

## Response to Reviewer 1 comments

**RC:** The paper presented proposes a method of generating sub-millimetre accurate DEM from data collected in the field. Its main focus is the use of a triangular coded control target to scale resulting SfM models. This target is proposed as a more user friendly, less time-consuming and cheaper alternative to other field methods. The authors present an experimental design to test the capability of SfM to generate accurate and find optimal settings, which they then apply in the field. Their field data suggests the triangular coded control target method is successful.

**AC:** We thank Dr Schaefer for his detailed and constructive critique of this manuscript. We appreciate the time and effort he took to review this manuscript in such detail and are pleased with the positive response. Our responses and associated changes to the manuscript are outlined below.

**RC:** The paper discusses the difference between zoom and fixed focus lenses and finds no significant difference between them, which is interesting. It would be worth noting that the risk with zoom lenses is that the focal length can change by accident and this might affect the lens internal geometry.

**AC:** We agree and have noted that during our test both the zoom lens and the prime lens were used in autofocus mode. For this work, we fixed zoom lens at 24 mm and reviewed the focal length after every certain number of images on the camera screen to make sure the focal length remained the same.

**RC:** The camera in the paper's experiments is set to autofocus. In theory, this could change the internal geometry of the camera between pictures, especially between the wider shots and the close range ones. Although Agisoft is now quite good at dealing with it and I have found little difference I would like to see some discussion on the topic, as Mosbrucker recommends these changes be minimised for "High Accuracy work". Were fixed focus tests done?

**AC:** We did not perform fixed focus tests as the images were taken in autofocus mode at our field site in Arizona. We aimed to validate the accuracy of the DEMs generated from field data through an experimental approach. In a challenging and steep terrain such as impact crater wall where there is not much space around an outcrop to take images from all aspects (see Fig 1). The autofocus mode enables us to get sharp images from wider and close range shots. We discuss this in section 5.1.2, line 7-17, page 24.

**RC:** Table 2, was gradual selection used at all after image matching to remove tie-points with high errors? It would be worth discussing this option, as it might improve models.

**AC:** The errors in our DEMs were low. So, we did not use the gradual selection option to remove points with higher reprojection errors, but we agree that this option can be used in case of high reprojection errors. We now include this in section 5.1.2, line 29-32, page 24.

**RC:** In the field (4.1), what were the distance the pictures were taken? I can see from the pictures to some extent, but it would be useful to know what "all around" and "close range " mean.

**AC:** We have inserted approximate image acquisition distances in paragraph 2 (section 4.1, line 14-15, page 16).

**RC:** In 4.1, 11, white balance is fairly immaterial for RAW images, as it can be changed in post.

**AC:** We agree but retain it in the manuscript for researchers who may use in camera-generated Jpeg images due to storage constraint, and in this case, the white balance settings can be significant.

**RC:** In 4.1, 13-14, using autofocus does not increase depth-of-field, aperture does that. Using autofocus means the correct focal plane is chosen so depth of field is optimised.

**AC:** We agreed and have modified the sentence in section 4.1, line 11, page 16.

**RC:** Conclusion I found the paper interesting and it contributes to the scientific discussion around SfM. It provides an actionable method for working with SfM in the field and provides some good practical advice for the different permutations of processing SfM data.

#### Response to Reviewer 2 comments

**RC:** The paper presents a method to create photogrammetric 3D models of rock surfaces, in support of the quantitative analysis of erosion at a micro-topographic scale (areas less than 10 m<sup>2</sup>). The objective to capture details down to a resolution of about 0.5 mm, and controlling error within a similar scale, is met with a multistage system of field photography and software processing that involves placing a set of three coded targets in the scene. The reusable target field serves both as a scale bar and as the local coordinate system. Most of the paper is concerned with testing the accuracy of the proposed photogrammetric method, rather than the geomorphological analysis which motivated its development.

**AC:** We are grateful for the detailed and constructive criticism provided by Dr Sapirstein, and we appreciate the time he took to review this manuscript. Responses to comments and suggested edits are outlined below.

**RC:** I have waived my anonymity as a referee in part because I have published about methods to improve and assess the accuracy of photogrammetric 3D models in archaeological research. I recommend the authors consider these papers along with the additional literature cited in the bibliographies: P. Sapirstein (2018) "A high-precision photogrammetric recording system for small artifacts" *J. of Cultural Heritage* 31: 33–45 with 10pp suppl. P. Sapirstein, S. Murray (2017) "Establishing best practices for photogrammetry in archaeology" *J. of Field Archaeology* 42: 337–50 P. Sapirstein (2016) "Accurate measurement with photogrammetry at large sites," *J. of Archaeological Science* 66: 137–45 I enjoyed reading this paper, which has reminded me how geologists have developed interests in photogrammetry parallel to those of archaeologists, both working in similar directions. It would be good for the two areas to interact with one another more directly, such as through interdisciplinary citation and conversation.

**AC:** We thank Dr Sapirstein for recommending additional literature relevant to the SfM technique. We found these papers very useful, and they are cited in section 1.1, line 6-8, page 4 and in section 2.1, line 13, page 6.

**RC:** On the positive side, the authors are to be commended for their thorough citation of geological studies involving photogrammetric modeling. Their triangular target field seems like a good, simple approach to establishing scale at remote sites where the local coordinates and north bearing need not be precisely established. The paper also includes workflows that will be useful for those wishing to learn photogrammetric recording, with many recommendations gained through practical experience. Still, the contribution of the triangular target field is a relatively small one to the broader field of photogrammetric recording—which has witnessed an explosion in publication since the beginning of this decade about its potential and methods for its application in various contexts.

**AC:** We agree that there has been a considerable amount of published work that demonstrates the use of SfM for production of high-resolution topographic data. However, to our knowledge, there has been *no study* that has produced DEM of field rock surfaces with mm to sub-mm accuracy in steep and limited access terrains (e.g. steep canyon wall and mountain slope, and impact crater wall shown in Fig 1).

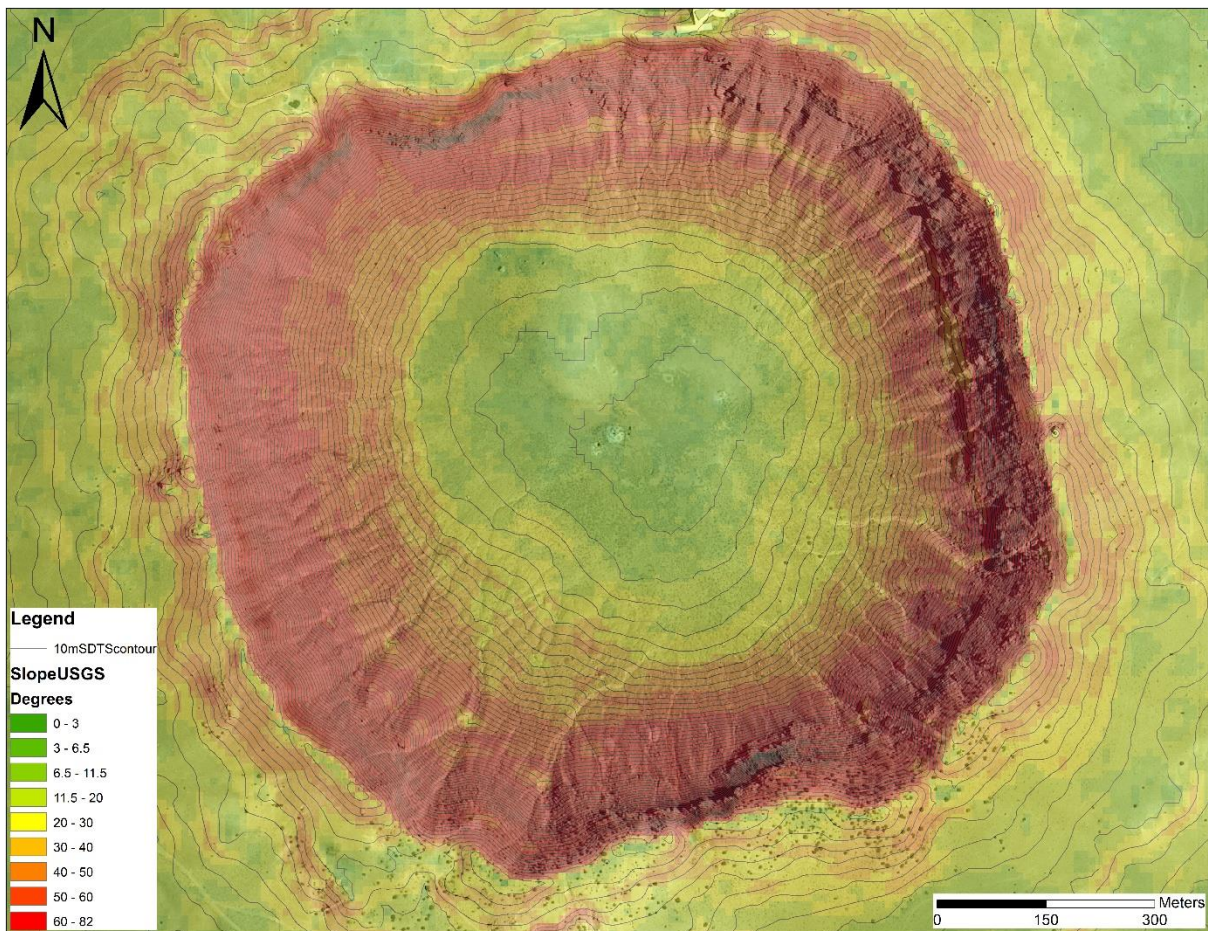


Fig 1. USGS slope map of Meteor Crater. Crater walls are very steep ranging from 40° to 82°. The steep and unstable crater walls (due to loose ejecta fragments) makes it very challenging to acquire micro-topographic data on outcrops.

We disagree with the reviewer on the contribution of the triangular control target. We feel a significant contribution of this work is the development of a field-portable control target and the demonstration of its efficacy in difficult field terrains. A review of the literature suggests

that the potential of the SfM technique to study breakdown features at mm-cm scale has not been adequately explored by researchers in rock weathering studies . An intention of this manuscript is to bridge this gap and advance data collection methods for mm-cm scale weathering features.

**RC:** More problematic is the core of the paper, a testing field that the authors use to assess accuracy of their photogrammetric method. As discussed below, the data suggest that the reference measurements on the testing field were distorted, thus invalidating any conclusions about the photogrammetric accuracy.

**AC:** We understand the concerns of Dr Sapirstein regarding our testing chart approach for error evaluation. We would like to emphasise that in order to compute the error propagation with distance, it was necessary to fix the checkpoints and triangle GCP system with respect to each other. This was done to estimate the distance between checkpoints from the location of the triangle control target. In our opinion, it is difficult to determine the distance between checkpoints and triangle control target with an accuracy of less than  $\leq 1$  mm using measuring tape in the field. Specific comments related to error evaluation experiment are addressed below.

**RC:** Still, I do not doubt that their proposed method meets the requirements of the geological study, since sub-mm resolution and accuracy is not difficult to attain with photogrammetric modelling at this scale, using the techniques they describe. I believe the paper has potential as a useful publication, but only if it is substantially reworked, beginning with fixing what must be erroneous measurements in the testing chart.

**AC:** See above.

**RC:** Furthermore, given that the literature about photogrammetric methods is well saturated at this point, the paper also needs to make more of an effort to present an actual case study of how geological processes will be analyzed using this 3D data, which seems like the most significant potential contribution of this research.

**AC:** A case study on the application of the approach developed is beyond the scope of this submission. Indeed, we bring to the editor's attention the recent publication of a companion paper in *ESurf*

Cullen, N. D., Verma, A. K., and Bourke, M. C.: A comparison of Structure from Motion Photogrammetry and the Traversing Micro Erosion Meter for measuring erosion on rock shore platforms, *Earth Surf. Dynam. Discuss.*, <https://doi.org/10.5194/esurf-2018-552018>.

The latter is an application of the technique to experimental rock shore platform erosion.

**RC:** Specific comments: A) The paper should be framed as a geological case study. It begins this way, but Section 5.3 near the end really should be near the beginning, since it is more of a proposal and justification for generating microscale topographic data in the first place. After the introduction, the paper should justify why a 0.5 or 1-mm resolution/ error is needed for doing this sort of analysis, and any other attributes of the 3D models that would serve these objectives. As it stands, the choices of resolution and other processing parameters (e.g., why generate the texture at all?) come off as somewhat arbitrary, raising concerns that the workflow and processing might be needlessly complex and slow-consuming hours of human and computer time rather than minutes to generate potentially viable data.

**AC:** We agree and have revised the introduction to emphasise the importance of sub-mm accuracy/resolution DEMs in rock breakdown studies (section 1, line 7-22, page 2). We suggest

that the availability of a high resolution-low error (sub-mm) DTM is important at the small scale (mm-cm) for weathering features. Generating textures help to generate more precise and detailed orthomosaic of the rock surface. This is a value-added product for analysis. For example, the orthomosaic can be used to map the abundance/frequency of weathering features. It can also be used to identify additional signatures of weathering such as tone, colour which may be used to identify lichen, weathering rind, patina, dust adhesion etc. Further, we have edited the introduction to clarify the importance of developing a cross-scalar technique for analysis of rock breakdown features.

We have retained the more appropriate parts of section 5.3 in our discussion to emphasise the impact of our approach.

**RC:** An important omission, required before the final conclusions, is an attempt to show what can be done with the 3D data, specifically the quantitative study of surface roughness, and how this was / will be carried out with the DEMs illustrated toward the end of the paper. The authors do mention a forthcoming paper about this subject, but the readers of the current paper deserve to be given a summary of the results here, and some description of the methods used to assess the 3D models / DEM data.

**AC:** We respectfully disagree. We assert first that it is important to demonstrate and test the efficacy and accuracy of a newly developed triangular coordinate system. The generation of sub-mm scale DEMs of rock surfaces using SfM requires detailed treatment in a stand-alone paper. Second, we contend that this is required to be reviewed and approved prior to the demonstration of the application in the study of rock weathering and erosion analysis. This is why our paper is a companion paper to Cullen et al. (2018). In that paper, we apply our method to study erosion on simulated rock shore platforms. We have added a summary of some of the findings in Cullen et al., 2018 in section 5.3, line 32-37, page 25.

**RC: B)** The discussion of other methods (laser scanning, MRMs) could be developed further; as it stands, there is not much basis for comparison provided (such as by laserscanning and photographing the same subject). The authors might include more explicit estimates for the times required for these methods, at least, so the reader gets a better notion of how photogrammetry compares practically. The software processing times with photogrammetry can be formidable, and that should be made more explicit in the comparative discussions. The paper calls the other methods “time-consuming” (eg. on page 3), but this seems rather vague, and one could easily characterize photogrammetry in the same way.

**AC:** We agree and have re-written the paragraph to avoid vague comparisons between SfM and laser scanning. We have also cited literature which readers can refer for a detailed comparison between TLS and SfM techniques (section 1.1, line 21-23, page 4).

**RC:** I agree with the authors’ assertion (page 23, section 5.2) about photogrammetry being cheap and portable, but there are important qualifications to that statement, and it has not been justified well in this paper.

**AC:** We modified section 5.2 and added the cost and weight of the equipment in the discussion to justify this point in the paper (section 5.2, line 5-9, page 25).

**RC:** More specifically, how does the roughness analysis of the photogrammetric model compare with that measured by an MRM? Even if there is not a side-by-side test, a little discussion on the resolution, accuracy, etc. of the MRM is warranted.

**AC:** A detailed roughness analysis of rock surfaces is beyond the scope of this paper. However, we have included a new, short discussion of the advantages and constraints of roughness estimates using these two techniques (section 1, line 16-23, page 3).

**RC:** On page 21, the criticisms of Total station and dGPS survey seem overstated.

**AC:** The efficacy of total station and dGPS equipment are limited in certain landscapes. In our experience, limitations of dGPS include loss of signal near a cliff, steep canyon or a crater wall. As the aim of this study is to develop a portable method for deployment in challenging terrains such as impact craters or mountain slopes where heavy survey equipment are not suitable. For terrains where these instruments have limited use, we find it appropriate to highlight those specific limitations.

While other studies were successful in generating DEMs with  $\leq 1$  mm accuracy, they all required survey equipment (e.g. total station, laser range finder) for validation (section 5, page 22-23). Our technique provides an alternative approach.

**RC:** 1) dGPS measurements of a dozen or more targets, with scale bars to fix the scale, should generate a fully georeferenced model; this is common practice in archaeology, where position and orientation are as essential as an accurate scale. I would imagine that this information would be useful in geomorphological recording as well.

**AC:** We agree; however, we note that the reviewer refers to a measurement scale that is larger than that under discussion. The accurate reconstruction of position and orientation of m scale outcrop/boulder is not as relevant for the study of small-scale (mm-cm) weathering features. What may be relevant is the orientation of orthophoto and DEMs for further analysis. For example, using geographic coordinate for an outcrop surface dipping 90° creates DEM and orthophoto oriented vertically which hinders identification of small-scale weathering features. Whereas our approach of keeping the triangle control target parallel to the outcrop surface enables us to build DEMs and Orthophotos in the XY plane (horizontal) regardless of the orientation of outcrop in the field. While, this can be achieved in post-processing by rotating the model, it makes DEM generation more complicated.

**RC:** 2) Total station measurements are more reliable, with local errors of just a few mm, and have the option of shooting reflector-less in inaccessible locations. It would seem either piece of equipment would be advantageous in many contexts, and in fact the system proposed here with the triangular scale bar kit could be integrated for a hybrid method (e.g., placing scales and targets in the area, and measuring the coordinates with a TS). The discussion should be reframed in a more positive light to admit that these different recording methods are not mutually exclusive but can potentially complement one another.

**AC:** We agree that total station measurements are reliable and accurate to few mm and have the option of shooting reflector-less inaccessible locations. There are many studies which have used the total station to calculate local coordinates from GCPs, but none have used it in challenging terrains to create sub-mm accuracy DEMs (section 5, page 22-23). Total station is an expensive piece of equipment, and our aim in this study is to produce the highest accuracy DEMs without the requirement of expensive and bulky survey equipment and we have made that clear in the paper. We highlight again that a Total Station requires a stable tripod to operate at highest accuracy. On steep, unstable crater walls it is not possible to reliably deploy a tripod to operate a TS (see Fig 1).

**RC:** C) The error testing methods are problematic. First, the testing environment is nearly flat: a printed, 1.4m square printed chart with 5-cm wooden blocks set on it. The chart is also a mostly blank white sheet of paper. Not only is it completely unlike natural rock surfaces, which vary in depth and texture, the printed chart is poor for SIFT keypoint generation. The blank white background and straight black lines are not useful for these descriptors; only the edges of the targets, the printed text, and the blocks are likely to generate reliable matches.

**AC:** We agree that the flat white chart is not the ideal subject for image matching during sparse point cloud generation. That is why we also imaged then ground surface made up of paving stones near the error evaluation chart. We secured good matches for the black lines, texts, wooden blocks and nearby ground surface. We found that tie points generated for the test chart and nearby ground surface are comparable to similar area rock surface. When we processed sparse point clouds to the dense point cloud, we observe no holes in our model (see Fig 2). We were able to generate high-resolution DEM from the dense point cloud.

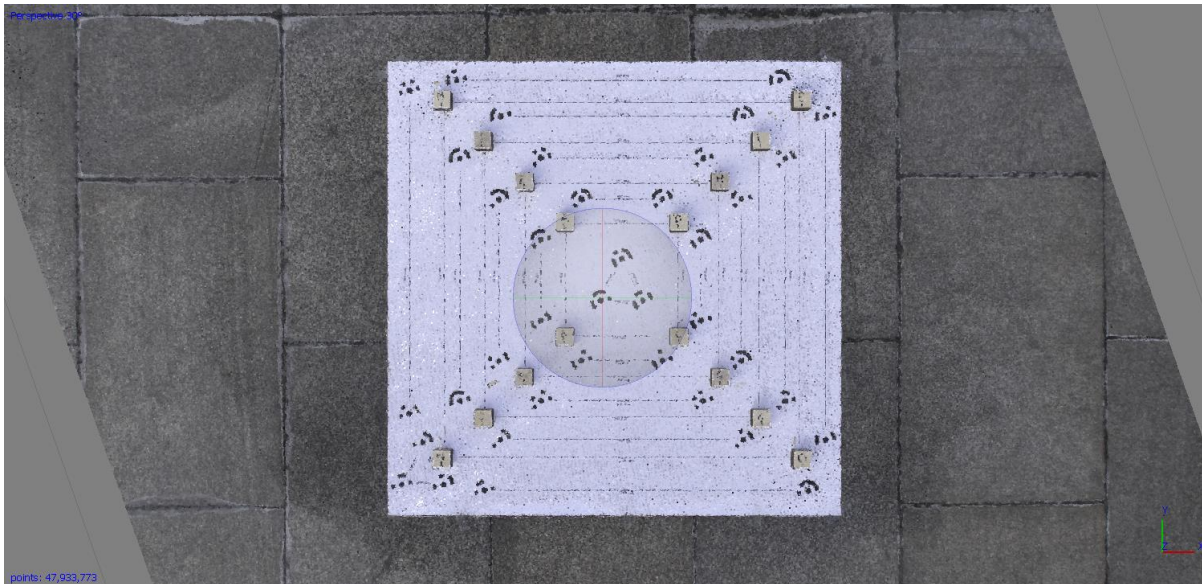


Fig 2. Dense point cloud screen shot from Agisoft Photoscan (zoomed in view).

**RC:** Second, this testing field tells us something about the accuracy of the overall scaling of the model, but not more. We are presented with no tests of the surfaces generated by the photogrammetric software (from the MVS / dense cloud stage), which might be done with repeatability tests (such as creating many models of one outcrop), or comparisons to reference data (such as created by a high resolution laser scanner). This is a significant omission, since it is the key product that is needed for assessing roughness and other parameters related to weathering. For example, poorly calibrated and oriented cameras introduce a significant amount of noise, which would make the restored 3D surface appear much rougher than the reality.

**AC:** We agree that tests using the error evaluation chart tell us about the overall accuracy of the model generated using our control target approach. We now included a DEM of Difference (DoD) which are the results of DEMs generated using two independent set of images of the same surface (section 3.3.3, line 20-38, page 14-15). In our companion paper, we have focussed on demonstrating the repeatability of the approach on a small scale (~100 cm<sup>2</sup>) simulated rock platform surfaces.

**RC:** Third, while it is a good idea to separate horizontal and vertical errors, the use of two completely different testing methods (lengths of scale bars between pairs of coded targets, vs. heights of wooden blocks set on a printed sheet) means that the two error values are not comparable. How are the block heights being extrapolated in the software?

**AC:** We disagree and suggest that it is a robust approach. We propose that it is appropriate to separate horizontal and vertical errors as they represent different planes, i.e. xy and z. We derived the horizontal and vertical errors from two separate set of checkpoints (lengths of scale bars between pairs of coded targets, vs. heights of wooden blocks set on a printed sheet). In addition, we have shown that horizontal and vertical errors of DEMs can be compared separately between DEMs. The block height was estimated using the 3D Analyst tool in ArcMap, detail of this is outlined in section 3.2, line 12-20, page 11-12.

**RC:** Fourth, and most troubling: figure 6a (section 3.3.3), as well as additional charts in the supplement show a curious result that two independent photogrammetric measurements of horizontal scale bar lengths agree with one another very well, yet differ greatly (about 0.2-0.9 mm, correlated to total length) from the dimensions printed on the testing chart. That is, the consensus of 2/3 of the measurements indicate that the printed chart dimensions are incorrect. Furthermore, the discrepancies in the figures present a sawtooth pattern, flipping in the positive and negative directions (less / more than the printed chart) at a similar scale. The authors explain that they generated the testing chart dimensions in design software and printed it, presumably on a plotter, for the test photography. In their results, the dimensions for S1, S3, S6, S8, S10, S12, S14, and S16 from the two photogrammetric measures are less than the expected length on the printed chart, while the others have positive discrepancies. The explanation for this distinctive pattern begins with the chart itself: the set I just listed are all vertically oriented on the printed sheet, while the others are horizontal. I encourage the authors to account for this problem. Photogrammetric recording can be very precise: 1:10,000 is easily obtainable with coded targets (so, errors all below 0.1 mm in length at the size of this testing scene), and it would be difficult to conceive of how a warp that would increase scale on one axis at the expense of another could possibly be introduced. However, scaling distortions of one axis relative to another is common with printing. Some printers are in fact designed to insert subtle distortions to foil counterfeiters, but other reasons like curling of the paper (common with plotter paper) might account for these distortions—which are on the order of just 1 mm, after all, and thus would be hard to see.

**AC:** We agree and thank the reviewer for highlighting out the pattern of horizontal errors along x and y-axis. We tried to obtain the highest quality print of testing chart on a 200-gsm high-quality satin material from a professional printing service. We would assume that there is the minimum possible or no distortion due to printing. We did not obtain this sharp sawtooth pattern for the vertical errors which were determined from fixed wooden blocks on the test chart. The sawtooth pattern in the figures for horizontal errors can be explained due to a slight curl in the paper while laying it on the ground. However, since the chart is white, the curl was not visible during the experiment or in the orthophoto. Although during the close examination of high-resolution DEM (Fig 3), the curling of paper can be seen which explains the sawtooth pattern of errors and negative errors along the x-axis and positive errors along the y-axis in Figure 4 a and c. Since the chart was the test chart was fixed with tape on the ground, this should not be an issue in independent image surveys and comparison of the DEMs.



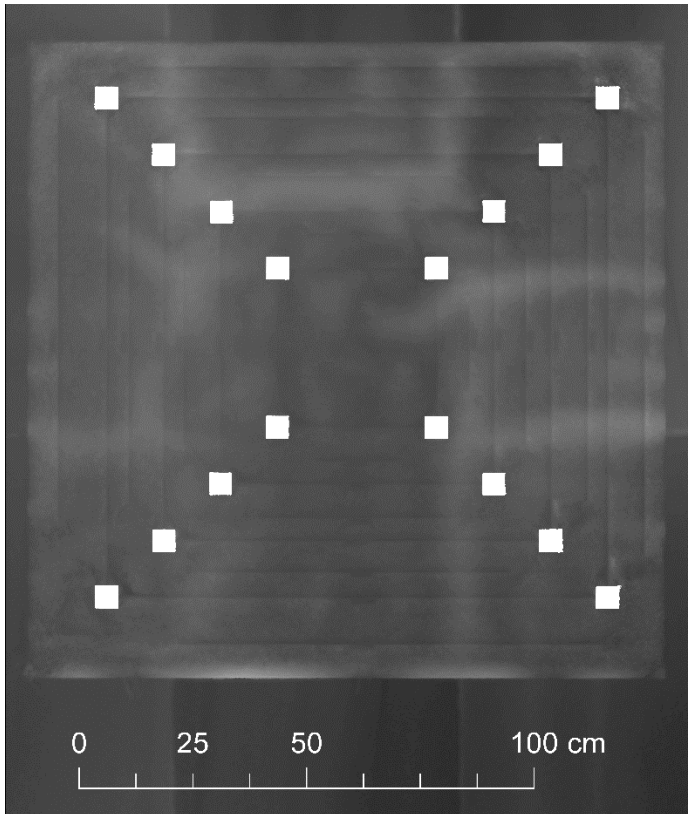


Fig 3. DEM of the chart area. Slight curls can be observed in the chart.

**RC:** Due to these problems with the chart, the conclusions about prime vs. zoom lenses, etc., are invalid, since they were tested against faulty reference measurements. If the actual lengths on the testing chart can be determined, then the photogrammetric estimates could be assessed from the same data, and the authors may be able to reproduce known phenomena in previously published research, such as improved accuracy from a fixed lens (including fixed focus settings) relative to an unstable lens.

**AC:** We used a zoom lens and prime lens set at autofocus mode. Our evaluation chart was fixed to the ground and wooden blocks were fixed to the chart. We determined the actual height of the wooden blocks using digital callipers and later subtracted it from the measured height from the DEM to calculate the error. Since the wooden blocks are solid and not affected by external factors, we hold that the vertical error obtained from them are accurate and thus can be used to compare the performance of the lenses.

In addition, we contend that although the test chart was slightly curled, it was fixed to the ground. We imaged the same surface in three independent surveys producing different DEMs. Therefore, in theory, we should be able to compare these DEMs using horizontal errors calculated from the test chart. We did find that prime lens performed slightly better in some tests but there was no statistically significant difference found between the zoom and prime lens performance when set on autofocus. We agree that prime lens set at fixed focus might perform better than a zoom lens. However, using lens set at fixed focus was not suitable in field conditions. So, we did not test the prime lens at fixed focus.

**RC:** D) On the image format (Main 2.3, Table 4, and Supplement 2.5), it is claimed that the JPEG format increases error relative to lossless formats, yet the reported increase in error is so high as to raise flags. The procedure with RAW photography converted later to TIFF adds a significant amount of time and raises storage requirements, which would only be justified if

JPEG were indeed much less reliable than TIFF. In my own tests, I found a small effect, with JPEG imagery being about 97-99% as metrically consistent as TIFF images. By that, I mean repeatable for length measurements; so, for example, a TIFF-based scene with length errors of 1.00 mm might have errors of 1.02 mm if based on maximum-quality JPEGs, which for most purposes is negligible. Of course, this could vary with processing settings and the camera. Since the text and supplement do not specify how the JPEGs were created, it is hard to account for the very high JPEG error, but this may be due to using a relatively high compression ratio. JPEG encoding introduces strong artifacts next to high-contrast straight edges as the quality is reduced below the maximum setting; even 95% quality begins to create artifacts that could interfere with SIFT matching. Furthermore, the testing imagery is basically all black and white lines, which is exactly where JPEG performs its worst. JPEG is designed for photographs of natural forms with comparatively smooth textures, much more like the natural features in the study than the testing chart.

**AC:** We agree that JPEG performed worst for smooth textured and flat evaluation chart which is evident in relatively high reprojection error. Our result shows slightly higher horizontal checkpoint errors (RMSE = 0.91 mm) compared to tiff format (RMSE = 0.59 mm). Whereas vertical errors for jpeg format were similar to tiff format. In our opinion, this difference in errors is acceptable if storage is a constraint. The difference in the JPEG error could be explained by in-camera JPEG compression. We used fine JPEG with medium image size on Nikon D5500.

Again, we would like to thank the Reviewers for the thorough review and highlighting important challenges that enabled us to improve our approach.



# 5 **A Structure from Motion photogrammetry-based method to generate sub-millimetre resolution Digital Elevation Models for investigating rock breakdown features**

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## **Abstract**

We have generated sub-millimetre resolution DEMs of weathered rock surfaces using SfM photogrammetry techniques. We apply a close-range Structure from Motion (SfM) photogrammetry-based method in the field and use it to generate high-resolution topographic data for weathered boulders and bedrock. The method was pilot tested on extensively weathered Triassic Moenkopi Sandstone outcrops near Meteor Crater in Arizona. Images were taken in the field using a consumer grade DSLR camera and were processed in commercially available software to build dense point clouds. The point clouds were registered to a local 3D coordinate system (x, y, z) which was developed using a specially designed triangle coded control target and then exported as Digital Elevation Models (DEMs). The accuracy of the DEMs was validated under controlled experimental conditions. A number of checkpoints were used to calculate errors. We also evaluated the effects of image and camera parameters on the accuracy of our DEMs. We report a horizontal error of 0.5 mm and vertical error of 0.3 mm in our experiments. Our approach provides a low-cost method, for obtaining very high-resolution topographic data on weathered rock surfaces (area < 10 m<sup>2</sup>). The results from our case study confirm the efficacy of the method at this scale and show that the data acquisition equipment is sufficiently robust and portable. This is particularly important for field conditions in remote locations or steep terrain where portable and efficient methods are required.

## **Keywords**

30 Rock breakdown, Geomorphology, Structure from Motion, Close-range photogrammetry, Digital Elevation Model, Micro-topographic survey

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## 5 1. Introduction

Rock breakdown describes a range of geomorphic processes that transform rock masses into soil or regolith and unconsolidated rock materials. It plays a vital role in climate control via atmosphere-lithosphere interaction, biogeochemical cycling and landform evolution on a planetary scale (Goudie and Viles, 2012). The scale of features range from  $\mu\text{m}$  (e.g., fractures, weathering pits, fractures) to m scale (e.g., tafoni, scaling and blisters) (Viles, 2001; Bourke and Viles, 2007). In addition, many active rock breakdown processes that operate over a short geological timescale ( $10^0$ - $10^2$  years) produce observable microscale (mm-cm) breakdown features. To better understand the weathering processes, high resolution (sub-mm to mm) microtopographic data are necessary for in-situ measurement of small-scale weathering features (Viles, 2001). To date, the inability to measure the general geomorphometry of small-scale breakdown features has inhibited our understanding of the causal links at relevant scales. Many small-scale (mm-cm) breakdown features are ambiguous, and it remains challenging to distinguish between similar looking features (e.g. aeolian pits vs dissolution pits) and therefore to establish a clear link between weathering feature form and the formative process. Even for homogenous forms on a surface, it may be difficult to understand the role of individual weathering mechanisms (Viles, 2005; Warke, 2007; Viles, 2010; Viles et al., 2018). In addition, extending analysis routines between rock breakdown sites, to better understand features that often show considerable complexity in their intensity, size and shape depending on lithological, geological and micro-environmental factors (Viles, 2001) has been limited by the application of different techniques at different scales and in different locations. Using the same technique (i.e. SfM) across scales will permit similar analysis routines for different scale landscapes (Cullen et al., 2018). This will facilitate the investigation of potential feedbacks across various scales boundaries. The morphometric analysis of topography at different scales will aid interpretation of the complex interrelationship of weathering processes and landscapes and facilitate a better understanding of the multi-scale weathering system (Viles, 2013).

Quantitative analysis of landforms is necessary for the identification and interpretation of landform genesis and history. In the past few decades, a range of micro-topographic data collection methods have been used in rock breakdown and soil erosion studies. These include: (1) laser scanning techniques (Fardin et al., 2001; Fardin et al., 2004; Bourke et al., 2007; Bourke et al., 2008; Aguilar et al., 2009; Sturzenegger and Stead, 2009; MŁynarczuk, 2010; Medapati et al., 2013; Chen et al., 2014; Ge et al., 2014; Lai et al., 2014), (2) stereophotogrammetry (Rieke-Zapp and Nearing, 2005; Taconet and Ciarletti, 2007; Aguilar et al., 2009; Bui et al., 2009; Sturzenegger and Stead, 2009; Kim et al., 2015), (3) Micro-roughness meters (MRM) (McCarroll, 1992; McCarroll and Nesje, 1996; White et al., 1998). However, there are significant logistical, technical and for some, financial constraints that have hindered the adoption of these methods, particularly in physically challenging terrains such as remote, difficult to access and steep terrains.

Laser scanning permits collection of high-resolution topographic data at the relevant scale for the study of small-scale rock breakdown features. However, due to difficulties associated with transporting the often-cumbersome instrument in the field (Ehlmann et al., 2008), this technology has rarely been used to collect data on rock surfaces in situ (Fardin et al., 2004). Additionally, laser scanners require a stable platform, on which to operate and this can be difficult to find in steep terrain (e.g. crater and canyon walls, and mountainous terrain). There are hand-

5 held portable laser scanners available which do not require a stable platform to operate, but the resolution offered by them is currently insufficient to resolve mm-cm scale rock breakdown features (Chan et al., 2016).

Stereophotogrammetry is a method of DEM generation using stereo images of an object/surface. It is widely-applied in terrestrial and planetary terrains (Kim and Muller, 2009; Li et al., 2011). The knowledge of camera internal geometry (i.e. sensor type and size), camera calibration parameters and Ground Control Points (GCPs) with known coordinates along with inertial measurement parameters (i.e., yaw, pitch and roll) are critical requirements for stereo photogrammetry to solve collinearity equation and orient photogrammetric model (Taconet and Ciarletti, 2007; Aguilar et al., 2009).

15 While both methods have been effectively used to analyse rock breakdown at larger scales, both require expensive software (e.g. SocetSet, PHOTOMOD, FARO Scene, Trimble RealWorks, Leica CYCLONE, VisionLidar) and expert knowledge to process data and generate DEMs, the cost of which may push this technology beyond many academic research budgets.

The micro-roughness meter (MRM) (McCarroll, 1992; McCarroll and Nesje, 1996; White et al., 1998) is operated manually and has been used to characterise and quantify breakdown on rock surfaces. Direct physical access to the rock surface is required, which limits sampling in out of reach locations (McCarroll and Nesje, 1996). While the resolution, precision, and accuracy of MRM (~0.001 to 0.005 mm) is higher than laser scanning and photogrammetry techniques (sub-mm to mm), the topographic data obtained from MRM is one dimensional and limits the analysis to the calculation of profile roughness parameters. The profile roughness parameters only provides information along a profile, not entire rock surface which often makes it difficult to determine the exact nature of a topographic feature (Leach, 2013). In comparison, 3D data from laser scanners and photogrammetry enable calculation of areal surface roughness parameters. These parameters have advantages over traditional profile roughness parameters and have more statistical significance than equivalent profile measurements (Leach, 2013).

### 1.1. Structure from Motion (SfM)

30 Structure from Motion (SfM) is an established and widely used method to generate 3D models in the geosciences (Favalli et al., 2012; Westoby et al., 2012; Smith et al., 2016). It is increasingly used in geomorphology for characterisation of topographic surfaces and analysis of spatial and temporal geomorphic changes, with an accuracy comparable to existing laser scanning and stereo photogrammetry techniques in close range scenario (Aguilar et al., 2009; Thoeni et al., 2014; Smith et al., 2016; Wilkinson et al., 2016). SfM photogrammetry utilises a sequence of overlapping digital images of a static subject taken from different spatial positions to produce a 3D point cloud. Image metadata for image matching is used to estimate 3D geometry and camera positions using bundle adjustment algorithm (Smith et al., 2016). The workflow uses an automated Scale Invariant Feature Transform (SIFT) image matching method (Smith et al., 2016). The advancement in new image matching algorithms has eased and automated the SfM workflow compared to stereophotogrammetry (Remondino et al., 2014; Smith et al., 2016).

40 Applications in geomorphology include laboratory flume experiments (Morgan et al., 2017), rockslides and landslide (Niethammer et al., 2012; Russell, 2016), eroding badlands (Smith and Vericat, 2015), fluvial morphology (Javernick et al., 2014; Dietrich, 2014; Bakker and Lane, 2016; Dietrich, 2016a, b), peatland

5 microforms (Mercer and Westbrook, 2016), glacial processes dynamics (Piermattei et al., 2016; Immerzeel et al., 2017), river restoration (Marteau et al., 2016), mapping coral reefs (Casella et al., 2016), beach surveying (Brunier et al., 2016), soil erosion (Snapir et al., 2014; Balaguer-Puig et al., 2017; Prosdocimi et al., 2017; Vinci et al., 2017; Heindel et al., 2018), volcanic terrains (James and Robson, 2012; Bretar et al., 2013; Carr et al., 2018), porosity of river bed material (Seitz et al., 2018), grain size estimation of gravel bed rivers (Pearson et al., 2017) and coastal erosion (James and Robson, 2012). In addition, SfM has also been widely used in archaeology for photogrammetric recording of small-scale rock art and artefacts, and large-scale archaeological sites (Sapirstein, 2016; Sapirstein and Murray, 2017; Jalandoni et al., 2018; Sapirstein, 2018).

The increased uptake of this method is primarily due to its relatively low cost, high portability, and ease of data processing workflow. Much of the SfM workflow is automated in a range of relatively affordable commercial software (e.g. Agisoft Photoscan, SURE, Photomodeler), closed source free software (e.g. VisualSfM, CPMVMS), and open source software (e.g. Bundler, OpenMVG, OpenMVS, MicMac, SFMToolkit).

There is a considerable amount of available literature on SfM techniques and workflows. A detailed discussion of the technique is found in several available papers (e.g., Westoby et al., 2012; Fonstad et al., 2013; Thoeni et al., 2014; Micheletti et al., 2015a, b; Eltner et al., 2016; Ko and Ho, 2016; Smith et al., 2016; Schonberger and Frahm, 2016; Bedford, 2017; Zhu et al., 2017; Ozyesil et al., 2017).

Several studies have reported high accuracy in 3D topographic data obtained using SfM when compared to methods such as Terrestrial Laser Scanner (TLS) or RTK-GPS surveys (Harwin and Lucieer, 2012; Favalli et al., 2012; Andrews et al., 2013; Fonstad et al., 2013; Dietrich, 2014; Nilosek et al., 2014; Caroti et al., 2015; Palmer et al., 2015; Clapuyt et al., 2016; Koppel, 2016; Piermattei et al., 2016; Panagiotidis et al., 2016; Wilkinson et al., 2016). A detailed comparison of cost-benefit, data acquisition rate, spatial coverage, operating conditions, resolution and accuracy analysis between TLS and SfM techniques are found in Smith et al. (2016), Wilkinson et al. (2016), and Cullen et al. (2018). The recent advances in Structure from Motion approaches (SfM) have yet to be widely applied to micro-scale landforms, such as rock breakdown features.

30 Here we trial the use of SfM for very high resolution (sub-mm) application. Our approach uses high-resolution digital photography (from consumer grade camera) combined with SfM workflow. We evaluate errors in our DEMs using checkpoints in the field and validate our approach through a series of controlled experiments. We also assess the error propagation with distance from the control target in DEMs generated in our experiment. We find that SfM offers a robust approach for rock breakdown studies.

35 Our work provides an alternative and/or additional cost-effective, transportable and fieldwork-friendly method for use in geomorphological studies that require the production of high-resolution topographic models from field sites. Below, we outline the development and test of our approach in the field and under controlled conditions. We provide a detailed guide so that others may adopt our approach in their research.

40

2. Methodology

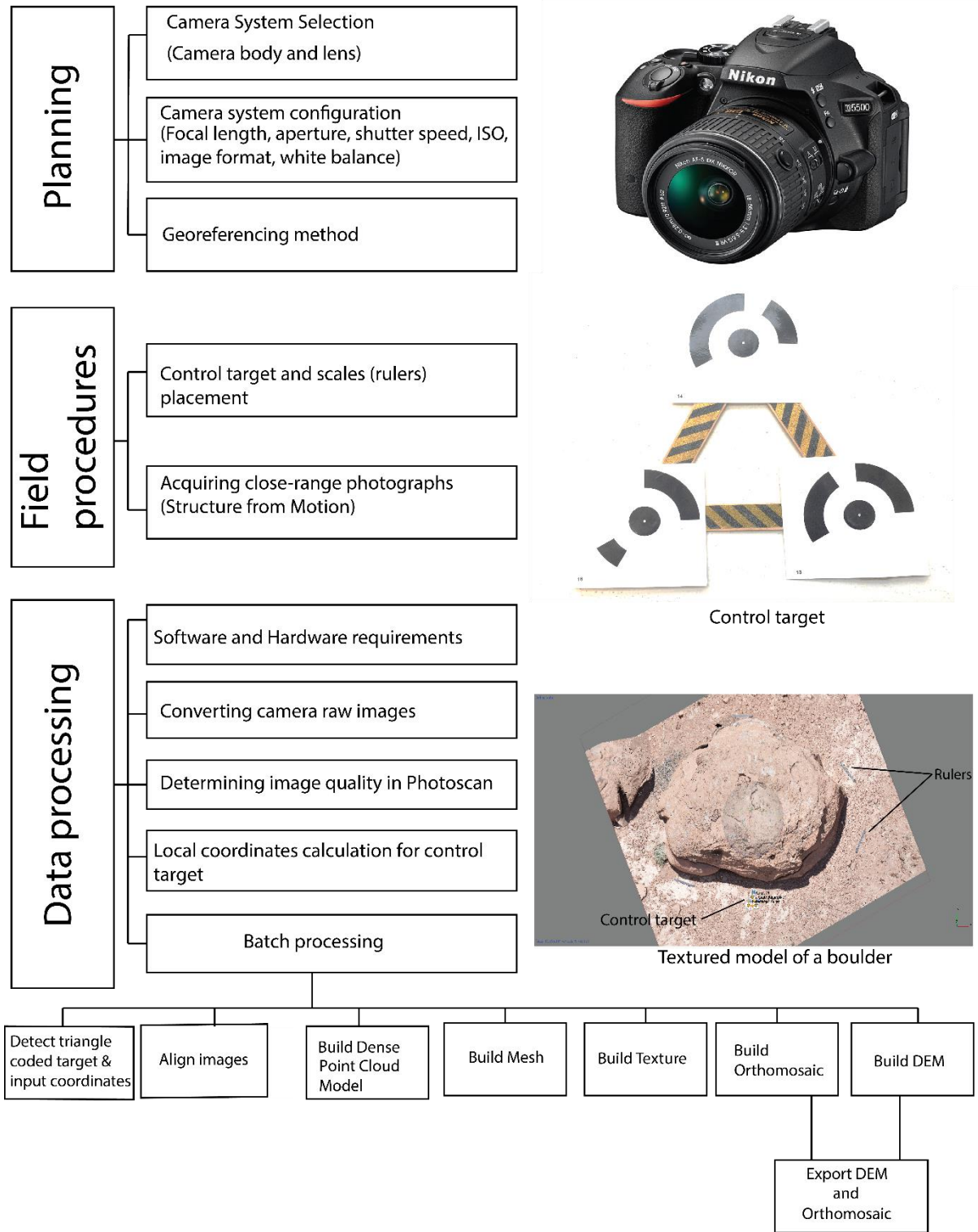


Figure 1. A schematic diagram of the typical workflow for Digital Elevation Model (DEM) production described in this study.



## 5            2.1. Equipment

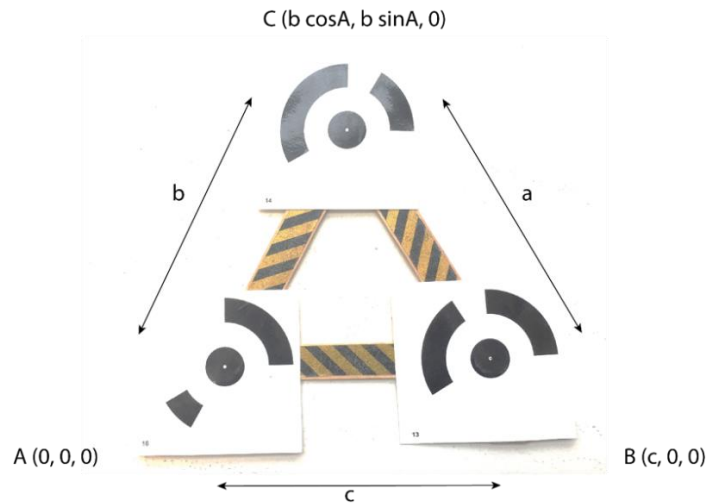
The quality of image data collection can be improved by careful camera system selection, configuration, and image acquisition. The Camera system plays a vital role in effective resolution, signal-to-noise ratio, and distortion (Mosbrucker et al., 2017). For this work, low-cost, consumer-grade, ultra-compact and lightweight Nikon D5500 DSLR camera was used. A Digital Single Lens Reflex (DSLR) camera system includes a camera body and a lens.  
10 This camera has an Advanced Photo System type-C (APS-C) sensor (366.6 mm<sup>2</sup>) with no anti-aliasing filter and captures an image with an effective resolution of 24.2 Mega Pixels (MP). A DSLR camera provides flexibility in selecting different kinds of lenses and captures high-resolution images in raw (RAW) format. Images in raw format store more Red Green blue (RGB) pixel information than in Joint Photographic Experts Group (JPEG) format. We used a zoom lens with a variable focal length of 18-55 mm and a 35 mm prime or fixed focal-length  
15 lens in this study. More comprehensive discussion of camera system consideration and configuration for SfM Photogrammetry work is found in Bedford (2017), Mosbrucker et al. (2017), and Sapirstein and Murray (2017).

## 2.2. Control target and local coordinate system

The dense point cloud generated by SfM is not scaled or oriented to real-world dimension. Therefore, registration  
20 to a known coordinate system (geographic or local) using Ground Control Points (GCPs) is required to reference and scale the model. GCP refers to a point with known coordinates (x, y, z). Incorporating GCPs in the SfM workflow is known to reduce systematic errors such as doming and dishing (Javernick et al., 2014; James and Robson, 2014) and permits a check on the accuracy of DEMs. At least three GCPs are required to generate a DEM from a dense point cloud.

25 For our study, we designed and built a new, portable control target (Figure 2). The triangle control target was made from 13 cm long craft sticks covered with textured plastic tape to protect it from shrinking and swelling in humid conditions (Figure 2). Each vertex served as a GCP. A set of three 12-bit coded markers were printed from Agisoft Photoscan software, laminated and attached at each vertex (Figure 2). The advantage of using coded markers is that they can be automatically identified in Photoscan which minimises the time and reduces error.  
30 Goldstein et al., (2015) found that the number and the placement of GCPs affect the accuracy of SfM derived DEMs. In this work, our area of interest was small (<10 m<sup>2</sup>) hence we determined that three GCPs would be sufficient.

We used our triangle coded control target (GCPs) to calculate local coordinates to scale and reference our DEMs (Figure 2). The length of the triangle sides to the GCP centre was measured using an Engineer's scale with 0.5  
35 mm accuracy. The sides of the triangle were 0.133, 0.132, and 0.131 m respectively for a, b, and c (Figure 2). The angle A (54.03°/1.06 radians) was determined using cosine rule, and the coordinates of each vertex of the triangle were determined using trigonometry (Figure 2 and Table 1).



5

**Figure 2.** Triangle coded control target. Each vertex of this triangle is a GCP.

**Table 1.** Local coordinates for each vertex of triangle coded control target.

Coded marker	Vertices	X (m)	Y (m)	Z (m)
Target 16	A position	0	0	0
Target 13	B position	0.131	0	0
Target 14	C position	0.064489	0.115175	0

10

### 2.3. Data processing

Following image data acquisition (described below) the data were processed using an Intel Xeon workstation with 32 GB of RAM and 2GB Nvidia Quadro 4000 graphics card. We used commercially available software (Adobe Lightroom CC) to process raw images and Agisoft PhotoScan for DEM generation. Photoscan is a ‘blackbox’ software, so it remains unclear the exact SfM algorithm used.

15

Photoscan does not support NEF file format (RAW) images generated by the Nikon camera, and they were converted to tiff format. While this step increases processing time, the benefit of capturing images in raw format is that any photometric corrections (i.e., exposure correction) can be performed without losing metadata (Guidi et al., 2014). Raw images were imported into Lightroom and exported as uncompressed tiff image files with AdobeRGB (1998) colour space (Süsstrunk et al., 1999; Korytkowski and Olejnik-Krugly, 2017) and 16 bits/component bit depth. Image histograms generated in Lightroom confirmed that the images were well exposed, and no photometric correction was required. Each RAW file was 25-30 MB. When converted to uncompressed tiff, this increase to 130-140 MB per image file. Exporting tiff images from Lightroom took about 5-10 minutes in total.

20

25

5 **2.4. DEM generation workflow in Photoscan**

Agisoft Photoscan is a popular software for generating DEMs from SfM photogrammetry technique. Many published studies have already described DEM production workflow in Photoscan (e.g., Leon et al., 2015; James et al., 2017a) so we only summarise the parameters used in our study here. A detailed step by step guideline for this study is presented in Section S1 (supplement). For a more detailed explanation of workflow in Photoscan, we refer readers to Agisoft (2016) and Shervais (2016).

**Table 2.** Summary of processing parameters in the development of DEM in Photoscan

	<b>General</b>	
	Images	Loading images, image quality determination, images with quality index <0.5 discarded
Stage 1	Identification of markers: scale bar and coordinate input	Coded markers detected, local coordinates entered, scale bar created
	Measurement and scale bar accuracy setting	Measurement and scale bar accuracy adjustment, 0.01 mm for experiments, 0.5 mm for field data
	Masking Coordinate system	Only if images contain unwanted scenes Local Coordinates (m)
	<b>Alignment parameters</b>	
Stage 2	Accuracy	Highest
	Pair preselection	Generic
	Key point limit	40,000
	Tie point limit	4,000
	Constrain features by mask	No (yes if images were masked)
	<b>Optimization parameters</b>	
	Parameters	f, cx, cy, k1-k3, p1, p2
	<b>Dense point cloud Reconstruction parameters</b>	
Stage 3	Quality	High
	Depth filtering	Mild
	<b>Mesh Model Reconstruction parameters</b>	
Stage 4	Surface type	Height field
	Source data	Dense
	Interpolation	Enabled
	Quality	High
	Depth filtering	Mild
	Face count	11,536,078
	<b>Texturing parameters</b>	
	Mapping mode	Generic
	Blending mode	Mosaic
	Texture size	4,096 x 4,096
	<b>DEM Reconstruction parameters</b>	
	Coordinate system	Local Coordinates (m)
	Source data	Dense cloud
	Interpolation	Enabled
	<b>Orthomosaic Reconstruction parameters</b>	
	Coordinate system	Local Coordinates (m)
	Channels	3, uint16
	Blending mode	Mosaic
	Surface	Mesh
	Enable color correction	Yes

### 5            3. Error evaluation experiments

A series of controlled image acquisition experiments were conducted to evaluate the horizontal and vertical errors of the DEMs generated using the GCP developed in this study (Figures 3 and 4). In addition, we tested the influence of a range of other variables on the accuracy and quality of DEMs. These include: (1) Type of lens, (2) Prior lens profile correction, (3) colour space of images, (4) dense point cloud quality setting in Photoscan, (5) image file format, (6) the position of control target with respect to subject, and (7) masking of images (Table 3).

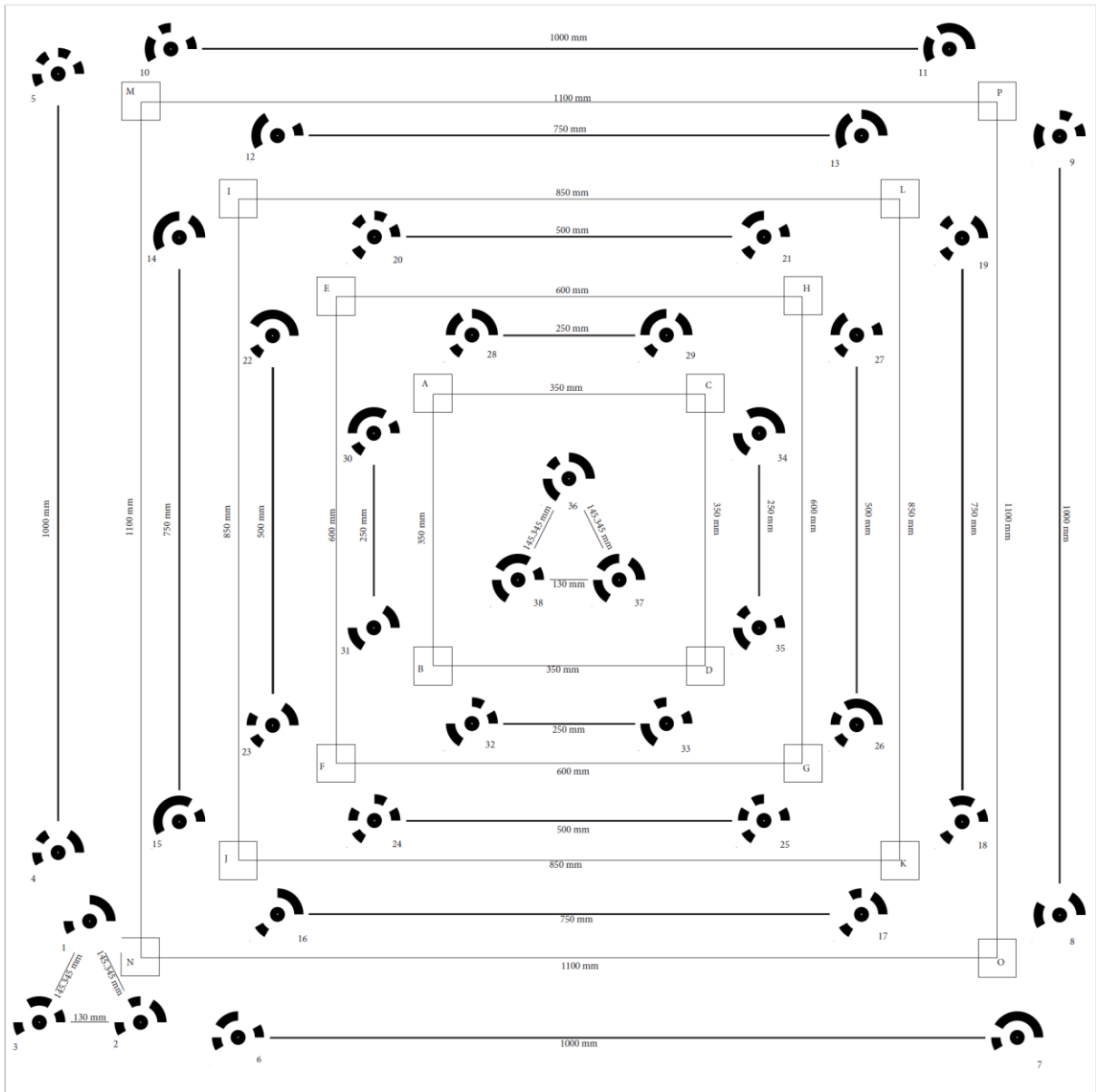
#### 3.1. Experiment design

In order to validate the sub-mm horizontal and vertical accuracy of DEM generated, a calibrated error evaluation chart was designed in Adobe Indesign and printed as 1.4 × 1.4 m poster (Figure 3). This chart contains four concentric squares and 16 coded scale bars of known length (Figure 3). This chart was laid on the relatively flat ground ( $\pm 1^\circ$  from the centre of the poster), and 16 wooden cubes of dimension ~5 cm were placed on vertices of each square (Figure 3 and 5a). We chose wooden blocks because of their non-homogeneous texture which is easily reconstructed using photogrammetry. Sixteen coded scale bars were used as checkpoints to estimate horizontal (XY) errors, and sixteen wooden blocks were used to determine vertical (Z) errors. Two triangle coded control targets were designed in the centre and the left corner of the poster (Figure 3). An additional four, 25 cm long coded scale bars were placed 60 cm away from the outer scale bar on each side of the poster. The coordinates of the triangle coded target were determined as described in section 2.2. The experiment was undertaken outside in overcast lighting conditions.

Three sets of images of the poster and nearby ground surface made up of concrete paving stones with visible edges were acquired using a zoom lens set at 24 mm and a 35 mm prime or fixed focal-length lens. Two sets of images were taken by zoom lens set at 24 mm and 35 mm prime lens. The third set of images were acquired, using zoom lens set at 24 mm, to cover the extended area where four additional scale bars were placed outside the poster on the cement surface. All the images were acquired using Nikon D5500 in manual mode. Camera settings were adjusted for the best result for the lighting conditions during the experiment. Aperture was set at f/7.1, shutter speed was fixed at 1/200 s, and ISO was kept at 100. The focus was set to auto-focus during image acquisition. Images were acquired in raw and then converted into an uncompressed tiff in Adobe Lightroom (section 2.3).

RAW images were processed to change a few parameters in the image sets. Ten models were run in Photoscan from the three sets of images acquired. The DEMs were generated using the workflow described in section 2.4. Table 3 summarises the variables tested in the ten DEMs.

35



5

**Figure 3.** Error evaluation chart (1.4 × 1.4 m). Coded scale bars are horizontal checkpoints. Wooden blocks (small squares) at each vertex of bigger squares are vertical checkpoints. Two triangle coded control targets were used to georeferenced DEMs.

10

15

5 **Table 3.** Experimental design used in error evaluation experiment. Cross mark represents the DEMs compared for a variable.

Variables tested →	Type of lens (zoom lens vs prime lens)	Prior lens profile correction in images	Colour space (e.g. ProPhotoRGB, sRGB, AdobeRGB)	Dense point cloud quality setting (e.g. ultra high, high, medium)	Image format (tiff vs jpeg)	Position of the control target	Masking of images
DEMs ↓							
24 mm extended area							
24 mm profile corrected		×					
24 mm without profile corrected	×	×					
35 mm AdobeRGB			×				
35 mm sRGB			×				
35 mm ProPhotoRGB		×	×	×	×		
35 mm jpg					×		
35 mm profile corrected		×					
35 mm masked	×			×		×	×
35 mm corner control target						×	×

### 3.2. Estimating errors

10 The error evaluation chart (Figure 3) was used to estimate errors in the following way. The coded scale bars were used as horizontal checkpoints. The distance between coded markers and the centroid of the triangular control target was measured with an accuracy of 0.01 mm in Adobe InDesign. The scale bars were automatically detected in Photoscan. These scale bars were not used to scale or optimise the sparse point cloud in Photoscan. Photoscan estimated the length of coded scale bars based on the referencing information from the control target. The known  
15 length of coded scale bars was subtracted from the estimated length in Photoscan to calculate the horizontal error.

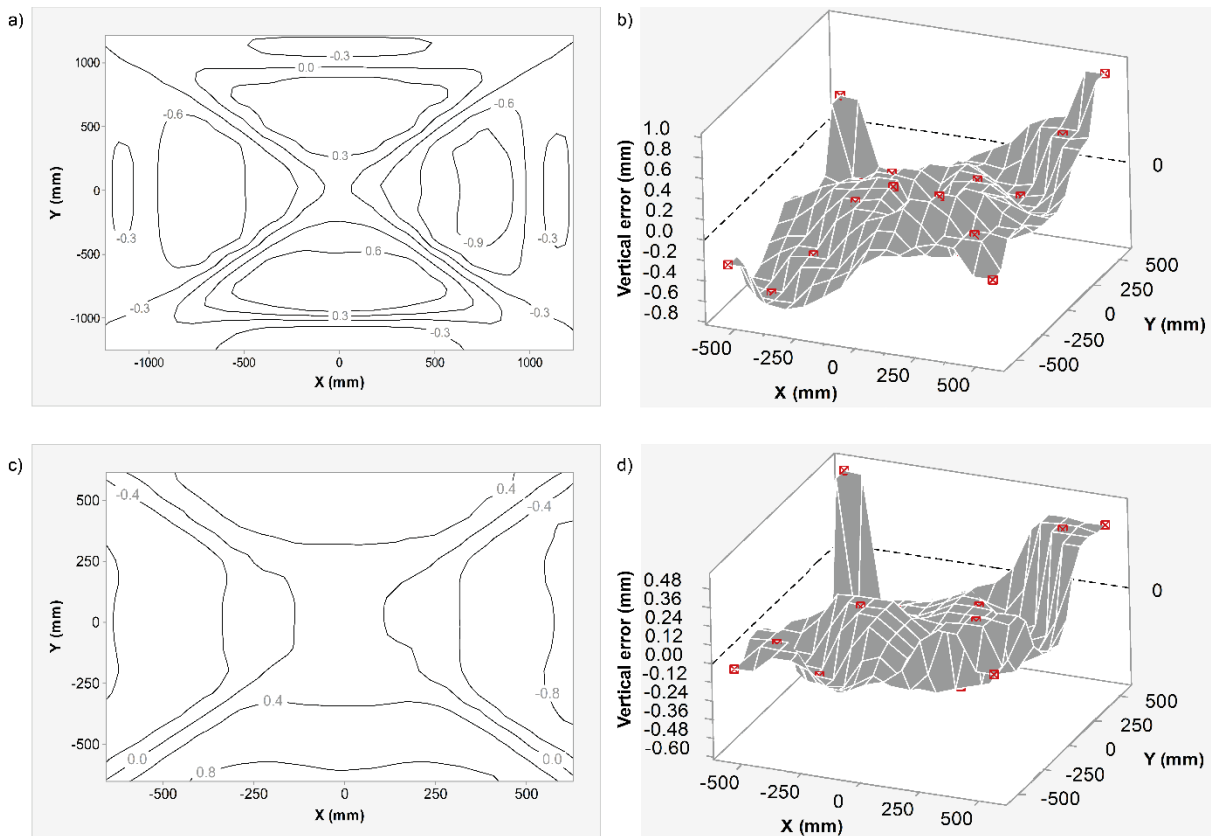
To determine the vertical error of the DEMs, the wooden blocks were used as checkpoints (Figure 5a). The DEMs and orthophotos were imported in ArcMap 10.4.1 (Figure 5). The height of wooden blocks was measured in ArcMap using the Interpolate Line tool (3D Analyst tool), by drawing a straight line across one of the sides of the wooden block and extending it to the ground surface. Height was estimated as the difference in mean elevation  
20 between wooden block top surface and the surrounding ground surface on each side. The actual height of wooden blocks was measured by an electronic digital Vernier Caliper. The Vernier Caliper has an accuracy of 0.03 mm and measurement repeatability of 0.01 mm. We obtained five measurements along the same side of wooden block measured in ArcMap. We take the mean of these five measurements to calculate the height of the wooden block.

5 The measured height was subtracted from the estimated DEM height to calculate the vertical error. The distance between the centre of wooden blocks and centroid of the triangle coded target was determined in Adobe Indesign. We used horizontal and vertical checkpoint errors with their distance from the control target to visualise error propagation in DEMs with distance (section 3.3.1).

### 10 3.3. Experiment Results

#### 3.3.1. Distribution of horizontal and vertical errors

15 Error propagation with distance was estimated, and the data are shown in Tables S1-S2. The horizontal checkpoint errors for 24 mm extended area and 35 mm masked DEMs (Table S1) were used to visualise errors over an area of 6.14 m<sup>2</sup> and 1.96 m<sup>2</sup> respectively as a contour plot (Figure 4 a and c). The data show that horizontal errors are almost symmetrical in X and Y direction (Figure 4 a and c). We used vertical checkpoints for 24 mm extended area and 35 mm masked DEMs (Table S2) to visualise vertical errors as the surface plot over an area of 1.96 m<sup>2</sup> (Figure 4 b and d).

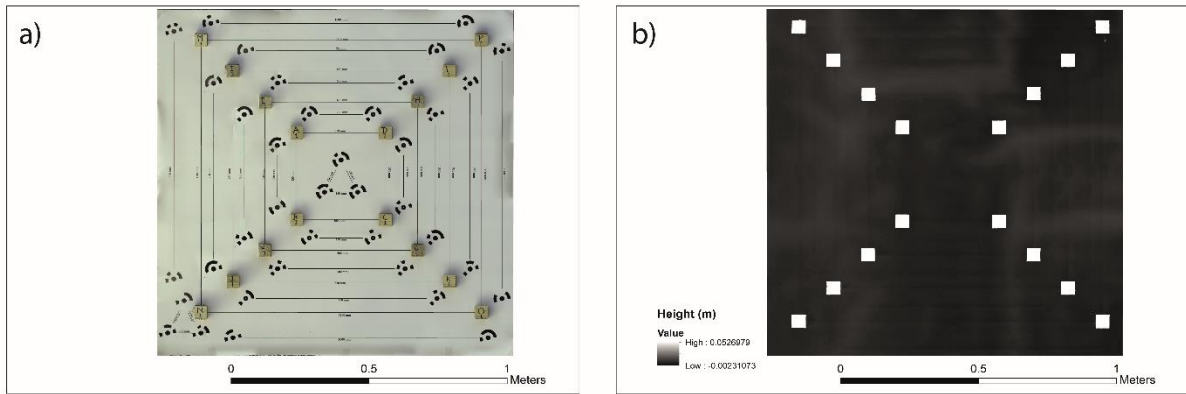


20 **Figure 4.** (a) Horizontal error contour plot for a DEM generated using images acquired with the zoom lens. Contours represent horizontal (XY) error (in mm) in the DEM. (b) Vertical errors in DEM generated using images from a zoom lens. Red cubes on the surface in the plot shows the location of wooden blocks (vertical checkpoints). (c) Horizontal error contour plot for a DEM generated using images from a prime lens. Contours represent horizontal (XY) error (in mm) in the DEM. (d) Vertical errors in DEM generated using images from a prime lens. Red cubes on the surface in the plot shows the location of wooden blocks (vertical checkpoints).

### 3.3.2. Role of image variables in DEM error

In this section, we present the findings from our DEM error evaluation experiment. Orthophoto and DEM of the error evaluation chart are shown in Figure 5. The summary of ten DEMs produced in the error evaluation experiment is presented in Table 4.

10



**Figure 5.** (a) Orthophoto of error evaluation chart. Coded scale bars represent horizontal checkpoints. Wooden blocks denote vertical checkpoints. (b) DEM of the error evaluation chart.

**Table 4.** Summary Experimental DEM data. Reprojection Error is the root mean square reprojection error (RMSE) averaged over all tie points on all images. In some publications, reprojection error is also referred to as RMS image residual (James et al., 2017a). In Agisoft manual, reprojection error is defined as the distance between the point on the image where a reconstructed 3D point can be projected, and the original projection of that 3D point detected on the photo (Agisoft, 2016). It is used to quantify how closely an estimate of a 3D point recreates the point's original projection and as a basis for the 3D point reconstruction procedure. Root Mean Square Error (RMSE) XY is the root mean square error for X and Y coordinates for control location/checkpoint. Root Mean Square Error (RMSE) Z is the root mean square error for X and Y coordinates for control location/checkpoint. Projection Accuracy (in pixels) is the root mean square error for X, Y coordinates on an image for control location/checkpoint averaged over all the images.

DEM	Colour space	No. of images	RMSE X,Y (Check) (mm)	RMSE Z (Check) (mm)	RMSE X,Y (Control) (mm)	RMSE Z (Control) (mm)	Reprojection error (pix)	Projection accuracy (control) (pix)	Accuracy (check) (pix)	Resolution (mm/pix)	Point density (pts/mm <sup>2</sup> )	DEM quality setting	Time taken (Hr)
24 mm extended area	ProPhotoRGB	259	0.52	0.35	0.08	0.17	0.81	0.28	0.37	0.51	3780	High	169
24 mm profile corrected	ProPhotoRGB	178	0.56	0.37	0.08	0.16	0.72	0.29	0.35	0.45	4810	High	59
24 mm without profile corrected	ProPhotoRGB	178	0.55	0.35	0.08	0.17	0.77	0.29	0.37	0.45	4910	High	62
35 mm AdobeRGB	AdobeRGB	236	0.59	0.3	0.08	0.09	0.53	0.15	0.19	0.65	2330	Medium	10
35 mm corner coded target	ProPhotoRGB	236	0.59	0.27	0.02	0.54	0.54	0.17	0.2	0.32	9230	High	67
35 mm .jpg	ProPhotoRGB	236	0.91	0.34	1.16	3.18	3.19	0.75	1.72	0.67	2180	Medium	8
35 mm masked	ProPhotoRGB	236	0.59	0.28	0.08	0.08	0.62	0.16	0.19	0.32	9760	High	67
35 mm profile corrected	ProPhotoRGB	236	0.59	0.39	0.08	0.07	0.54	0.17	0.2	0.66	2290	Medium	9
35 mm ProPhotoRGB	ProPhotoRGB	236	0.59	0.41	0.08	0.06	0.53	0.16	0.2	0.65	2330	Medium	10
35 mm sRGB	sRGB	236	0.59	0.42	0.08	0.62	0.54	0.16	0.19	0.65	2330	Medium	10



5 Below we also explored the role of several parameters on the accuracy of DEMs and the detailed results are in Section S2 (supplement). Horizontal and vertical checkpoint errors are used to compare these DEMs.

Although, our experiment suggests that there is no statistically significant difference in the accuracy of DEMs generated from prime and zoom lens we find that the use of the prime lens will yield lower errors compared to a zoom lens for SfM photogrammetry. Our results also indicate that prior lens profile correction, placement of control target relative to the subject of interest and masking of images had no statistically significant effect on the accuracy of DEM. However, we report that using Adobe RGB colour space and tiff file compression reduced error in DEMs (Table 4). We obtained better resolution and accuracy using “High” dense point cloud quality setting in Photoscan. Based on our findings, we use these parameters in our field survey.

### 15 3.3.3. Repeatability

We used two independent image surveys to test the repeatability of our DEM generation method. We obtained a very high intraclass correlation for horizontal (ICC=0.999), and vertical (ICC=0.911) checkpoint errors between two DEMs produced from two different set of images (24 mm extended area and 24 mm without profile corrected). These DEMs were generated using identical image parameters and settings in Photoscan. Therefore, this method of DEM generation can easily be repeated.

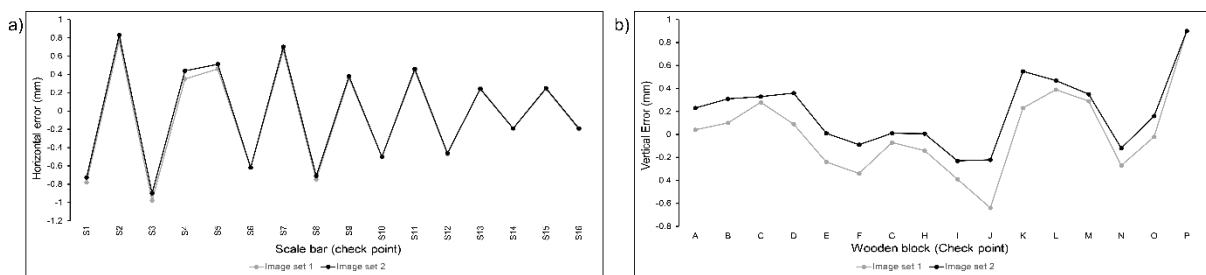
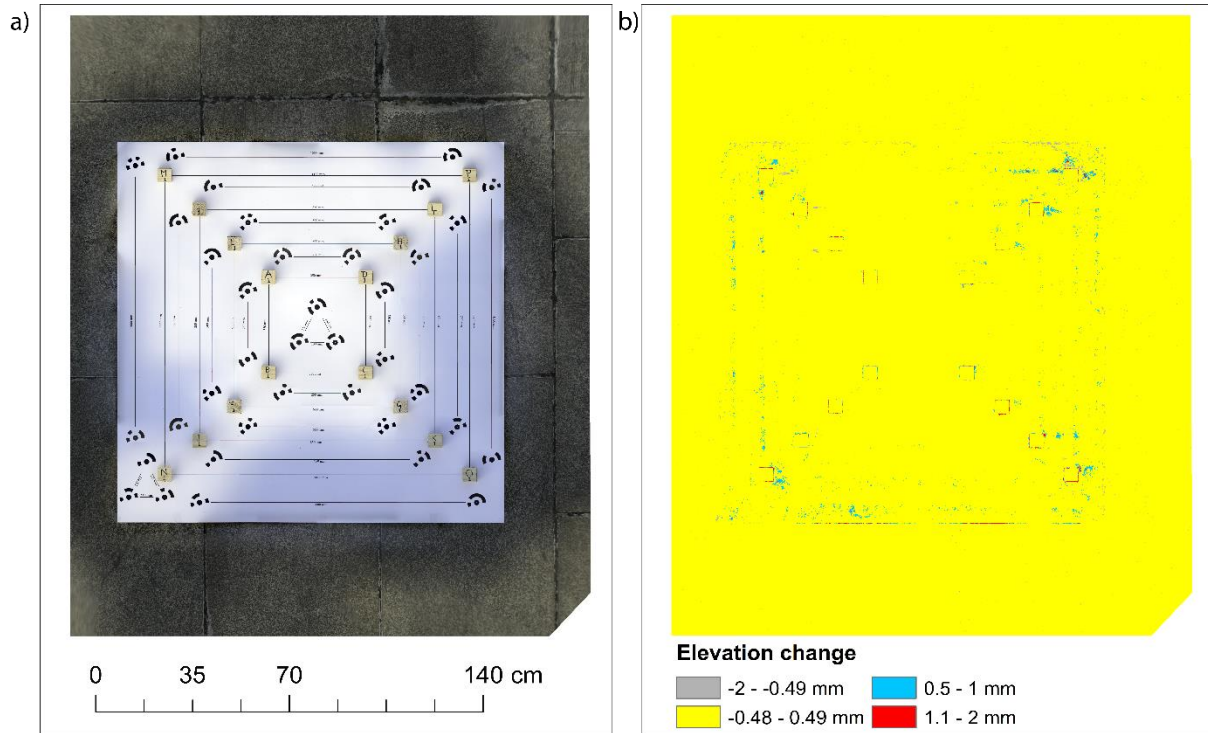


Figure 6. a) and b) Horizontal checkpoint and vertical checkpoint errors in DEMs produced from two different image sets to test repeatability.

25 Additionally, we performed DEM of Difference (DoD) on these two DEMs (24 mm extended area - 24 mm without profile corrected) of the same subject generated from two independent image surveys. The change in vertical elevation for the evaluation chart and surrounding ground surface made up of concrete paving stones was calculated from the DoD, (Fig 7). The change in elevation (E) is within Limit of Detection (LoD) and is interpreted as no change ( $\pm 0.49$  mm) and the change above the LoD value is interpreted as change ( $-0.49 > E > 0.49$  mm). We find that the nearby textured concrete ground surface which had good number of keypoints during sparse point cloud generation shows no change. The shadow areas within the sides of wooden blocks, the edges of wooden blocks and flat and textureless evaluation chart area that had poor image match and thus low keypoints shows changes. Cullen et al. (2018) have demonstrated that the reliability of SfM to detect sub-mm changes depends on texture and complexity of the rock surface. SfM is known to be less reliable in reconstructing non textured, reflective and flat objects or scenes (Agisoft, 2016). We notice that these changes are not related to the distance from the control target but areas with poor image matching due to homogeneous texture and shadows. The rock surface

5 with non-homogeneous texture will produce better image matches and thus improve model quality and accuracy. The result show that with our control target approach it is possible to generate DEM with sub-mm accuracy, but this will depend on the complexity and texture of the surface. Using our approach Cullen et al. (2018) successfully generated DEMs of simulated rock surface ( $\sim 100 \text{ cm}^2$ ) with sub-mm accuracy.



10 **Figure 7.** (a) The orthophoto showing evaluation test chart and nearby ground surface area. (b) DoD showing a change in surface elevation between two independent DEMs. The yellow coloured area is within the LoD ( $\pm 0.49$  mm) and is interpreted as no change.

#### 4. Field application of SfM for DEM generation

We tested the approach on eight Moenkopi Sandstone outcrops (intermediate axis =  $\sim 2$  m) at a field site near Meteor Crater, Arizona. Meteor Crater is located in a relatively low-relief, southern part of the Colorado Plateau near the town of Winslow in north-central Arizona ( $35^\circ 1' \text{ N}$ ,  $111^\circ 1' \text{ W}$ ) (Shoemaker, 1987). Moenkopi is very fine-grained reddish-brown sandstone. These outcrops have weathered to produce surfaces with different shapes, sizes, aspect, slope and contain a range of weathering features such as pits, alveoli, flaking, crumbling, fractures, colouration, and lichen colonisation.

20

5        **4.1. Data collection**

We used the zoom lens set at 24 mm focal length (36 mm full frame camera equivalent). The focal length of 24 mm was chosen as it provided a greater field of view where there was little space to move around to take images in the field (e.g., very steep slope). Camera aperture was set to f/6.3. A smaller aperture allows less light to reach the camera sensor and gives a larger depth of field (Haukebø, 2015). An image with larger depth of field is sharper and has a larger area in focus and are recommended for photogrammetry work (Bedford, 2017). A higher shutter speed (1/400) was chosen to compensate camera shake due to, e.g., the wind. ISO was kept at 100 to minimise noise in the images (Mosbrucker et al., 2017). White balance was kept at daylight mode. During photo acquisition, care was taken to ensure that image was sharp and everything in the frame was in focus. Matrix metering mode was selected to provide the best exposure and equal brightness throughout the image. Images were taken in autofocus mode to maintain optimal image quality (sharpness). These settings were chosen based on the lighting and field conditions, and field testing demonstrated high image quality at these settings.

Several images were acquired from different vantage points. Firstly, from all around the boulder surface (from a distance of ~2 m) followed by additional close-range (from a distance of <~1 m) images (see Figure 8). Images were acquired with at least 60% lateral overlap. The theoretical minimum number of images required in SfM workflow is 3 (Favalli et al., 2012; Westoby et al., 2012). However, there is no maximum limit to the number of input images in the SfM workflow. The number of images required to reconstruct accurate dense point cloud depends on the size and complexity (e.g. shape, surface texture, curvature, and slope) of the outcrop. It is always better to take more images as it will permit less sharp images to be discarded before processing.



25    **Figure 8.** Multi-exposure image showing the different spatial positions from where images were acquired. First images were acquired from a distance of ~2 m then close-up images were taken from a distance of <~1 m. DEM and orthophoto of the imaged boulder is shown in Figure 10 g and h.

5 For a detailed guideline for ideal image acquisition in the field we recommend the following: (Smith et al., 2016; Bedford, 2017; Mosbrucker et al., 2017). For our data collection, a triangle coded control target (Figure 2) was placed on the ground parallel to the top surface of the boulder (Figure 1). It is crucial for the control target to be flat and approximately parallel to the surface of interest as it defines the orientation of the surface of interest in the DEM. If the adjacent ground is not level, the control target can be placed on top of the target surface. We used  
10 four rulers of 30 cm and placed them around the outcrops (Figure 1). These rulers were used as checkpoints to estimate horizontal errors in the DEMs. The images were acquired in quick succession in the field to ensure that there was a minimum change in the shadow lengths and lighting conditions. We acquired images during early morning and evening and tried to avoid shadows in the image. The images were shot in raw format. A potential limitation to this in the field is that they take up to twice as much storage space as JPEGs. For an area  $\sim 10\text{m}^2$ ,  
15 placement of GCPs, rulers and image acquisition took approximately 20 minutes. Images were processed as described in section 2.4. DEM and Orthophoto generation took 8-10 hours on “high” dense point cloud quality setting.

## 4.2. Field Results

### 4.2.1. DEMs of Moenkopi outcrops in the field

20 We generated eight DEMs and orthophotos of weathered Moenkopi outcrop surfaces (Figure 9 and 10). We find that small weathering features, such as weathering pits (mm scale), are clearly resolved in our DEMs and Orthophotos (Figure 9 and 10). Details of DEM parameters have been summarized in Table 5. Horizontal errors for checkpoints were calculated by measuring the length of rulers from orthophoto in Photoscan and subtracting the known length of the ruler from it. The distance of the checkpoints from control target was measured in  
25 Photoscan. Horizontal error propagation with distance from the control target in DEMs is presented in Table 6. The resolution of DEMs ranges from 0.45 to 0.68 mm/pixel. All the Orthophotos have a resolution of 0.5 mm/pixel. Horizontal and vertical RMSE of control points is less than 0.5 mm except for vertical error for boulder S2-M2 (Table 5). Horizontal RMSE estimated from checkpoints were also less than 0.5 mm (Table 5).

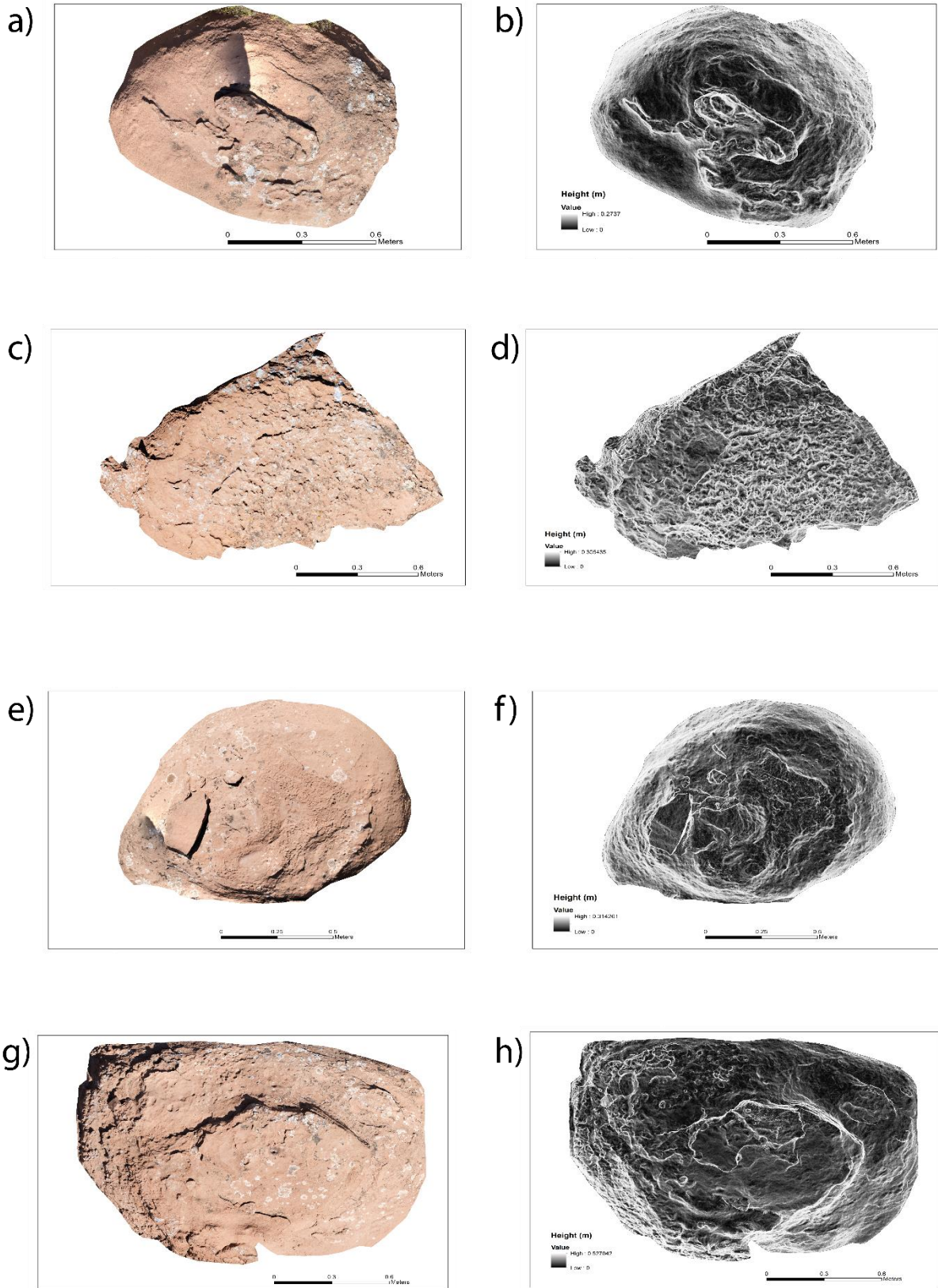
30

35

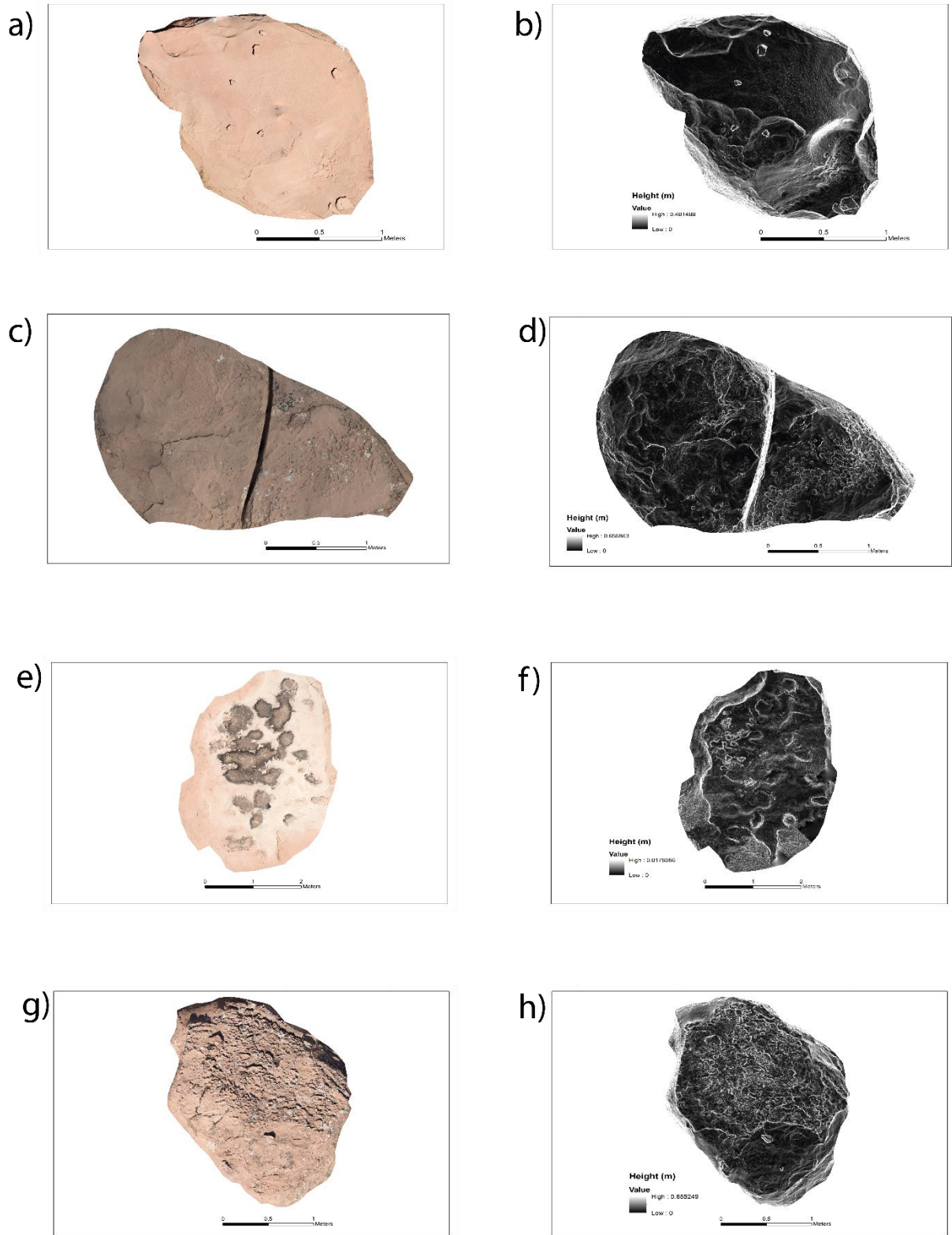
40

5 **Table 5.** Field DEM data summary

<b>DEM</b>	<b>Boulder dimension (m)</b>	<b>No. of Images</b>	<b>Resolution (mm/pix)</b>	<b>Reprojection Error (pix)</b>	<b>RMSE XY (control) (mm)</b>	<b>RMSE Z (control) (mm)</b>	<b>Projection accuracy (control) (pix)</b>	<b>RMSE XY (check points) (mm)</b>
S2-M1	2.64 × 1.91	62	0.68	0.45	0.26	0.28	0.23	0.31
S2-M2	1 × 0.9	47	0.49	0.56	0.28	0.88	0.29	0.22
S2-M3	1.82 × 1.23	55	0.61	0.46	0.28	0.14	0.29	0.21
S2-M4	1.31 × 1.04	48	0.45	0.50	0.31	0.64	0.14	0.15
S2-M5	1.38 × 1.05	55	0.55	0.67	0.26	0.1	0.29	0.19
S2-M7	2.5 × 1.6	59	0.51	0.48	0.35	0.39	0.21	0.13
S2-M20	3.2 × 1.8	52	0.60	0.41	0.32	0.28	0.09	0.25
S3-M33	5 × 2.9	66	0.58	0.30	0.32	0.38	0.06	0.27



**Figure 9.** Orthophotos and DEMs of Moenkopi outcrops. (a) and (b) Boulder S2-M2. (c) and (d) Boulder S2-M5. (e) and (f) Boulder S2-M4. (g) and (h) Boulder S2-M3.



**Figure 10.** Orthophotos and DEMs of Moenkopi outcrops. (a) and (b) Bedrock S2-M7. (c) and (d) Bedrock S2-M20. (e) and (f) Bedrock S3-M33. (g) and (h) Boulder S2-M1.

5 **Table 6.** Horizontal error propagation with distance from control target in DEMs from the field.

Distance from the target (m)	S2-M1 (mm)	Distance from the target (m)	S2-M2 (mm)	Distance from the target (m)	S2-M3 (mm)
1.39	0.1	0.57	0.2	1.59	0.2
0.8	0.1	0.63	0.2	0.31	0.2
2.5	0.1	1	0.3	1.42	-0.1
3.4	-0.6	1.2	0.2	1.95	0.3

Distance from the target (m)	S2-M4 (mm)	Distance from the target (m)	S2-M5 (mm)	Distance from the target (m)	S2-M7 (mm)
1.1	0.1	1.1	-0.2	2.47	0.1
0.71	0.1	1.18	-0.1	1.9	-0.1
1.24	0.2	1.55	0.1	0.56	0.2
1.43	0.2	1.41	-0.3	1.95	0.1

Distance from the target (m)	S2-M20 (mm)	Distance from the target (m)	S2-M33 (mm)
<b>0.45</b>	0.2	5.66	-0.1
<b>1.48</b>	0.3	4.21	0.4
<b>2.48</b>	0.3	2.17	0.2
<b>2.68</b>	-0.2	2.72	0.3



## 5 Discussion

There are significant technical and logistical challenges that have resulted in geomorphologists not directly capturing the topographic data of outcrops at the microscale (mm) in the field (Ehlmann et al., 2008). In order to generate high-resolution DEMs (~mm accuracy) of the relatively small boulder and bedrock surfaces (areas < 10 m<sup>2</sup>), geographic coordinates cannot be used to register SfM dense point cloud. GPS surveying is used to collect topographic point data from surfaces which can be used to register SfM point dense point cloud to build a DEM. The surveying equipment can be expensive (e.g. dGPS, RTK-GPS, and total station). These survey instruments (except total station) have centimetre accuracy which is inadequate for generating DEMs of sub-mm accuracy. The equipment can be challenging to transport in poorly accessible field terrains and can rely on satellite signals which may not work in **all locations or global locations**. In addition, the equipment requires a relatively low gradient, **stable** surface to set up. A relatively new approach known as ‘direct georeferencing’ only **requires** the camera orientation parameters and GPS (Carbonneau and Dietrich, 2016). However, it can only provide centimetre accuracy which is coarser than needed **for small-scale weathering feature analysis**.

Total station can be used to determine the coordinates of an unknown point relative to a known coordinate if a direct line of sight can be established between the two points. Coordinates obtained from a total station can be used to register SfM dense point cloud to generate high-resolution DEMs (mm accuracy). However, operating a total station in challenging field conditions have drawbacks **similar to those of dGPS** survey equipment described above.

A number of previous studies have produced mm-cm resolution DEMs with mm-cm horizontal and vertical accuracy (Favalli et al., 2012; James and Robson, 2012; Bretar et al., 2013; Snapir et al., 2014; Haukebo, 2015; Leon et al., 2015; Micheletti et al., 2015b; Balaguer-Puig et al., 2017; Prosdocimi et al., 2017; Vinci et al., 2017; Smith and Warburton, 2018). These studies employed relatively complicated methods for georeferencing SfM dense point cloud to generate DEM, for example, Favalli et al. (2012) and Micheletti et al. (2015) used laser scanner coordinate system, Snapir et al. (2014) used laser range finder and optical level to find the relative 3D positions of the GCPs, Bretar et al. (2013) employed stereo-photogrammetric method using a measuring tape for scaling the model, Haukebo (2015) measured 3D positions of each camera positions which are difficult to replicate in the field, James and Robson (2012) utilised distance measured between multiple points on a turntable to scale the model of a sample of size 10 cm in the lab, Prosdocimi et al. (2017) used RTK-GPS to reference DEMs of soil plots (0.25 m<sup>2</sup>) in the field, Leon et al. (2015) used handheld GPS and several GCPs to scale the DEMs, and others (Balaguer-Puig et al., 2017; Vinci et al., 2017; Smith and Warburton, 2018) used a total station to estimate coordinates for GCPs.

The method presented by Snapir et al. (2014) is useful for making DEMs of a horizontal surface, but difficult to replicate on remote and treacherous field terrain (e.g. slope of mountain, crater or canyon wall). This is due to the difficulty of placing several GCPs and determining their relative position with sub-mm accuracy in these terrains. Another problem of using many GCPs for smaller surface (<5 m<sup>2</sup>) is that it may cover the area of interest and obscure the DEM of the target surface for further analysis. In this study, we have solved this problem by using a small triangle control target (area ~75 cm<sup>2</sup>, Figure 2) to georeference the dense point cloud used to generate DEMs with high accuracy. In our experience, we found that using three arbitrary points separated by a longer distance

5 (few metres) and using these points to find relative coordinates with each other can be difficult in the field due to curvature and slope of the rock surfaces (e.g. Heindel et al., 2018). In comparison, this study achieved sub-mm horizontal (<0.5 mm) and vertical (<1 mm) accuracy in sub-mm resolution DEM using a relatively simple georeferencing approach (section 2.2) without any expensive and bulky survey equipment. The DEMs generated following our methodology have sufficient resolution for measurement and quantification of mm-cm scale rock breakdown features.

### 5.1. High-resolution DEMs with low errors

Scaling errors in DEMs are important as they will affect any 2D distance or 3D volume measurements obtained from the DEMs (Carbonneau and Dietrich, 2016). Uncertainties in the DEMs are linked to the accuracy of SfM model (James et al., 2017b), and knowledge of the source and magnitude of error helps in interpreting the results.

15 The resolution and accuracy of SfM based DEMs also relies on image quality. Low-quality images used in SfM workflow reduces the resolution and accuracy of DEMs (Russell, 2016). It has been found that image acquisition geometry affects the output of SfM models (Carbonneau and Dietrich, 2016;Morgan et al., 2017). We acknowledge that controlling image acquisition geometry in the field will be difficult as the outcrop may not be accessible from all angles for image acquisition (e.g. a boulder on steeply sloping crater wall). The error in DEMs depends mainly on image quality and geometry and the method of georeferencing. Proper planning of image acquisition and high GCP accuracy can improve the accuracy of DEM. Image matching is a limiting factor for point cloud density, camera calibration, error related to model scaling and orientation in the SfM workflow and DEM accuracy (Mosbrucker et al., 2017). Image matching depends on image quality, lighting condition, surface texture and the complexity of the subject. Image quality depends on good exposure (which depends on camera settings and lighting conditions), sharpness (i.e. the entire subject in the image in focus), noise in the image (higher ISO), camera configuration (camera sensor and lens combination). Improvement in image matching reduces reprojection error which ultimately propagates high accuracy in the dense point cloud and DEM. We have achieved a horizontal accuracy of <0.5 mm for in situ generated DEM of boulders and bedrock. To our knowledge, this accuracy has not been reported before in the literature for SfM generated DEMs of rock outcrops generated in the field.

#### 5.1.1. DEM resolution

The resolution of the DEM depended on the resolution of camera sensor used, a distance of image acquisition from the object, quality of images and quality settings used for processing dense point cloud in Photoscan. Since a 24 MP camera was used and images were acquired <2 m from the boulder/bedrock resulted in DEM of resolution <1 mm/pixel. This resolution could be further increased if “Ultra High” quality settings would have been used while processing dense point cloud in Photoscan. Instead, “High” quality setting was chosen during processing dense point cloud because it cut down the time required to process DEM by 70-80% and resulted in a smaller DEM file size which can be easily handled in external analysis software (e.g. ArcGIS, Landserf).

40 In our experiment, we found that “medium” dense point quality setting does not dramatically deteriorate the horizontal and vertical accuracy of DEM. The “medium” quality DEM is good enough for geomorphological studies if time and computing power are a constraint. Given optimal lighting and weather conditions, this SfM

5 workflow can outperform laser scanning solutions for small surfaces (<10 m<sup>2</sup>). However, the performance of SfM based topographic data is affected by vegetation and shadows and texture of the surface of interest (Micheletti et al., 2015a; Smith et al., 2016).

### 5.1.2. DEM errors

10 Our experiment was conducted under controlled conditions to validate sub-mm horizontal and vertical accuracy using our triangle control target georeferencing approach. We obtained horizontal accuracy <0.60 mm and vertical accuracy of <0.45 mm in our experiment. The use of the prime lens at fixed focus will yield lower errors compared to a zoom lens for SfM photogrammetry, as suggested by Mosbrucker et al. (2017). Our experimental results suggest that prime lens had slightly better vertical accuracy compared to zoom lens when both lenses were used in autofocus mode. However, there is no statistically significant difference in the accuracy of DEMs generated from prime and zoom lens used at autofocus. The slightly higher errors due to using zoom lens in comparison with prime lenses is acceptable considering that it offers flexibility to choose a focal length (choice depends on the field of view) and its low cost. Most of the less expensive DSLR camera lenses do not come with a focusing scale. Lens set at autofocus is more suitable than those set at the fixed focus for acquiring images of outcrops in challenging and steep terrains such as crater walls. Lens set at autofocus allows us to take sharper images from a very close distance (few centimetres) as well as from farther away (few meters) from the rock outcrop without introducing issues associated with the hyperfocal distance of the camera system. Photoscan does an excellent job performing accurate autocalibration from EXIF data of the images. We found that using AdobeRGB colour space and tiff image compression improves the DEM accuracy. Prior Lens profile correction and the position of the control target had a negligible effect on the accuracy of DEM. Masking of images in our experiment did not reduce the processing time for DEM generation. We find that changing the position of the control target with respect to area of interest had an almost negligible effect on horizontal and vertical errors. For the field data, the horizontal checkpoint errors derived using rulers for Moenkopi outcrop DEMs in the field (Table 6) correspond to the results obtained in our experiment (Figure 4a). In some cases, the horizontal error was found to be lower in the field for a certain distance from the control target (Table 6) compared to the results obtained in the experiment (Figure 4a). This could be due to better image texture of weathered outcrops in the field compared to the reduced texture of our experiment subject (Figure 3). This is evident in the reprojection error and projection accuracy (Table 4 and 5). Some of the field DEMs have lower reprojection and projection error than the DEMs generated in the experiment. Photoscan provides an option to improve the reprojection errors and thus the overall error in DEMs if errors are high due to poor image matching. This can be performed using “gradual selection” tool in Photoscan to filter and remove tie points with high reprojection errors after image matching during stage 2 (see Table 2) of processing DEM (Agisoft, 2016).

### 5.2. Portable and affordable

40 For many projects, it is the budget, ease of use, and portability that require researchers to choose one technique over others. To date, relatively few studies have undertaken a cost-benefit, data acquisition rate, spatial coverage, operating conditions, resolution and accuracy analysis of the SfM with other topography data collection methods. Some researchers (e.g. Smith et al, 2016 and Wilkinson et al., 2016) have proposed that SfM photogrammetry ranks highly as it is the cheapest and has the highest resolution compared to other topographic data collection

5 methods (e.g. total station, differential GPS (dGPS), Terrestrial Laser Scanning (TLS), stereophotogrammetry). They also found that the speed of data acquisition and accuracy for SfM method is comparable to TLS and stereophotogrammetry in a close-range scenario. Our work supports their findings but goes further and outlines an approach to produce sub-mm resolution DEMs with sub-mm accuracy using ground-based, close-range SfM photogrammetry. The cost of the camera system (camera + zoom lens) used in this study is €460. The triangle  
10 control target used in this study cost less than €10. The educational licence of Agisoft Photoscan was purchased for €600 (a one time investment). The total cost of field equipment and software used in this study is well within the budget of a small research project. In addition, the total weight of the camera system and control target used is less than 1 kg. Our approach can be used in any scenario where high resolution, accurate DEMs and orthophotos are required (e.g., scaled laboratory experiments or small-scale features in the field). In addition, we have  
15 demonstrated an SfM photogrammetry approach that is relatively affordable, field-portable, fast and efficient method without requiring any prior information on camera position, orientation or internal camera parameters or the need for additional survey equipment.

### 5.3. Importance of microtopographic data in rock breakdown

We propose that the generation of microscale topographic data by methods described here will be important for  
20 the advancement of rock breakdown studies. Specific rock breakdown processes can leave a unique morphological signature on rock surfaces (Bourke and Viles, 2007). More often, the synergies linking breakdown processes, mechanisms and agents operate over a range of spatial and temporal scales (Viles, 2013) and can result in a palimpsest of features that represent a change in, e.g., weathering conditions (Ehlmann et al., 2008). As such, the breakdown is non-linear, and processes can exploit inheritance features and overprint them over time. Micro-scale  
25 DEMs will permit us to move from a predominant specific geomorphometry approach to a general geomorphometry approach, where, e.g., the relationships can be investigated. In addition, our approach will ease the cumbersome task of collecting morphometric data on individual weathering features in the field (e.g. Norwick and Dexter, 2002; Bruthans et al., 2018).

There are a number of areal surface roughness and geomorphometric parameters that can be applied to quantify  
30 rock breakdown (Leach, 2013; Lai et al., 2014; Davis et al., 2015; Du Preez, 2015; Trevisani and Rocca, 2015; Verma and Bourke, 2017). The ability to quantify surface change across an area rather than limited to specific points will aid interpretation of the causal links between controls and resultant landform development. This is particularly relevant for the recent developments in monitoring micro-climates (Mol and Viles, 2012; Coombes et al., 2013) of rock breakdown environments or in dynamic environments such as intertidal rock platforms (e.g.,  
35 Cullen et al, 2018).

Our companion paper (Cullen et al., 2018) shows the potential application of our approach and provides a  
comparison between the traditional method of measuring erosion on rock shore platforms using a  
Traversing/Micro Erosion Meter (T/MEM) with Structure from Motion (SfM) Photogrammetry. The results  
indicated that SfM Photogrammetry offers several advantages over the T/MEM allowing measurement of erosion  
40 at different scales on rock surfaces with low roughness while also providing a means for identifying different processes and styles of erosion. In addition the work demonstrated accuracy in the repeatability of measurements.

5 Diagnostic indices that reveal **morphometric** differences has been attempted at the landscape scale (e.g., Lyew-  
Ayee et al., 2007). The production of a high-resolution dataset for microscale weathering features offers an  
opportunity to test analysis routines such as semi-variogram, areal surface roughness and fractal analysis to  
identify patterns of the breakdown features at different scales (Inkpen et al., 2000; Viles, 2001; Fardin et al., 2004;  
10 Bourke et al., 2008; Leach, 2013). Areal surface fractal analysis of rock surfaces would help to elucidate on  
equifinality in the production of breakdown features and issue of distinguishing fossil from current forming  
features (Viles, 2001; Fardin et al., 2004).

Our approach permits the comparative study of weathering features in different environments and the same  
environment over time. The ability to replicate our approach to assemble a time-series of data (as outlined in a  
companion paper, Cullen et al. (2018), will facilitate the determination of weathering rates in the field **at seasonal**  
15 **and annual temporal scales** . This will assist with issues in extrapolating from the laboratory to the field where  
rates of weathering have traditionally been overestimated (Viles, 2001).

## 6. Conclusion

We have developed and tested a triangle coded control target which is used to register SfM generated dense point  
20 clouds to produce DEMs. We applied SfM photogrammetry on eight Moenkopi Sandstone outcrops near Meteor  
Crater, Arizona. **We found that the deployment** of existing techniques to generate high-resolution data not suitable  
for use in our remote and poorly accessible field terrains (e.g. crater wall, canyon). In this study, we have  
demonstrated that this challenge can be overcome by SfM photogrammetry. A triangle coded control target  
(GCPs) was specifically developed to a) compute local coordinates and b) used to georeference the 3D point cloud  
25 generated by SfM photogrammetry. This allowed generation of a sub-mm resolution DEM with sub-mm accuracy.  
We validated sub-mm accuracy in DEMs with an experimental approach. Our study demonstrated that it is  
possible to use our method to generate DEMs of rock outcrops ( $< 10 \text{ m}^2$ ) in the field to sub-mm horizontal and  
vertical accuracy. In optimal conditions (good lighting, weather and vegetation-free) local coordinate  
georeferencing workflow may outperform TLS for certain applications. Development of triangle coded control  
30 target not only helped to generate sub-mm resolution DEM but also permitted the automation of the SfM batch  
process workflow, generating a DEM as the end product. We anticipate that the ease of production of sub-mm  
resolution DEM without the use of any bulky survey equipment has the potential to transform the existing  
approach to small-scale topographic data acquisition and offers a promising solution to data collection challenges  
in the confined laboratory and difficult field conditions. The SfM workflow in this study provides an easy, simple,  
35 quick and relatively affordable method to generate 3D topographic data for weathering features in hard to access  
terrains. The high-resolution DEMs of rocks surfaces in this study facilitate faster data collection and offers a  
potential solution to overcome many challenges in the field, including short and long-term monitoring of micro  
to meso-scale erosion in dynamic environments (Cullen et al. 2018).

40

## 5 Author contribution

A.K.V. developed the control target and designed the SfM experiment with input from M.C.B. A.K.V. collected, processed and analysed field and experimental data. A.K.V. wrote the manuscript with guidance, discussion and editing from M.C.B.

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