

# Entrainment and suspension of sand and gravel

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**Abstract.** Entrainment and suspension of sand and gravel is important for the evolution of rivers, deltas, coastal areas and submarine fans. The prediction of a vertical profile of suspended sediment concentration typically consists of assessing 1) the concentration near the bed using an entrainment relation and 2) the upward vertical distribution of sediment in the water column. Considerable uncertainty exists in regard to both of these steps, and especially the near-bed concentration. Most entrainment relations have been tested against limited grain-size specific data, and no relations have been evaluated for gravel suspension, which can be important in bedrock and mountain rivers. To address these issues, we compiled a database with suspended sediment data from natural rivers and flume experiments, taking advantage of the increasing availability of high-resolution grain-size measurements. We evaluated 12 dimensionless parameters that may determine entrainment and suspension relations, and applied multivariate regression analysis. A best-fit two-parameter equation ( $r^2 = 0.79$ ) shows that near-bed entrainment, evaluated at 10% of the flow depth, decreases with the ratio of settling velocity to skin-friction shear velocity to ( $w_{si}/u_{*skin}$ ), as in previous relations, and increases with Froude number ( $Fr$ ), possibly due to its role in determining bedload-layer concentrations. We used the Rouse equation to predict concentration upward from the reference level, and evaluated the coefficient  $\beta_i$ , which accounts for differences in the turbulent diffusivity of sediment from the parabolic eddy viscosity model used in the Rouse derivation. The best-

fit relation for  $\beta_i$  ( $r^2 = 0.40$ ) indicates greater relative sediment diffusivities for rivers with greater flow resistance, possibly due to bed-form induced turbulence, and larger  $w_{si}/u_{*skin}$ ; the latter dependence is nonlinear, and therefore different from standard Rousean theory. In addition, we used empirical relations for gravel saltation to show that our relation for near-bed concentration also provides good predictions for coarse-grained sediment. The new relations extend the calibrated parameter space over a wider range in sediment sizes and flow conditions as compared to previous work, and result in 95% of concentration data throughout the water column predicted within a factor of nine.

## 1 Introduction

Suspension of sediment by water plays a critical role in the dynamics of rivers, river deltas, shallow marine environments, and submarine canyons and fans. For example, suspended sediment dominates the load of lowland rivers and builds land in subsiding river deltas and coastal landscapes (Ma et al., 2017; Syvitski et al., 2005). Transport of sediment on the continental shelf is dominated by suspension of mud and sand due to wave and current bottom traction (Cacchione et al., 1999; Nittrouer et al., 1986). Suspended sediment provides the negative buoyancy of turbidity currents that move sand and gravel to the deep sea. Suspension of gravel is important in large floods, such as outburst floods (Burr et al., 2009; Larsen and Lamb, 2016), and in steep mountain canyons (Hartshorn et al., 2002), where it can contribute to bedrock erosion (Lamb et al., 2008a). Suspended sediment transport also is important in landscape engineering, such as river restoration (Allison and Meselhe, 2010), fish habitat (Mutsert et al., 2017), and the capacity of dams and reservoirs (Walling, 2006). The balance between entrainment and deposition from suspension determines patterns of deposition and erosion in these environments and therefore controls landform morphology and stratigraphic evolution (Garcia and Parker, 1991; Paola and Voller, 2005). To predict suspended sediment flux across these environments, we need robust theory for the entrainment of sediment from the bed and the vertical distribution of suspended sediment in the water column.

We focus here on understanding the suspended sediment load of cohesionless grains that are entrained from the bed (i.e., suspended bed material), rather than wash load. Most models for sediment suspension are based on application of Rouse theory (Rouse, 1937; Vanoni, 1946),

$$\frac{C}{C_a} = \left[ \frac{\frac{H-z}{z}}{\frac{H-a}{a}} \right]^P, \quad (1)$$

where  $C$  [ $L^3/L^3$ ] is the volumetric sediment concentration at elevation ( $z$  [L]) above the bed,  $C_a$  [dimensionless] is the reference near-bed concentration at  $z = a$  [unit: L] and,  $H$  [L] is the flow depth.  $P$  denotes the dimensionless Rouse number,

$$P = \frac{w_s}{\beta \kappa u_*}, \quad (2)$$

in which  $w_s$  [L/T] is the particle settling velocity,  $\kappa$  is the dimensionless von Karman constant of 0.41,  $u_*$  [L/T] is the bed shear velocity and  $\beta$  is a dimensionless factor that accounts for differences between turbulent diffusivity of sediment and the parabolic eddy viscosity model used to derive the Rouse equation (e.g. Graf and Cellino, 2002). Although more sophisticated models exist, some of which abandon the Rouse theory entirely in favor of a more rigorous turbulence model (Mellor and Yamada, 1982), the Rouse equation remains a useful and tractable approach for modeling and field application (Graf and Cellino, 2002; van Rijn, 1984; Wright and Parker, 2004b). The Rouse equation was derived assuming an equilibrium suspension where the upwards volumetric flux of sediment per unit area due to turbulence ( $F_z$  [L/T]) is balanced by a downwards gravitational settling flux ( $C w_s$ ) (Fig. 1) (Rouse, 1937). It predicts the shape of the concentration-depth profile, with a greater gradient in concentration for larger  $P$  (Fig. 1). By mass balance, the difference between entrainment,  $F_{za}$ , and settling,  $C_a w_s$ , fluxes per unit area near the bed (at  $z = a$ ) controls the bed deposition rate,  $dz_b/dt$  [L/T] (Parker, 1978), i.e.,

$$(1 - \lambda) \frac{dz_b}{dt} = F_{za} - C_a w_s = w_s (E_s - C_a), \quad (3)$$

where  $\lambda$  [dimensionless] is the bed porosity, and  $E_s \equiv F_{za}/w_s$  is a dimensionless sediment entrainment rate or entrainment parameter (Garcia and Parker, 1991). At steady state, Equation (3) reduces to  $C_a = E_s$ ; thus, the near-bed entrainment rate,  $E_s$ , is necessary both to predict the vertical distribution of suspended sediment at steady state (i.e.,  $C_a$  in Eq. (1)), and the rate of erosion and deposition for disequilibrium suspensions (Eq. (3)).

Application of Eqs. (1) – (3) requires specification of  $\beta$ ,  $E_s$  and  $a$ , and the approach in previous work has  
85 been to identify important dimensionless variables and fit data from flume experiments and natural rivers  
to these variables (e.g., Smith and McLean, 1977; van Rijn, 1984; Garcia and Parker, 1991). Owing to  
differences in datasets analyzed and the dimensionless variables explored, there is considerable deviation  
in the form of previous models and their predictions (Figs. 2 & 3, Table 1 & 2). For example, Einstein  
(1950), Engelund and Fredsøe (1976), van Rijn (1984) and Smith and McLean (1977) used a relation for  
90  $a$  that depends primarily on grain diameter ( $D$  [L]), and secondarily on  $u_* / w_s$  or Shields number,  $\tau_* =$   
 $\tau_b / (\rho_s - \rho_w) g D$  (where  $\tau_b$  [ $\text{ML}^{-1}\text{T}^{-2}$ ] is bed stress,  $\rho_s$  [ $\text{M/L}^3$ ] is sediment density and  $\rho_w$  [ $\text{M/L}^3$ ] is fluid  
density). Their rationale was based on the idea that there is a well-mixed near-bed zone of bedload  
transport, and that suspended sediment is entrained from this zone (Fig. 1B). Thus, they developed  
relations for  $a$  that scale with the height of bedload saltation. In contrast, Garcia and Parker (1991) and  
95 Wright and Parker (2004b) argued for a simpler approach and used a reference height that is a small  
fraction of the flow depth, and they proposed  $a = 0.05H$  as a useful reference height, with no dependence  
on  $D$ .

In practice the factor  $\beta$  is often neglected (i.e.,  $\beta = 1$ ) under the assumption that the parabolic eddy  
100 viscosity assumption used to derive Eq. (1) represents well the turbulent transport of fine suspended  
sediment.  $\beta < 1$  in Eq. (2) has been attributed to damping of turbulence due to sediment-induced  
stratification, which alters the eddy viscosity (Einstein, 1955; Graf and Cellino, 2002; Wright and Parker,  
2004a), and to flocculation, which increases the settling velocity of fine-grained sediment (e.g. Bouchez  
et al., 2011; Droppo and Ongley, 1994). Some formulas (van Rijn, 1984; Santini et al., 2019) and datasets  
105 (Graf and Cellino, 2002; Lupker et al., 2011) indicate  $\beta > 1$ , which implies enhanced mixing of sediment  
relative to momentum (Table 1; Fig. 2). Graf and Cellino (2002) showed that turbulence generated by  
bedform roughness results in better sediment mixing and thus in a higher  $\beta$ . Field and flume data (Chien,  
1954; Coleman, 1970; van Rijn, 1984) indicate that  $\beta$  is greater than unity for the coarse grain-size fraction  
of the suspended material (Greimann and Holly Jr, 2001). Nielsen and Teakle (2004) argued that the  
110 Fickian diffusion model in the derivation of the Rouse equation is not valid for steep concentration

gradients, resulting in  $\beta > 1$ . Most previous relations show a trend of decreasing  $\beta$  with increasing  $u_*/w_s$  (van Rijn, 1984; Graf and Cellino, 2002; Wright and Parker, 2004b) (Fig. 2a), which could be due to turbulence damping associated with high concentration suspensions. Wright and Parker (2004b) showed that  $\beta$  is also a function of reference concentration divided by slope ( $C_a/S$ ) (Fig. 2b), which they attributed to sediment stratification. Santini et al. (2019) found that  $\beta$  is a function of  $u_*/w_s$  and the ratio between flow depth and bed grain size ( $H/D$ ) (Fig. 2c).

Compared to  $\beta$ , even larger uncertainty exists the dimensionless entrainment rate,  $E_s$ . In previous work  $E_s$  was assessed by measuring the near-bed concentration for equilibrium suspensions, since  $E_s = C_a$  under those conditions (i.e.,  $\frac{dz_b}{dt} = 0$  in Eq. 3). Previous work found that  $C_a$  was a function of bed stress and grain size (Fig. 3; Table 2) (Einstein, 1950; Engelund and Fredsoe, 1976; Smith and McLean, 1977; van Rijn, 1984; Cedik and Rodi, 1984; Akiyama and Figushima, 1986, Garcia and Parker, 1991; Wright and Parker, 2004b). While holding bed stress constant, most relations predict smaller  $C_a$  with increasing grain size across the sand regime, as expected, but some surprisingly show increasing  $C_a$  for coarse sands and gravel (Akiyama and Figushima, 1986, Garcia and Parker, 1991; Wright and Parker, 2004b) (Fig. 3a, 3c). Due to the greater weight and settling velocity of larger particles, this behavior is unrealistic and likely occurs because these coarse particles are outside of the data range used to fit the relations. Despite the importance of gravel suspension in steep mountain rivers and megafloods, data do not exist to evaluate the models in the gravel regime. All relations show increasing  $C_a$  with bed stress, as expected, but there are orders of magnitude differences in the predictions for  $C_a$  (Fig. 3b). Part of this deviation is due to differences in the reference level ( $z = a$ ) (Fig. 3b); but even when using a common reference level ( $a = 0.05H$ ; Fig. 3d), significant variability still exists. Some of the variance is also due to extrapolating the models beyond the range in which they were calibrated; for example, van Rijn (1984) predicts concentrations greater than 100% at high shear stresses.

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We revisited the problem of sediment entrainment and suspension of cohesionless bed sediment by compiling a large database of sediment-size specific data for bed-sediment mixtures, testing existing

relations against the database, and proposing improved relations for  $E_s$  and  $P$ . Several existing formulas for  $E_s$  are applicable only to uniform sized bed sediment (Akiyama, 1986; Celik and Rodi, 1984; Einstein, 1950; Engelund and Fredsøe, 1976; van Rijn, 1984; Smith and Mclean, 1977) (Table 2). However, given the strong control of grain size on near-bed concentrations, accurate formulas likely need to make grain-size specific predictions for sediment mixtures (Garcia and Parker, 1991; McLean, 1992; Wright and Parker, 2004b). Our database takes advantage of high resolution grain-size measurements using laser diffraction (Lupker et al., 2011; Gitto et al., 2017; Haught et al., 2017; Santini et al., 2019), which allow a single concentration profile to be separated into many grain-size specific concentration profiles. Our dataset also contains a wide range grain sizes, extending into the silt regime (median bed sizes range from 44  $\mu\text{m}$  to 517  $\mu\text{m}$ ), and expands on the number of field measurements compared to previous efforts. We are unaware of studies on  $C_a$  for gravel, but data does exist for saltation heights, velocities and bedload fluxes for gravel, and calibrated relations for these variables also exist (Chatanantavet et al., 2013; Sklar and Dietrich, 2004). To attempt to better constrain suspension of very coarse sand and gravel, we used existing semi-empirical saltation theory for gravel to check for consistency between sand suspension data and what might be expected for near-bed gravel concentrations.

## 2 Methods

### 2.1 Suspended sediment profiles

Based on previous theory, we searched for available datasets from rivers and flume experiments that had suspended sediment profiles ( $C(z)$ ), depth-averaged flow velocity ( $U$ ), flow depth ( $H$ ), channel bed slope ( $S$ ) bed material grain-size ( $D$ ), and the grain-size distribution of the bed material and suspended sediment samples (Table 3; Table S1). Some of the experimental studies used a narrow grain-size distribution, and, like previous work, we assumed that the sediment distribution from these studies was uniform. Many of the older datasets were used in empirical regressions from previous relations (e.g. Garcia and Parker, 1991; van Rijn, 1984; Wright and Parker, 2004b). In addition, we used a river dataset from the Yellow River (Moodie, 2019), which provides a fine-grained end member. In total, our database contains 180 concentration profiles from 8 rivers and 62 profiles from 6 different experimental studies. We analyzed

only the grain fractions coarser than  $62.5 \mu\text{m}$  (i.e., sand). The mud fraction was present on the bed only  
165 in small amounts, and following previous work, mud was assumed to require a different approach,  
potentially due to supply limitation (Garcia, 2008), cohesion or flocculation. Grain-size distributions in  
older studies were determined from sieve analysis of bed material and suspended sediment samples. The  
more recent studies used laser diffraction techniques, which have the advantage that a larger number of  
grain-size classes can be distinguished. We calculated the grain-size specific suspended sediment  
170 concentration ( $C_i$ ) using

$$C_i = f_i C_{tot}, \quad (4)$$

where  $f_i$  [dimensionless] is the mass fraction of grains of the  $i$ -th size and  $C_{tot}$  is the total suspended  
sediment concentration for all sizes. In addition, we computed the  $D_{50}$  (median grain size) and  $D_{84}$  of the  
bed material using linear interpolation between the logarithm of  $D$  and the cumulative size distribution.

175 Concentration profiles in the database typically contain 3 to 8 measurements in the vertical dimension.  
The Rouse profile was fitted to the profile data for each grain-size class in log-transformed space using  
linear least squares to find  $P_i$  (Fig. 4a). Confidence bounds (68%;  $1 \sigma$ ) for the fitted coefficients were  
obtained using the inverse R factor from QR decomposition of the Jacobian. Data were excluded from  
180 further steps in the analysis if the ratio between the upper and lower bound of the confidence interval was  
greater than 10 or smaller than 0.01, as these data do not follow a Rouse relation for unknown reasons  
(e.g., measurement error), and would appear as sparse outliers. Of the data analyzed, 201 points (15%)  
were excluded based on these criteria. Some studies (Bennett et al., 1998; Muste et al., 2005) have shown  
that  $P$  (or  $\beta$ ) can vary over the flow depth, but this effect cannot be incorporated into our approach; instead  
185 we found one value of  $P_i$  that best fit the concentration profile for each grain size class.

We used the Rouse equation (Eq. 1) for each grain-size class to extrapolate or interpolate the concentration  
to a reference level at 10% of the flow depth, i.e., we set  $a = 0.1H$  and  $C_{ai} = C_i(z = a)$ . Extrapolation to  
very near the bed can be difficult due to large concentration gradients and poorly constrained near-bed  
190 processes such as interactions with bedforms. However, a reference level that is too far away from the  
bed may poorly capture the exchange of sediment with the bed. Previous researchers used reference levels

that were either at some fraction of the flow depth (Akiyama, 1986; Celik and Rodi, 1984; Garcia and Parker, 1991; Wright and Parker, 2004b) or that were related to the bed roughness height (Einstein, 1950; Engelund and Fredsøe, 1976; Garcia and Parker, 1991; Smith and Mclean, 1977) (Table 2). We explored  
 195 the collapse of the entrainment data for both types of reference levels. For each reference level, we fit our preferred and best-fitting two-parameter entrainment relation (as described in the Results) to the data with  $u_{*skin}/w_{si}$  as its first parameter and Froude number as the second parameter. We found that a reference level at a fraction of the flow depth gave a better collapse of the entrainment data than a reference level related to the saltation layer height. Furthermore, we also tested different flow-depth fractions and found  
 200 that the fit improved, in a least-squared sense, as the reference level moved to a larger fraction of the flow depth (Fig. 4B). However, there is little change in  $r^2$  once the reference level is higher than  $\sim 10\%$  of the flow depth. Therefore, we used a reference level at 10% of the flow depth for all results shown below.

For sediment mixtures, the grain-size specific near-bed concentration is partially controlled by the fraction  
 205 of each grain-size class in the surface bed material. To account for this effect, Garcia and Parker (1991) introduced an entrainment rate ( $E_{si}$ ) for each grain-size class that is linearly weighted by the fraction of that material in the bed:

$$E_{si} = \frac{C_{ai}}{F_{bi}}, \quad (5)$$

where  $C_{ai}$  is the near-bed concentration of that grain-size class and  $F_{bi}$  is the mass fraction of bed material  
 210 that falls in that grain-size class. For uniform sediment, the entrainment rate ( $E_{si}$ ) is equivalent to the near-bed concentration ( $C_{ai}$ ).

## 2.2 Independent parameters and profile fitting

Here we review the independent parameters that we evaluated for dependencies with dimensionless  
 entrainment rate,  $E_{si}$ , and for  $\beta_i$  in the Rouse number. The primary group of parameters describes the ratio  
 215 between bed stress and grain size or grain settling velocity. These parameters include the ratio between shear velocity and settling velocity ( $u_*/w_s$ ), where we evaluated the total shear velocity as,

$$u_* = \sqrt{\tau_b/\rho_w} = \sqrt{gHS}, \quad (6)$$



assuming steady, uniform unidirectional flow. Others have proposed that entrainment depends on the skin-friction portion of the total shear stress,  $u_{*skin}$ , rather than the total shear stress. To estimate  $u_{*skin}$ , we used the Manning-Strickler relation,

$$\frac{U}{u_{*skin}} = 8.1 \left( \frac{H_{sk}}{k_s} \right)^{1/6}, \quad (7)$$

where  $k_s = 3 D_{84}$  is the grain roughness on the bed,  $H_{sk}$  is the depth due to skin friction and  $u_{*skin} = \sqrt{gH_{sk}S}$  (e.g., Wright and Parker, 2004b). To calculate the particle settling velocity, we followed Ferguson and Church (2004) for each grain-size class,

$$w_{si} = \frac{RgD_i^2}{C_1\nu + (0.75C_2RgD_i^3)^{0.5}}, \quad (8)$$

in which  $R = (\rho_s - \rho_w)/\rho_w$  is the submerged specific density of sediment,  $\nu$  is the kinematic viscosity of the fluid,  $C_1 = 18$  and  $C_2 = 1$  are constants set for natural sediment,  $D_i$  is the grain diameter within the size class of interest. Another parameter that relates to the ratio between bed stress and gravity acting on the grains is the Shields number,

$$\tau_* = \frac{\tau_b}{(\rho_s - \rho)gD_i}, \quad (9)$$

and we again assumed steady, uniform flow to find  $\tau_b = \rho gHS$ . Similar to the shear velocity, it is also possible to calculate a Shields number for the skin-friction component of the total shear stress,

$$\tau_{*skin} = \frac{\tau_{skin}}{(\rho_s - \rho)gD_i}, \quad (10)$$

where  $\tau_{skin} = \rho u_{*skin}^2$  by definition. Shields numbers can be rewritten in terms of  $u_*/w_{si}$  through use of a particle drag coefficient,

$$C_d = \frac{RgD_i}{w_{si}^2}, \quad (11)$$

which we also evaluated (i.e.,  $\tau_* = \frac{\tau_b}{(\rho_s - \rho)gD_i} = \left( \frac{u_*}{w_{si}} \right)^2 \frac{1}{C_d}$ ).

The next group of parameters describes dimensionless particle sizes, including the particle Reynolds number,

$$R_p = \frac{u_*D_i}{\nu}. \quad (12)$$

Likewise, this parameter can also be calculated with the skin-friction component of the shear velocity,

$$R_{p,skin} = \frac{u_{*skin} D_i}{\nu}. \quad (13)$$

A particle Reynold number can be defined without shear velocity as,

$$245 \quad Re_p = \frac{\sqrt{RgD_i} D_i}{\nu}. \quad (14)$$

For sediment mixtures, the relative particle size might play a role due to hiding and exposure effects (Garcia and Parker, 1991; Wright and Parker, 2004b); this effect can be captured with  $\frac{D_i}{D_{50}}$ . Sediment-induced density stratification can decrease entrainment by damping near-bed turbulence, and this effect is thought to be most important in deep, low gradient rivers (Wright and Parker, 2004b). Wright and  
 250 Parker (2004b) proposed that the ratio of near-bed concentration to bed slope is a good predictor for stratification,  $\frac{C_a}{S}$ , where they used  $C_a$  at 5% of the flow depth. Large, low gradient rivers also have small Froude numbers and low bed slopes, so we evaluated Froude number and slope as additional parameters. Froude number was calculated as:

$$Fr = \frac{U}{\sqrt{gH}}. \quad (15)$$

255 The entrainment rate could also be affected by turbulence or changes to the boundary layer from bed roughness or bedforms, which tend to correlate with a flow resistance friction coefficient (e.g., Engelund and Hansen, 1967),

$$C_f = \frac{u_*^2}{U^2}. \quad (16)$$

260 We also evaluated  $H/D_{50}$  as a proxy for flow resistance due to grain roughness.

In order to find relations that explain the variation in our best fit  $E_{si}$  and  $P_i$  values from the vertical concentration profiles, we regressed the  $E_{si}$  and  $P_i$  values against the 12 variables described above (Eq. 6 – 16). In some applications, like reconstructing flow conditions from sedimentary strata, it is useful to  
 265 have an entrainment relation that depends on  $u_{*skin}$ , while for forward modeling a relation based on  $u_*$  is preferred. The two shear velocities are highly correlated; therefore, we explored two versions of the fit relations using either  $u_*$  or  $u_{*skin}$ , but not both at the same time. Because the Rouse parameter,  $P_i$ , by

definition depends inversely on  $u_*/w_{si}$  (or  $u_{*skin}/w_{si}$ ) (Eq. 2), we found the best fit relations for  $P_i$  rather than  $\beta_i$  to avoid spurious correlation. We then solved for the equivalent relation for  $\beta_i$  using the definition of  $P_i$  (Eq. 2). We started the analysis by testing all models with one explanatory variable and ranked the models according to the coefficient of determination from linear least squares regression ( $r^2$ ) evaluated in log-log space. Next, a second parameter was used in addition to first best-fitting parameter and the resulting two-parameter models were ranked according to  $r^2$ . The procedure was repeated with additional parameters until the increase in  $r^2$  was smaller than 0.04. For the fitting of multi-parameter models, we varied the exponents on each parameter in the model simultaneously to find the combination of exponents that yielded the best fit. This approach gave a higher  $r^2$  compared to the stepwise approach used in previous work (e.g., Garcia and Parker, 1991) of first fitting the dominant variable and then fitting the secondary variables to the residuals. In addition, we tested fitting with the York method (Table S2), which gives less weight to data with large errors (York, 1968), but found only minor differences and so all results presented use the simpler linear least-squares method. All parameters were used to evaluate relations for  $E_{si}$  and  $\beta_i$ , except for  $D_i/D_{50}$ , which we used for only  $E_{si}$  since it is relevant for particle-particle interactions at the bed surface. In the results we report two versions of the best fitting one, two and three parameter models: one version that is based on the total bed shear stress and one version that is based on the skin-friction component of the bed shear stress. Model fits using all possible combinations of the input parameters are given in Table S2.

### 2.3 Comparison to theory for gravel

Although gravel suspension is important in bedrock and steep mountain rivers, and during megafloods, we are not aware of datasets of near-bed concentration in the gravel range. Following previous work (McLean, 1992; Lamb et al., 2008a), our approach was to derive the near-bed concentration in the bedload layer, and then use Rouse theory to predict that concentration at  $0.1H$  to compare with the sand dataset (e.g., Fig. 1B). The near-bed volumetric concentration within the bedload layer can be calculated by continuity as

$$C_b = \frac{q_b}{(H_b U_b)}, \quad (17)$$

where  $q_b$  [ $L^2/T$ ] is the volumetric bedload flux per unit width,  $H_b$  [L] is the bedload layer thickness and  
 295  $U_b$  [L/T] is the bedload velocity. Most relations for bedload flux take the form

$$\frac{q_b}{\sqrt{(RgD^3)}} = a(\tau_* - \tau_{*c})^b, \quad (18)$$

where  $a$  and  $b$  are empirical constants, which we set to  $a = 5.7$  and  $b = 1.5$  (Fernandez Luque and van  
 Beek, 1976), and  $\tau_{*c}$  is the critical Shields number at initial motion, which we set to Lamb et al. (2008b)

$$\tau_{*c} = 0.15S^{0.25}. \quad (19)$$

300 The bedload layer height and velocity were determined from Chatanantavet et al. (2013). They compiled  
 a large dataset of gravel saltation observations and found a good fit with the following relations:

$$\frac{U_b}{U} = 0.6 \quad , \quad (20)$$

$$\frac{H_b}{H} = 0.6 \left( Fr \left( \frac{D_i}{H} \right)^2 \right)^{0.3}. \quad (21)$$

Equations (17) – (21) were combined with a flow resistance relation (Eq. 7, assuming no form drag) and  
 305  $C_d = 0.7$  for gravel (Lamb et al., 2017) to calculate  $C_b$  in the bedload layer using a numerical iterative  
 scheme. Manipulating the equations revealed that  $C_b$  is a function of only  $\tau_*$  and  $Fr$ . To calculate  $C_a$  for  
 gravel, we extrapolated the concentration profile (Fig. 1b) from the top of the saltation layer to  $0.1H$  using  
 the Rouse equation (Eq. 1). To obtain the Rouse number, we used our best-fit one-parameter model that  
 uses total shear velocity ( $u_*$ ) (see Table 4). We then used a wide range of input parameters ( $0.1 < Fr < 1$   
 310 and  $1 < \tau_* < 1000$ ) relevant to suspension of gravel in mountain rivers and large floods to predict a range  
 of expected values of  $C_a$  for gravel. Although Eqs. (20) and (21) have not been tested for high Shields  
 numbers in the suspension regime, they have reasonable limiting values ( $U_b = 0.6U$ ,  $H_b = H$ ) and provide  
 a starting place to compare sand entrainment and gravel saltation theories.

### 3 Results

#### 315 3.1 Rouse number, $P_i$

Equation (1) was fit to the concentration profile data where  $P_i$  was treated as a fitting parameter. Then  $P_i$   
 was regressed against the hypothesized controlling variables (Eqs. 11-16). Figure 5 and Table 4 show the  
 results for  $P_i$  including our best fitting one-, two- and three-parameter models. The variable with the most

explanatory power is  $u_*/w_{si}$  ( $P_i = (u_*/w_{si})^{-0.45}$ ;  $r^2 = 0.33$ ). The best-fit two-parameter model that includes  $C_f$  is better than the predictions of the one-parameter model ( $r^2 = 0.40$ ). Going from a two-parameter model to a three-parameter model brings a smaller improvement ( $r^2 = 0.43$ ). Therefore, we recommend the two-parameter model for combined accuracy and simplicity:

$$P_i = 0.145 \left( \frac{u_{*skin}}{w_{si}} \right)^{-0.46} C_f^{-0.3}, \quad (22)$$

although there are a number of models using different variables that yield similar  $r^2$  values (Table S2), and some combinations might be preferred over others depending on the application. Eq. (22) indicates that sediment is better mixed in the water column with larger  $u_{*skin}/w_{si}$  and with larger bed roughness coefficient,  $C_f$ . Equation (22) performs well compared to previous relations, as is shown by a boxplot of measured-to-predicted ratios (Fig. 6). For the best-fit two-parameter model, the measured-to-predicted ratio falls between 0.74 and 1.29 for 50% of the data. Using a Rouse number with a constant  $\beta = 0.94$  also provides a reasonably good fit and an improvement over several more involved relations (Fig. 6).

Equation (22) can be rewritten for  $\beta_i$  using Eq. (2), and by assuming that  $u_*$  in Eq. (2) is actually  $u_{*skin}$ , as

$$\beta_i = 17.24 \left( \frac{u_{*skin}}{w_{si}} \right)^{-0.54} C_f^{0.3}, \quad (23)$$

Because some of the dimensional quantities appear in multiple dimensional variables, and because the dimensional variables are not necessarily independent from each other, we tested for spurious correlations by rearranging the two-parameter relation for  $\beta_i$  to isolate the dimensional dependencies on grain size and skin-friction shear velocity (Fig. 7). The data and our model show a decrease in suspended sediment mixing in the water column with larger grain sizes, as expected (Fig 7a). Previous relations show the same trend, but the relations of van Rijn (1994) and Graf and Cellino (2002) have stronger dependencies in the sand regime. Suspended sediments are better mixed with increasing skin-friction shear velocity (Fig. 7b). The data suggest that  $P_i$  varies proportionally to  $u_*^{-0.4}$  whereas standard Rouse theory (Eq. 2) indicates that  $P_i$  is proportional to  $u_*^{-1}$ .

### 3.2 Dimensionless entrainment rate, $E_{si}$

345 Results for the best fit dimensionless entrainment rate is shown in Figure 8 and Table 5, and all possible variable combinations are given in Table S1. The following one-parameter relation gives the best fit with the data for  $E_{si}$  ( $r^2 = 0.61$ ) (Fig. 8a):

$$E_{si} = 4.23 \times 10^{-5} \left( \frac{u_{*skin}}{w_{si}} \right)^{1.94}. \quad (24)$$

Froude number was the most significant second parameter:

350 
$$E_{si} = 4.74 \times 10^{-4} \left( \frac{u_{*skin}}{w_{si}} \right)^{1.77} Fr^{1.18}, \quad (25)$$

which has a significantly better fit ( $r^2 = 0.79$ ) than the best fitting one-parameter relation (Fig. 8b). Addition of a third variable to the model gives little further improvement of the fit (Fig. 8c;  $r^2 = 0.80$ ), and many of the variables used as a third parameter give a similar level of improvement (Table S1). Using Eq. (25), 80% of the entrainment data is predicted within a factor of 3 (Fig. 8b; Fig. 9). Along with our  
355 proposed new relation (Eq. 25), we also compared the dataset against the previous relations (Fig. 9). The boxplots in Figure 9 highlights that some relations systematically under predict (Wright and Parker, 2004b) or over predict entrainment rate (Garcia and Parker, 1991). In addition, previous relations have a larger spread in measured-to-predicted ratios than Eq. (25). To check for spurious correlation in the dimensionless variables, we rearranged our two-parameter entrainment relation (Eq. 25) to isolate the  
360 dependencies of entrainment rate on grain size (Fig. 10a) and skin-friction shear velocity (Fig. 10b). Our relation indicates that entrainment depends on grain size to the -3.1 power in the sand range, whereas previous relations suggest a much weaker dependence. Compared to previous work, our relation also suggests a relatively weak dependence on skin-friction shear velocity ( $E_{si} \propto u_{*skin}^{1.77}$ ).

365 Similar to Garcia and Parker (1991) and Wright and Parker (2004b), we modified equation (25) such that the predicted entrainment rate is limited at 0.3, as total suspended sediment concentrations greater than 30% by volume are not physically reasonable for dilute, turbulent flows. In addition, a threshold (0.015), best fit by eye, was added to the entrainment relation because the concentration data fall below the trend of the regression relation at the lower flow strengths (Fig. 8b), suggesting a threshold of significant  
370 sediment entrainment at the reference level. The resulting equation has the following form (Fig. 8b):

$$E_{si} = \frac{4.74 \times 10^{-4} \left( \left( \frac{u_{skin}^*}{w_s} \right)^{1.5} Fr - 0.015 \right)^{1.18}}{1 + 3 \left( 4.74 \times 10^{-4} \left( \left( \frac{u_{skin}^*}{w_s} \right)^{1.5} Fr - 0.015 \right)^{1.18} \right)} \quad (26a)$$

The equivalent formula for the best-fit two parameter model without a form drag correction (Table 5) is

$$E_{si} = \frac{7.04 \times 10^{-4} \left( \left( \frac{u^*}{w_s} \right)^{0.945} Fr - 0.05 \right)^{1.81}}{1 + 3 \left( 7.04 \times 10^{-4} \left( \left( \frac{u^*}{w_s} \right)^{0.945} Fr - 0.05 \right)^{1.81} \right)} \quad (26b)$$

### 3.3 Predicting sediment concentration

375 In Sections 3.1 and 3.2 we found the best-fit models for the dimensionless entrainment rate ( $E_{si}$ ) and  
Rouse number ( $P_i$ ). However, ultimately, we want to predict sediment concentration throughout the water  
column, and the best-fit models for ( $E_{si}$ ) and ( $P_i$ ) do not necessarily combine to yield the best-fit model  
for sediment concentration owing to non-linearity in  $E_{si}$ ,  $P_i$  and the Rouse equation (Eq. 1). Here we used  
different combinations of our preferred one-, two- and three-parameter models for entrainment ( $E_{si}$ ) and  
380 Rouse number ( $P_i$ ) to predict the grain-size specific concentrations at each data point in the water column  
for all our entries in the database, and assessed model performance (Table 6). The concentration  
predictions improve as more parameters are added to the entrainment model, whereas a Rouse model with  
more than one parameter makes the predictions worse (Table 6). Our preferred model for concentration  
throughout the water depth uses the two-parameter  $E_{si}$  model to predict the entrainment rate ( $E_{si} = 4.74 \times$   
385  $10^{-4} \left( \frac{u_{skin}^*}{w_{si}} \right)^{1.77} Fr^{1.18}$ ) and the one-parameter model for the Rouse number ( $P_i = (u_* / w_{si})^{-0.45}$ ) (Fig  
11). Such a model gives significantly better predictions than the most basic formulation that uses one-  
parameter models for entrainment and Rouse number ( $r^2$  of 0.87 versus 0.65; Table 6). The goodness of  
fit also is fairly constant over the height of the flow; the upper 1/3 of the flow has a slightly lower  $r^2$  (0.80)  
than the predictions from the lowest 1/3 of the flow ( $r^2 = 0.89$ ) (Fig. 11). Ninety five percent of the data  
390 are predicted within a factor of 9.

### 3.4 Extension to gravel

The suspended sediment data that we used to calibrate the entrainment relation covers material in the sand range. To evaluate how our entrainment relation performs for coarser suspended sediment, we used the empirical saltation equations for gravel to infer bedload-layer concentrations,  $C_b$ , and interpolated these  
395 to the reference level ( $0.1H$ ) to infer  $C_a$  for gravel (Section 2.3). Importantly, the gravel concentrations at the reference height can be predicted from the saltation model (Section 2.3) using only the independent parameters of  $Fr$  and  $\tau_*$ , similar to our best fitting two-parameter entrainment model. To compare to gravel, we assumed a uniform sediment size and used the version of our best-fit two parameter model that does not include a form-drag correction (Eq. 26b). This was done because the gravel saltation studies  
400 typically were performed over a planar bed, whereas form drag likely played a significant role in the sand data sets owing to dunes. The marked parameter space on Figure 12 shows the expected range of  $C_b$  and  $C_a$  for a wide range of model input parameters for gravel:  $0.1 < Fr < 1$  and  $1 < \tau_* < 1000$ . Predicted concentrations in the gravel bedload layer are up to several orders of magnitude higher than the predictions from our entrainment relation at 10% of the flow depth (Fig. 12). However, due to the rapid  
405 decrease of sediment concentration away from the bed predicted by the Rouse profile, the concentration inferred at  $0.1H$  for gravel overlaps with the empirical relation for sand, implying that Eq. (26b) might also be a good predictor of gravel entrainment.

## 4 Discussion

### 4.1 Physical rationale for model dependencies

410 The explanatory variables in the models for  $P_i$  and  $\beta_i$  are  $u_{*skin}/w_{si}$  and  $C_f$ . The dependency on these parameters could reflect processes that result in the turbulent diffusivity for suspended sediment being different from the parabolic relation used in the derivation of the Rouse profile (e.g., Bennett et al., 1998). Compared to standard Rouse theory, in which the Rouse parameter is inversely dependent on  $u_{*skin}/w_{si}$  (or  $u_*/w_{si}$ ), our results indicate a significant non-linearity. Our one-parameter model indicates that  
415 concentration profiles are better mixed than standard theory for small  $u_*/w_{si}$  and are more stratified for larger  $u_*/w_{si}$ , with a transition point at about  $u_*/w_{si} = 7$ , corresponding to  $\beta_i = 1$ . Sediment-induced



stratification is often cited (Winterwerp, 2006; Wright and Parker, 2004b, 2004a) as a factor that decreases mixing of sediment (i.e.  $\beta < 1$ ). This effect is particularly important when absolute concentration is high, and may help explain why our best-fit model is more stratified (i.e.  $\beta < 1$ ) than standard Rousean theory  
420 for large  $u_*/w_{si}$ . An alternative hypothesis by Nielsen and Teakle (2004) is that for steep concentration gradients, the size of the turbulent eddies is large relative to the mean height of sediment in the flow. Under these circumstances, large eddies might more effectively mix sediment that is concentrated close to the bed. This process may explain the better mixed concentration profiles we observed (i.e.,  $\beta_i > 1$ ), as compared to standard theory, at small  $u_*/w_{si}$  when near-bed concentration gradients are large.

425

Our relation implies that  $\beta_i$  correlates positively with the flow friction coefficient  $C_f$ . This dependency could be from bedforms; bedforms increase  $C_f$  due to form drag (Engelund and Hansen, 1967), and they may also increase the vertical mixing of sediment by deflecting transport paths up the stoss side of dunes and mixing suspended sediment in the turbulent wake in the lee of dunes. Similarly, Santini et al. (2019)  
430 found that  $\beta$  correlated positively with  $H/D$  (Fig. 2c), which is another measure for bed roughness in flows without bedforms. In agreement, Graf and Cellino (2002) reviewed a number of experimental studies and found that  $\beta < 1$  for experiments without bedforms and  $\beta > 1$  for experiments with bedforms. Flow resistance ( $C_f$ ) also can be smaller in flows with sediment-induced stratification, which correlates with smaller  $\beta_i$  (e.g., Wright and Parker, 2004a).

435

Our new empirical relation for sediment entrainment suggests that only  $u_{*skin}/w_{si}$  (or  $u_*/w_{si}$ ) and  $Fr$  are needed to predict entrainment rate, similar to the forward model we developed for the suspension of gravel (Section 2.3). The ratio  $u_{*skin}/w_{si}$  describes the fluid forces relative to gravitational settling; similar parameters have appeared in all previous relations that we reviewed (Table 2). The reason for the  
440 increase in entrainment with Froude number is less clear. A small Froude number implies a deep and low-gradient flow, and Froude numbers are typically smaller in natural rivers compared to flume experiments. Wright and Parker (2004b) introduced an entrainment relation with a bed slope dependency and argued that entrainment in large low sloping rivers is reduced due to stratification effects. Sediment-induced stratification causing damping of turbulence might be the cause of the  $Fr$  dependency in our relation.

445 Regardless, we found a better fit with the data using  $Fr$  than using  $\frac{C_a}{S}$  or  $S$  (Table S2), the parameters suggested by Wright and Parker (2004b). Froude number also might influence the size and shape of bedforms (Vanoni, 1974), which can affect boundary layer dynamics and near-bed turbulence, and surface waves. The Froude number is the ratio between inertial to gravitational forces, or more formally the ratio of a unidirectional flow velocity to the celerity of a shallow water wave (i.e.,  $Fr = U / \sqrt{gH}$ ). However, 450 we do not think these are the reasons why the dependence of  $E_{si}$  on  $Fr$  emerges in our analysis; rather, our forward model (Section 2.3) suggests that it emerges as a controlling parameter because of the role of  $U$  and  $H$  in determining bedload layer concentrations, which is discussed in more detail in Section 4.3.

Many of the dimensionless parameters we evaluated correlate with each other in rivers. While  $u_{*skin}/w_{si}$  or  $u_*/w_{si}$  were consistently the dominant variables, several of the possible secondary variables had 455 similar explanatory power as the ones given in our preferred models. For sediment entrainment, some of the more highly ranked variables are  $C_a/S$  and  $\tau_{*skin}$  in one-parameter models and  $H/D_{50}$ ,  $S$  and  $D_i/D_{50}$  in two parameter models; and for Rouse number they include  $R_{p,skin}$  and  $\tau_*$  for one-parameter models, and  $Re_p$  and  $C_a/S$  in two parameter models (Table S2). In addition, there is some systematic deviation between 460 datasets for different rivers (Fig. 8), and different parameters and exponents might better minimize residuals at specific locations. While our model has been fit to a large dataset, it has not been validated with independent data. More data is clearly needed on grain-size specific concentration profiles for equilibrium suspensions under a wide range of  $u_*/w_{si}$ ,  $Fr$  and particle sizes, including coarse sand and gravel.

## 465 **4.2 Predicting sediment concentration below the reference level**

Combining the predictions for the grain-size specific reference concentration and Rouse parameter allows for calculation of the grain-size specific sediment concentration throughout the water column (Fig. 11). Our relation shows that the grain-size specific sediment concentration at any given elevation can be predicted to relatively high accuracy ( $r^2 = 0.87$ ) using the preferred combination of a two-parameter 470 entrainment relation and the one-parameter Rouse number relation (Section 3.3). However, it is unclear

how to evaluate the sediment concentration below the reference level, which could constitute a significant portion of the sediment load. Bedload fluxes are notoriously difficult to estimate; further, it is unclear if the region below the reference level is entirely bedload, or if it is bedload and suspended load (e.g., Fig. 1b). Ashley et al. (2020) showed how bedload sediment fluxes can be estimated from discharge-averaged suspended sand concentrations, but their method does not predict the concentration profile. One approach might be to assume that sediment concentration is uniform below the reference level at  $C_i = C_{ai}$ , as might be the case in a well-mixed bedload layer (e.g., McLean, 1992) (Fig. 1b). However, this assumption is inconsistent with our analysis of the saltation equations, which shows that bedload layers should have greater concentrations and be less than 10% of the flow depth (Fig. 12), especially for sand in deep flows. A second approach is to use the Rouse profile to extrapolate towards the bed or towards the top of the bedload layer; however, this approach is also problematic because the Rouse profile predicts infinitely large concentrations at the bed. Some of our datasets had concentration measurements below the reference level (Fig. 13). The data are scattered, but the binned data points suggest a nearly constant concentration with elevation below the reference level. For lack of a better method, we propose to use the geometric mean of this data as  $C_i = 1.66C_{ai}$  to approximate the concentration-depth profile below the reference level (Fig. 13).

### 4.3 Extension to gravel and bedload-layer theory

The transport of sand and gravel are often modeled using different empirical formulas, which hinders modeling of systems of mixed gravel-sand transport (Wilcock and Crowe, 2003) and gravel-sand transitions (Paola et al., 1992; Lamb and Venditti, 2016). Gravel also can be in suspension in bedrock and steep mountain rivers, and during large floods (Hartshorn et al., 2002; Larsen and Lamb, 2016), and it would be useful to have an entrainment relation to model sediment transport and bedrock erosion in these settings (Lamb et al., 2008a; Scheingross et al., 2014). Unfortunately sand and gravel are often treated separately, which limits our ability to develop a unified sediment transport theory. Our entrainment relation for sand matches expectations from gravel saltation models (Fig. 12), suggesting that the entrainment relation may be used for sand or gravel, or mixtures of the two. However, we currently lack data of gravel suspension profiles, and developing methods to acquire such data should be the focus of

future efforts. It's also unclear how entrainment is complicated by bimodal mixtures (Wilcock and Crowe, 2003) and complex flow hydraulics in steep rivers with large roughness that can significantly affect lift  
500 forces and near-bed turbulence (Lamb et al., 2017).

The good fit between the modeled gravel concentrations and the measured sand data for near-bed sediment concentration suggests that the bedload layer equations (Section 2.3) might also be used to generate a forward model for near-bed sediment concentration that works for sand and gravel systems,  
505 similarly to previous efforts (e.g., McLean, 1992). To evaluate this possibility, we used the saltation equations (Eqs.17-21) to calculate sediment concentrations within the bedload layer for conditions corresponding to our dataset entries of sand bedded rivers. To extend equation (18) to grain-size mixtures, we let  $D = D_i$  and  $\tau_{*c} = \tau_{*ci}$  and used a hiding function (Parker et al., 1982) so that

$$\tau_{*ci} = \tau_{*c50} \left( \frac{D_i}{D_{50}} \right)^{-\gamma}, \quad (27)$$

510 where  $\tau_{*c50}$  is the critical Shields number for the median sized bed sediment. Equation (27) accounts for hiding of smaller grains in between larger grains, which renders the smaller grains less mobile, and the exposure of larger grains into the flow, which renders them more mobile. For  $\gamma = 1$ , all grains in a bed mixture move at the same bed stress, while  $\gamma = 0$ , the critical stress for motion is proportional to grain weight. Gravel bedded rivers typically have  $\gamma = 0.9$  (Parker, 1990). For sand, we evaluated the critical  
515 Shields number following Brownlie (1981)

$$\tau_{*c50} = 0.5 \left[ 0.22 Re_p^{-0.6} + 0.06 * 10^{(-7.7 Re_p^{-0.6})} \right], \quad (28)$$

rather than Eq. (19), which is intended for gravel only. We then calculated the concentrations at 10% of the flow depth using the Rouse equation (Eq. 1) with the best-fit one-parameter model for the Rouse number. Although the saltation equations in Section 2.3 were calibrated for gravel, similar relations also  
520 have been used for sand (e.g., Lamb et al., 2008a).

Surprisingly, the near-bed sand concentration for the entries in our database (Section 2.1) are relatively accurately predicted by the bedload forward model without any parameter fitting (Fig. 14b). In fact, the predictions by the bedload-layer forward model are only slightly worse than the predictions by our

525 preferred two-parameter entrainment model (Fig. 14a) that was fit to the data ( $r^2$  of 0.68 vs 0.87). Importantly, the forward model yields the same controlling parameters as the empirical model, namely Shields number, Froude number and the bed grain-size distribution. The forward model suggests that the dependence of  $E_{si}$  on  $u_{*skin}/w_{si}$  (or  $u_*/w_{si}$ ) is predominantly because larger  $u_{*skin}/w_{si}$  correlates with larger  $\tau_*$  and larger bedload-layer concentrations (Eq. 17 and 18), as well as more efficient mixing of the  
530 bedload-layer sediment up to the reference height (Eq. 1). Less intuitive is the dependence of  $E_{si}$  on Froude number. In the forward model, this dependence is because larger  $Fr$ , for the same  $\tau_*$  correlates with larger  $D_i/H$  (which can be shown by manipulating Eq. 7). In turn, larger  $D_i/H$  with constant  $\tau_*$  correlates with greater bedload fluxes ( $q_b \propto D_i^{3/2}$  in Eq. 18), smaller bedload layer heights (due to smaller  $H$  in Eq. 21) and slower bedload layer velocities (due to smaller  $U$  in Eq. 20), all of which increase sediment  
535 concentration in the bedload layer (Eq. 17). Thus, the forward model indicates that the  $Fr$ -dependency on  $E_{si}$  emerges because bedload layer dynamics depend on  $U$  and  $H$ , and explanations for this dependency that rely on stratification, bedforms or surface waves are not necessary. The bedload-layer model, while slightly more complicated to implement, may provide a more robust solution when working outside of parameter space used to derive the empirical model, since it has a more physical basis. For example, the  
540 forward model can explicitly account for gravity and other physical properties of the sediment and fluid. In addition, the bedload-layer model allows for a more mechanistic link between the bedload and suspended load, and avoids uncertainty in how to evaluate the sediment concentration below the reference level. The model might likely be improved by accounting for bedform induced form drag, especially for sand-bedded rivers. That said, more accurate predictions are still achieved with the empirical entrainment  
545 relation.

## 5 Conclusions

We proposed new empirical models for the entrainment of bed material into suspension and for the shape of the concentration profile governed by the Rouse parameter. The models were obtained by regression against suspended sediment data from eight different rivers and six experimental studies. The data cover  
550 a wide range of bed material grain sizes (44- 517  $\mu\text{m}$ ) and flow depths (0.06-32 m) and include grain-size

specific data with up to 60 size classes. Our analysis of this data suggests that near-bed sediment concentration increases with the ratio between shear velocity and settling velocity ( $u_{*skin}/w_s$  or  $u_*/w_{si}$ ) and Froude number—both parameters also emerge as the key controlling variables in a forward model based on bedload layer concentrations. A parameter such as  $u_*/w_{si}$ , which represents the ratio of fluid force to particle settling, was also present in previous relations. The Froude number dependence is less clear; it could be due to stronger sediment-induced stratification in large low-Fr rivers, but our forward model indicates that it emerges because flow velocity and flow depth impact bedload layer concentrations. Our preferred Rouse parameter model for the shape of the concentration profile suggests that sediment concentration is better mixed in the water column with larger  $u_{*skin}/w_{si}$  and larger bed friction coefficient,  $C_f$ . The Rouse number is not inversely proportional to  $u_*/w_{si}$ , unlike standard Rousean theory, indicating that sediment is more stratified than expected with  $u_*/w_{si} > \sim 7$  and better mixed than expected with  $u_*/w_{si} < \sim 7$ , possibly due to the competing effects of sediment-induced stratification when absolute concentrations are large (corresponding to large  $u_*/w_{si}$ ) and enhanced turbulent mixing when concentration gradients are steep (corresponding to small  $u_*/w_{si}$ ). The dependence of Rouse number on bed friction coefficient might result from increased turbulence close to the bed in rivers with large bed roughness or bedforms. We also demonstrated that near-bed concentrations can be accurately predicted with saltation equations that have been tested previously for gravel, suggesting a unified framework to model sand and gravel transport in rivers.

### **Competing interests**

The authors declare that they have no conflict of interest.

### **Data availability**

All data used in the analysis are provided in Table S1 of the Supplementary Materials. Model results for all possible variable combinations are given in Table S2.

## Author Contributions

575 MPL and GP conceived the study. JdL compiled data and led data analysis with input from MPL. MPL  
and JdL wrote the initial manuscript. AJM, DH, JGV and JAN supplied suspended sediment data and  
contributed to the final manuscript.

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## Supplementary materials

585 Table S1 – Contains all the suspended sediment data that was used to find the empirical relations.  
Table S2 – All entrainment and Rouse number models ranked according to goodness of fit as indicated  
by  $r^2$ .

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**Table 1.** Previous relations for the factor  $\beta$ .

<i>Source</i>	<i>Formula</i>	<i>Parameters</i>
van Rijn, 1984	$\beta = 1 + 2 \left( \frac{w_s}{u_*} \right)^2 \text{ for } 0.1 < \frac{w_s}{u_*} < 1$	$u_*/w_s$
Graf & Cellino, 2002	$\beta = \frac{3}{10} + \frac{3 w_s}{4 u_*} \text{ for } 0.2 < \frac{w_s}{u_*} < 0.6 \text{ and no bedforms}$ $\beta = 1 + 2 \left( \frac{w_s}{u_*} \right)^2 \text{ for } 0.1 < \frac{w_s}{u_*} < 1 \text{ and bedforms}$	$u_*/w_s$
Wright & Parker, 2004	$\beta = \begin{cases} 1 - 0.06 \left( \frac{C_a}{S} \right)^{0.77} & \text{for } \frac{C_a}{S} \leq 10 \\ 0.67 - 0.0025 \left( \frac{C_a}{S} \right) & \text{for } \frac{C_a}{S} > 10 \end{cases}$	$C_a/S$
Santini et al., 2019 (modified from Rose & Thorne, 2001)	$\beta = 3.1 \exp \left[ -0.19 \times 10^{-3} \frac{u_*}{w_s} \left( \frac{H}{D} \right)^{0.6} \right] + 0.16$	$u_*/w_s, H/D$

**Table 2.** Previous relations for sediment entrainment,  $E_s$ .

<b>Source</b>	<b>Formula</b>	<b>Parameters</b>	<b>Reference height</b>	<b>Grain-size distribution</b>
Einstein, 1950	$E_s = \frac{1}{23.2} \frac{q_*}{(\tau_{*skin})^{0.5}}$ $q_* = 3.97(\tau_{*skin} - 0.0495)^{1.5}$	$\tau_{*skin}$	$2D$	Uniform
Engelund & Fredsøe, 1976	$E_s = \frac{0.65}{(1 + \lambda_b^{-1})^3}$ $\lambda_b = \left[ \frac{\tau_{*skin} - 0.06 - \frac{\beta p \pi}{6}}{0.027(R + 1)\tau_{*skin}} \right]^{0.5}$ $p = \left[ 1 + \left( \frac{\pi}{\tau_{*skin} - 0.06} \right)^4 \right]^{-0.25}$ $\beta = 1$	$\tau_{*skin}$	$2D$	Uniform
Smith & McLean, 1977	$E_s = \frac{0.65\gamma_0 S_0}{1 + \gamma_0 S_0}$ $S_0 = \frac{\tau_{*skin} - \tau_{*c}}{\tau_{*c}}$ $\gamma_0 = 2.4 \cdot 10^{-3}$ <p><math>\tau_{*c}</math> is calculated with Brownlie (1981):</p> $\tau_{*c} = 0.22Re_p^{-0.6} + 0.06 \cdot 10^{(-7.7Re_p^{-0.6})}$	$\tau_{*skin}, \tau_{*c}$	$\alpha_0(\tau_{*skin}^* - \tau_{*c}^*)D + k_s$ $\alpha_0 = 26.3$	Uniform
van Rijn, 1984	$E_s = 0.015 \frac{D S_0^{1.5}}{a D_*^{0.3}}$ <p>with: <math>S_0 = \frac{\tau_{*skin} - \tau_{*c}}{\tau_{*c}}</math></p> <p>and: <math>D_* = D \left( \frac{gR}{v} \right)^{1/3}</math></p>	$\tau_{*skin}, \tau_{*c}, D$	One half bedform height or $k_s$ , with a minimum of $0.01H$	Uniform
Celik & Rodi, 1984	$E_s = \frac{k_0 \bar{C}}{I}$ $\bar{C} = 0.034 \left[ 1 - \left( \frac{k_s}{H} \right)^{0.06} \right] \frac{u_*^2}{gRH} \frac{U}{w_s}$ $I = \int_{0.05}^1 \left( \frac{1-\eta}{\eta} \cdot \frac{\eta_b}{1-\eta_b} \right)^{w_s/0.4u_*} d\eta$ $k_0 = 1.13, \eta = \frac{z}{H}, \eta_b = 0.05$	$D, k_s, u_*, U, w_s, H$	$0.05H$	Uniform

<p>Akiyama &amp; Fukushima, 1986</p>	$E_s = 0; Z < Z_c$ $E_s = 3 \times 10^{-12} Z^{10} \left(1 - \frac{Z_c}{Z}\right); Z_c < Z < Z_m$ $Z = \frac{u_*}{w_s} Re_p^{0.5}$ $Z_c = 5; Z_m = 13.2$	$u_*, w_s, Re_p$	$0.05H$	<p>Uniform</p>
<p>Garcia &amp; Parker, 1991</p>	$E_{si} = \frac{A(\lambda X_i)^5}{1 + \frac{A}{0.3}(\lambda X_i)^5}$ $X_i = \left(\frac{u_{*skin}}{w_{si}} Re_{pi}^{0.6}\right) \left(\frac{D_i}{D_{50}}\right)^{0.2}$ $A = 1.3 \cdot 10^{-7}$ $\lambda = 1 - 0.288\sigma_\phi$ <p><math>\sigma_\phi</math> is the geometric standard deviation of bed material sizes</p>	$u_{*skin}, w_{si}, Re_{pi}, D_i/D_{50}, \sigma_\phi$	$0.05H$	<p>Mixtures</p>
<p>McLean, 1992</p>	$E_{si} = E_s p_{sbi}$ $E_s = \frac{0.65\gamma_0 S_0}{1 + \gamma_0 S_0}$ $S_0 = \frac{\tau_{*skin} - \tau_{*c}}{\tau_{*c}}$ $\gamma_0 = 0.004$ $p_{sbi} = \frac{\varphi_i f_i}{\sum_{n=1}^N \varphi_i f_i}$ $\varphi_i = 1 \text{ for } u_* > w_{si}$ $\varphi_i = \frac{u_* - u_{*cr}}{w_{si} - u_{*cr}} \text{ for } u_* < w_{si}$	$\tau_{*c}, \tau_{*skin}, u_*, w_{si}, f_i$	<p>See original publication</p>	<p>Mixtures</p>
<p>Wright &amp; Parker, 2004</p>	$E_{si} = \frac{B(\lambda X_i)^5}{1 + \frac{B}{0.3}(\lambda X_i)^5}$ <p>with: <math display="block">X_i = \left(\frac{u_{*skin}}{w_{si}} Re_{pi}^{0.6}\right) S^{0.08} \left(\frac{D_i}{D_{50}}\right)^{0.2}</math></p> $B = 7.8 \cdot 10^{-7}$ $\lambda = 1 - 0.28\sigma_\phi$ <p><math>\sigma_\phi</math> is the geometric standard deviation of bed material sizes</p>	$u_{*skin}, w_{si}, Re_{pi}, S, D_i/D_{50}, \sigma_\phi$	$0.05H$	<p>Mixtures</p>

**Table 3:** Summary of experimental and field datasets included in the database.

Data source	Type	Location	Median bed material grain size, $D_{50}$ ( $\mu\text{m}$ )	Water depth, $H$ (m)	Number of grain size classes	Number of profiles
Jordan [1965]	river	Mississippi at St Louis	189-457	3.54-16.34	12	51
Nitrouer et al. [2011]	river	Mississippi at Empire reach	166-244	12.96-32.38	43	9
Lupker et al. [2011]	river	Ganges at Harding bridge	159-268	10.0-14.0	31	7
Nordin & Dempster [1963]	river	Rio Grande	166-439	0.2-0.78	12	23
Moodie [2019]	river	Yellow River	44-112	1.55-7.65	51	35
Haught et al. [2017]	river	Fraser River	300	8.7-14.5	60	25
Hubbell & Matejka [1959]	river	Middle Loup River	313-517	0.33-1.19	10	20
Colby & Hembree [1955]	river	Niobrara River	226-305	0.24-0.7	7	10
Brooks [1954]	experiments	-	160	0.059-0.085	1	7
Barton & Lin [1955]	experiments	-	180	0.091-0.42	1	29
Coleman [1981]	experiments	-	105-400	0.17	1	3
Lyn [1986]	experiments	-	150-240	0.065	1	3
Sumer [1996]	experiments	-	130	0.1	1	3
Cellino [1998]	experiments	-	135-210	0.12	1	17



**Table 4:** One-, two- and three-parameter models for Rouse number of the form  $P_i = AP_1^{e_1} P_2^{e_2} P_3^{e_3}$ . The two best-fitting versions of each model are shown, one with  $u_*$  and one with  $u_{*skin}$ , and the shaded row is the best fitting of the two (highest  $r^2$ ). See Table S2 for all model fits.

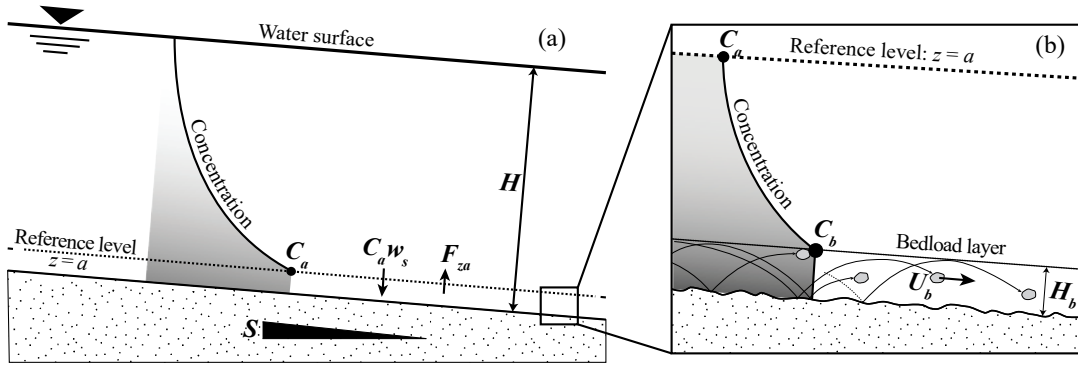
Number of model parameters	$r^2$	$P_1$	$P_2$	$P_3$	A	$e_1$	$e_2$	$e_3$
1	0.325	$u_*/w_{si}$	-	-	0.991	-0.453	-	-
	0.225	$u_{*skin}/w_{si}$	-	-	0.718	-0.372	-	-
2	0.396	$u_{*skin}/w_{si}$	$C_f$	-	0.145	-0.459	-0.300	-
	0.378	$u_*/w_{si}$	$C_f$	-	0.387	-0.438	-0.161	-
3	0.426	$u_{*skin}/w_{si}$	$C_f$	$C_a/S$	0.285	-0.514	-0.212	0.0811
	0.39	$u_*/w_{si}$	$C_f$	$H/D$	0.274	-0.459	-0.141	0.0485

**Table 5:** One-, two- and three-parameter models for the entrainment parameter of the form  $E_{si} = AP_1^{e_1} P_2^{e_2} P_3^{e_3}$ . The two best-fitting versions of each model are shown, one with  $u_*$  and one with  $u_{*skin}$ , and the shaded row is the best fitting of the two (highest  $r^2$ ). See Table S2 for all model fits.

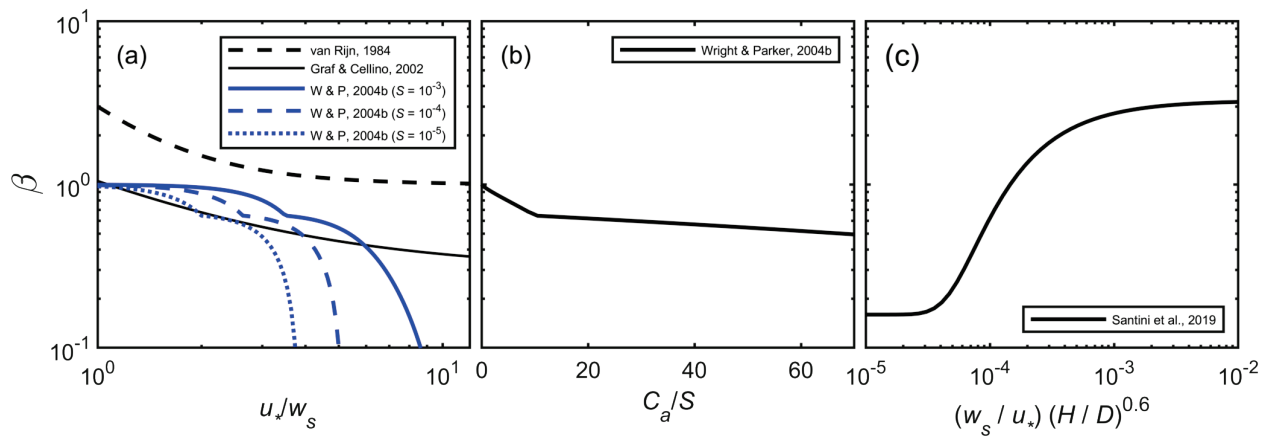
Number of model parameters	$r^2$	$P_1$	$P_2$	$P_3$	A	$e_1$	$e_2$	$e_3$
1	0.61	$u_{*skin}/w_{si}$	-	-	4.23E-05	1.94	-	-
	0.33	$u_*/w_{si}$	-	-	3.66E-05	1.44	-	-
2	0.79	$u_{*skin}/w_{si}$	$Fr$	-	4.74E-04	1.77	1.18	-
	0.74	$u_*/w_{si}$	$Fr$	-	7.04E-04	1.71	1.81	-
3	0.80	$u_*/w_{si}$	$Fr$	$R_{pi}$	5.73E-03	1.31	1.59	-0.86
	0.79	$u_{*skin}/w_{si}$	$Fr$	$S$	2.93E-04	1.75	1.31	-0.079

**Table 6:** Coefficient of determination ( $r^2$ ) of grain-size specific sediment concentration at each sample elevation in the water column for different combinations of models for the entrainment,  $E_{si}$ , and Rouse,  $P_i$ , parameters. The favored model combination is highlighted in grey.

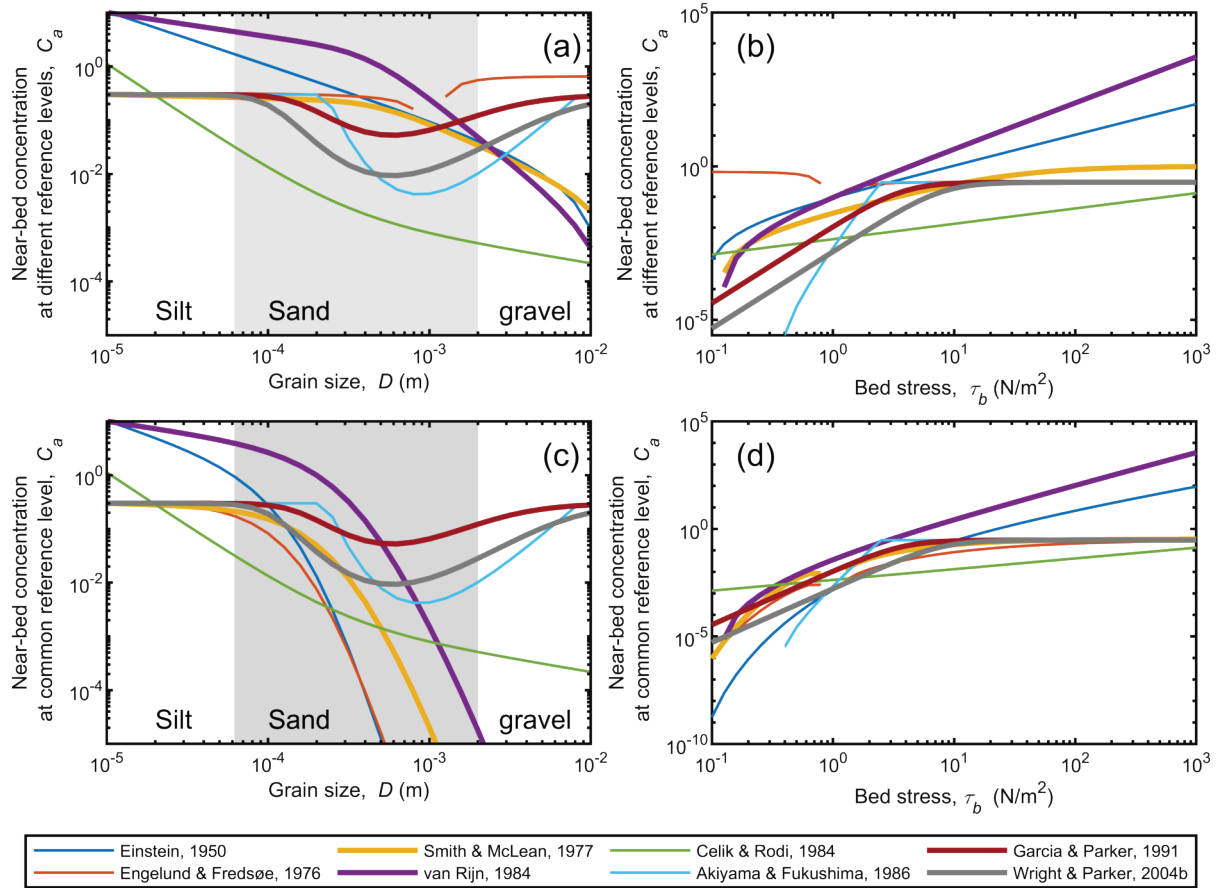
Goodness of fit ( $r^2$ )		Entrainment parameter model, $E_{si}$					
		Best fit 1-parameter model containing $u_* / w_{si}$	Best fit 1-parameter model containing $u_{*skin} / w_{si}$	Best fit 2-parameter model containing $u_* / w_{si}$	Best fit 2-parameter model containing $u_{*skin} / w_{si}$	Best fit 3-parameter model containing $u_* / w_{si}$	Best fit 3-parameter model containing $u_{*skin} / w_{si}$
Rouse parameter model, $P_i$	Best fit 1-parameter model containing $u_* / w_{si}$	0.646	0.768	0.862	0.873	0.878	0.871
	Best fit 1-parameter model containing $u_{*skin} / w_{si}$	0.676	0.786	0.859	0.867	0.872	0.865
	Best fit 2-parameter model containing $u_* / w_{si}$	0.634	0.768	0.856	0.876	0.878	0.876
	Best fit 2-parameter model containing $u_{*skin} / w_{si}$	0.659	0.785	0.853	0.875	0.875	0.875
	Best fit 3-parameter model containing $u_* / w_{si}$	0.637	0.757	0.802	0.818	0.819	0.817
	Best fit 3-parameter model containing $u_{*skin} / w_{si}$	0.637	0.766	0.849	0.868	0.870	0.868



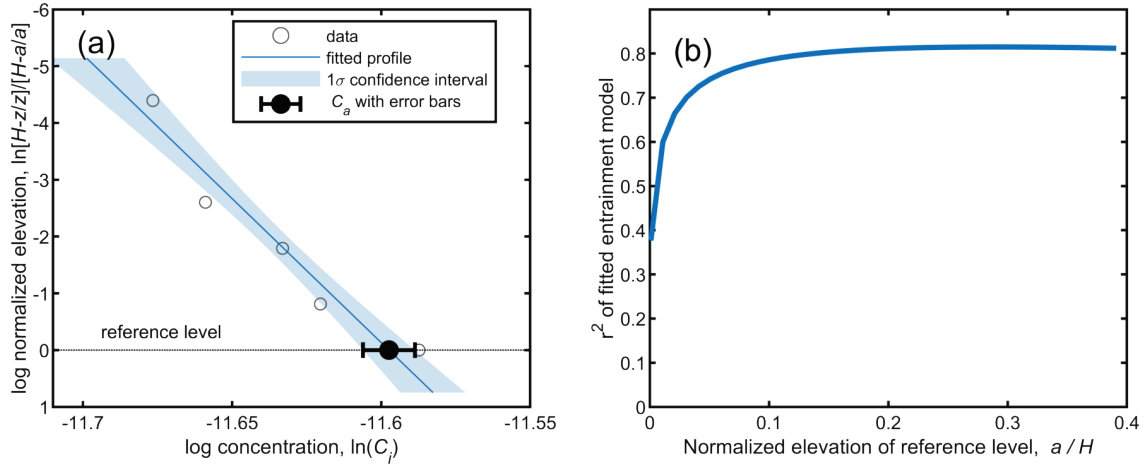
**Figure 1:** a) Conceptual diagram of suspended sediment concentration–depth profile of a river of depth  $H$  and bed slope  $S$ , showing the volumetric sediment concentration,  $C_a$ , settling flux per unit bed area,  $C_a w_s$ , and entrainment flux per unit bed area,  $F_{za}$ , at the reference level of  $z = a$ , in which  $z = 0$  is bed elevation. b) Near-bed zone below the reference level showing the bedload layer with concentration  $C_b$ , bedload velocity  $U_b$  and bedload-layer height  $H_b$ .



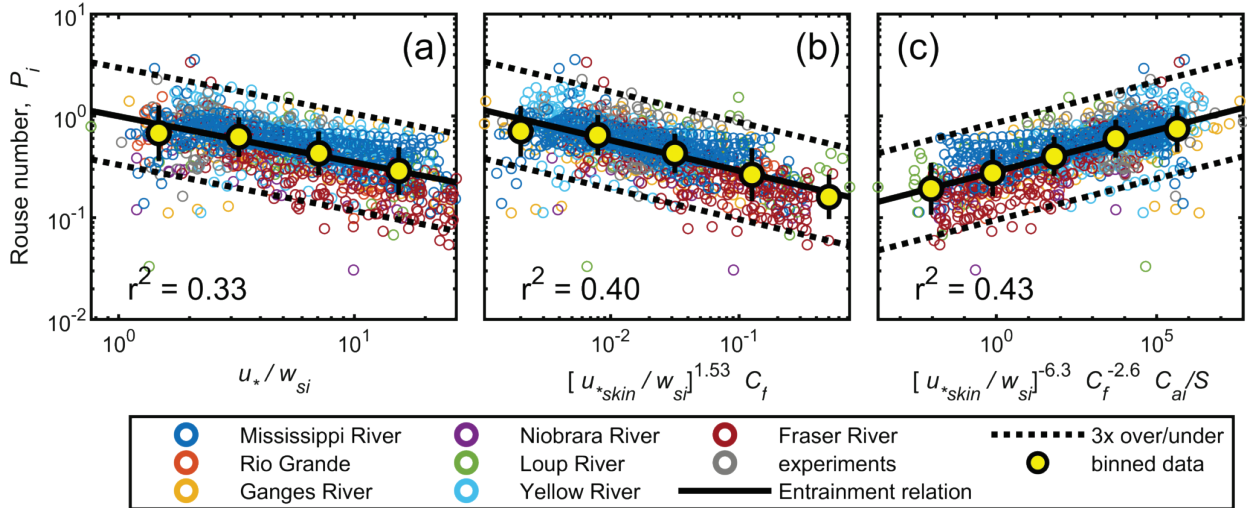
**Figure 2:** Existing relations for the factor  $\beta$  (Eq. 2) as a function of a) shear velocity normalized by settling velocity  $u_*/w_s$  (van Rijn, 1985; Graf and Celino, 2002; Wright and Parker, 2004b), b) near-bed concentration normalized by slope  $C_a/S$  (Wright and Parker, 2004b), and c)  $w_s/u_* (H/D)^{0.6}$  as proposed by Santini et al. (2019). In panel (a), the Wright and Parker relation (denoted W & P) used  $C_a$  calculated from the entrainment relation of Wright & Parker (2004b).



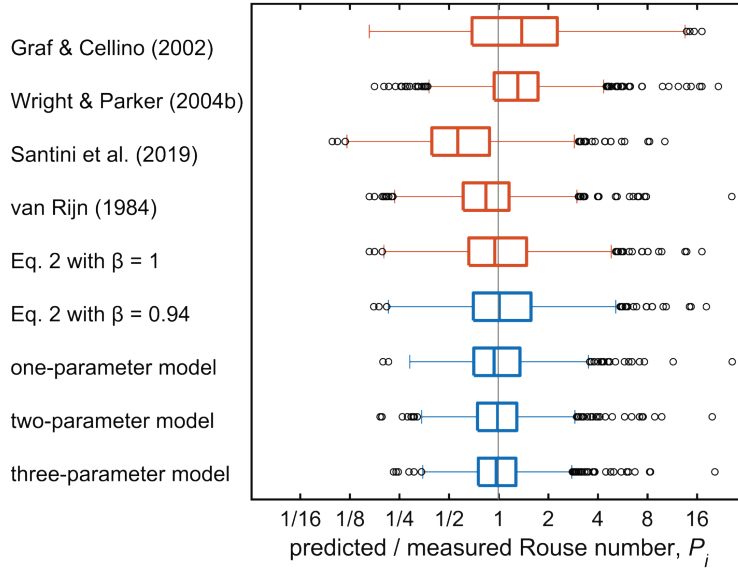
**Figure 3:** Existing relations for near-bed suspended sediment concentration under equilibrium conditions (i.e.,  $E_s = C_a$ ) at the reference level,  $z = a$ , specified by each study as a function of a) grain size and b) bed stress. In panels (c) and (d) the predicted concentrations are interpolated to a common reference level,  $a = 0.05H$ , using the Rouse equation with  $\beta = 1$  to better compare models.



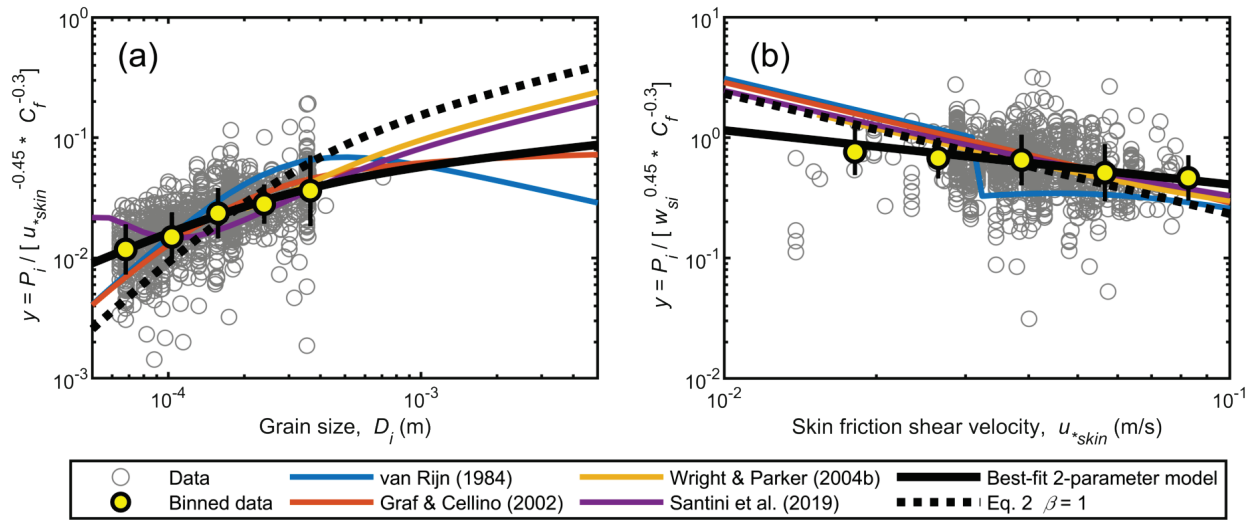
**Figure 4:** a) Example of suspended sediment data linearized in Rouse coordinates with a fitted Rouse profile (Eq. 1), in which  $z$  is the elevation above the bed,  $H$  is the flow depth, extrapolation to the reference level at  $z = a$  gives the reference concentration,  $C_a$ . b) Effect of choice of reference level elevation on  $r^2$  of the best fit entrainment relation,  $E_{si}$ , to the data.



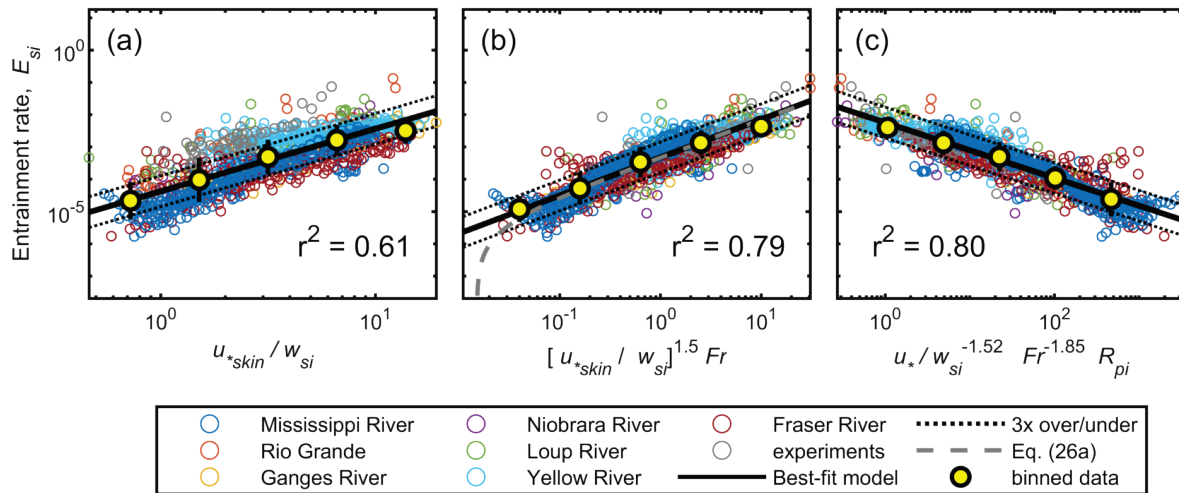
**Figure 5:** Best-fit models for Rouse number for grain-size specific data with one parameter (a), two parameters (b) and three parameters (c); equations are highlighted grey in Table 4. The plotting is done such that the exponent on the last parameter is unity (e.g., Eq. (23) on panel b is rewritten as  $P_i = \left( 0.145^{1/-0.3} \left( \frac{u_{*skin}}{w_{si}} \right)^{-0.46/-0.3} C_f \right)^{-0.3}$ ) to allow comparison to plots of Garcia & Parker (1991) and Wright & Parker (2004b). Yellow filled symbols are the geometric mean and the error bars indicate the geometric standard deviation within each arbitrary spaced bin.



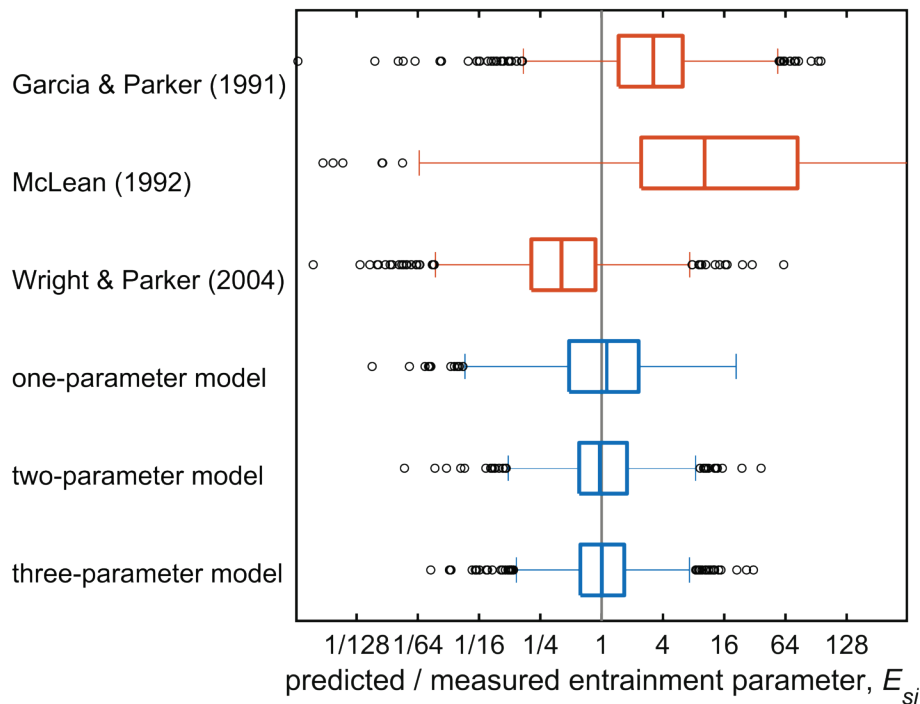
**Figure 6:** Boxplot of predicted versus measured Rouse number,  $P_i$ , for our models and previous models. The best-fit one, two and three parameter models correspond to the equations in Table 4 that are highlighted in grey.



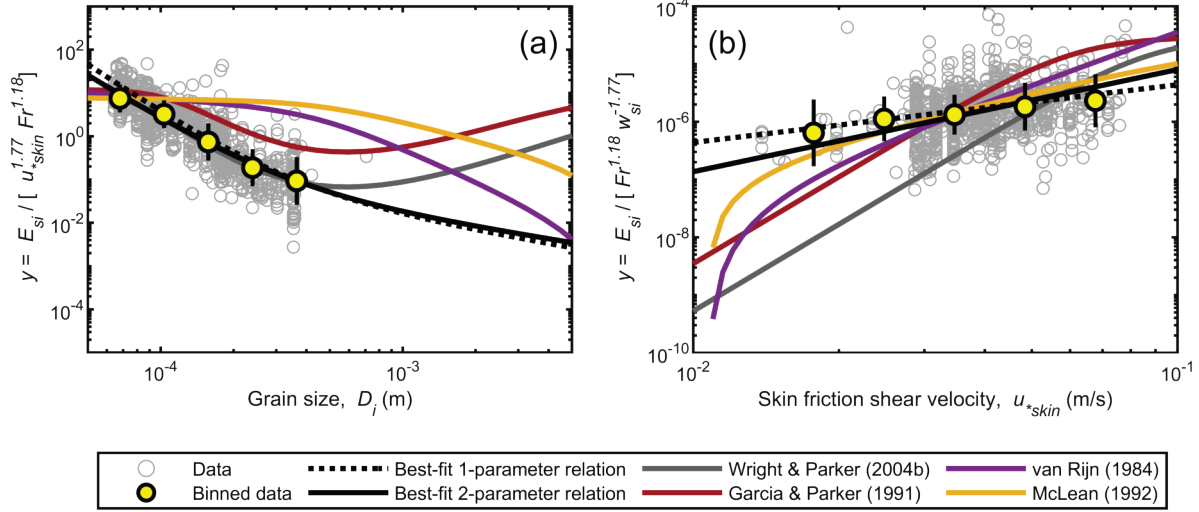
**Figure 7:** Rouse number,  $P_i$ , has been normalized to isolate the effect of a) grain size and b) skin-friction shear velocity to check for spurious correlation. Yellow filled symbols are the geometric mean and the error bars indicate the geometric standard deviation within each bin. The best-fit two parameter model is Eq. (22).



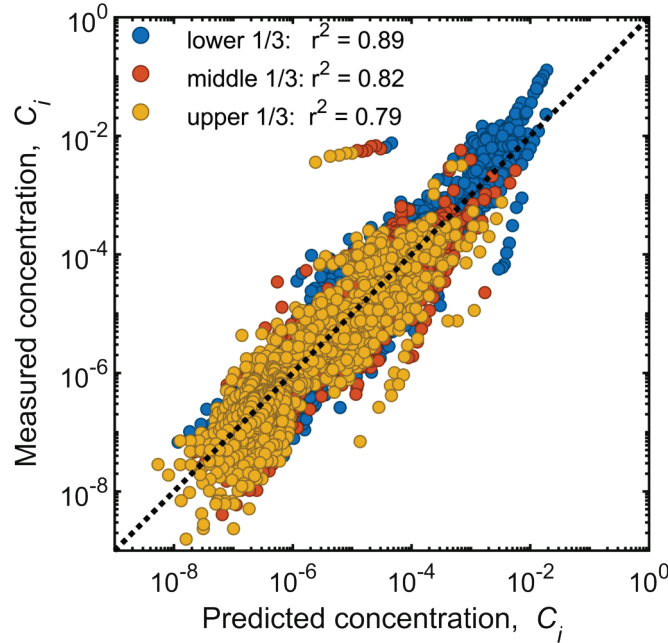
**Figure 8:** Best-fit models for the dimensionless entrainment rate,  $E_{si}$ , for grain-size specific data with one parameter (a), two parameters (b) and three parameters (c); equations are highlighted grey in Table 5. The plotting is done such that the exponent on the last parameter is unity to allow comparison to plots of Garcia & Parker (1991) and Wright & Parker (2004b). Yellow filled symbols are the geometric mean and the error bars indicate the geometric standard deviation within each bin.



**Figure 9:** Boxplot of predicted versus measured entrainment parameters,  $E_{si}$ , for our models and previous models. The best-fit one, two and three parameter models correspond to the equations in Table 5 that are highlighted in grey.

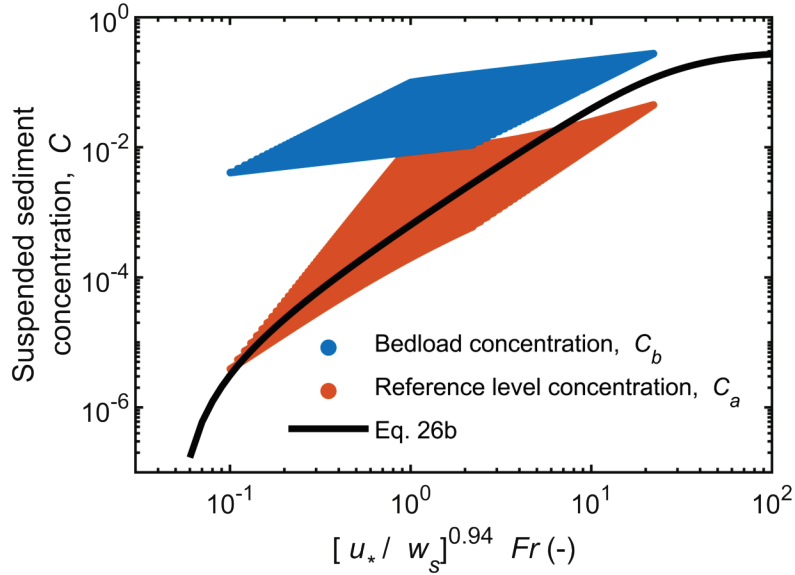


**Figure 10:** Entrainment parameter,  $E_{si}$ , normalized to isolate the effect of a) grain size and b) skin-friction shear velocity to check for spurious correlation. Yellow filled symbols are the geometric mean and the error bars indicate the geometric standard deviation within each bin. The best-fit models are Eqs. (24) and (25).

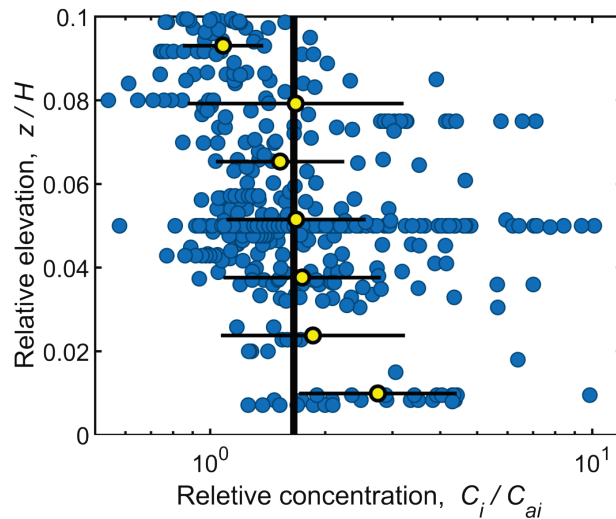


**Figure 11:** Measured versus predicted grain-size specific concentration for each sample in the water column. Colors indicate the relative elevation in the water column of each sample. The predictions are from the two-parameter model to predict the entrainment rate ( $E_{si} = 4.74 \times 10^{-4} \left(\frac{u_{*skin}}{w_{si}}\right)^{1.77} Fr^{1.18}$ ) and the one-parameter model for the Rouse number ( $P_i = (u_* / w_{si})^{-0.45}$ ).

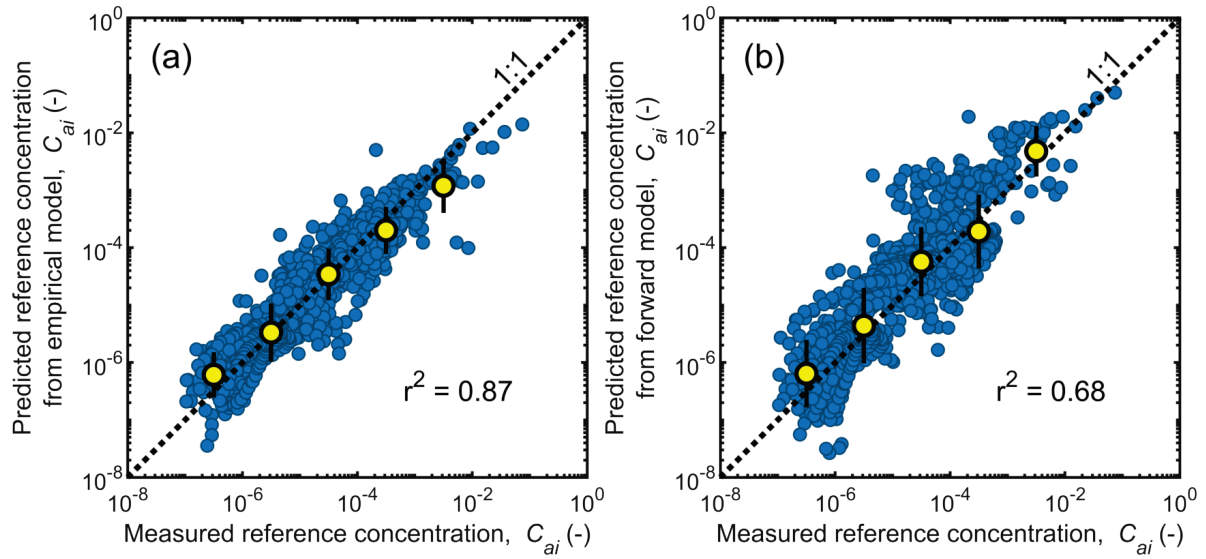




**Figure 12.** Best fit two parameter model for sediment entrainment without form drag, Eq. (26b), presented here for uniform gravel. The plotting is done such that the exponent on the last parameter is unity to allow comparison to plots of Garcia & Parker (1991) and Wright & Parker (2004b). The colored regions are prediction envelopes for synthetic gravel data using the bedload-layer equations to estimate the bedload volumetric concentration,  $C_b$ , and the Rouse profile to interpolate higher in the water column to the reference level at  $z = 0.1H$  ( $C_a$ ). The synthetic data envelope represents a wide range of parameter space:  $0.1 < Fr < 1$  and  $1 < \tau_* < 1000$ .



**Figure 13:** Grain-size specific concentration,  $C_i$ , from the lowest 10% of the flow (i.e., below the reference level  $z = 0.1H$ ) relative to the concentration at the reference level,  $C_{ai}$ , as a function of depth above the bed,  $z$ , relative to the flow depth,  $H$ . Yellow filled symbols are the geometric mean and the error bars indicate the geometric standard deviation within each elevation bin, and the black line is the geometric mean of all data representing  $C_i = 1.66C_{ai}$ .



**Figure 14:** Measured versus predicted grain-size specific near-bed concentration,  $C_{ai}$ , at the reference level  $z = 0.1H$  for a) our two-parameter empirical relation (Eq. 25) and b) for the forward modelling approach using the bedload-layer equations (Section 4.3). Data (blue markers) are from the entire data base of suspended sand described in Section 2.1 (Table S1) are at  $z = 0.1H$ . Yellow symbols are the geometric mean and the error bars indicate the geometric standard deviation within each bin.