. Help me out please.

*As noted above, transport-limited equations work only in non-sediment-starved systems, so the two are interchangeable... EXCEPT here, where we note the boundary case! Your comments have made me (Wickert) think that this may*

*not be clear to the community that works across the broader spectrum of channels. Therefore, we define  $Q_c$  at the first place where we note  $Q_s$ , as noted above (MPM).*

P 16, L 12 : I know one can't cite everything, but I particularly like the study by Huang and Niemann, 2014 to show this point. GSA Reviews in Engineering Geology, 2014 Simulating the impacts of small convective storms and channel transmission losses on gully evolution

*This is fantastic – thanks! We had been looking for a more recent reference and had not found this one.*

P 17, L 6 – 10 : Is this discussion of  $P_{\beta}$  actually  $\beta$ ? If  $P_{\beta}$  equals zero, I think that means that the sediment flux is the same everywhere, or  $\beta * U$ , and not that all material weathers on the hillslopes. Similarly, if  $P_{\beta} = 1$ , but  $\beta = 0$ , then no material reaches the stream as gravel. I'm confused.

*Thank you for catching this error. I (Wickert) stand by the  $P_{\beta} = 1$  statement, because I state that "every piece of eroded material" (so this requires erosion). However, the  $P_{\beta} = 0$  statement is indeed incorrect because it implies a drainage-area-independent sediment input, as you note here. I have corrected this, and also removed the sentence about gravel packing because on re-reading, I found it confusing and superfluous even though it seemed important to me at the original time of writing.*

Eq 50: Maybe also state bounds on  $\beta$ . Maybe obvious, maybe not?

*I added this information.*

P 18, Last paragraph : I'm a little lost in this paragraph... Are you talking about spatial variation in rock uplift? I don't think so, but "reduce the concavity in the downstream direction and "increases the fraction of the eroded landscape that acts to produce gravel" imply spatial variations to me. I think you mean that where rock uplift rate is lower, residence time is probably higher, so less gravel makes it to the channel. I guess this was also shown in Sklar et al, 2017?

*The reduction in concavity results from the fact that the valley floor becomes a local sediment source – and hence, the valley (and channel) must maintain a steeper slope in the channel's downstream reaches to balance the ever-increasing amount of local sediment input. (Downstream attenuation of this bed-derived sediment is not taken into account, and therefore this steepening may be a maximum estimate.) The statement about increasing the fraction of the eroded landscape is confusing because of our ambiguous use of "this", which is now replaced by a proper noun, "uplift", and additional explanation:*

*Uplift also impacts sediment supply by increasing the steepness*

*of the hillslopes, which increases hillslope sediment transport rates and hence decreases the time available for weathering and soil formation \citep{Attal2015}, resulting in increased hillslope gravel supply.*

Figure 6 : In this figure, is sediment input at the headwaters and sediment output at the outlet? I'm confused as to why these values asymptote to one when the sediment input varies or the base-level drops out for a period, but in the case of an uplift rate change, it evolves to a new steady state in which sediment flux is increasing or decreasing downstream. Is this something related to  $Q_{s,in}$  is fixed?

*INLET VS. OUTLET: The input sediment is catchment-wide, and we have updated the text to reflect this:*

*Transient response and response times to external forcings as quantified by the ratio of catchment-wide sediment input ( $Q_{s,in}$ ) to output sediment discharge ( $Q_{s,out}$ ).*

*DIFFERENTIAL RATIOS: The tectonics cases involve a local source (uplift) or sink (subsidence) of sediment, whereas the river internally adjusts to changing sediment supply. We checked the caption and we are happy with our explanation of this; please let us know if you have an idea of how we can make this clearer.*

P 23, L 2,3 : This confuses me. Isn't  $P_{\beta}$  in the concavity, and if that changes, wouldn't that change the input sediment-to-water discharge ratio?

*Good point – this is clarified to “uniform changes”*

P 24, L 12, 13 : I'm confused. I thought the first sentence of this section said that the sediment-to-water discharge ratio does impact long-profile concavity.

*We have updated some of the wording in this paragraph and the first paragraph in this section to make it clearer that this is for uniform changes: that is, I effectively set the upstream boundary-condition slope to set the incoming sediment supply to the system. This does not include local sources/sinks, which are in the same term as uplift/subsidence.*

P 25 : “As this ratio becomes more positive, concavity decreases; as it becomes more negative, the concavity decreases.” Should the last word be increases?

*Yes!*

P 28, L 18: Is this supposed to be  $P_{DQ}$ , not  $P_{BQ}$ ?

*Yes! Thank you.*

P 28, L 21 : Am I following this correctly? I think you are saying that P\_DQ needs to be  $-2/9$ , but that is outside the range that you predicted in the previous paragraph. If I'm right about that, then does that have implications for theta values and/or exponent values in the width-discharge relationship? If I'm wrong about this, I think I've gotten a bit lost.

*This is in fact within the range that we predicted in the previous paragraph –  $-4/9$  to  $-1/18$ . (Thank you for mentally correcting my typo!)*

P 29, L 26 : Not to be a pain in the ass, but this isn't strictly true. They had a coefficient on sediment production, but they didn't have the area-to-a-power scaling.

*Quite right! Changed "all" to "a uniform fraction of".*



## Responses to comments from Reviewer 2 (Hodge)

The list of definitions at the end is useful, but there are places in the paper where it would be helpful to remind the reader what various parameters are.

*I (Wickert) have added a few more definitions, but I am not sure that I have them in the places that you would like them. I hope that by adding the appendices (see response to Reviewer 1) that the equations are now more streamlined and that this satisfies some of the spirit of this comment as well.*

One question that I had at a number of points is what the impact of size-selective entrainment would be on the model results. The model uses a single grain size, and the grain size is found to have to decrease downstream in order to produce realistic concavity values. This decrease is implied to be caused by grain abrasion. However, we know that grain size also decreases downstream because of size-selective entrainment (e.g. Hoey and Ferguson. 1994). If you attributed the decrease in grain size to entrainment processes instead, would this have any impact on the rest of the model formulation? For example, abrasion should only be a function of transport distance, whereas the extent of size-selective entrainment will depend on the rate of sediment deposition.

*This is a great question, and the answer involves a combination of (1) correcting a misconception, and (2) considering the some scientific thought behind your suggestion. Towards (1), decreasing grain size does not alone impact concavity: it is decreasing grain size to the point that grains are carried in high suspension. The equations are insensitive to grain size so long as all of the grains are gravel. Towards (2), losing gravel would mean that there was an effective sediment sink; this could be lumped into the uplift/subsidence term and cause an increase in concavity as the river slope decreased to transport the smaller sediment supply at equilibrium. As noted by Hodge, this term would be nonuniform and a function of transport distance in the case of abrasion, whereas it could be related to subsidence rate for deposition (though size-selective deposition should matter less unless this affects the gravel-sand transition). I do not plan on covering (2) in this already-gigantic paper, but I do think that relationships between long-profile shape and grain-size evolution would be a good application for this approach.*

The paper often refers to concavity and steepness, and it would be useful to state explicitly the relationship between the two. I assumed that changing the profile concavity would also change the slope of the profile (by different amounts at different locations), so I wasn't sure how the two could be seen as being separate from each other.

*The current text that describes these two terms is:*

*In order for a river at steady state to have a concave long profile, meaning that channel slope decreases as drainage area increases (as is observed in nature), the exponent to which*

drainage area ( $A$ ) is raised must be negative. This slope--area exponent, multiplied by  $-1$ , is defined as the concavity index,  $\theta$ , \citep{Whipple1999}:

$$S = k_s A^{-\theta}.$$

Here,  $k_s$  is the channel steepness index \citep{Moglen1995, Sklar1998, Whipple2001}.

To better explain the separation between these parameters, I have added two additional sentences at the end:

Together, steepness (coefficient) and concavity (exponent) define the power-law relationship for slope. Because slope is the  $\frac{dx}{dz}$ -derivative of elevation, this also implies that the channel long profile should be described by a power law, which is consistent with the analytical solution (Section \ref{s:threshold\_analytical}).

One general comment about the discussion is that in some places the figures are more extensively referred to by a section that is later on than the section that they are presented in. It might be worth double checking that all figures are in the most appropriate section and/or whether any sections could be combined.

I (Wickert) looked through the text and found instances of this in regards to the figures of river transient response and their respective time scales. However, in these cases, they are referenced in three sections that are nonetheless neighboring. Therefore, I have moved these figures to the bottom of the section where they first appear, and as close as I feel to be reasonable to the next figure, which forms a maximum distance that I can move these in order to keep the figures in the same order that they are mentioned in the text. I hope that the typesetting of the two-column version will help with some of this presentation as well.

1/17: topographic relief of rivers or mountains?

Of mountains – I (Wickert) hadn't thought of topographic relief of rivers before. I changed one of the first sentences, using "topographic" in order to avoid using "mountain" twice; let me know if this is ambiguous and I will revisit it.

Such rivers build and maintain topographic relief by carrying gravel out of the mountains

2/28: Suggest replacing 'modifies' with 'defines'.  
Thank you! That is much better.

2/29: So how is your approach different to/an improvement on Blom et al?

We have added a clearer description of this:

*In particular, we (1) consider evolution of the full river valley, permitting analysis of time-scales longer than those of channel filling; (2) follow \citep{Parker1978} in allowing channel widths to self-form as a function of excess channel-forming shear stress; and (3) define channel roughness as a function of flow depth and grain size. (2) and (3) ultimately contribute to grain size canceling out of the final equation, leading to a relatively simple and applicable equation for gravel-bed river long-profile evolution in response to changes in water supply, sediment supply, and base level.*

3/25: Isn't the high excess shear stress also necessary to enable the river to erode the bedrock bed as well as transport all the sediment? Also, is this something that you should come back to later on when assessing your model results from scenarios with an increase in uplift rates, as it suggests that your model assumptions might not apply in those conditions?

*A high excess shear stress enables the river to erode bedrock iff bedrock is exposed. Here, Pfeiffer et al. look at reaches that have beds and banks of mobile material, though in such settings should, as you write, erode into bedrock as well. The point of these sentences is that these rivers need not have near-threshold shear stress, so I do not think I can write something about this here without diluting the paragraph. However, I obviously must agree with your general point that incising rivers will eventually connect with bedrock, and if they do and spend a comparable or greater amount of geomorphic work eroding the bedrock as compared to moving sediment, they become closer to the detachment-limited endmember. I have added the following text to describe*

*The range of applicable solutions is bounded by practical limitations: uplift rates must be appropriate for the channels to remain transport-limited, and subsidence rates must be low enough that they do not overwhelm the sediment supply and cause internal drainage to develop.*

4/10: I got a bit confused by this material about the valley, probably because I would tend to think of long-profiles models as just considering the channel bed. It makes sense that if you want to raise the channel bed you also need to raise the elevation of all the material in the valley, otherwise the river will just occupy the lowest parts of the valley. However, you could state this more explicitly. In line 5/8 I wasn't sure if you were referring to the channel or the valley. I also wasn't sure where the terms in the brackets on the RHS of eq.1 had come from.

*In response to both yourself and Reviewer 1, I have added an appendix to address this. I think this to be an important issue because the standard paradigm in eroding landscapes to think just of the channel only works when vertical incision rates are much greater than lateral erosion rates, forcing the channel into a narrow space. Including the separation between the time-evolving river valley, which integrates past processes and is important for mass balance, and the river channel, which is the engine and driver, is key. This new appendix also includes a figure to describe this, and a correction to our handling of sinuosity. The only*

*thing that is not answered here is your confusion in line 5/8, because I cannot see the ambiguity – if this remains ambiguous, please let me know!*

5/8: I think that this sentence about sinuosity will be clearer if you clarify the earlier material, but check; it took me a few reads to clarify what you meant. Also, sinuosity is introduced as a term here, but doesn't seem to feature in any of the later analysis. Is the impact of sinuosity on channel form (or the other way around?) something that you could look at in future work?

*I have updated this section and also describe sinuosity in a new appendix. I do not consider this strongly here, but I do think that the co-evolution of sinuosity and valley width could be important, with the latter (I believe) being the more important variable.*

6/4: I agree with reviewer 1 that the use of the signum function is not intuitive. I can see why it might be useful to relax the assumptions, but I don't think that it is necessary in any of your analysis?

*I agree that this is not completely necessary, and is rather part of my (Wickert's) personality to write really complete general equations. Therefore, I have moved this discussion to an appendix as well. (See also the comments by Reviewer 1 and my replies; both of you were unified in your thoughts about this.*

7/26 and 8/10: Could re-emphasise here that you are considering the excess shear stress and depth at the channel forming discharge.

*Done and thank you; these are the kinds of points that are difficult to see when one is too close to the paper.*

8/28: I wasn't sure what I was meant to take away from that sentence.

*I added:*

*, thus making it an equally accurate and more mathematically convenient approach.*

11/9: It took me a couple of reads to get this comment about valleys not having vertical walls.

*I added:*

*Therefore, changes in valley elevation produce changes in valley width, even in absence of time-evolution of the valley geometry that then feeds back into the rate of long-profile evolution.*

14/4: Rephrase

*I can see how “Its solution is a law” wasn’t clear. What a difference a word makes – it was meant to read “power law”. I have updated the text to reflect this (and the later major typo) and to add a bit more explanation.*

*Its solution is a power law, solved using two known points along the long profile -- ( $x_0, z_0$ ) and ( $x_1, z_1$ ). Practical choices for these points are the upstream and downstream boundaries of the river segment being studied.*

14/6: This is one of the points where I was trying to remember what the various P parameters were. You have defined them in a sensible way, but it might help early on just to spell out your definition (e.g. that in all cases  $P_{xy}$  is the power that relates x to y).

*Great idea – I have added the following text:*

*In order to write these in a consistent and intuitive way, all power-law coefficients are designated  $k$  and all exponents (“powers”) are designated  $P$ . Each pair is given an ordered pair of subscripts that indicates first the variable that one is converting from, and second the variable that one is converting to.*

16/2: It’s not clear what studies you are referring to here.

*Since the studies are listed, I am interpreting this to mean that it is unclear why I am referring to them – please let me know if I have misunderstood. I have clarified the text to read:*

*based on our above derivation, which is grounded in sediment-transport experiments and morphodynamics theory*

16/31: What should I take from this example?

*I have added the following sentence:*

*This provides a set of reasonable values for values that were left as free parameters in earlier derivations \citep{Whipple2002}, demonstrates the relative importance of slope vs. drainage area in setting sediment discharge, and in Section \ref{s:ConcavityRequirements} demonstrates how  $m_t = P_{AQ}$  and  $n_t = 7/6$  set the concavity index of transport-limited gravel bed rivers.*

17/30: If weathering can reduce the amount of gravel, presumably it also alters the size?

*Indeed it should, but this is not important here because the grain size cancels out. Towards what I perceive to be the spirit of your point, I can imagine a more general set of equations that include transport of multiple size classes,*

*considerations of hiding functions and equal mobility, etc., that may cause size-selective transport. Here, combining the width closure with sediment transport in a multi-D system would require some additional research that can connect hiding functions, channel-forming discharge, width closure, etc. in these situations.*

18/5: Couldn't the location of the gravel-sand transition be as much a size-selective transport phenomenon rather than an abrasion-of-gravel phenomenon? I thought that Dingle et al actually supported your idea by the observation that the amount of gravel leaving a basin didn't seem to depend on basin size, and therefore most of the gravel from the basin was abraded to sand before leaving the mountain front.

*Good point; we have rephrased this as follows to focus on abrasion:*

*this is qualitatively consistent with the work of \citet{Dingle2017}, who observe that most gravel produced in the Himalaya is converted into sand within 100 km travel distance in the Himalaya*

18/8: I wanted a bit more explanation as to how Fig 3 was produced. It wasn't clear to me whether the increase in  $P_\beta$  was falling out of the equations, or was something that you were altering.

*The caption describes that  $P_\beta$  is not altered (and therefore falls out of the equations), but we have also altered the paragraph in the main text describing this as follows:*

*Figure \ref{fig:UpliftSubsidence}, with long profiles calculated using Equation \ref{eq:dzdt}, indicates that uplift can act to reduce the concavity in the downstream direction.*

*As increasing rates of uplift (or base-level fall) force the channel long profile towards a constant slope (concavity  $\theta \rightarrow 0$ ), Equation \ref{eq:SA\_uplift\_sed\_supply} demonstrates that the gravel persistence exponent,  $P_\beta$ , increases until it equals the drainage-area-to-discharge exponent,  $P_{AQ}$ .*

19/5: If this model is for transport-limited conditions, can it be applied to these upper parts of the network?

*This relationship is appropriate here because I am comparing sediment supply to sediment-transport capacity, as has been done before to find the transition zone between transport- and detachment-limited systems.*

20/8: We think that Fig 4 shows that valley widening is likely, but there is still a solution when  $P_{xB}$  is zero.

*True; updated the “requires valley widening” in the section heading to “may require valley widening”*

20/25: Amplitude of what?

*Updated text to:*

*The magnitude of the concavity response is controlled in part by sediment supply.*

21/fig 5: Explain in the caption which of the thick black/grey lines is the start/end. Why is there a dashed line in a2?

*We reorganized one sentence in the caption and added this:*

*Thick gray lines are the initial long profile; thick black lines are the final long profile.*

22/fig 6: I initially read the caption as being the ratio of sediment input to water output discharge, so clarify this sentence. Also, why do b and c not get to a state where the input and output sediment fluxes are equal?

*Sentence clarified following this suggestion and that of reviewer 1. b and c being unequal is because of the uplift/subsidence being a local source/sink of sediment. As I noted to reviewer 1, I had thought this to be clear in the caption, and on re-reading it cannot think of how to make it clearer. If you still think it to be unclear and have ideas during a re-review, please let me know.*

24/3: Another steepness/concavity confusion; looking at fig 3, different slopes seem to be associated with different concavities.

*Hopefully the additional introduction of the steepness index, as being separate from the slope itself, will have clarified this.*

24/21: This first phrase was not clear to me.

*We have clarified this by revising the paragraph:*

*In order to compare both sediment discharge and uplift using a dimensionless parameter, we define a characteristic alluvial response rate ( $\mathbb{A}$ ) as a velocity scale to compare against uplift rate. The alluvial response rate is the ratio of the incoming sediment discharge ( $Q_{s_{\text{in}}}$ ) to the area of the valley floor, which in turn equals the mean valley width ( $\bar{B}$ ) multiplied by the length of the study river segment ( $L$ ). This is the maximum rate at which sediment transport processes can cause the valley to aggrade, and also scales with the power of the river to export sediment and incise.*

24/22: Here and after equation 56 are the first explicit mention of tributaries. I think that their input is implied in many of the earlier relationships, so it might be useful to mention them when presenting the earlier sections.

*Yes, and this is a good point! I have added the following text to the section introducing the power-law relationships:*

*These equations are continuum idealizations of a river with a tributary network. Real rivers experience discrete jumps in water discharge at tributary junctions. The smooth curves of water discharge vs. downvalley distance produced by these relationships, on the other hand, are beneficial for building intuition.*

*In the present section, we further clarify by removing the first offhand mention of tributaries and later revising the text to read:*

*We note that  $Q_{s_{\text{in}}}$  is only equal to the incoming sediment discharge at the upstream boundary condition,  $Q_{s_0}$ , for the case in which  $P_{xQ}=P_{xQ}=0$ , indicating that there are no tributaries.*

24/25: Might be useful to state that this time is that taken to fill the valley floor to a depth of 1 m?

*Sure: we can compute  $1/A$  to obtain the time scale that you suggest, and include this as follows:*

*Using SI units of length,  $1/\mathbb{A}$  is the time that it takes the river to aggrade 1 meter if no sediment is exported from the catchment.*

25/3: One of these decreases should be an increase.

*Thank you; fixed per Reviewer 1.*

26/19: I think that you have implied this point earlier, but this is the first time that it is spelt out. Move to earlier on?

*We have edited some above wording to highlight the common idea that water-to-sediment discharge ratio is related to climate, whereas base-level changes are related to tectonics.*

27/fig 9: I needed a bit more explanation to understand how this figure supported the point made in the text.

*We have updated its caption to be much more descriptive:*



*Covarying tectonic uplift (or base-level fall) and input sediment-to-water supply ratio produces a range of channel long profiles (a) and steepness and concavity indices (b). Changes in tectonic uplift rate impact channel concavity indices,  $\theta$ , whereas changes in water-to-sediment discharge ratio mainly impact channel steepness indices,  $k_s$ . A higher sediment supply dampens the effect of uplift on concavity. While these drivers and responses are distinct, tectonic uplift may increase sediment supply by steepening hillslopes, and therefore cause the variables controlling both the upstream (sediment supply) and downstream (base level) boundary conditions may change at the same time.*

29/14: Does whether bedrock rivers behave as transport limited depend on the timescales over which you are considering them? One of the main assumptions about bedrock rivers is that over long timescales they are supply limited.

*As I understand it, the question is more about the partitioning of geomorphic work: whether more goes to eroding the bedrock or more goes to moving the sediment. However, I do see transport- and detachment-limited rivers as endmembers, and the edge cases are hard to define. I have left the text as-is, still citing the same paper, because I don't think that I can reasonably tackle the broader question of how to appropriately apply such approximations in the conclusions section.*

29/28: Is there any field evidence that identifies the location of the detachment-to-transport-limited transition? How does it agree with your finding?

*In a short search, I (Wickert) have not been able to find the necessary literature data to test this. However, one could conceivably combine long profiles of transport-limited rivers with the positions of this transition to test this theory, with the caveat that the observed bedrock-alluvial transition may only approximate the transport-limited-to-detachment-limited transition point.*

### **Response to comments by S. Holo**

Sam Holo brought up concerns about a possible mistake in how we included the sinuosity term. He was correct that there was a mistake, and we have now corrected this throughout the paper. Because including sinuosity is not immediately straightforward, We have included a description of how to do so in the same new appendix where we describe the effort to create a valley-resolving Exner equation. Fortunately, we ran all calculations with a sinuosity of 1, meaning that these all remain valid. We thank Sam for taking the time to read the paper and his help in improving it.

# Long-Profile Evolution of Transport-Limited Gravel-Bed Rivers

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**Abstract.** Alluvial and transport-limited bedrock rivers constitute the majority of fluvial systems on Earth. Their long profiles hold clues to their present state and past evolution. We currently possess first-principles-based governing equations for flow, sediment transport, and channel morphodynamics in these systems, which we lack for detachment-limited bedrock rivers. Here we formally couple these equations for transport-limited gravel-bed river long-profile evolution. The result is a new predictive relationship whose functional form and parameters are grounded in theory and defined through experimental data. From this, we produce a power-law analytical solution and a finite-difference numerical solution to long-profile evolution. Steady-state channel concavity and steepness are diagnostic of external drivers: concavity decreases with increasing uplift, and steepness increases with increasing sediment-to-water supply ratio. Constraining free parameters explains common observations of river form: To match observed channel concavities, gravel-sized sediments must weather and fine – typically rapidly – and valleys must widen gradually. To match the empirical square-root width–discharge scaling in equilibrium-width gravel-bed rivers, downstream fining must occur. The ability to assign a cause to such observations is the direct result of a deductive approach to developing equations for landscape evolution.

## 1 Introduction

Mountain and upland streams worldwide move clasts of gravel (>2 mm). In so doing, they consistently reshape their beds and – unless they are fully bedrock-confined – their bars and banks as well (Parker, 1978; Brasington et al., 2000, 2003; Church, 2006; Eke et al., 2014; Phillips and Jerolmack, 2016; Pfeiffer et al., 2017). Such rivers ~~are responsible for build and maintain topographic relief by~~ carrying gravel out of the mountains, ~~and hence maintaining their topographic relief~~. They also can transport sediment across moderate-relief continental surfaces and into sedimentary basins.

Geomorphologists commonly separate rivers into two broad categories based on the factor that limits their ability to change their long profile: detachment-limited and transport-limited (Whipple and Tucker, 2002). Detachment-limited rivers incise at a rate that is set by the mechanics of river incision into bedrock. Transport-limited rivers can incise or aggrade at a rate that is set by the divergence of sediment discharge through a river or valley cross-section.

Here we present a new derivation for transport-limited gravel-bed river long-profile evolution that is based on relationships derived from theory, field work, and experimentation. We argue that developing this deductive approach – considering specific process relationships – is essential to advancing fluvial geomorphology and landscape evolution.

Much past work has focused on an inductive “stream-power” based formulation for detachment-limited river incision, in which erosion rate is proportional to drainage area (as a proxy for geomorphically-effective discharge) and channel slope (e.g., Gilbert, 1877; Howard, 1980; Howard and Kerby, 1983; Whipple and Tucker, 1999; Gasparini and Brandon, 2011; Harel et al., 2016). This rule is intuitive, and may also be described in terms of the rate of power dissipation against the river bed.

5 However, such a generalized approach is agnostic to geomorphic processes. Efforts to understand the detailed mechanics of abrasion (Sklar and Dietrich, 1998, 2004; Johnson and Whipple, 2007) and quarrying (Dubinski and Wohl, 2013), the two main mechanisms of bedrock river erosion (Whipple et al., 2000), have aided efforts to generate mechanistic models for bedrock incision (Gasparini et al., 2006; Chatanantavet and Parker, 2009). However, the large number of measured parameters required for these relationships limits their use in practice and/or requires simplifications, such that the basic stream-power law remains

10 the dominant model for detachment-limited rivers.

Writing a set of equations to describe the long-profile evolution of transport-limited gravel-bed rivers, on the other hand is aided by an extensive history of study that can be directly applied to models of long-profile evolution. This includes open-channel flow and flow resistance that can be applied to sediment-covered channels (e.g., Nikuradse, 1933; Keulegan, 1938; Limerinos, 1970; Aguirre-Pe and Fuentes, 1990; Parker, 1991; Clifford et al., 1992), bed-load transport (e.g., Shields, 1936;

15 Meyer-Peter and Müller, 1948; Gomez and Church, 1989; Parker et al., 1998; Wilcock and Crowe, 2003; Wong and Parker, 2006; Bradley and Tucker, 2012; Furbish et al., 2012), and fluvial morphodynamics (e.g., Lane, 1953; Leopold and Maddock, 1953; Parker, 1978; Ikeda et al., 1988; Ashmore, 1991; Church, 2006; Pitlick et al., 2008; Eke et al., 2014; Bolla Pittaluga et al., 2014; Blom et al., 2016; Phillips and Jerolmack, 2016; Pfeiffer et al., 2017; Blom et al., 2017). Critical to the present work is the fact that the authors of these past studies have developed theory, tested it in both laboratory and field settings, and

20 empirically determined the values of the relevant coefficients (e.g., Wong and Parker, 2006). Furthermore, bedrock channels can act as transport-limited systems (Johnson et al., 2009), meaning that an approach to transport-limited conditions may be able to describe the evolution of not only alluvial rivers, but rivers across much of Earth’s upland surface. Based on this past research, we are able to write a simple and consistent set of equations for transport-limited gravel-bed river long-profile evolution that eschews tunable parameters, common in stream-power approaches to river long-profile evolution (Howard and

25 Kerby, 1983; Whipple and Tucker, 1999, 2002) for those based on experimentation, measurements, and theory.

Here we link sediment transport and river morphodynamics to develop equations to describe gravel-bed river long profiles and, as a necessary extension, their tightly-coupled width evolution. Our approach ~~-, which allows channel widths to self-form, modifies channel roughness as a function of flow depth and grain size, and allows for changes in sediment supply and base level,~~ is complementary to a recent set of relations for alluvial river long profile shapes developed by Blom et al. (2016) and

30 Blom et al. (2017), who explore equilibrium alluvial river long profile shapes in response to changes in grain size, slope, and width. Our approach and discussion are tailored to time-scales from decades to millions of years, a broad range that results from the direct derivation of these equations and their parameter values from fundamental physics, observations, and laboratory experiments. In particular, we (1) consider evolution of the full river valley, permitting analysis of time-scales longer than those of channel filling; (2) follow (Parker, 1978) in allowing channel widths to self-form as a function of excess channel-forming shear stress; and (3) define channel roughness as a function of flow depth and grain size. (2) and (3) ultimately contribute

35

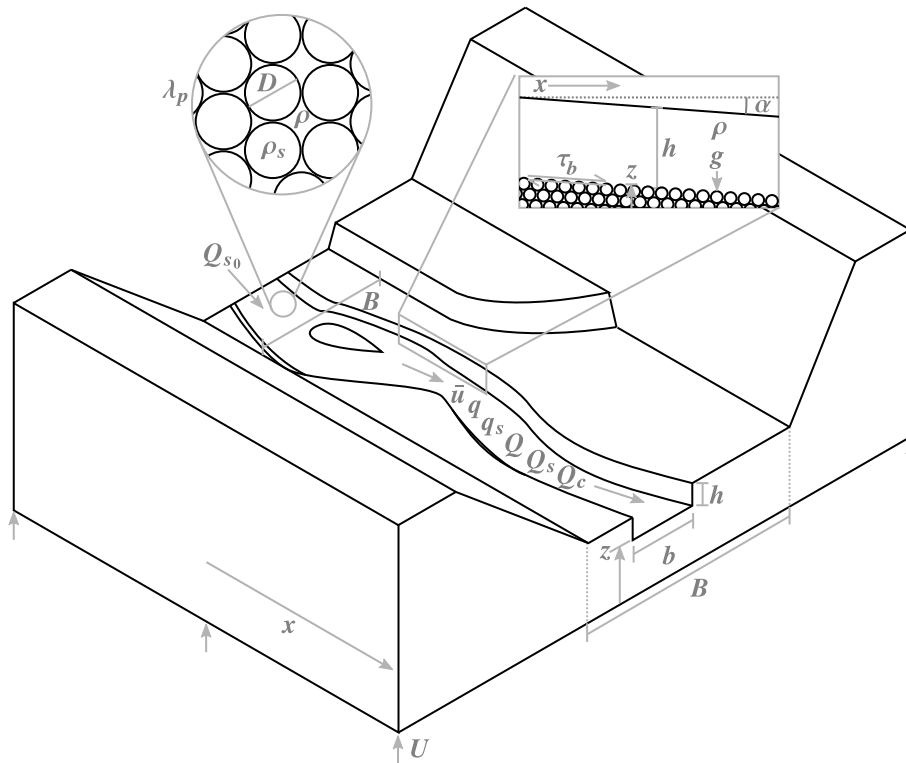
to grain size canceling out of the final equation, leading to a relatively simple and applicable equation for gravel-bed river long-profile evolution in response to changes in water supply, sediment supply, and base level.

Our approach is outlined as follows: First, we generate fully-coupled equations of gravel transport and fluvial morphodynamics to describe how channel long profiles change. Second, we investigate how the governing equations for gravel-bed rivers differ when we assume a channel with a self-formed equilibrium width versus when their width is externally set. Third, we derive both analytical and numerical solutions for the case of an equilibrium-width channel, which is nearly ubiquitous in nature (Phillips and Jerolmack, 2016). Fourth, we quantify the constants for stream-power-based bed-load transport from Whipple and Tucker (2002) in a dimensionally-consistent form that is based on our derived equations and the sizes of storm footprints. Fifth, we demonstrate that most gravel clasts in the landscape must be removed rapidly by weathering and/or downstream fining in order to produce rivers with concavities that lie within observed ranges. Sixth, we show that valley widening is required to produce rivers with observed concavities. Seventh, we investigate both steady-state and transient effects of base-level change (e.g., through tectonics) and sediment-to-water discharge ratio (via climate and/or tectonics) on river long profiles, and demonstrate that the former changes concavity while the latter changes steepness. Eighth and finally, we derive that downstream fining and channel concavity must combine to be the mechanistic cause of channel width scaling with the square root of water discharge ( $b \propto Q^{0.5}$ ) (Lacey, 1930; Leopold and Maddock, 1953), at least in equilibrium-width (including near-threshold) transport-limited gravel-bed rivers.

## 2 Derivations

We consider gravel-bed rivers to exist in one of two states: equilibrium-width and fixed-width. In the first, we assume that the channel-forming (i.e., bankfull) shear stress on the bed remains a constant ratio of the critical shear stress that sets the threshold for initiation of sediment motion (after Parker, 1978). The channel width is set to maintain this ratio. In the second, the channel and valley width are assumed to be identical in order to use the one-dimensional form of the sediment continuity equation, called the Exner equation (e.g., Paola et al., 1992; Whipple and Tucker, 2002; Blom et al., 2016). A third and more general case exists in which one externally imposes both channel and valley width. We do not address this case here, though it may be solved using the provided equations.

Our primary focus here is on equilibrium-width rivers, which are common throughout the world (Phillips and Jerolmack, 2016; Pfeiffer et al., 2017). Most maintain a bed shear stress that is slightly greater than that for the initiation of motion (Parker, 1978; Phillips and Jerolmack, 2016), and this near-threshold condition is characteristic of both fully alluvial and alluvial-mantled bedrock streams (Phillips and Jerolmack, 2016). Rivers in rapidly-uplifting mountain belts maintain a bed shear stress that can be much greater than that for initiation of particle motion; this results in higher sediment discharges that help to balance the high inputs of sediment that result from rock uplift (Pfeiffer et al., 2017). Although these rivers do not exist in a near-threshold state, they maintain an equilibrium width corresponding to their ratio of bed shear stress to critical shear stress for initiation of motion that allows them to transport the sediment that they are supplied (Pfeiffer et al., 2017).



**Figure 1.** Schematic block diagram of sediment transport through a reach of a transport-limited river. Variables are defined in the text and in the “Notation” list at the end of the paper. The balance of sediment input, sediment output, and uplift determine whether the river bed at each point downstream will rise, fall, or remain at a constant elevation.

We split our derivations into sections on equilibrium-width (Section 2.1) and fixed-width (Section 2.2) rivers. We first develop a sediment discharge relationship as a function of channel morphology. This portion of the derivation can apply to both alluvial (transport-limited) and bedrock (both transport- and detachment-limited) rivers. Simulating detachment-limited rivers in which abrasion is the dominant mechanism of river incision requires sediment-flux-dependent erosion relationships  
5 (Sklar and Dietrich, 2001; Whipple and Tucker, 2002; Sklar and Dietrich, 2004; Gasparini et al., 2006, 2007), which we do not discuss in detail here. We focus on alluvial and transport-limited bedrock cases by applying a statement of sediment volume balance (the Exner equation) to develop a differential equation that describes alluvial river long-profile evolution over time. The width closure for the equilibrium-width gravel-bed river produces a mathematically clean solution from which intuition can be readily gained, and this is the focus of our discussion. The fixed-width case, which is characteristic of an engineered  
10 gravel-bed river with rigid walls, is included for contrast with the equilibrium-width case and comparison with studies in which an externally-set width is assumed (e.g., Blom et al., 2016, 2017).

## 2.1 Equilibrium-width river

We derive an equation for the evolution of the long profile of an equilibrium-width gravel-bed river that lies within a valley whose shape is arbitrary (though at least as wide as the channel) and may evolve through time. We first state ~~the a modified~~ Exner equation for conservation of bed-load sediment discharge ( $Q_s$ ) for a river ~~with sinuosity (river length divided by valley~~

5 ~~length)~~  $S$  in a valley of width  $B$  (Figure 1):

$$\frac{\partial z}{\partial t} = - \frac{S}{1 - \lambda_p} \frac{1}{1 - \lambda_p} \left( \frac{1}{B} \frac{\partial Q_s}{\partial x} - \frac{Q_s}{B^2} \frac{\partial B}{\partial x} \right) \quad (1)$$

Here,  $z$  is the elevation of the river bed surface, and is often also denoted as  $\eta$  in the alluvial river literature. Time is represented by  $t$ .  $\lambda_p$  is porosity, for which 0.65 is a representative value (consistent with Beard and Weyl, 1973), ~~and~~,  $x$  is ~~distance downstream~~ down-valley distance, which is the same as down-channel distance only for a straight river flowing directly  
 10 down-valley.  $B$  is the width of the river valley at the current level of the river bed; it may change with changes in river bed elevation and/or as the valley widens or narrows over time. These ~~terms and all variables are defined in Appendix A.~~  $\lambda_p$  and  $B$  scale the result: a higher porosity means that less sediment must be eroded or deposited to produce the same change in bed elevation (i.e., aggradation or incision). A wider valley means that more sediment must be moved to produce a given amount of aggradation or incision. ~~As channel sinuosity increases, a longer length of channel traverses each valley cross-section, thus reducing the fraction of the valley width,  $B$ , that each channel cross-section must fill or empty in order to cause the long profile to aggrade or incise. Here we approximate sinuosity as a term that may be averaged over length-scales and time-scales that correspond to channel migration and valley filling or incision, such that we treat it as a constant that may change only gradually in space.~~

Equation 1 differs from the original form that Exner (1920, 1925) developed (Equation B1), which considers only channel-width-averaged sediment discharge (e.g., Paola et al., 1992; Paola and Voller, 2005). This is appropriate for aggradation or incision within a channel or in a vertically-walled valley that is exactly one channel width wide, but is unable to be solved for aggradation or incision for the common case of a valley that is wider than the channel. Because the evolving landform is the valley, we have chosen  $x$  to be down-valley distance, and describe the steps required to link channel-scale dynamics to longer-term long-profile evolution using our modified Exner equation (Equation 1) for sediment continuity in Appendix B1.

25 Following this definition of a sediment continuity equation, we take several steps towards developing a simple formulation for the total discharge of sediment through the river,  $Q_s$ . Once we find the correct expression for this value, we insert it into Equation 1, which we then simplify into a final differential equation for transport-limited gravel-bed river long-profile evolution.

Towards this eventual goal, our second step is to define bed-load sediment discharge per unit width,  $q_s$ , where

$$30 \quad q_s = \frac{Q_s}{b} \quad (2)$$

Here,  $b$  is the width (breadth) of the river channel ( $b \leq B$ ). We compute bed-load transport using the Wong and Parker (2006) formulation of the Meyer-Peter and Müller (1948) formula. This formula is semi-empirical: its core form is based on a balance

of shear stress along the bed driving particle motion and particle weight resisting that motion, but its power-law functional form as well as its coefficients and exponents are fit to the results of laboratory experiments. More fully theory-based formulations are under development (Furbish et al., 2012; Fathel et al., 2015) and promise significant advances in our understanding and prediction of sediment transport. Our choice to use the Meyer-Peter and Müller (1948) formulation stems from its longevity, its simplicity, the fact that it has been well tested (Wong and Parker, 2006), and its compatibility with the channel-width closure resulting from the work of Parker (1978). We stress that our general set of steps to deriving equations for long-profile evolution may be repeated for any sediment transport relation.

$$q_s = \begin{cases} 0 & \text{if } \tau_b^* \leq \tau_c^* \\ \phi \left( \frac{\rho_s - \rho}{\rho} \right)^{1/2} g^{1/2} (\tau_b^* - \tau_c^*)^{3/2} D^{3/2} & \text{if } \tau_b^* > \tau_c^* \end{cases} \quad (3)$$

Here, ~~sgn is the signum function (Equation ??)~~,  $\phi = 3.97$  (Wong and Parker, 2006) is an experimentally-derived sediment transport rate coefficient.  $\rho_s$  is sediment density,  $\rho$  is water density, and  $g$  is acceleration due to gravity.  $|\tau_b^*|$  is the magnitude of the dimensionless basal shear stress (defined in Equation 6, below), and is also called the “Shields stress” (after Shields, 1936).  $\tau_c^* = 0.0495$  (Wong and Parker, 2006) is the experimentally-derived dimensionless critical shear stress for initiation of particle motion, and is also called the “critical Shields stress”.  $D$  is a representative sediment grain (particle) size, which we take to be the median gravel clast diameter. ~~The signum function, sgn, is defined as:-~~

$$\text{sgn}([\text{value}]) = \begin{cases} -1 & \text{if } [\text{value}] < 0, \\ 0 & \text{if } [\text{value}] = 0, \\ 1 & \text{if } [\text{value}] > 0. \end{cases}$$

~~The explicit signum and  $dz/dx$  terms are included in the place of the commonly-used “slope” term to (1) relax the assumption that the downslope direction is known, (2) allow the numerical model to self-consistently handle changes in flow direction, and (3) enable the sign and the magnitude of the slope to be separated in equations that include a slope term raised to a power, which prevents a spurious imaginary part of the solution.~~ This formula is technically for sediment-transport capacity,  $Q_c$ , per unit channel width, but in a transport-limited river, sediment is always supplied at or above capacity such that  $Q_s \equiv Q_c$ . We assume that we know the downstream direction; a supplement to this derivation in which we consider directionality explicitly is included in Appendix C in order to streamline the main text.

While the Meyer-Peter and Müller (1948) equation is strictly valid only for a single grain size class, it is often an acceptable approximation for natural rivers with multiple size classes (Gomez and Church, 1989; Paola and Mohrig, 1996). Interactions among multiple grain size classes may cause a condition of “equal mobility” in gravel-bed rivers (e.g., Parker et al., 1982): small grains become trapped inside pits between larger grains, while large grains rest on a carpet of smaller grains and thus are exposed to more of the force of the flow. Even where significant deviations from equal mobility are observed,  $\tau_c^*$  for the 50<sup>th</sup> percentile grain size ( $D_{50}$ ) remains constant (Komar, 1987; Komar and Shih, 1992). For the representative grain size ( $D$ ) in Eq. 3 (and Eq. 27, below), Wong and Parker (2006) used the mean size of uniform gravel. We suggest the median grain size



( $D_{50}$ ) as representative of  $D$  for the mixed-size sediment of natural rivers due to its relative ease of standardized measurement (Wolman, 1954) and constant dimensionless critical shear stress for the initiation of motion (Komar, 1987; Komar and Shih, 1992; Paola and Mohrig, 1996). Regardless of this choice,  $D$  cancels out in our formulation for equilibrium-width gravel-bed rivers, starting in Equation 18.

5 Basal shear stress induces a drag force on the grains and drives sediment transport. To compute this basal shear stress ( $\tau_b$ ), we invoke the normal flow (steady, uniform) assumption, the wide-channel approximation ( $b \gg h$ , where  $h$  is the flow depth), and the small-angle formula (Figure 1, upper right inset): ~~Here,  $\tau_b$  is defined to be positive in the downslope direction (i.e. positive in the direction of positively-directed sediment transport) and~~

$$\tau_b = \rho g h \sin \alpha$$

$$10 \quad \approx \rho g h S \tag{4}$$

Here,  $\alpha$  is the angle between the plane of the water surface and the horizontal in the downstream direction, and  $S$  is the channel slope. The water surface and bed surface slopes are assumed to be parallel (following the normal flow assumption). ~~In order to self-consistently represent the direction of sediment transport when  $\tau_b$  is raised to a power, we separate the magnitude (absolute value) of shear stress from its direction (signum function) by following a similar approach to that taken for Equation~~  
 15 ~~3. Following the geomorphic convention of writing~~ Assuming that the flow is from left to right, we can define channel slope as:

$$S = -\frac{1}{\mathbb{S}} \frac{dz}{dx} \tag{5}$$

The above equation includes the sinuosity (river length divided by valley length,  $\mathbb{S}$ ) of the channel in the valley; this is necessary to convert the channel slope, which drives sediment transport, into the valley slope, which follows the  $x$ -coordinate orientation used in Equation 1 (see Appendix B). The negative sign is used to denote direction, but is included for convenience and intuition rather than for mathematical precision. When slope is raised to a power, only the magnitude of slope as  $S = |dz/dx|$ , we can write:-

$$|\tau_b| = \rho g h S$$

the slope is affected, with the  $-$  sign being applied afterwards.

25 The drag force on sediment grains induced by basal shear stress is resisted by the submerged weight of the grains. The ratio of these forces defines the Shields stress.

$$\tau_b^* = \frac{\tau_b D^2}{(\rho_s - \rho) g D^3} = \frac{\tau_b}{(\rho_s - \rho) g D} \tag{6}$$

In gravel-bed rivers, all of the shear stress is assumed to act as skin friction, meaning that it is directly imparted to the particles instead of being partially absorbed as form drag on larger-scale features (e.g., bedforms). When this dimensionless stress is in  
 30 excess of the critical Shields stress ( $\tau_c^*$ ), particles begin to move.

In equilibrium-width gravel-bed rivers, the dimensionless basal shear stress at the channel-forming discharge is assumed to be maintained as a constant multiple of the dimensionless critical shear stress for initiation of sediment motion (Parker, 1978). This proportionality may be equally represented by dimensional stresses; we use the dimensionless Shields stresses here for consistency.

$$5 \quad \tau_b^* = (1 + \epsilon)\tau_c^* \quad (7)$$

Parker (1978) derived based on theory and channel geometry that  $\epsilon \approx 0.2$  for self-formed gravel-bed rivers with mobile banks made of the same size gravel as the bed. This value has been found empirically and near-universally in rivers around the world outside of rapidly tectonically-uplifting environments (Phillips and Jerolmack, 2016; Pfeiffer et al., 2017).  $(1 + \epsilon)\tau_c^*$  is the dimensionless shear stress experienced by the bed of the channel when the shear stress experienced by the banks is equal to  $\tau_c^*$ . The Parker (1978) near-threshold gravel-bed river solution states that any excess stress would cause the banks to erode and the channel to widen, reducing the flow depth, and thereby decreasing  $\tau_b^*$  to  $(1 + \epsilon)\tau_c^*$ .

The channel-forming discharge, also termed the geomorphically-effective discharge, is equivalent to the bankfull flow in a self-formed gravel-bed river with gravel bars and banks. Blom et al. (2017) derived a method to differentiate the channel-forming discharge, defined as that required to maintain the channel slope, from the most effective discharges to move different grain size classes of sediments. This is a significant distinction, but one that will not be necessary for our modeling approach, as we consider only the discharges that are large enough to cause non-negligible geomorphic change. In a self-formed gravel-bed river, a near-threshold state is maintained in which  $\tau_b^* = 1.2\tau_c^*$  (Parker, 1978). We use this ratio between applied and critical shear stress to compute the numerical values for constants given in this derivation.

Substituting  $\tau_b^*$  in Equation 3 with its value given in Equation 7 reduces the complexity of Equation 3 by converting its excess shear stress terms [at a channel-forming discharge](#) ( $\tau_b^* - \tau_c^*$ ) into a constant (by a factor of  $\epsilon$ ) and requiring that only the case with a positive nonzero  $q_s$  be a plausible solution.

$$q_s = \phi \left( \frac{\rho_s - \rho}{\rho} \right)^{1/2} g^{1/2} \epsilon^{3/2} \tau_c^{*3/2} D^{3/2} \\ = k_{q_s} D^{3/2} \quad (8)$$

In an equilibrium-width gravel-bed river,  $q_s$  is a function only of grain size. The value of  $k_{q_s} = 0.0157$  is obtained from  $\phi = 3.97$  (Wong and Parker, 2006),  $\rho_s = 2650 \text{ kg m}^{-3}$  (density of quartz),  $\rho = 1000 \text{ kg m}^{-3}$  (density of water),  $g=9.807 \text{ m s}^{-2}$ ,  $\epsilon = 0.2$  (for a threshold-width channel Parker, 1978), and  $\tau_c^* = 0.0495$  (Wong and Parker, 2006).

It may be counterintuitive that sediment discharge per unit width increases with grain size. This is a result of the equilibrium-width argument. Channel geometry adjusts to maintain a constant excess basal shear stress regardless of grain size. However, larger grains have a greater vertical dimension: many small grains rolling or sliding along the bed will displace less mass than a single larger grain.

Equation 8 is physically valid only where  $b > D$  (see Equation 16, below) and is a good approximation only where  $b \gg h$  and  $h > D$  (see Equation 9, below). It seems likely that, at a flow width that is some small multiple of  $D$ , an equilibrium-

width gravel-bed channel would be replaced by a boulder cascade or similar system that is more dispersed. While we do not investigate the exact point of this process-domain boundary, this forms a practical limit to the theory presented here.

For a self-formed gravel-bed channel, channel depth must satisfy Equation 7. Using the normal flow assumption, the depth–slope product (Equation 7) defines basal shear stress. Inserting the [dimensionless](#) basal shear stress ([calculated by combining](#)

5 [Equations 4 and 6](#)) into Equation 7 and rearranging to solve for  $h$  [at a channel-forming discharge](#) results in:

$$h = \frac{\rho_s - \rho}{\rho} (1 + \epsilon) \tau_c^* \frac{D}{S} \quad (9)$$

Next, we compute mean water flow velocity ( $\bar{u}$ ) for a geomorphically-effective flow. We solve for mean flow velocity using the empirically-derived Manning–Strickler formulation (after Parker, 1991) of the Chézy equation. We first write the Chézy equation for steady, uniform flow,

$$10 \quad \bar{u} = \underline{\text{sgn}(\alpha)} C_z \sqrt{ghS}. \quad (10)$$

Here,  $C_z$  is a factor that relates flow velocity to shear velocity, and  $\sqrt{ghS}$  is the shear velocity for steady, uniform flow. We then define  $C_z$ , following the Manning–Strickler formulation, as

$$C_z = 8.1 \left( \frac{h}{\lambda_r} \right)^{1/6} \quad (11)$$

The coefficient of 8.1 is empirical (Parker, 1991).  $\lambda_r$  is the characteristic roughness length scale; this is often denoted as  $k_s$ , but we reserve this notation for the channel steepness index in slope–area space (Section 5.2). The flow depth ( $h$ ) in the numerator and the roughness ( $\lambda_r$ ) in the denominator indicate that flow velocity increases as more flow is far from the boundary, and decreases with increasing boundary roughness. The gravel clasts themselves are the major source of roughness (and therefore flow resistance) in a gravel-bed river. Clifford et al. (1992) related grain size to roughness length to obtain the approximation that  $\lambda_r \approx 6.8D$ , where  $D$  is the median gravel clast diameter. Carrying this forward, but using a standard “equals” sign, produces an expression for flow velocity that depends only on constants and basic geomorphic parameters.

$$20 \quad \bar{u} = \underline{\text{sgn}(\alpha)} 5.9g^{1/2} \frac{h^{2/3} S^{1/2}}{D^{1/6}} \quad (12)$$

The power-law form of the empirically-developed Manning–Strickler formulation ([see Parker, 1991](#)) closely approximates the more theoretical logarithmic boundary layer approach of Keulegan (1938) for ratios of depth to roughness length that are characteristic of gravel-bed rivers, [thus making the former an equally accurate and more mathematically convenient approach](#).

25 Water discharge per unit width can be computed by multiplying  $\bar{u}$  by  $h$ :

$$q = \bar{u}h = \underline{\text{sgn}(\alpha)} 5.9g^{1/2} \frac{h^{5/3} S^{1/2}}{D^{1/6}} \quad (13)$$

Substituting Equation 9 into Equation 13 gives:

$$q = \bar{u}h = \underline{\text{sgn}(\alpha)} 5.9g^{1/2} \left( \frac{\rho_s - \rho}{\rho} \right)^{5/3} (1 + \epsilon)^{5/3} \tau_c^{*5/3} \frac{D^{3/2}}{S^{7/6}} \quad (14)$$

The final equation that we require to obtain channel width ( $b$ ) for Equation 2 is that for continuity. We approximate the channel cross-section as rectangular such that the magnitude of the channel-forming water discharge,  ~~$|Q|$~~ , is equal to the product of the flow speed, width, and depth.

$$|Q| = |\bar{u}|bh = |q|b \quad (15)$$

- 5 Rearranging Equation 15 to solve for  $b$ , and then substituting Equation 14 for  $|q|$ , yields: ~~This is the width created by a~~

$$\begin{aligned} b &= 0.17g^{-1/2} \left( \frac{\rho_s - \rho}{\rho} \right)^{-5/3} (1 + \epsilon)^{-5/3} \tau_c^*{}^{-5/3} \frac{QS^{7/6}}{D^{3/2}} \\ &= k_b \frac{QS^{7/6}}{D^{3/2}} \end{aligned} \quad (16)$$

- Equation 16 predicts the equilibrium width of a river channel that has a constant ratio of basal Shields stress to critical Shields stress (Equation 7), following Parker (1978). This equilibrium width is set by a trade-off between discharge and slope, both increasing basal Shields stress, and grain size, which increases the critical Shields stress. To focus attention ~~to the~~ on these key variables ( $Q$ ,  $S$ , and  $D$ , respectively), we lump the constants into  $k_b = 2.61$ , assuming  $\epsilon = 0.2$  (Parker, 1978; Phillips and Jerolmack, 2016).

- Finally, channel width ( $b$ ) and sediment discharge per unit width ( $q_s$ , Equation 8) can be multiplied together to yield  $Q_s$ . In order to relate this product to the field, we include an additional term, the intermittency ( $I$ ), which is the fraction of the total time that a river produces a geomorphically-effective flow (after Paola et al., 1992); smaller flows are considered to be unable produce non-negligible geomorphic change. For example, if the annual flood on a self-formed gravel-bed river is a bankfull event, and this event lasts for 3-4 days,  $I \approx 0.01$ ; such conditions are typical for rainfall-fed mid-latitude rivers.

We express this equation first in terms of magnitudes, ~~Then, returning~~

$$Q_s = k_{Q_s} I Q S^{7/6}. \quad (17)$$

- 20 We then return directionality to the equation ~~, by replacing  $S$  following Equation 5, and noting that the sign is applied after raising its argument to a power. (See Appendix C for a very brief discussion of the use of slope,  $S$ , in place of separate terms for its direction and magnitude.)~~

$$Q_s = -\frac{k_{Q_s} I}{S^{7/6}} Q \frac{dz}{dx} \left| \frac{dz}{dx} \right|^{1/6}. \quad (18)$$

In both of these equations,

- 25  $k_{Q_s} = k_{q_s} k_b$
- $$\begin{aligned} &= \frac{0.17\phi\epsilon^{3/2}}{\left( \frac{\rho_s - \rho}{\rho} \right)^{7/6} (1 + \epsilon)^{5/3} \tau_c^*{}^{1/6}} \\ &= 0.041. \end{aligned} \quad (19)$$

~~This~~ The numerical value for  $k_{Q_s}$  is provided for  $\epsilon = 0.2$ , following (Parker, 1978). While we treat  $\epsilon$  as a constant here, recent research by (Pfeiffer et al., 2017) indicates that its value may vary. Furthermore, is important to note that by using a rectangular channel assumption, we neglect the potentially important component of spatial variability in flow depth. This variability, which is especially common in braided systems, can result in deep scours that increase the net bed-load sediment transport capacity of the river channel (Paola et al., 1999). This unaccounted-for variability thus may significantly increase  $k_{Q_s}$  beyond what is predicted here.

Equation 18 demonstrates that in an equilibrium-width river, sediment discharge obeys a stream-power relationship (Paola et al., 1992; Whipple and Tucker, 2002) in which the values of the coefficient and exponents are defined based on the above derivation. Though it is beyond the scope of this work on transport-limited rivers, the derivation of transport capacity to this point may be useful for studies of sediment-flux-dependent detachment-limited river incision (Gasparini et al., 2006, 2007; Hobley et al., 2011).

Hydraulic geometry adjustment in ~~a threshold-width an equilibrium-width~~ gravel-bed river ~~results in sediment discharge being causes bed-load sediment discharge to be~~ independent of grain size. ~~This is the result of a combination of depth to the 5/3 power in the Manning-style equation for water~~ Sediment discharge per unit width (Equation 14), ~~the direct proportionality between flow depth and grain size in a threshold gravel-bed channel (Equation 9)~~ increases with grain size as  $q_s \propto D^{3/2}$  (Equation 8). Channel width, on the other hand, decreases as grain size increases,  $b \propto D^{-3/2}$  (Equation 16), ~~flow resistance proportional to grain size to the 1/6 power (Equation 12), and sediment discharge per unit width being proportional to grain size to the 3/2 power (Equation 8, canceling  $D$  out of Equation 18). In more easily visualized terms, the constant excess shear stress ratio maintained by gravel-bed channels (Equation 7) forces channels with larger grains to become narrower, increasing sediment discharge per unit width ( $q_s$ ) but maintaining the same overall sediment discharge ( $Q_s$ ).~~ ~~due to the scaling relationships between grain size and both channel depth and flow resistance (Equations 9 and 14).~~

In this derivation, we hold  $\tau_c^*$  constant instead of making it a function of slope to the 1/4 power, as has been suggested by Lamb et al. (2008) based on experimental and field data. We do so for three reasons. First, a constant Shields stress is appropriate for rivers with slopes that are  $\lesssim 0.03$  (Lamb et al., 2008); this set comprises most rivers in the world. Second, the assumption of an equilibrium-width river (Parker, 1978) results in the removal of the threshold associated with  $\tau_c^*$  from the sediment-transport equation. Third, the remaining slope dependence is to the 1/24 power (Equation 19). Adding such a weak slope dependence that may marginally improve accuracy would introduce a mathematically significant nonlinearity into the system of equations, thereby impeding our goal of providing intuition into the behavior of gravel-bed rivers.

Whereas  $q$  and  $q_s$  are defined in the down-channel direction,  $Q$  and  $Q_s$  are equal for both the down-channel and down-valley directions. This convenient equality results geometrically from the fact that, as the angle between a river centerline and a line that crosses the valley perpendicularly increases, the flux (width-normalized discharge) decreases, but the fraction of the line occupied by river increases (Figure B2). This decrease and increase are proportional, and thus cancel out. One may also consider this to be the result of path independence: the discharge that exits a segment of valley must be equal to the discharge that enters it (Appendix B2).

We combine Equations 1 and 18 with a source/sink term for uplift (or subsidence) to produce a long-profile evolution equation for a transport-limited gravel-bed river.

$$\frac{\partial z}{\partial t} = \frac{Sk_{Q_s}I}{1-\lambda_p} \frac{k_{Q_s}I}{S^{7/6}(1-\lambda_p)} \left[ \frac{7}{6} \frac{1}{\left(\frac{\partial z}{\partial x}\right)} \frac{\partial^2 z}{\partial x^2} + \frac{1}{Q} \frac{\partial Q}{\partial x} - \frac{1}{B} \frac{\partial B}{\partial x} \right] \frac{|Q|}{B} \frac{Q}{B} \frac{\partial z}{\partial x} \left| \frac{dz}{dx} \right|^{1/6} + U \quad (20)$$

This [equation](#) has the general form of a nonlinear diffusion equation, with the nonlinearity being a combination of  $|dz/dx|^{1/6}$  and any possible nonlinear relationships that arise in  $Q(x)$  and  $B(x)$ . To the right of the equals sign, the leftmost term is a collection of constants. The brackets hold the gradients in slope, water discharge, and valley width. To the right of the brackets are the main drivers: long-profile response rates increase with increasing discharge magnitude and slope, both of which speed sediment transport, and response rates decrease as valley width increases, which creates more space that must be filled or emptied to produce a change in river-bed elevation. [By placing sinuosity with the constants, we assume that it changes in space only gradually, if at all.](#) This equation would simplify to the linear diffusional relationship derived by Paola et al. (1992) if we (1) considered a constant bed roughness instead of including the Manning-Strickler-based flow resistance that introduces a depth dependence (Equation 12), (2) removed the effects of variable valley width, and (3) considered a uniform water discharge.

Uplift and subsidence ( $U$ ) are not the only possible source and sink for material: Murphy et al. (2016) note the importance of chemical weathering, which must remove mass from rock, and Shobe et al. (2016) investigate the importance of local colluvial input to rivers. We do not focus on either of these here, but note that the latter must also be related to valley width evolution, which may produce enhanced hillslope sediment inputs, for example, through bank collapse and landsliding.

Equation 20 describes the long-profile evolution of an equilibrium-width gravel-bed alluvial river. The dependencies of the variables in Equation 20 are as follows:

$$z = z(x, t) \quad (21)$$

$$Q = Q(x, t) \quad (22)$$

$$B = B(z(x, t), t) \quad (23)$$

$$U = U(x, t) \quad (24)$$

The dependency of valley width,  $B$ , on elevation of the river bed,  $z$ , is the result of the fact that few valleys have vertical walls. [Therefore, changes in valley elevation produce changes in valley width, even in absence of time-evolution of the valley geometry that then feeds back into the rate of long-profile evolution.](#) Mathematically, this adds an arbitrary dependence on  $z$  that limits the analytically-solvable forms of Equation 20.

## 2.2 Fixed-width river

If the width of the river is externally known and is identical to the width of the valley, another solution is possible. To produce this solution, we first simplify the Exner equation to its one-dimensional form for the case in which  $b = B$  by  $b = k_b B$ , in

which the constant coefficient  $k_{bB} \leq 1$ . By expanding  $Q_s = q_s b$  and canceling out width:

$$\frac{\partial z}{\partial t} = - \frac{1}{1 - \lambda_p} \frac{k_{bB}}{1 - \lambda_p} \frac{\partial q_s}{\partial x} \quad (25)$$

Combining this form of the Exner equation with the Wong and Parker (2006) version of the Meyer-Peter and Müller (1948) gravel transport formula, given in Equation 3, and assuming that  $\tau_b^* \geq \tau_c^*$ , leads to the following differential equation for  
5 gravel-bed river long-profile evolution:

$$\frac{dz}{dt} = \frac{1}{1 - \lambda_p} \frac{k_{bB}}{1 - \lambda_p} \frac{3}{2} \phi \left( \frac{\rho_s - \rho}{\rho} \right)^{1/2} g^{1/2} (\tau_b^* - \tau_c^*)^{1/2} D^{1/2} \left[ D \frac{d\tau_b^*}{dx} + (\tau_b^* - \tau_c^*) \frac{dD}{dx} \right] \quad (26)$$

Here, no form of width closure is assumed. We maintain the assumption that  $\tau_c^*$  is a constant, meaning that this equation is valid for rivers of slopes that are  $\lesssim 0.03$  (Lamb et al., 2008). This ~~is done for both~~ simplification is included both for comparison with Equation 20 for equilibrium-width rivers and ~~because of to avoid~~ the added mathematical complexity of including a weak  
10 nonlinearity.

Equation 26 hides discharge, width, slope, and an additional grain-size dependence within  $\tau_b^*$ . To include these explicitly, we combine Equations 15 and 12 to solve for flow depth,  $h$ , and insert this depth into the Meyer-Peter and Müller (1948) sediment transport formula (Equation 3) via the definition of dimensionless basal shear stress given in Equation 6:

$$q_s = \begin{cases} 0 & \text{if } |\tau_b^*| \leq \tau_c^* \\ -\text{sgn} \left( \frac{dz}{dx} \right) \phi \left( \frac{\rho_s - \rho}{\rho} \right)^{1/2} g^{1/2} \left( \frac{0.345}{g^{3/10} S^{7/10}} \frac{1}{\rho} \frac{1}{D^{9/10}} \left( \frac{Q}{b} \right)^{3/5} \left| \frac{\partial z}{\partial x} \right|^{7/10} - \tau_c^* \right)^{3/2} D^{3/2} & \text{if } |\tau_b^*| > \tau_c^* \end{cases} \quad (27)$$

15 In a natural river,  $q_s$  is combined with an intermittency,  $I$ , which is equal to the fraction of the time that the discharge is geomorphically effective; smaller discharges are assumed to carry negligible bed-load sediment (Paola et al., 1992).

To formulate the differential equation for long-profile evolution of a transport-limited gravel-bed river of arbitrary width, we combine our transport relationship (Equation 27) with our statement of volume balance (Equation 25). In the following equation, we again consider only flows in which  $\tau_b^* > \tau_c^*$ ; to use it in practice, one would first run a check as to whether  
20  $\tau_b^* > \tau_c^*$ . If true, the bed would evolve as shown; if false,  $\partial z / \partial t = 0$ .

$$\begin{aligned} \frac{\partial z}{\partial t} = & \frac{3}{2} \frac{k_{bB} \phi g^{1/2} I}{1 - \lambda_p} \left( \frac{\rho_s - \rho}{\rho} \right)^{1/2} \left( \frac{1}{\rho} \frac{0.345}{g^{3/10} S^{7/10}} \left| \frac{\partial z}{\partial x} \right|^{7/10} \frac{1}{D^{9/10}} \frac{Q^{3/5}}{b^{3/5}} - \tau_c^* \right)^{1/2} D^{1/2} \\ & \left[ \frac{Q^{3/5} D^{1/10}}{b^{3/5}} \left| \frac{\partial z}{\partial x} \right|^{7/10} \left( \frac{3}{5} \frac{1}{Q} \frac{\partial Q}{\partial x} - \frac{3}{5} \frac{1}{b} \frac{\partial b}{\partial x} + \frac{7}{10} \frac{1}{\left| \frac{\partial z}{\partial x} \right|} \frac{\partial^2 z}{\partial x^2} - \frac{9}{10} \frac{1}{D} \frac{\partial D}{\partial x} \right) \right. \\ & \left. + \left( \frac{1}{\rho} \frac{0.345}{g^{3/10} S^{7/10}} \left| \frac{\partial z}{\partial x} \right|^{7/10} \frac{1}{D^{9/10}} \frac{Q^{3/5}}{b^{3/5}} - \tau_c^* \right) \frac{\partial D}{\partial x} \right] + U \end{aligned} \quad (28)$$

When  $b$  is set such that Equation 7 for an equilibrium-width gravel-bed channel holds true and  $b = B$ , Equation 28 becomes equal to Equation 20.

In addition to the variable space–time dependencies listed in Equations 21–24, we include the following two for Equation 28:

$$b = b(z(x, t), t) = B(z(x, t), t) \quad (29)$$

$$D = D(x, t) \quad (30)$$

### 5 3 Analytical solutions

Two analytical solutions are presented here to help build intuition into the shape of gravel-bed river long profiles. The most generally-applicable of these, for an equilibrium-width gravel-bed river that is neither aggrading nor incising in an area with no tectonic activity, is presented first. This solution is a power law that relates measurable hydrologic and landscape parameters to river long-profile shape. The second analytical solution is for a fixed-width river that adds the additional assumptions that width, discharge, and grain size are held constant. This solution provides an equilibrium transport slope.

#### 3.1 Relationships between width, discharge, drainage area, and downstream distance

In order to analytically solve special cases of the provided equations for river channel long-profile evolution, we need a way to write Equation 20 in terms of only  $z$  and  $x$ , meaning that we should rewrite  $Q$  and  $B$  in terms of  $x$ . For any real river, there is a measurable relationship between discharge and distance downstream. Such relationships, and others in this paper, have a power-law form. In order to write these in a consistent and intuitive way, all power-law coefficients are designated  $k$  and all exponents (“powers”) are designated  $P$ . Each coefficient and exponent is given a two-letter subscript whose first letter indicates the variable from which one is converting (right-hand side) and whose second letter indicates the variable to which one is converting (left-hand side).

Based on observations (~~Hack, 1957; Costa and O’Connor, 1995; Whipple and Tucker, 1999~~): (Hack, 1957; Costa and O’Connor, 1995)

$$|Q| = k_{AQ} A^{P_{AQ}} \quad (31)$$

$$A = k_{xA} x^{P_{xA}} \quad (32)$$

$Q$  in Equation 31 refers to the discharge of a geomorphically effective flood – in our case, this is one that applies a shear stress  $\tau_b^* \approx (1 + \epsilon)\tau_c^*$  (Wolman and Miller, 1960; Parker, 1978; Sullivan and Lucas, 2007).  $P_{xA} \approx 4/7$  in the inverse of Hack’s exponent (Gray, 1961; Maritan et al., 1996; Birnir et al., 2001). Substituting  $A$  in Equation 31 with Equation 32 provides the needed transfer function between  $Q$  and  $x$ :

$$Q = k_{AQ} k_{xA}^{P_{AQ}} x^{P_{xA} P_{AQ}} \quad (33)$$

$$= k_{xQ} x^{P_{xQ}} \quad (34)$$



These equations are continuum idealizations of a river with a tributary network. Real rivers experience discrete jumps in water discharge at tributary junctions. The smooth curves of water discharge vs. down-valley distance produced by these relationships, on the other hand, are beneficial for building intuition.

Solutions to Equation 20 also depend on how valley width,  $B$ , changes with distance downstream. Following Snyder et al. (2000) and Tomkin et al. (2003), who formulated a power-law relationship between valley width and drainage area, we propose that  $B$  is also a power-law function of  $x$ :

$$B = k_{xB}x^{P_{xB}} \quad (35)$$

### 3.2 Equilibrium-width river

In order to develop an analytical solution to Equation 20, we first replace  $Q$  and  $B$  with Equations 31–35:

$$\frac{\partial z}{\partial t} = \frac{Sk_{Q_s}I}{1-\lambda_p} \frac{k_{Q_s}I}{S^{7/6}(1-\lambda_p)} \left[ \frac{7}{6} \frac{1}{\left(\frac{\partial z}{\partial x}\right)} \frac{\partial^2 z}{\partial x^2} + \frac{P_{xQ}}{x} - \frac{P_{xB}}{x} \right] \frac{k_{xQ}x^{P_{xQ}}}{k_{xB}x^{P_{xB}}} \left( \frac{\partial z}{\partial x} \right) \left| \frac{dz}{dx} \frac{\partial z}{\partial x} \right|^{1/6} + U \quad (36)$$

One useful analytical solution to this equation would be that for the steady-state case, in which

$$\frac{\partial z}{\partial t} = 0. \quad (37)$$

However, no analytical solution exists for this form of the equation when tectonic uplift or subsidence is present. As a close substitute, and one that can greatly simplify Equation 36, we choose the case in which the river is neither aggrading nor incising. Its only vertical motion, therefore, is as it passively rides up or down on the Earth's surface.

$$\frac{\partial z}{\partial t} = U \quad (38)$$

For the special case in which there is no uplift, equation 37 holds. It is important to note that this case implies a continuous externally-sourced sediment supply in order to maintain a fixed topography without relative uplift across the stream profile.

For such a no-uplift steady-state condition to persist over geologic time requires a constant input of sediment from **upstream**. This in turn implies that, upstream of the segment of the river for which the analytical solution is calculated, some process is responsible for this constant sediment supply. This could be a constant erosion rate (which can correspond to a constant uplift rate in steady state), or perhaps continual supply of gravel-sized sediment from a source outside of the catchment (such as coarse sediment supply by ice caps and ice sheets that can carry gravel across land-surface-defined drainage divides). This steady-state solution may also approximate conditions in a disequilibrium landscape with no tectonic uplift in which the gravel-bed river long profile achieves an equilibrium state over time-scales that are much shorter than changes in sediment supply, which in turn is likely derived from the long-term reduction in landscape relief. the hillslopes. This may be reasonable for a river that reaches an equilibrium long profile much more rapidly than the surrounding landscape evolves and its relief changes. It is also useful as a benchmark for numerical solutions (Figure 2).

Applying Equation 38 to Equation 36 yields a second-order nonlinear ordinary differential equation that is analytically solvable:

$$0 = \frac{7}{6} \frac{1}{\left(\frac{dz}{dx}\right)} \frac{d^2z}{dx^2} + \frac{P_{xQ} - P_{xB}}{x} \quad (39)$$

Its solution is a [power law](#), solved using [of two known points along the long profile](#)  $(x_0, z_0)$  and  $(x_1, z_1)$  [on a stream](#). [Practical](#)

5 [choices for these points are the upstream and downstream boundaries of the river segment being studied](#).

$$z = (z_1 - z_0) \left( \frac{x^{(1+6(P_{xB}-P_{xQ})/7)} - x_0^{(1+6(P_{xB}-P_{xQ})/7)}}{x_1^{(1+6(P_{xB}-P_{xQ})/7)} - x_0^{(1+6(P_{xB}-P_{xQ})/7)}} \right) + z_0 \quad (40)$$

The tunable parameter in this power-law solution is  $P_{xB} - P_{xQ}$ . As  $P_{xB}$  may be measured from the landscape, the value of the fit should be able to be related directly to the exponent that describes the downstream increase in geomorphically effective stream discharge.

### 10 3.3 Fixed-width river

In order to generate an analytical solution for a fixed-width gravel-bed river, starting from Equation 28, we assume that three key variables are constant [\(i.e., both steady and uniform\)](#): width ( $b = B$ , [so  \$k\_{bB} = 1\$](#) ), water discharge ( $Q$ ), and grain size ( $D$ ).

As a result,  $q = Q/b$  is also [constant steady and uniform](#). This may be considered to be a short reach of an incised river with no significant tributaries or a portion of an engineered canal for which discharge varies extremely gradually. Applying these

15 assumptions, [and as well as](#) assuming that  $\tau_b^* \geq \tau_c^*$ , produces the following nonlinear diffusion equation with a source/sink (uplift/subsidence) term:

$$\frac{\partial z}{\partial t} = \frac{21}{20} \frac{\phi g^{1/2} I}{1 - \lambda_p} \left( \frac{\rho_s - \rho}{\rho} \right)^{1/2} (qD)^{3/5} \left| \frac{\partial z}{\partial x} \right|^{-3/10} \left( \frac{1}{\frac{\rho_s - \rho}{\rho}} \frac{0.345}{g^{3/10} S^{7/10}} \left| \frac{\partial z}{\partial x} \right|^{7/10} \frac{q^{3/5}}{D^{9/10}} - \tau_c^* \right)^{1/2} \frac{\partial^2 z}{\partial x^2} + U. \quad (41)$$

Solving this equation for the case in which any vertical motion is provided by uplift or subsidence (Equation 38) is a general

20 case of a steady-state long profile ( $\partial z / \partial t = 0$ ) with no uplift or subsidence ( $U = 0$ ). Applying this assumption defines a channel with a uniform slope, where  $(x_0, z_0)$  is a point along the channel long profile,

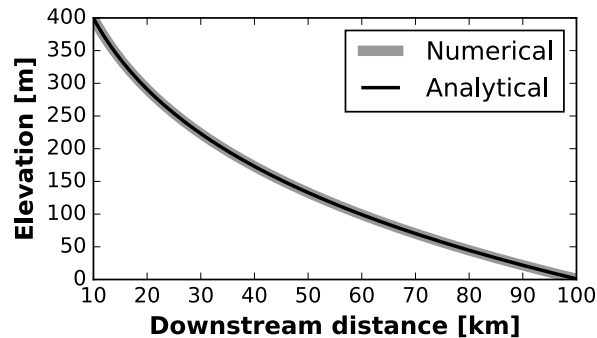
$$z = z_0 - 4.57 g^{3/7} \mathbb{S} \left( \frac{\rho_s - \rho}{\rho} \right)^{10/7} \frac{\tau_c^{*10/7} D^{9/7}}{q^{6/7}} (x - x_0). \quad (42)$$

Slope adjusts to the driving force required to maintain a uniform bed-load sediment discharge. Increasing submerged specific gravity,  $(\rho_s - \rho)/\rho$ , and grain size,  $D$ , resist sediment motion by increasing the weight of the grains, therefore increasing the

25 equilibrium fluvial transport slope. Increasing discharge per unit width ( $q$ ), on the other hand, decreases the equilibrium fluvial transport slope, as this provides more power to move the bed-material sediment.

## 4 Numerical solutions

To solve more general cases of Equations 20 and 28, we derive numerical solutions described in Appendix D. The solution to Equation 20 (D3) is solved semi-implicitly by constructing equations with a diffusive component that can be solved directly in a tridiagonal matrix and a set of nonlinear terms that require Picard iteration. This solution method improves numerical stability and reduces compute times. Python code to solve for the shapes of river long profiles is provided as a snapshot in the Supporting Information and online at <https://github.com/awickert/gravel-river-long-profile>. This library includes functions to analytically solve for the long profile shape as well (Equation 40), and with the proper inputs, this can match the analytical solution (Figure 2).



**Figure 2.** When  $dz/dt = U$ , the analytical solution for an equilibrium-width river (Equation 40) matches the numerical solution for an equilibrium-width river (Equation 36). Equation 36 is derived from the general equation for an equilibrium-width river, Equation 20, to include power-law downstream relationships for valley width and water discharge (Equations 31–35). Here, the slope at the upstream boundary condition is  $S_0 = 0.015$ ; this is set to produce an input bed-load sediment discharge of  $Q_{s_0} = 3.48 \times 10^{-4} \text{ m}^3 \text{ s}^{-1}$ . Water discharge,  $Q = 1.43 \times 10^{-5} x^{49/40} \text{ m}^3 \text{ s}^{-1}$ ; drainage area,  $A = x^{7/4} \text{ m}^2$ , and valley width,  $B = 25x^{1/5} \text{ m}$ .

## 5 Discussion

### 5.1 Parameterizing stream-power-based sediment discharge

Whipple and Tucker (2002, Equation 4) posited that sediment discharge should follow the power-law relationship

$$Q_c = K_t A^{m_t} S^{n_t}, \quad (43)$$

where  $Q_c$  is the bed-load sediment transport capacity and is equal to  $Q_s$  for transport-limited rivers,  $K_t$  is a coefficient,  $A$  is drainage area, and  $m_t$  and  $n_t$  are exponents. Howard and Kerby (1983) and Willgoose et al. (1991) present arguments for  $m_t = n_t = 2$  for sand-bed rivers, and Whipple and Tucker (2002) posit that  $n_t = 1$  for gravel-bed rivers.

The sediment-transport formulation that we present in Equation 18, when combined with the discharge to drainage area relationship of Equation 31 and dropping references to directionality, can be rewritten in a way that is analogous to the above equation for  $Q_c$ :

$$Q_c = k_{Q_s} k_{AQ} I A^{P_{AQ}} S^{7/6} \quad (44)$$

- 5 This relationship provides a value for  $n_t$ , based on ~~experiments and our above derivation, which is grounded in sediment-transport~~  
experiments and morphodynamic theory (Meyer-Peter and Müller, 1948; Parker, 1978; Wong and Parker, 2006). It also provides a likely range of values for  $m_t$  based on empirical studies that relate drainage basin area to geomorphically-effective discharge. Furthermore, it defines a starting point towards quantifying the free parameter  $K_t$ :  $k_{Q_s} = 0.041$  is known (Equation 19),  $I$  relates to the variability of the hydrograph, and  $k_{AQ}$  must relate to precipitation patterns across the drainage basin.
- 10 We therefore focus on understanding the power-law discharge–drainage-area scaling ( $k_{AQ}$  and  $P_{AQ}$ ), as solving this would constrain or define the remaining constants in Equation 44 and allow us to relate slope and drainage area, easily measured from digital elevation models (DEMs), directly to gravel transport capacity.

- The appropriate value of  $P_{AQ}$  depends on the flow of interest. For mean flow in a basin that experiences uniform precipitation, it is 1 (given catchment-wide water balance). For more rare flows,  $P_{AQ} < 1$ . This is because smaller basins may be  
15 completely covered by a storm event, leading to a catchment-wide response to a unit hydrograph, but larger basins may not have coherent storms across the whole basin, leading to attenuation of flood peaks and a decrease of the likelihood of a flood that is as large a ratio of the mean flow as in the small basin (~~Aron and Miller, 1978; Snow and Slingerland, 1987; Milly and Eagleson, 1988~~)  
(Aron and Miller, 1978; Snow and Slingerland, 1987; Milly and Eagleson, 1988; Huang and Niemann, 2014). Aron and Miller (1978) found that, for annual flood peaks in  $\sim 50$  streams in Pennsylvania and New Jersey (USA),  $P_{AQ} \approx 0.7$ ; such annual  
20 floods are generally also those that move gravel. Whipple and Tucker (1999) suggest values of 0.7–1.0 for bedrock erosion, and Solyom and Tucker (2004) find that  $1/2 \leq P_{AQ} \leq 1$ , which is in agreement with field data from Strahler (1964, p. 50). The lower limit from Solyom and Tucker (2004) is for a single storm ~~that~~ whose duration is  ~~$\ll$  its travel time much less than~~  
the time it takes for the water from the storm to travel through the basin. O’Connor and Costa (2004) used the entire U.S. Geological Survey gauging history (Slack and Landwehr, 1992) to compute that, on average,  $P_{AQ} = 0.57$  for 90th-percentile  
25 floods and  $P_{AQ} = 0.53$  for 99th-percentile floods.

We normalize  $A$  to a characteristic footprint area of storms that occur across the catchment over the time scale of interest,  $A_R$ , and assume that  $A \geq A_R$  for transport-limited gravel-bed rivers:

$$Q_c = k_{Q_s} I q_R A_R \left( \frac{A}{A_R} \right)^{P_{AQ}} S^{7/6}. \quad (45)$$

- This definition applies the power  $P_{AQ}$  to a dimensionless ratio, thereby ensuring that the coefficients can be framed in terms of  
30 rainfall. Here, we define a new coefficient that is the rainfall rate (i.e., flux) during a specific set of coincident rainfall events,  $q_R$ ;  $k_{AQ} = q_R A_R^{1-P_{AQ}}$ . For simplicity, we do not consider inefficiencies in rainfall-to-discharge conversion, though factors could be added to an analogous expression to represent evapotranspiration and/or groundwater loss to other catchments.

From this relationship, we can assign values to the following parameters from Whipple and Tucker (2002):

$$K_t = k_{Q_s} I q_R A_R^{1-P_{AQ}} \quad (46)$$

$$m_t = P_{AQ} \quad (47)$$

$$n_t = 7/6 \quad (48)$$

- 5 For example, picking a characteristic storm footprint of 100 km<sup>2</sup>,  $P_{AQ} = 7/10$  (after Aron and Miller, 1978), and  $q_R = 1$  cm hr<sup>-1</sup>, we find that  $K_t \approx 2 \times 10^{-5}$  m<sup>2/7</sup> s<sup>-1</sup>,  $m_t = 7/10$ , and  $n_t = 7/6$ . This provides a set of reasonable values for values that were left as free parameters in earlier derivations (Whipple and Tucker, 2002), demonstrates the relative importance of slope vs. drainage area in setting sediment discharge, and in Section 5.2 demonstrates how  $m_t = P_{AQ}$  and  $n_t = 7/6$  set the concavity index of transport-limited gravel bed rivers.

## 10 5.2 Concave-up long profiles require weathering and/or downstream fining

Whipple and Tucker (2002) proposed that at steady state, sediment discharge should be proportional to uplift times contributing area by a constant,  $0 \leq \beta \leq 1$ .  $\beta=0$  indicates that all eroded material is removed as wash load or dissolved load.  $\beta=1$  indicates that all eroded material becomes bed-load (i.e., gravel-sized) sediment.

- We make the modification that contributing area must be raised to a power,  $0 \leq P_\beta \leq 1$ , that we term the “gravel persistence exponent”. This describes the persistence of gravel-sized particles as they are weathered through hillslope processes (Attal et al., 2015; Sklar et al., 2017) and/or fine downstream to sizes that are smaller than gravel (Sternberg, 1875; Attal and Lavé, 2009; Dingle et al., 2017). If  $P_\beta = 1$ , every piece of eroded material on the landscape becomes gravel that reaches the stream. ~~Considering that fluvial gravels have round edges and therefore cannot pack together without void space, this is topologically impossible.~~ If  $P_\beta = 0$ , all material weathers on the hillslope before it reaches the stream the amount of gravel reaching the stream is independent of drainage area. Intermediate values of  $P_\beta$  indicate that some combination of hillslope weathering and downstream fining reduce the gravel supply to a nonzero fraction of the initially-eroded material.

$$Q_s = \beta A^{P_\beta} U. \quad (49)$$

- By assuming that channels are transporting sediment at capacity and that most transport-limited gravel-bed rivers should have gravel banks and ~~exist at a threshold state~~ therefore exist with an equilibrium width (following Equation 7, i.e.,  $Q_s = Q_c$ ), we can equate this to Equation 44 and set Equations 44 and 49 equal to one another, and rearrange the terms to create a slope–area relationship:

$$S = \left( \frac{\beta U}{k_{Q_s} k_{AQ}} \right)^{6/7} A^{(6/7)(P_\beta - P_{AQ})}. \quad (50)$$

- ~~In order for~~ For a river at steady state to have a concave long profile, meaning that channel slope decreases as drainage area increases (as is observed in nature), the exponent to which drainage area ( $A$ ) is raised must be negative. This slope–area exponent, multiplied by  $-1$ , is defined as the concavity index,  $\theta$ , (Whipple and Tucker, 1999):

$$S = k_s A^{-\theta}. \quad (51)$$

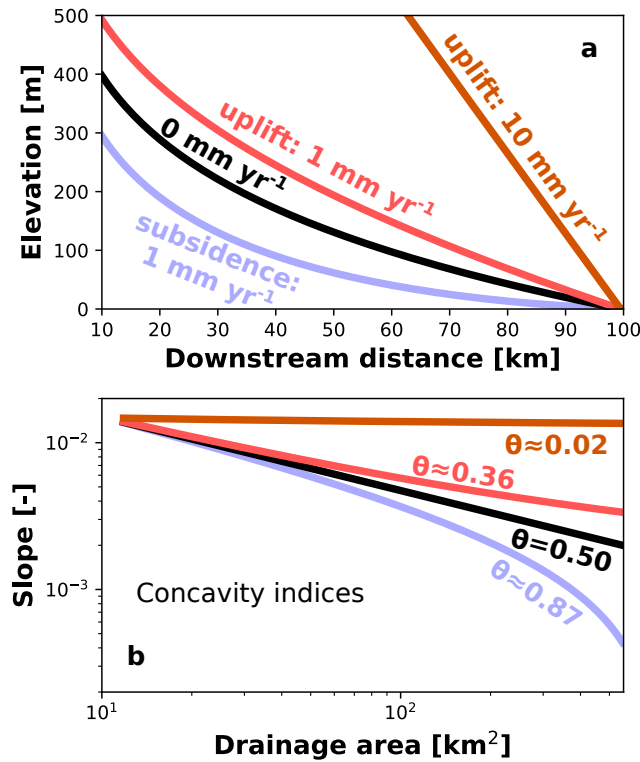
Here,  $k_s$  is the channel steepness index (Moglen and Bras, 1995; Sklar and Dietrich, 1998; Whipple, 2001). Together, steepness (coefficient) and concavity (exponent) define the power-law relationship for slope. Because slope is the  $x$ -derivative of elevation, this also implies that the channel long profile should be described by a power law, which is consistent with the analytical solution (Section 3.2).

5 In the case of Equation 50,  $\theta = -(6/7)(P_\beta - P_{AQ})$ . If  $P_\beta = 1$ , as assumed by Whipple and Tucker (2002, Equation 7b), and  $0.5 \leq P_{AQ} \lesssim 0.7$ ,  $0.5 \leq P_{AQ} \leq 1.0$ , as prior work has demonstrated (Aron and Miller, 1978; Snow and Slingerland, 1987; Whipple and Tucker, 1999; O'Connor and Costa, 2004), then the exponent to which  $A$  is raised would become positive. Such a river would be required to have a ~~downstream-increasing constant-to-downstream-increasing~~ slope in order to transport the sediment that it is supplied. This would result in a ~~convex-straight-to-convex~~ steady-state long profile, which ~~is not observed in~~  
10 ~~nature runs contrary to common observations of natural channels.~~

These assumptions produce a convex long profile because as drainage area increases, sediment supply increases more strongly than water discharge. A straightforward solution is to adjust  $P_\beta$ , which describes the attenuation rate of gravel-sized particles with increasing drainage area. As drainage area increases, so does the mean transport distance of a particle that reaches the corresponding point on the stream. As transport distance increases, so does the possibility of significant weathering on the  
15 hillslope or breakdown in the channel (Attal and Lavé, 2009; Attal et al., 2015; Sklar et al., 2017; Dingle et al., 2017). This combination of weathering and downstream fining can significantly reduce the amount of gravel-sized sediment supplied to a channel cross-section as drainage area increases.

An approximate value for the gravel persistence exponent,  $P_\beta$ , can be calculated by noting that in most natural rivers,  $\theta \approx 0.45$  to  $0.5$ . Combining this with the observation that  $0.5 \leq P_{AQ} \lesssim 0.7$  leads to the result that  $P_\beta \lesssim 0.2$ . This low gravel  
20 persistence exponent implies rapid attenuation of gravel-sized sediment as drainage area increases: doubling of drainage basin area would produce a  $<15\%$  increase in the volume of gravel-sized sediment supplied to a channel cross-section. For breakdown of clasts within the fluvial system, this is qualitatively consistent with the ~~observation by Dingle et al. (2017) that gravel is entirely absent from Himalayan rivers starting 10–40 km from where they enter the Ganga Plain, the point at which gravel inputs terminate~~  
25 ~~work of Dingle et al. (2017), who observe that most gravel produced in the Himalaya is converted into sand within 100 km travel distance in the Himalaya.~~

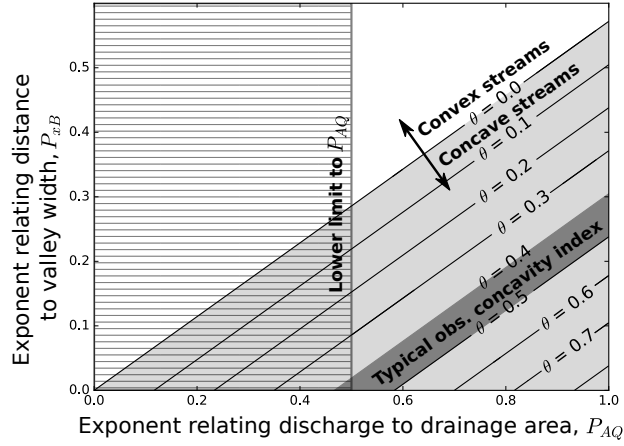
Figure 3, with long profiles calculated using Equation 20, indicates that uplift can act to reduce the concavity in the downstream direction. ~~This increases the fraction of the eroded landscape that acts to produce gravel, which is intuitively consistent with the implicit reduced residence time of soils on hillslopes, and presumably the shorter time available to weather into fine sediment (Attal et al., 2015)~~  
30 ~~The range of applicable solutions is bounded by practical limitations: uplift rates must be appropriate for the channels to remain transport-limited, and subsidence rates must be low enough that they do not overwhelm the sediment supply and cause internal drainage to develop. Uplift also impacts sediment supply by increasing the steepness of the hillslopes, which increases hillslope sediment transport rates and hence decreases the time available for weathering and soil formation (Attal et al., 2015), resulting in increased hillslope gravel supply.~~ As increasing rates of uplift (or base-level fall) force the channel long profile ~~to become straight (concavity  $\theta = 0$ ), towards a constant slope (concavity  $\theta \rightarrow 0$ ), Equation~~



**Figure 3.** Steady-state numerical model outputs with steady uplift (base-level fall), subsidence (base-level rise), or neither. These numerical solutions are formulated following Equation D3, which is a finite-difference discretization of the general equation for an equilibrium-width transport-limited gravel-bed river, Equation 20. Power-law relationships describe downstream increases in water discharge ( $Q$ ) and valley width ( $B$ ), following Equations 31–36. **(a)** Long profiles. **(b)** Slope-area plots: ~~concavities increase with increasing subsidence.~~ All channels are plotted such that they are pinned to the same ~~upstream-downstream~~ point. **(b)** Slope-area plots: concavities increase with increasing subsidence. Model input parameters other than uplift are the same as those given for the long profiles displayed in Figure 2.

50 demonstrates that the gravel persistence exponent,  $P_\beta$ , increases ~~to equal-until it equals~~ the drainage-area-to-discharge exponent,  $P_{AQ}$ ; ~~constant channel slope requires a constant ratio of water to sediment discharge.~~

The small value of  $P_\beta$  significantly increases the critical drainage area for the transition between from a detachment-limited channel to a transport-limited channel (Whipple and Tucker, 2002). This is because increasing drainage area does not increase  
 5 sediment supply as rapidly as assumed by (Whipple and Tucker, 2002). Therefore, a relatively larger portion of the landscape may be assumed to be detachment-limited than previously thought.



**Figure 4.** The slope–area concavity index defined in Equations 51 and 54 limits the range of possible powers for discharge–drainage-area and width–distance relationships. The light gray field includes all concave long profile solutions, and the dark gray indicates where the concavity index is in a commonly-observed range for rivers in the field, between 0.4 and 0.5. The hatched area on the left is below the theoretical lower limit for the exponent that relates drainage area to water discharge,  $P_{AQ} = 0.5$ , which exists in the limit where storm duration is much less than the time for that water to pass through the catchment (Sólyom and Tucker, 2004). This example is given for an equilibrium-width river for which  $dz/dt = U$ , which corresponds to the analytically-solvable case in Equations 39 and 40.

### 5.3 Concave-up long profiles may require valley widening

Equation 39 for a steady-state river with neither uplift nor subsidence can be rewritten with  $dz/dx$  replaced by  $S$  and  $P_{xQ}$  replaced by its constituent components  $P_{xA}$  and  $P_{AQ}$ :

$$\frac{7}{6} \frac{1}{S} \frac{dS}{dx} = \frac{P_{xB} - P_{xA}P_{AQ}}{x} \quad (52)$$

- 5 In order to solve this equation, we rely on the fact that at the upstream boundary condition,  $x = x_0$  and  $S = S_0$ . Here, the slope is set to prescribe the input sediment discharge,  $Q_{s_0}$ , in a way that is independent of the water discharge (see Equation 18). We solve Equation 52 to obtain a slope–distance relationship,

$$S = S_0 \left( \frac{x}{x_0} \right)^{(6/7)(P_{xB} - P_{xA}P_{AQ})} \quad (53)$$

We then substitute drainage area,  $A$ , for  $x$  based on an inversion of Equation 32:

$$10 \quad S = S_0 \frac{k_{xA}^{(6/7)(P_{AQ} - P_{xB}/P_{xA})}}{x_0^{(6/7)(P_{xB} - P_{xA}P_{AQ})}} A^{(6/7)(P_{xB}/P_{xA} - P_{AQ})} \quad (54)$$

Based on Equation 54, the concavity index (Equation 51) is  $\theta = (6/7)(P_{AQ} - P_{xB}/P_{xA})$ , and the steepness index,  $k_s$  is equal to the terms forming the coefficient before the  $A$  term. For a characteristic inverse Hack's exponent ( $P_{xA} = 7/4$ ) and range of likely concavity index values,  $0.4 \lesssim \theta \lesssim 0.5$ , a tight bound exists on the possible values of  $P_{AQ}$  and  $P_{xB}$  (Figure 4).



These values span the range of observed (Aron and Miller, 1978; Howard and Kerby, 1983; Whipple and Tucker, 1999) and theoretical (Sólyom and Tucker, 2004) steady-state river concavity index values. Furthermore, this formulation demonstrates that a downstream-widening valley can be necessary to produce rivers of observed concavity index values for common values of  $P_{AQ}$ . Insofar as valley widening can be recognized in the field, this observation can be used in areas of little to no uplift to connect geomorphic form directly to the area scaling relationship for a dominant river discharge (Figure 4, dark gray diagonal region).

#### 5.4 Signatures of change in sediment-to-water supply ratio (climate) and/or base level (tectonics)

Transient long profiles from numerical model runs. Base model boundary conditions and parameters  $S_0 = 0.01$ ;  $10 \text{ km} \leq x \leq 100 \text{ km}$ ;  $Q = 1.43 \times 10^{-5} x^{49/40} \text{ m}^3 \text{ s}^{-1}$ ;  $A = x^{7/4} \text{ m}^2$ ,  $B = 79.06 x^{1/10} \text{ m}$ . Each fine gray line represents 30,000 years with an intermittency of  $I = 1$  (i.e. constant geomorphically-effective discharge conditions). All base-level changes are purely vertical, and therefore can represent steeply-dipping faults or sea-level change across a steep coastline. (a) An instantaneous 100-m base-level fall causes a transient response but eventually produces the same channel long profile, albeit translated downward. (b) The onset of  $1 \text{ mm yr}^{-1}$  steady base-level fall (or tectonic uplift) reduces channel concavity; this allows the river to transport the additional bed-derived sediment as it incises. (c) The onset of  $0.5 \text{ mm yr}^{-1}$  steady base-level rise (or subsidence) increases concavity due to increasing deposition rates that are required to fill the accommodation space created. (d) Doubling the input sediment discharge ( $Q_{s0}$ ), facilitated by adjusting  $S_0$  according to Equation 18, increases channel steepness proportionally (Equation 54); this increase in steepness propagates downstream. (e) Doubling the water discharge ( $Q$ ) decreases channel steepness proportionally; this decrease in steepness propagates downstream more rapidly than that due to doubling sediment input because an increase in water discharge increases sediment transport capacity.

Transient response and response times to external forcings as quantified by the ratio of sediment input to output discharge. Each dot on this figure corresponds to a gray line on the panels of Figure 6 bearing the same letter. (a) A sudden increase in sediment flux following a sudden base-level fall event gradually decays until the sediment output is equal to the sediment input. (b) Sediment output rises to accommodate the additional material supplied at the river bed by tectonic uplift. (c) Sediment output falls in response to subsidence, which creates accommodation space for local storage in the subsiding valley floor. (d) Doubling input sediment discharge gradually leads to channel steepening and an increase in output sediment discharge. (e) Doubling water discharge leads to a decrease in channel steepness and an increase in output sediment discharge; the river responds faster than if sediment input were doubled (d) because increasing water discharge drives a higher sediment transport capacity (Equation 18). We quantify these relationships with exponential decay functions and an  $e$ -folding time scale, but note that this does not describe the changes in sediment discharge immediately following the perturbation.

One major aim of fluvial geomorphology is to interpret changing environmental forcing from the shape of river long profiles. The two major controls on transport-limited river channel long-profile evolution are the ratio between

Transport-limited river channel long profiles evolve in response to water and sediment supply (e.g., Parker et al., 1998), which drives the upstream flux boundary condition inputs (e.g., Parker et al., 1998) and relative base-level change (Hilley and Strecker, 2005). Water and sediment inputs can occur both at the upstream boundary and throughout the catchment, and changes in the relative

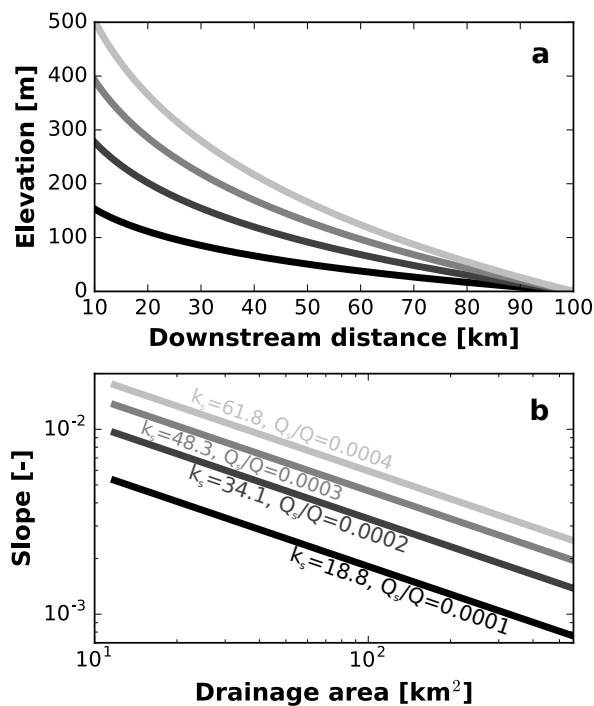
elevation between the river and its base-level, which drive relative base level occur at the downstream boundary condition (Hilley and Strecker, 2005). The upstream boundary condition may be driven by changes in climate (Tucker and Slingerland, 1997; Simpson; tectonics, which by modifying topographic relief can influence sediment supply and grain size (Attal et al., 2015; Sklar et al., 2017) ; or by other factors—including humans—that impact water and/or sediment delivery to rivers (e.g., Liébault and Piégay, 2001) . The downstream boundary condition is defined as a relative change, and therefore may be driven by . We find by applying the above derivation for an equilibrium-width gravel-bed river (1) rise and fall of the river outlet (Faulkner et al., 2016) and/or (2) uplift or subsidence of the solid Earth beneath the river (Paola et al., 1992; Whipple and Tucker, 2002; Johnson et al., 2009).

Here we demonstrate that transport-limited gravel-bed Section 2.1 that such rivers adjust their steepnesses steepness to the sediment-to-water input ratio (Figure 6d,e; associated response time in Figure ??d,e Section 5.4.1) and adjust their concavities to uplift rate (i.e. relative changes in base level: Figures 3, 6b,c), and ??b, c) with an amplitude that is controlled in part by sediment supply . the rate of relative base-level change, such as that due to tectonic uplift or subsidence (Section 5.4.2). Increasing sediment supply dampens the magnitude of the concavity response by decreasing the relative contribution of the uplifting or subsiding valley floor to the sediment budget.

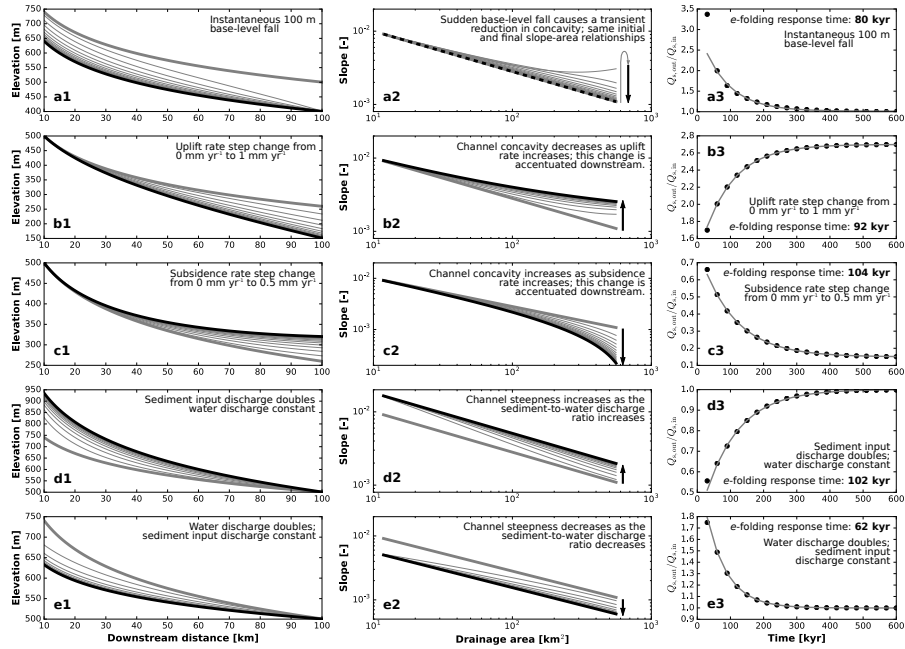
These distinct modes of response allow can help us to distinguish whether the upstream (flux) boundary condition or the downstream (base level) boundary condition, or both, are controlling the river long-profile shape. Over short river is responding primarily to changes in water and/or sediment supply, or to changes in base level. This may help to disentangle the effects of climate – often related to water and sediment supply (Tucker and Slingerland, 1997; Simpson and Castelltort, 2012) – and tectonics, which can change relative base level. However, tectonics may also affect sediment supply and grain size by modifying topographic relief (Attal et al., 2015; Sklar et al., 2017). Over longer time scales, this river-profile adjustment could relate to natural or anthropogenic changes in water and sediment supply, as well as tectonics may also increase or reduce water inputs by influencing orographic precipitation (e.g., Pingel et al., 2014). Other nonclimatic factors – including human, biological, and (bio)geochemical activity – may also impact water and/or bed-material sediment delivery to rivers (e.g., Liébault and Piégay, 2001; James, 2013; Pelletier et al., 2015; Acosta et al., 2015; Sklar et al., 2016; Garcin et al., 2017) . Furthermore, non-tectonic changes in base level due to, for example, , such as those caused by sea-level change, glacial-isostatic adjustment, reservoir construction, or dam removal. Importantly, dam removal, or climatically-driven aggradation or incision of the mainstem river into which the study tributary flows, could contaminate a “tectonic” signal (Cantelli et al., 2004; Faulkner et al. . An important caveat to this is that many such natural base-level changes also change the horizontal position of the river outlet, and the overall river response is due to both horizontal and vertical changes in outlet position, even though we discuss only an idealized vertical base-level change here. Over geologic time scales, such river adjustments may record climate and/or tectonics, and the equilibrium long-profile shape is a function of the competition between tectonics—uplift adds material to the river profile and subsidence takes it away—and the incoming sediment discharge that sets the pace at which the river can remove uplifting sediment or deposit sediment in a region of subsidence.

#### 5.4.1 Sediment-to-water discharge ratio determines channel steepness

Changes in the input sediment-to-water discharge ratio, in the absence of changes in uplift rate (or equivalently, rate of base-level change), determine the steepness index of a channel, but do not affect its concavity (Equations 51 and 54). As the input sediment-to-water discharge ratio increases, the channel steepens in order to transport the additional sediment load out of the system at the rate that it is supplied (Figures 6d and 55 and 6d). This increase in steepness and associated aggradation is sourced at the headwaters (i.e. the location of the sediment and water source) and propagates downstream upstream boundary and propagates downstream: all sediment within the computed long profile is transported at capacity, following Equation 18), and therefore perturbations to sediment supply must be sourced by either changing the input boundary condition, as we do here, or by adding sediment along the channel using the “uplift” source/sink term ( $U$  in Equation 20). Conversely, a decrease in input sediment-to-water discharge ratio causes a downstream-propagating decrease in steepness (Figure 6e). The time-scale of slope response can be approximated as an exponential decay fit to the ratio of output to input sediment discharge, which results from a change in the amount of sediment stored within the system (Figure ??d,e). Changing the sediment-to-water discharge ratio requires adjusting the virtual slope at the upstream boundary ( $S_0$ ). Thus, this steepening can also be viewed as the natural result of requiring the solution to the equation for the long profile to accommodate a steeper upstream gradient boundary condition.



**Figure 5.** As sediment-to-water discharge ratio increases, a steeper channel is required to mobilize the sediments, and as a result, the channel steepness index ( $k_s$ , Equation 51) increases.



**Figure 6.** Transient long profiles from numerical model runs and their response times to external forcings. Each fine gray line in panel sets 1 and 2, corresponding to gray dots on panel set 3, represents 30,000 years with an intermittency of  $I = 1$  (i.e., constant geomorphically-effective discharge conditions). Thick gray lines on panel sets 1 and 2 are the initial long profile; thick black lines are the final long profile. In the slope–area plots (center), the slope of the line is the negative of the concavity index and the  $y$ -intercept is the normalized steepness index (Whipple and Tucker, 1999). Transient response times (right) are quantified by the ratio of catchment-wide sediment input ( $Q_{s,in}$ ) to output sediment discharge ( $Q_{s,out}$ ). We quantify response times using exponential decay functions and  $e$ -folding time scales, but note that this does not describe the changes in sediment discharge immediately following the perturbation. All base-level changes are purely vertical, and therefore can represent steeply-dipping faults or sea-level change across a steep coastline. Base model boundary conditions and parameters  $S_0 = 0.01$ ;  $10 \text{ km} \leq x < 100 \text{ km}$ ;  $Q = 1.43 \times 10^{-5} x^{49/40} \text{ m}^3 \text{ s}^{-1}$ ;  $A = x^{7/4} \text{ m}^2$ ,  $B = 79.06 x^{1/10} \text{ m}$ . (a) An instantaneous 100 m base-level fall causes a transient response and a sudden increase in sediment output but eventually produces the same channel long profile, albeit translated downward. (b) The onset of  $1 \text{ mm yr}^{-1}$  steady base-level fall (or tectonic uplift) reduces channel concavity; this allows the river to transport the additional bed-derived sediment as it incises and causes sediment output to rise as a result. (c) The onset of  $0.5 \text{ mm yr}^{-1}$  steady base-level rise (or subsidence) increases concavity due to increasing deposition rates that are required to fill the accommodation space created, and reduces sediment output accordingly. (d) Doubling the input sediment discharge ( $Q_{s_0}$ ), facilitated by adjusting  $S_0$  according to Equation 18, increases channel steepness proportionally (Equation 54); this increase in steepness propagates downstream and gradually leads to an increase in output sediment discharge. (e) Doubling the water discharge ( $Q$ ) decreases channel steepness proportionally; this decrease in steepness propagates downstream more rapidly than that due to doubling sediment input because an increase in water discharge increases sediment transport capacity; output sediment discharge increases until the slope decreases, returning sediment output to its initial rate.

#### 5.4.2 Tectonic uplift and subsidence modulate river concavity

~~With a constant incoming sediment-to-water discharge ratio, changes~~ Changes in the rate of base-level rise or fall, including those caused by tectonic subsidence or uplift, modify the concavity but not the steepness of a transport-limited gravel-bed river long profile (Figure 3), for a given input sediment-to-water discharge ratio. Rivers experiencing tectonic subsidence (base-level rise) will have more concave steady-state long profiles than those with no uplift or subsidence, and those experiencing uplift

5 (base-level fall) will have straighter (less concave) long profiles (Figure 3). This can be understood as follows: base-level rise "pushes" the bottom of the river profile upwards, bending it, while base-level fall "pulls" the bottom of this curve downwards, straightening it.

The analytical solution (Equation 40) provides a long profile in the absence of uplift or subsidence ( $dz/dt = 0$ ). This solution follows the black line in Figure 3 and can be a useful reference case for against which to compare numerical solutions

10 of long-profile shape. Numerical solutions, on the other hand, demonstrate deviations in long-profile shape from this reference case in response to nonzero uplift and/or subsidence.

Even though uniform changes in the water-to-sediment discharge ratio cannot on ~~its~~ their own impact long-profile concavity, ~~it~~ they can (through volume balance) influence degree to which uplift (or subsidence) do. Uplift or subsidence add or remove material from the bed of the river, and changes in concavity are the river's response to redistribute sediment discharge to

15 balance these local sources or sinks of sediment. If the sediment discharge of the river is large compared to the amount of material moved by uplift or subsidence, then only a small adjustment of concavity is necessary to balance this source (uplift) or sink (subsidence) and maintain steady-state topography. A river carrying very little sediment, however, will have to dramatically change its long-profile concavity in order to reach steady state. Therefore, the steady-state long-profile concavity (Figure 8) results from a competition between tectonics and sediment discharge, in which a channel-concavity change is induced by a

20 tectonic (or base-level) forcing, but is dampened by increasing sediment input.

In order to compare both sediment discharge and uplift ~~as-velocity-seales~~ using a dimensionless parameter, we define a characteristic alluvial response rate ~~as-(A)~~ as a velocity scale to compare against uplift rate. The alluvial response rate is the ratio of the incoming sediment discharge ~~from all tributaries~~ ( $Q_{sin}$ ), ~~divided by to~~ the area of the valley floor, which in turn equals the mean valley width ( $\bar{B}$ ) multiplied by the length of the study river segment ( $L$ ). ~~We term this alluvial response rate~~

25 ~~A, and it has~~ This is the maximum rate at which sediment transport processes can cause the valley to aggrade, and also scales with the power of the river to export sediment and incise.

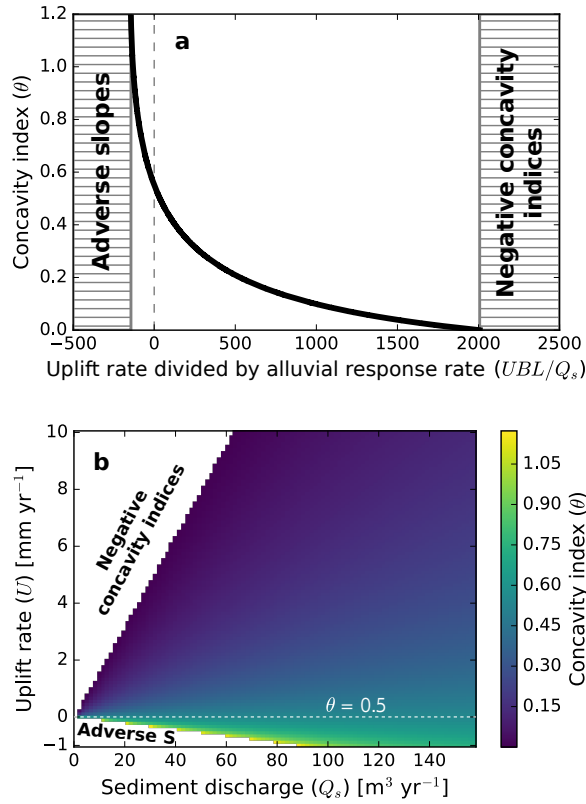
$$\underline{\underline{A}} = \frac{Q_{sin}}{L\bar{B}}. \quad (55)$$

Using SI units of length ~~per time~~  $\div$

$$\underline{\underline{A}} = \frac{Q_{sin}}{L\bar{B}}.$$

30 ~~Deviations in concavity from a no-uplift state are amplified as the ratio of uplift rate to alluvial response rate increase.~~  $1/\underline{\underline{A}}$  is the time that it takes the river to aggrade 1 meter if no sediment is exported from the catchment.

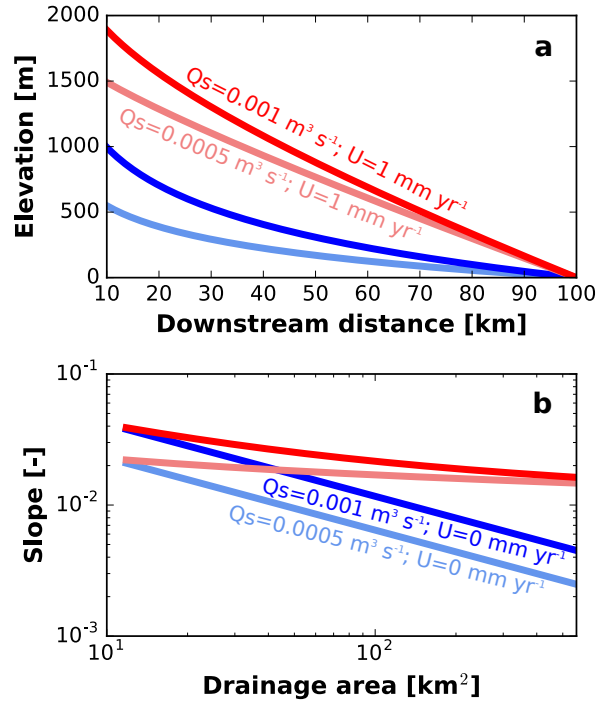
We note that  $Q_{sin}$  is only equal to the incoming sediment discharge at the upstream boundary condition,  $Q_{s0}$ , for the case in which  $P_{xQ} = P_{xQ} = 0$ , indicating that there are no tributaries. When implicitly considering tributary inputs of water and



**Figure 7.** Concavity changes uplift (or subsidence) rates increase when compared to a characteristic alluvial response rate. **(a)** As in Figure 3, increasing uplift rates decrease the channel concavity index. Here we vary channel width, sediment discharge, and uplift, and demonstrate how channel concavity change follows the ratio of uplift rate, the external driver, to the internal system response rate (Equation 56). We disallow solutions that produce adverse slopes (these occur with high subsidence) or negative concavity indices (these occur with high uplift rates), as the former break the assumptions of our equations and the latter are not observed steady-state forms in nature. Changes in the valley width exponent,  $P_{xB}$ , change the shape of this curve by changing the downstream distribution of valley widths and therefore altering the local alluvial response rates; all calculations for both panels were performed using  $P_{xB} = 1$ . **(b)** For a single mean valley width (177 m), we compare concavity index against the ratio of sediment discharge to uplift rate. It is important to note that with no uplift, concavity is constant at  $\theta = 0.5$  regardless of sediment discharge.

sediment, as we do for any nonzero  $P_{xA}$  and  $P_{xQ}$ , the total sediment input can be calculated by imposing a steady-state assumption with no uplift, which requires that the total sediment output must equal the sediment input. This can be calculated using Equation 18, with discharge at the downstream boundary known, and the slope at the downstream boundary calculated using Equation 53.

- 5 Dividing the tectonic uplift (or subsidence) rate ( $U$ ) by the alluvial response rate ( $A$ ) provides a dimensionless number that defines the relative importance of sediment discharge vs. tectonics in determining the concavity of transport-limited gravel-bed



**Figure 8.** Covarying tectonic uplift (or base-level fall) and input sediment-to-water supply ratio produces a range of channel long profiles (a) and steepness and concavity indices (b). Changes in tectonic uplift rate impact channel concavity indices,  $\theta$ , whereas changes in water-to-sediment discharge ratio mainly impact channel steepness indices,  $k_s$ . A higher sediment supply dampens the effect of uplift on concavity. While these drivers and responses are distinct, tectonic uplift may increase sediment supply by steepening hillslopes, and therefore cause the variables controlling both the upstream (sediment supply) and downstream (base level) boundary conditions may change at the same time.

5 rivers.

$$\frac{U}{\mathbb{A}} = \frac{LBU}{Q_s} \quad (56)$$

As this ratio becomes more positive, concavity decreases; as it becomes more negative, ~~the concavity decreases~~ concavity increases. Uplift (or subsidence) rate determines the existence and sign of the concavity change, whereas the ratio of uplift rate to alluvial response rate determines the magnitude by which concavity deviates from a reference value for a river that experiences no uplift; in Figure 7, this reference value is 0.5.

Rivers also exhibit a transient response to changes in base level at a rate that is proportional to the alluvial response rate,  $\mathbb{A}$  (Equation 55). A single sudden change in base level generates a diffusive wave of incision (Figure 6a1) or aggradation. This wave propagates upstream until the channel achieves the same slope and concavity as it did prior to the incision or aggradation event (Figure 6a2), just at a different absolute elevation. A change in the rate of base-level change over time (through, for

example, a change in tectonic uplift or subsidence rate) propagates upstream and changes the concavity of the river (Figure 6b,c). We characterize the time scale of this response in terms of the ratio of the input vs. output sediment flux. When this ratio is less than unity, the river valley is storing sediment, and when it is greater than unity, it is releasing sediment. This change in sediment storage produces a disequilibrium change in the long-profile shape. ~~The first stage of response to a perturbation~~  
5 ~~does not follow a simple pattern, but following this~~ Following the initial change, an exponential decay function can describe the approach to a new equilibrium state. This behavior allows us to define an  $e$ -folding response time that approximates the time required for a river system to respond to a perturbation (Figure ~~??~~6a3–e3).

### 5.4.3 Feedbacks between sediment supply and tectonics

In the above section, we have just separated the effects of tectonics and climate as concavity and steepness responses, respectively. Our concavity changes derived from theory and their causes are generally consistent with the broad range of concavities and causes thereof synthesized by Whipple (2004, p. 161), albeit for bedrock rivers. However, such observations do not preclude a potential feedback by which increasing tectonic uplift rates may also increase gravel-sized sediment supply to the channel. In other words, the simplified approach of “climate = water-to-sediment supply, tectonics = base level” may be over-simplified.

Section 5.2 indicates that as uplift rates increase, the landscape surrounding the channel system steepens and erodes (Roering et al., 1999). Our above solutions for changes in tectonic uplift rates (Figures 6b and ~~??~~b6b3) require only that the channel excavates the additional sediment from the bed of its valley. This excavation does not include additional ~~sediments~~ sediment from the surrounding hillslopes, and steeper landscapes (often resulting from tectonic uplift) may be expected to produce a larger fraction of coarse material through landsliding and a shorter residence for weathering in the shallow subsurface (Attal et al., 2015; Carretier et al., 2015; Schildgen et al., 2016; Sklar et al., 2017). Changing gravel supply can dramatically alter  
20 river long profiles (Savi et al., 2016), and therefore an increase in tectonic uplift rate may lead to both an increase in channel steepness that is ~~not related~~ unrelated to climate and a dampened decrease in concavity due to the increase in incoming bed-load sediment discharge that increases the alluvial response rate,  $\Delta$  (Figure 8). This tight channel–hillslope linkage challenges the paradigm that channel incision rates control hillslope morphology and motivates future work into models of landscape evolution that track and conserve sediment (Shobe et al., 2016; Sklar et al., 2017).

25 ~~Covarying tectonic uplift (or base-level fall) and input sediment-to-water supply ratio produces a range of channel long profiles (a) and steepness and concavity indices (b). It is likely that sediment supply increases with tectonic uplift, and therefore that the variables controlling both the upstream and downstream boundary conditions may change at the same time.~~

### 5.5 Concavity and downstream fining required for $b \propto Q^{1/2}$

It has long been recognized that river channel width scales with discharge to the 1/2 power,

30  $b \propto Q^{1/2}$ . (57)

This observation has been confirmed by a century of field studies (Lacey, 1930; Leopold and Maddock, 1953; Hey and Thorne, 1986; Singh, 2003). It has also been the subject of theoretical approaches to determine the static shape of a river channel



(Savenije, 2003; Millar, 2005). Here we derive a physically-based reason for this observation for an equilibrium-width gravel-bed river.

Equation 16 relates the width of an equilibrium-width gravel-bed river to discharge, slope, and grain size. Starting with Equation 16, a slope–area relationship of  $S = k_s A^{-\theta}$  (Equation 51), and the drainage-area–discharge relationship from Equation 51, one can write that

$$b = k_b k_s^{7/6} k_{AQ}^{(7/6)\theta/P_{AQ}} \frac{Q^{1-(7/6)\theta/P_{AQ}}}{D^{3/2}}. \quad (58)$$

This [equation](#) demonstrates that channel width is controlled by water discharge, channel concavity (through the concavity index) and downstream fining. Assuming a tight bound on channel concavity, as is generally assumed and has been observed in bedrock channels in the field (Duvall, 2004), though not universally (Whipple, 2004), two main drivers [of channel width](#) remain: water discharge and downstream fining [of gravel-sized sediment](#) (Figure 9). Increasing water discharge can cause the channel to widen by requiring more space for the water to flow. Decreasing bed-material grain size reduces the critical Shields stress for initiation of motion, and in order for an equilibrium-width channel to maintain a constant ratio of applied to critical Shields stress, the channel slope must become gentler and/or the channel itself must become wider. Due to the aforementioned tight bounds on concavity index, the rate at which the channel slope decreases is also fixed, and any additional channel response to downstream fining must occur through channel widening.

Combining Equations 57 and 58 and simplifying the result produces a solution for a power that relates grain size to discharge,  $P_{DQ}$ . This demonstrates how grain size must vary downstream in order to maintain the observed channel-width–discharge relationship:

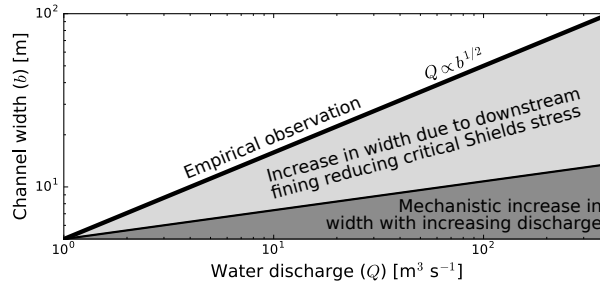
$$D \propto Q^{(3-7\theta/P_{AQ})/9}. \quad (59)$$

Therefore,

$$P_{DQ} = \frac{3}{9} - \frac{7}{9} \frac{\theta}{P_{AQ}}. \quad (60)$$

The range of physically permissible values for the exponent that relates drainage area to discharge,  $P_{AQ}$ , is 0.5–1.0 (Costa and O’Connor, 1995; Solyom and Tucker, 2004). Combining this range of values with a typical concavity index of  $\theta = 0.5$  produces bounds on the exponent in Equation 59 of  $-4/9 \leq P_{DQ} \leq -1/18$  [-4/9 < P<sub>DQ</sub> < -1/18](#): all plausible solutions require downstream fining to occur in order to reproduce the observed channel-width–discharge relationship (Lacey, 1930).

Using standard values of  $\theta = 0.5$  and  $P_{AQ} = 0.7$  (for a one-year flood Aron and Miller, 1978), one finds that  $b \propto Q^{1/6} D^{-3/2}$ . In this case, in order to recover the empirical  $b \propto Q^{0.5}$  relationship,  $D$  must be proportional to  $Q^{-2/9}$ . Testing this prediction against downstream fining data, requires that we convert discharge ( $Q$ ) to distance downstream ( $x$ ). While Sternberg (1875) provides reasoning to expect an exponential decay of grain size [due to abrasion](#) with distance downstream from a source area, this may be approximated by a power-law function, [and downstream fining may also result from selective deposition](#) (Whittaker et al., 2011). Multiplying  $P_{AQ} = 0.7$  by the inverse Hack’s exponent  $P_{xA} = 7/4$  (Equation 34) produces the multiplier to convert the grain-size–discharge relationship to a grain-size–downstream-distance relationship:  $D \propto x^{0.27}$ . We have



**Figure 9.** In an equilibrium-width self-formed gravel-bed river channel, the common field observation that river channel width is proportional to the square root of water discharge may be explained by a combination of the direct impact of river discharge on channel width and by downstream fining of bed-material sediment.

performed no rigorous analysis of this result, but data from Gomez et al. (2001) from the braided Waipoa River in New Zealand are broadly consistent with this exponent.

## 6 Conclusions

We have produced equations to describe the long-profile evolution of transport-limited gravel-bed rivers by combining the Exner equation for conservation of volume, the Wong and Parker (2006) modification of the (Meyer-Peter and Müller, 1948) formulation for gravel transport, a Manning-style flow resistance equation (Parker, 1991), the normal-flow approximation for basal shear stress, the channel-width closure of (Parker, 1978), and the continuity equation. The key equation of this paper is Equation 20, which captures the dynamics of a gravel-bed river whose bed shear stress is a multiple of the critical shear stress for initiation of motion; such systems are ubiquitous in nature (Phillips and Jerolmack, 2016; Pfeiffer et al., 2017). Furthermore, bedrock rivers can behave as transport-limited systems (Johnson et al., 2009), extending the applicability of our approach. Transport-limited gravel-bed river long profiles evolve more rapidly when they are steeper and experience a greater water discharge, and more slowly when their valleys are wider, as this requires that they fill more space. We solve Equation 20 analytically for the special case in which  $dz/dt = U$  – that is, that the river neither incises nor aggrades and does not respond to tectonic or base-level forcings. Both this solution and numerical solutions of steady-state rivers with constant uplift (or subsidence) rates have a power-law form, meaning that a power law can be appropriate fit to transport-limited river long profiles.

Our derivation brings to light several significant relationships that may aid further efforts to understand river long profiles: (1) The sediment transport formula for an equilibrium-width ( $\tau_b^*/\tau_c^* = \text{constant}$ ) gravel-bed river has the stream-power form proposed by (Whipple and Tucker, 2002). We quantify the values of its coefficient and exponents. The slope exponent is  $7/6$ , and the other exponents relate to the scaling between drainage area and geomorphically effective discharge. (2) Gravel supply to rivers scales with uplift rate times contributing drainage area to a power that is less than 1, significantly modifying the

implicit assumption of Whipple and Tucker (2002) that all sediment a uniform fraction of the sediment that is generated by rock uplift must be transported as bed load, and therefore moving the expected position of the transition between detachment- and transport-limited long profile evolution farther downstream. (3) Maintaining the observed slope–area scaling often requires that valleys widen downstream. (4) Changes in water-to-sediment discharge ratio affect channel steepness, while changes in the rate of base-level change affect channel concavity. This separation may allow the impacts of climate and tectonics to be separately inferred from channel long profiles, but increases in uplift rate are often accompanied by increases in gravel-sized sediment supply via erosional processes (e.g., landsliding) associated with increasing landscape relief. Tectonic uplift therefore can drive changes in long-profile shapes by inducing both base-level fall (reducing concavity) and an increase in sediment supply (increasing steepness). (5) The long-observed relationship that channel width increases as the square root of discharge (Lacey, 1930; Leopold and Maddock, 1953) can be explained through a combination of valley widening and downstream fining.

In this paper, we have derived a physics-based expression for the long-profile evolution of transport-limited gravel bed rivers, whose parameters are determined by theory, experimentation, and field work. We hope that this approach to understanding gravel-bed rivers provides forward momentum towards a more formal treatment of sediment transport and fluvial morphodynamics in river long profile analysis and landscape evolution. Furthermore, by combining our derivation with other observations, we predict relationships among valley morphology, coarse sediment production and evolution, and the power-law scaling between drainage area and geomorphically effective floods. While rivers are complex, we hope that these connections with broader pieces of the geomorphic puzzle can provide a path to build a better theory of fluvial system change and landscape evolution.

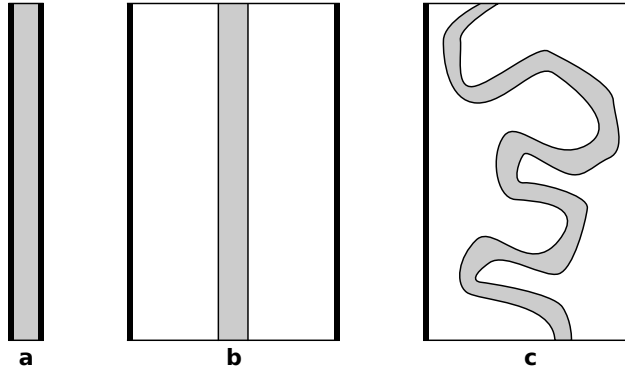
*Code availability.* The GitHub repository at <https://github.com/awickert/gravel-river-long-profile> contains the “grlp” Python module, which holds functions for both the analytical and numerical solutions presented here.

## Appendix A: Notation

## Appendix B: River valley width, channel sinuosity, and sediment balance

### B1 Width-resolving Exner equation

In the canonical Exner equation, the one-dimensional negative divergence in sediment flux is stated to be proportional to aggradation or incision, as given in Equation 25. This one-dimensional form implies that the channel and valley width are the same (Figure B1a) and that the valley walls are vertical and infinite. Such an approximation may not be bad in an artificial canal or for a rapidly-incising river that consistently cuts a valley that is exactly one channel width. However, the former case is of less interest to geomorphologists and the latter case is more common in rapidly-incising bedrock landscapes, where the rate of vertical incision greatly outpaces that of lateral erosion and valley widening.



**Figure B1.** Our equations for sediment transport follow the river, but geomorphic evolution occurs along valley networks. In some cases (a), these are perfectly aligned and have the same width. However, if the river is aggrading and the valley walls are not vertical, and/or the river is eroding its valley walls at a rate that is comparable to or faster than its rate of vertical incision, (b) the river may carve a valley that is wider than its channel. (Here, the channel is pictured at the center, but need not be positioned there.) In creating a wide valley, the river may move laterally, leading to (c) downstream and down-valley flow directions that are not aligned. While this depicts a single-thread channel, this same differentiation between channels and valleys is applicable to multi-thread channels.

In order to understand the evolution of a valley, one can first rewrite Equation 25 through the definition of  $q_s$  (Equation 2) as:

$$\frac{\partial z}{\partial t} = -\frac{1}{1-\lambda_p} \frac{\partial(Q_{s,\hat{x}}/b)}{\partial x} \quad (\text{B1})$$

Here,  $Q_{s,\hat{x}}$  is sediment discharge in the downvalley direction, which is always parallel to the downchannel direction when the valley and channel widths are the same. Explicit inclusion of channel width,  $b$ , provides a space to substitute the valley width,  $B$ , as the scale for the amount of material that must fill or be emptied from a cross section in order for the river to aggrade or incise:

$$\begin{aligned} \frac{\partial z}{\partial t} &= -\frac{1}{1-\lambda_p} \frac{\partial(Q_{s,\hat{x}}/B)}{\partial x} \\ &= -\frac{1}{1-\lambda_p} \left( \frac{1}{B} \frac{\partial Q_{s,\hat{x}}}{\partial x} - \frac{Q_{s,\hat{x}}}{B^2} \frac{\partial B}{\partial x} \right) \end{aligned} \quad (\text{B2})$$

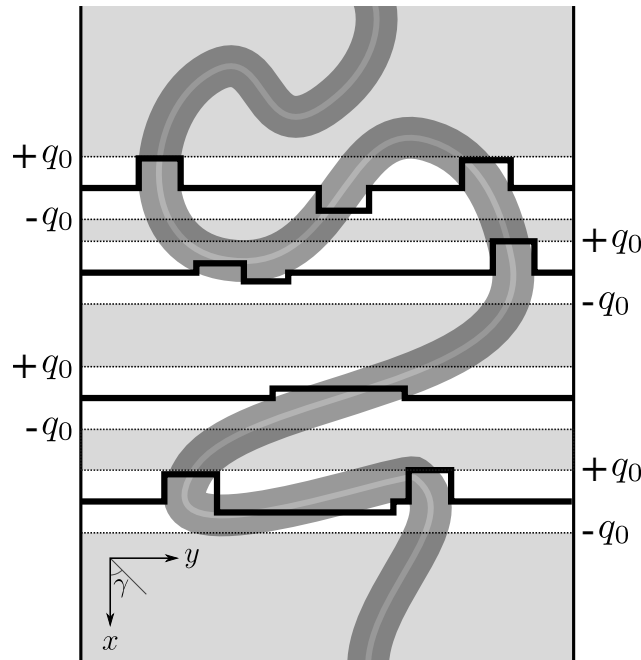
This equation corresponds to Figure B1b. For simplicity, this figure is drawn with a constant valley width, meaning that the second term on the right-hand side becomes 0 and the amount of time required to aggrade or incise is linearly dilated from that in Equation B1 by the ratio  $B/b$ .

In order to solve this equation, we require a relationship that links the down-valley sediment discharge,  $Q_{s,\hat{x}}$ , to the downstream sediment discharge,  $Q_s$ . Both are obviously identical where the channel and valley are aligned (Figure B1a,b).

In the next section, we demonstrate that  $Q_{s,\hat{x}} = Q_s$  for any arbitrary channel path that starts at the upstream end of a valley segment and ends at its downstream end. This is necessary for the final step to convert Equation B2 into Equation 1.

## B2 The equivalence of downstream and down-valley discharge

Our main goal is to understand the evolution of valley networks, as channels perform the geomorphic work but valleys are the geomorphic units that evolve and constitute the broader landscape. In alluvial systems, valley geometries are not always identical to those of the channel networks that occupy them (Figure B1), though they follow the same network-scale structure and connectivity. This possibility for non-alignment requires us to abandon the convenient choice of a channel-aligned coordinate system, typically used when solving for water or sediment discharge, and instead define our  $x$  and  $y$  coordinates to be down- and cross-valley respectively (Figure B2).



**Figure B2.** Down-valley discharge of water and sediment in each cross section is equal, and equals the down-channel discharge. Here, this is demonstrated geometrically, and results from discharge per unit width decreasing as the flow becomes more oblique to the valley cross-section line, but the fraction of the total cross-valley line occupied by river channel increasing inversely proportionately. This remains true even for up-valley flow, which must be balanced with down-valley flow for water to be able to move from the upstream to the downstream ends of the valley. The direct down-valley discharge of water or sediment per unit width is given by  $q_0$ . In this schematic, discharge is given by a set of boxcar-function approximations (mean discharge across width) for the sake of simple illustration. While we use a single-thread river for simplicity, this same relationship holds for partitioning of flow and sediment transport across a multi-thread channel.

For an angle  $\gamma$  between the down-valley and down-channel directions, there exists a trade-off between the channel width occupying that cross-section and the amount of sediment per unit down-valley width crossing it. Sediment discharge per unit

width – which in map view can be represented by a vector – is reduced when the flow does not align directly with the valley.

$$\underline{q_{s,\hat{x}} = q_s \cos \gamma} \tag{B3}$$

For the same angle  $\gamma$ , the width of channel across the valley cross-section increases:

$$\underline{b_{\hat{x}} = \frac{b}{\cos \gamma}} \tag{B4}$$

- 5 Through continuity,  $Q_s = q_s b$  (Equation 2). The  $\cos \gamma$  terms in the above two equations cancel out, thus demonstrating that:

$$\underline{Q_{s,\hat{x}} = q_s \cos \gamma \frac{b}{\cos \gamma} = q_s b = Q_s} \tag{B5}$$

If the channel crosses the valley multiple times (Figure B2, top two and bottom plots), the total sediment discharge is simply the sum of that in these cross sections, and this can be solved by summing across  $y$ , the cross-valley direction. Similarly, partitioning of flow and sediment across the branches of a multi-thread channel may be accounted for by summing  $q_{s,\hat{x}}$  across

10  $y$ .

This geometric argument contains one mathematical caveat. Where a channel flows directly across the valley (i.e., in the  $y$  direction), the solution for  $Q_s$  is undefined. We address this problem by assigning a value of 0 to this undefined solution, based on our physical knowledge that flow that is neither up-valley nor down-valley will produce no discharge in the along-valley orientation.

- 15 By considering a continuity solution rather than a geometric one, it is possible to reason that our treatment of the above caveat is correct. In a system at steady state, each valley cross-section must transmit downstream as much discharge as it is provided by the cross-section immediately upstream. Therefore, discharge through every cross-section must be equal, regardless of channel orientation. If this is the case, then a channel segment directed in line with the valley must transmit just as much water and sediment as a channel segment that is at an oblique angle to the valley axis. As a result,  $Q_{s,\hat{x}} \equiv Q_s$ .

- 20 Finally, while sediment (or any) discharge remains path-independent, the magnitude of this discharge is path-dependent. A more sinuous river course decreases channel slope (Equation 5), and thus the driving stress for sediment transport. Therefore, the overall magnitude of both sediment discharge (Equations 18 and 27 ) and valley long-profile evolution (Equations 20 and 28) decrease with increasing sinuosity.

### **Appendix C: Directionality**

- 25 The full Meyer-Peter and Müller (1948) equation, with directionality included, is:

$$\underline{q_s = \begin{cases} 0 & \text{if } |\tau_b^*| \leq \tau_c^* \\ -\text{sgn} \left( \frac{dz}{dx} \right) \phi \left( \frac{\rho_s - \rho}{\rho} \right)^{1/2} g^{1/2} (|\tau_b^*| - \tau_c^*)^{3/2} D^{3/2} & \text{if } |\tau_b^*| > \tau_c^* \end{cases}} \tag{C1}$$

Here,  $\text{sgn}$  is the signum function (Equation C2), and all other variables are described in Section 2.1 and Appendix A. The signum function,  $\text{sgn}$ , is defined as:

$$\text{sgn}([\text{value}]) = \begin{cases} -1 & \text{if } [\text{value}] < 0, \\ 0 & \text{if } [\text{value}] = 0, \\ 1 & \text{if } [\text{value}] > 0. \end{cases} \quad (\text{C2})$$

5 One additional difference between Equation C1 and Equation 3 is that Equation C1 incorporates the magnitude of  $\tau_b^*$  as an additional result of relaxing the assumption that the river slopes downwards in the positive  $x$  direction.

10 Including explicit directionality is not common when representing fluvial geomorphology mathematically. Slope,  $S$ , is typically used as a convenient shorthand for both its magnitude and direction. While we follow this convention in the main text to streamline the explanation, we include this explanation here because we have incorporated the signum function in to the more general derivation, which accounts for directionality. This inclusion has allowed us to: (1) relax the assumption that the downslope direction is known, (2) write the numerical model to self-consistently handle changes in flow direction, and (3) separate the sign and magnitude of the slope in equations that include a slope term raised to a power, thereby preventing a spurious imaginary part of the solution.

## Appendix D: Numerical solutions

### D1 Threshold-shear-stress river

15 Equation 20 has the form of a nonlinear advection–diffusion equation that can be rewritten for a numerical implementation as:

$$\frac{\partial z}{\partial t} = \frac{k_{Q_s} S I}{1 - \lambda_p} \frac{k_{Q_s} I}{S(1 - \lambda_p)} \left| \frac{dz}{dx} \right|^{1/6} \left[ \frac{7}{6} \frac{|Q|}{B} \frac{Q}{B} \frac{\partial^2 z}{\partial x^2} + \frac{1}{B} \frac{\partial |Q|}{\partial x} \frac{\partial Q}{\partial x} \frac{\partial z}{\partial x} - \frac{|Q|}{B^2} \frac{Q}{B^2} \frac{\partial B}{\partial x} \frac{\partial z}{\partial x} \right] + U \quad (\text{D1})$$

For arbitrary  $Q - x$  relationships and valley cross-sectional geometries ( $B(z(x, t))$ ), and for solutions in which the valley geometry or discharge change with time ( $B(z(x, t), t)$ ), a numerical solution becomes necessary. The above form of Equation

20 can be solved semi-implicitly as:

$$\begin{aligned}
z_{i,l} = & -\frac{\Delta t}{4(\Delta x)^2} \frac{k_{Q_s} I}{\mathbb{S}(1-\lambda_p)} \left| \frac{z_{i+1,l^*} - z_{i-1,l^*}}{2\Delta x} \right|^{1/6} \\
& \left[ \frac{14}{3} \left( \frac{Q_{i,l} + Q_{i,l+1}}{B_{i,l}(z_{i,l}) + B_{i,l+1}(z_{i,l^*})} \right) (z_{i+1,l+1} - 2z_{i,l+1} + z_{i-1,l+1}) + \right. \\
& \left( \frac{(Q_{i+1,l} + Q_{i+1,l+1}) - (Q_{i-1,l} + Q_{i-1,l+1})}{B_{i,l}(z_{i,l}) + B_{i,l+1}(z_{i,l^*})} \right) (z_{i+1,l+1} - z_{i-1,l+1}) - \\
& \frac{(B_{i+1,l}(z_{i+1,l}) + B_{i+1,l+1}(z_{i+1,l^*})) - (B_{i-1,l}(z_{i-1,l}) + B_{i-1,l+1}(z_{i-1,l^*}))}{(B_{i,l}(z_{i,l}) + B_{i,l+1}(z_{i,l^*}))^2} \\
& \left. (Q_{i,l} + Q_{i,l+1})(z_{i+1,l+1} - z_{i-1,l+1}) \right] \\
& + z_{i,l+1} - U\Delta t
\end{aligned} \tag{D2}$$

Here,  $i$  is the  $x$  index,  $l$  is the  $t$  index, and  $\Delta x$  and  $\Delta t$  are the spatial step and time step, respectively, assuming a uniform grid in space. The subscript  $l^*$  of  $z$  indicates that this term will be part of a Picard iteration: that is, it starts at  $l$  and approaches  $l+1$  as multiple iterations of the solution provide sequentially better estimates of  $z_{l+1}$ .

Time-averaged values of  $B$  and  $Q$  are chosen to approximate conditions during the solution to the given time-step. Each of these can be simplified if  $Q$  is known (as it typically is) or varies gradually in  $t$  and/or  $B$  varies gradually in both  $z$  and  $t$ . Using notation that they are constant in time: ~~This-~~

$$\begin{aligned}
10 \quad z_{i,l} = & -\frac{\Delta t}{4(\Delta x)^2} \frac{k_{Q_s} I}{\mathbb{S}(1-\lambda_p)} \left| \frac{z_{i+1,l^*} - z_{i-1,l^*}}{2\Delta x} \right|^{1/6} \\
& \left[ \frac{14}{3} \left( \frac{Q_i}{B_i} \right) (z_{i+1,l+1} - 2z_{i,l+1} + z_{i-1,l+1}) \right. \\
& + \frac{Q_{i+1} - Q_{i-1}}{B_i} (z_{i+1,l+1} - z_{i-1,l+1}) \\
& \left. - \frac{B_{i+1} - B_{i-1}}{B_i^2} Q_i (z_{i+1,l+1} - z_{i-1,l+1}) \right] \\
& + z_{i,l+1} - U\Delta t
\end{aligned} \tag{D3}$$

[This equation](#) may be further simplified by moving one of the  $(1/B_i)$  terms outside of the square brackets.

For an implicit solution, the terms inside the square brackets, plus  $z_{i,l+1}$ , constitute the stencil. The slope to the 1/6 power term outside of the stencil is a weak nonlinearity, and nonlinearities may also be introduced by changes in  $B$  with  $z$  and/or  $t$ . The uplift term modifies a Dirichlet boundary condition at the downstream end, and is analogous with base-level rise and/or fall.



A Neumann boundary condition of sediment discharge input is used to set the slope at the upstream boundary using a “ghost-point” approach. This is solved for a defined  $Q_s$  by rearranging Equation 18 to:

$$S_0 = \text{sgn}(Qq) \left( \frac{1}{k_{Q_s} I} \left| \frac{Q_s}{Q} \right| \right)^{6/7} \quad (\text{D4})$$

This [equation](#) demonstrates that slope increases with increasing sediment to water supply ratio, in agreement with the general principle of Lane’s balance (Lane, 1955). For a domain that begins at 0,

$$S_0 = - \frac{dz}{dx} \Big|_{x_0} \approx \frac{z_1 - z_{-1}}{2\Delta x}. \quad (\text{D5})$$

This equation can be rearranged to solve for the outside-domain elevation,  $z_{-1}$  in terms of values inside the domain, and both the stencil and the right-hand-side column vector for the tridiagonal matrix solution can be updated accordingly.

## D2 Valley-width-controlled river

10 The general discretization of Equation 28 for the long-profile evolution of a valley-width-confined transport-limited gravel-bed river is:

$$\begin{aligned} z_{i,l} = & -K_0 \Delta t \left( K_1 \left| \frac{z_{i+1,l^*} - z_{i-1,l^*}}{2\Delta x} \right|^{7/10} \frac{1}{D_i^{9/10}} \frac{Q_i^{3/5}}{b_i^{3/5}} - \tau_c^* \right)^{1/2} D_i^{1/2} \\ & \left[ \left| \frac{z_{i+1,l^*} - z_{i-1,l^*}}{2\Delta x} \right|^{-3/10} \right. \\ & \left( \frac{3}{5} \frac{D_i^{1/10}}{Q_i^{2/5} b_i^{3/5}} \left( \frac{Q_{i+1} - Q_{i-1}}{2\Delta x} \right) \left( \frac{z_{i+1,l+1} - z_{i-1,l+1}}{2\Delta x} \right) \right) \\ & - \frac{3}{5} \frac{Q_i^{3/5} D_i^{1/10}}{b_i^{8/5}} \left( \frac{b_{i+1} - b_{i-1}}{2\Delta x} \right) \left( \frac{z_{i+1,l+1} - z_{i-1,l+1}}{2\Delta x} \right) \\ & + \frac{7}{10} \frac{Q_i^{3/5} D_i^{1/10}}{b_i^{3/5}} \left( \frac{z_{i+1,l+1} - 2z_{i,l+1} + z_{i-1,l+1}}{(\Delta x)^2} \right) \\ & - \frac{9}{10} \frac{Q_i^{3/5}}{D_i^{9/10} b_i^{3/5}} \left( \frac{D_{i+1} - D_{i-1}}{2\Delta x} \right) \left( \frac{z_{i+1,l+1} - z_{i-1,l+1}}{2\Delta x} \right) \Big) \\ & + \left( K_1 \left| \frac{z_{i+1,l^*} - z_{i-1,l^*}}{2\Delta x} \right|^{7/10} \frac{1}{D_i^{9/10}} \frac{Q_i^{3/5}}{b_i^{3/5}} - \tau_c^* \right)^{1/2} \left( \frac{D_{i+1} - D_{i-1}}{2\Delta x} \right) \Big] \\ & + z_{i,l+1} - U \Delta t \end{aligned} \quad (\text{D6})$$

Here,  $K_0$  and  $K_1$  are constants standing in for sets of sediment-transport-related terms in Equation 28. This relationship is more nonlinear than that for the threshold-shear-stress river, above.

*Competing interests.* The authors declare that they have no conflict of interest.

*Acknowledgements.* This study was funded by in large part by the Emmy-Noether-Programme of the Deutsche Forschungsgemeinschaft (DFG) grant number SCHI 1241/1-1 awarded to T. Schildgen. Field and lab observations and discussions with Stefanie Tofelde, who revised an early version of the manuscript, helped to motivate the work. Early conversations on channel concavity with Kelin Whipple and Greg Tucker stimulated initial thoughts on the discussion, ~~and~~ Chris Paola commented on an early form of the derivation, and Sam Holo pointed out an earlier error in our treatment of sinuosity. Comments from Rebecca Hodge and one anonymous reviewer helped us to improve the manuscript.

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