

1 **Short communication: Field data imply that the sorting ( $D_{96}/D_{50}$  ratios) of grains on**  
2 **fluvial gravel bars influences the probability of sediment entrainment**

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4 **Running title: transport probability of coarse-grained material**

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9  
10 **Abstract**

11 Conceptual models suggest that the mobility of grains on coarse-grained gravel bars is mainly  
12 controlled by sediment supply. Here we present field observations from streams in the Swiss  
13 Alps and the Peruvian Andes to document that for a given water runoff, the probability of  
14 material transport also depends on the sorting of the bed material. We calculate shear stresses  
15 that are expected for a mean annual water discharge, and compare these estimates with grain-  
16 specific thresholds. We find a positive correlation between the predicted probability of material  
17 transport and the sorting of the bed material, expressed by the  $D_{96}/D_{50}$  ratio. These results suggest  
18 that besides sediment supply, the bedload sorting exerts a measurable control on the mobility of  
19 clasts in coarse-grained streams.

20  
21 **1 Introduction**

22 It is generally accepted that sediment supply is one of the most important parameters, which not  
23 only controls the mobility of the sediment in coarse-grained streams but also the channel form  
24 (Dade and Friend, 1998; Church, 2006). In particular, flume experiments (Dietrich et al., 1989) and  
25 numerical models (Wickert et al., 2013) have shown that a large sediment supply is commonly  
26 found in braided rivers where the material mobility is high, while a low sediment mobility is rather  
27 encountered in single-throat channels where the sediment supply is expected to be low. However,  
28 much less research has been conducted to investigate whether the granulometric composition, and  
29 particularly the sorting of the bed material, also exerts a measurable control on the mobility of  
30 coarse-grained material in streams. Here, we focus on this aspect and explore whether there is a link  
31 between the sorting of gravel bars, here expressed by the  $D_{96}/D_{50}$  ratios of the material, and the  
32 transport probability of individual clasts on these bars. We focus on gravelly streams in the Swiss  
33 Alps where artificial banks keep the flow in fixed, single-throat channels over several  
34 kilometres, and in the Peruvian Andes where streams are braided. We selected gravel bars close  
35 to water gauging stations, determined the grain size distribution of these bars and calculated the  
36 probability of sediment transport for a selected water runoff, which in our case corresponds to  
37 the mean annual water discharge  $Q_{mean}$  for comparison purposes. We explored whether these  
38 flows are strong enough to shift the  $D_{84}$  grain size, which is considered to build the sedimentary  
39 framework of gravel bars as recent flume experiments have shown (MacKenzi and Eaton, 2017;

40 MacKenzie et al., 2018). We thus considered the mobilization of the  $D_{84}$  grain size as a priori  
 41 condition, and thus as a threshold, for a change in the sedimentary arrangement of the target  
 42 gravels bars. The braided character of streams in Peru complicates the calculation of the  
 43 sediment transport probability mainly because water flows in multiple active channels, and  
 44 channel widths vary over short distances. For these streams, we selected reaches (c. 100 m  
 45 long) where several active braided channels merge to a single one, before branching again. We  
 46 are aware that this could eventually bias the results towards a greater material mobility, mainly  
 47 because flows in single-throat segments are likely to have greater shear stresses than in braided  
 48 reaches where the same water runoff is shared by multiple channels. The research sites thus  
 49 offer conditions that are similar, or close, to a laboratory flume experiment (e.g., Dietrich et al.,  
 50 1989) where channel gradients are nearly constant, and where sediment transport, conditioned  
 51 by grain size specific thresholds, mainly depends on water runoff and channel widths, and  
 52 therefore on the resulting flow strengths.

53

## 54 **2 Methods and datasets**

### 55 *2.1 Entrainment of bedload material*

56 Sediment mobilization is considered to occur when flow strength  $\tau$  exceeds a grain size specific  
 57 threshold  $\tau_c$  (e.g., Paola et al., 1992):

$$58 \quad \tau > \tau_c \quad (1).$$

59 We estimated the probabilities of  $\tau > \tau_c$  for a given water discharge using a Monte Carlo modeling  
 60 framework (see section 2.2). We conducted 10'000 simulations, and the results are reported as  
 61 probability (or percentage) where  $\tau > \tau_c$  during these iterations.

62 Threshold shear stress  $\tau_c$  for the dislocation of grains with size  $D_x$  can be obtained using Shields  
 63 (1936) criteria  $\phi$  for the entrainment of sediment particles:

$$64 \quad \tau_c = \phi(\rho_s - \rho)gD_x \quad (2),$$

65 where  $g$  denotes the gravitational acceleration, and  $\rho_s$  (2700 kg/m<sup>3</sup>) and  $\rho$  the sediment and  
 66 water densities, respectively. Among the various grain sizes, the 84<sup>th</sup> percentile  $D_{84}$  has been  
 67 considered to best characterize the sedimentary framework of a gravel bar (Howard, 1980; Hey and  
 68 Thorne, 1986; Grant et al., 1990). Accordingly, flows that dislocate the  $D_{84}$  grain size are strong  
 69 enough to alter the gravel bar architecture (Grant et al., 1990). We acknowledge that many authors  
 70 preferentially selected the  $D_{50}$  grain size as a threshold to quantify the minimum flow strengths  $\tau_c$  to  
 71 entrain the bed material (e.g., Paola and Mohrig, 1996; Pfeiffer and Finnegan, 2018). The selection  
 72 of the  $D_{50}$  thus results in relatively low thresholds and in a greater entrainment probability. However,  
 73 recent analogue experiments have shown that the coarse-grained tail of a material composition  
 74 such as e.g., the  $D_{84}$  better characterizes the threshold conditions for the incipient motion of material  
 75 on gravel bars than the  $D_{50}$  (MacKenzi and Eaton, 2017). We therefore followed the  
 76 recommendations by MacKenzie et al. (2018) and selected the  $D_{84}$  grain size to quantify the

77 threshold conditions in equation (2).

78 Bed shear stress  $\tau$  is computed through (e.g., Tucker and Slingerland, 1997):

$$79 \quad \tau = \rho g R S \quad (3).$$

80 Here,  $S$  denotes the energy gradient, and  $R$  is the hydraulic radius, which is approximated  
81 through water depth  $d$  where channel widths  $W > 20 \times d$  (Tucker and Slingerland, 1997), which  
82 is the case here. The combination of expressions for: (i) the continuity of mass including flow  
83 velocity  $V$ , channel width  $W$  and water discharge  $Q$ :

$$84 \quad Q = VWd \quad (4);$$

85 (ii) the relationship between flow velocity and channel bed roughness  $n$  (Manning, 1891):

$$86 \quad V = \frac{1}{n} d^{2/3} S^{1/2} \quad (5);$$

87 and (iii) an equation for the Manning's roughness number  $n$  (Jarrett, 1984):

$$88 \quad n = 0.32 S^{0.38} d^{-1/6} \quad (6);$$

89 yields a relationship where bed shear stress  $\tau$  depends on gradient, water flux and channel width  
90 (Litty et al., 2017):

$$91 \quad \tau = 0.54 \rho g \left( \frac{Q}{W} \right)^{0.55} S^{0.935} \quad (7).$$

92 This equation is similar to the expression by Hancock and Anderson (2002), Norton et al.  
93 (2016) and Wickert and Schildgen (2019) with minor differences regarding the exponent on the  
94 channel gradient  $S$  and on the ratio  $Q/W$ . These mainly base on the different ways of how bed  
95 roughness is considered. Note that this equation does not consider a roughness length scale  
96 (both vertical and horizontal) because we have no constraints on this variable.

97 We explored whether equation (2) could be solved using the Darcy-Weisbach friction factor  $f$   
98 instead of Manning's  $n$ . According to Ferguson (2007), the friction factor  $f$  varies considerably  
99 between shallow- and deep-water flows and depends on grain size  $D_x$  relative to water depth  $d$ ,  
100 and thus on the relative roughness. Ferguson (2007) developed a solution referred to as the  
101 Variable Power Equation (VPE), which accounts for the dependency of  $f$  on the relative  
102 importance of roughness-layer versus skin friction effects and thus on the  $D_x/d$  ratios.  
103 Calculations where the VPE was employed indeed revealed that roughness-layer effects have an  
104 impact on flow regimes where  $D_{s4}/d > 0.2$  (Schlunegger and Garefalakis, 2018), which is likely to be  
105 the case in our streams. However, similar to Litty et al. (2016), we are faced with the problem that  
106 we have not sufficient constraints to analytically solve equation (2) with the VPE. We therefore  
107 selected Mannings's  $n$  instead, which allowed us to solve equation (2) analytically. But we  
108 acknowledge that this might introduce a bias.

109

110 2.2 *Monte Carlo simulations*

111 Predictions of sediment transport probability are calculated using Monte Carlo simulations  
112 performed within a MATLAB computing environment. All variables that are considered for the  
113 calculations of both sheer and critical sheer stresses (equations 7 and 2, respectively) are  
114 randomly selected within their possible ranges of variation (see next sections and Table 1).  
115 Except for the Shields  $\phi$  variable that we consider to follow a uniform distribution between 0.03  
116 and 0.06 (see section 2.3.1 for justification), we infer that all other variables follow a normal  
117 distribution, defined by its mean and one standard deviation. To ensure that no negative values  
118 introduce a bias to these iterations, only strictly positive values for channel widths and  
119 gradients are considered. In the case of water discharge, both null and positive values are kept  
120 for further calculations. Values excluded from the calculations, i.e. returning negative water  
121 discharge or null or negative channel width / slope gradient, yield “NaN” in the resulting  
122 vector. For each of the 10’000 iterations  $\tau$  and  $\tau_c$  are compared, which yields either “1” ( $\tau > \tau_c$ ) or  
123 “0” ( $\tau \leq \tau_c$ ). The sediment transport probability is then calculated as the sum of ones divided by the  
124 number of draws, from which the number of “NaN” values was subtracted before. Note that <2500  
125 “NaN” were obtained for Rio Chico (PRC-ME17), which we mainly explain by the c. 150% relative  
126 standard deviation of the mean annual water discharge estimated for that river.

127

## 128 2.3 Parameters, datasets, uncertainties and sensitivity analysis

### 129 2.3.1 Shields variable $\phi$

130 Assignments of values to  $\phi$  vary and diverge between flume experiments (e.g., Carling et al., 1992;  
131 Ferguson, 2012; Powell et al., 2016) and field observations (Mueller et al., 2005; Lamb et al., 2008).  
132 Here, we considered that at the incipient motion of the  $D_{84}$ , the Shields variable  $\phi$  is equally  
133 distributed between 0.03 and 0.06 (Dade and Friend, 1998) during the 10’000 iterations.  
134 However, based on a compilation of  $\phi$ -values that were derived from field investigations and  
135 flume experiments, Lamb et al. (2008) revealed that  $\phi$  is likely to depend on the energy gradient  
136 itself, where

$$137 \theta = 0.15S^{0.25} \tag{8}.$$

138 We refrain from using a slope dependency of  $\phi$  at this stage for three major reasons: First, the  
139 consideration of equally distributed  $\phi$ -values, in the range between 0.03 and 0.06, includes the  
140 Shields values of most field investigations and flume experiments where channel gradients were  
141 between 0.001 and 0.02 (spread of energy gradients of our streams, Table 1), as Lamb et al. (2008)  
142 and Bunte et al. (2013) have shown in their compilations. Second,  $\phi$ -values show a large scatter in  
143 their slope-dependencies (Lamb et al., 2008). Accordingly, the consideration of equally distributed  
144  $\phi$ -values within a given range better complies with the large variability in  $\phi$ -values that are  
145 commonly encountered in experiments and field surveys (Lamb et al., 2008). Third, the selected  
146 range considers most of the complexities that are related to the hiding of small clasts and the  
147 protrusion of large constituents (Buffington et al, 1992; Buffington and Montgomery, 1997;

148 Kirchner et al., 1990; Johnston et al., 1998), which, in turn, results in a large scatter of  $\phi$ -  
149 values. In this context, Turowski et al. (2011) reported a larger variation in the threshold conditions  
150 for the mobilization of clasts than employed here. However, their streams have energy gradients  
151 between 0.06 and 0.1, with the consequence that some of the material is entrained during torrential  
152 floods where entrainment mechanisms are different. Finally, the selected  $\phi$ -range also includes the  
153 hydrological conditions of channel forming floods where thresholds for the evacuation of sediment  
154 may be up to 1.2 times larger than for the incipient motion of individual clasts (Parker, 1978;  
155 Philips and Jerolmack, 2016; 2019; Pfeiffer et al., 2017). For instance, a 1.2- times larger threshold  
156 will increase the commonly employed  $\phi$  value of 0.047 (Meyer-Peter and Müller, 1948), or  
157 alternatively 0.0495 (Wong and Parker, 2006), to the range between 0.036 and 0.0594, which is  
158 considered in the brackets of 0.03 and 0.06 that we employed in this paper. In summary, we  
159 consider that the selection of equally distributed  $\phi$ -values between 0.03 and 0.06 does the best job to  
160 account for the large variability in  $\phi$ -values that are commonly encountered in experiments and  
161 field surveys where energy gradients were between 0.001 and 0.02 (Lamb et al., 2008).

162

### 163 2.3.2 Grain size data

164 We collected grain size data from streams where water discharge has been monitored during the  
165 past decades. These are the Kander, Lütschine, Rhein, Sarine, Simme, Sitter and Thur Rivers in  
166 the Swiss Alps (Fig. 1a). The target gravel bars are situated close to a water gauging station. At  
167 these sites, 5 to 6 digital photographs were taken with a Canon EOS PR. The photos covered  
168 the entire lengths of these bars. A meter stick was placed on the ground and photographed  
169 together with the grains. Grain sizes were then measured with the Wolman (1954) method using  
170 the free software package ImageJ 1.52n (<https://imagej.nih.gov>). Following Wolman (1954), we  
171 used intersecting points of a grid to randomly select the grains to measure. A digital grid of  
172 20x20 cm was calibrated with the meter stick on each photo. The size of the grid was selected  
173 so that the spacing between intersecting points was larger than the  $b$ -axis of most of the largest  
174 clasts (Table 1, Supplement S1). The grid was then placed on the photograph with its origin at  
175 the lower left corner of the photo. The intermediate or  $b$ -axis of approximately 250 – 300 grains  
176 (c. 50 grains per photo; Supplement S1) underneath a grid point was measured for each gravel  
177 bar. In this context, we inferred that the shortest ( $c$ -axis) was vertically oriented, and that the  
178 photos displayed the  $a$ - and  $b$ -axis only. In cases where more than half of the grain was buried,  
179 the neighboring grain was measured instead. In the few cases where the same grain lay beneath  
180 several grid points, then the grain was only measured once. Only grains larger than a few  
181 millimeters (>4-5 mm, depending on the quality of the photos) could be measured. While the  
182 limitation to precisely measure the finest-grained particles potentially biases the determination  
183 of the  $D_{50}$ , it will not influence the measurements of the  $D_{84}$  and  $D_{96}$  grain sizes, as the  
184 comparison between sieving and measuring of grains with the Wolman (1954) method has  
185 disclosed (Watkins et al., 2020). In addition, as will be shown below, the consideration of the

186  $D_{96}/D_{84}$  instead of the  $D_{96}/D_{50}$  ratios yields a similar positive relationship to the mobility of  
187 grains. We complemented the grain size data sets with published information on the  $D_{50}$ ,  $D_{84}$   
188 and  $D_{96}$  grain size (Litty and Schlunegger, 2017; Litty et al., 2017) for further streams in  
189 Switzerland and Peru (Figs. 1a and 1b; Table 1). For a few streams in Switzerland, Hauser  
190 (2018) presented  $D_{84}$  grain size data from the same gravel bars as Litty and Schlunegger (2017),  
191 but the photo was taken one year later and possibly from a different site. For these 5 locations,  
192 we took the arithmetic mean of both surveys (Table 1, data marked with three asterisks). All  
193 authors used the same approach upon collecting grain size data, which justifies the combination  
194 of the new with the published datasets.

195 We finally assigned an uncertainty of 20% to the  $D_{84}$  threshold grain size, which considers the  
196 variability of the  $D_{84}$  within a gravel bar as the analysis of the intra-bar variation of the  $D_{84}$  for  
197 selected gravel bars in Switzerland shows (Supplement S1). The assignment of a 20%  
198 uncertainty to the  $D_{84}$  threshold grain size also considers a possible bias that could be related to  
199 the grain size measuring technique (e.g., sieving in the field versus grain size measurements  
200 using the Wolman method; Watkins et al., 2020). However, it is likely to underestimate the  
201 temporal variability in the grain size data, as a repeated measurement on some gravel bars in  
202 Switzerland has suggested (Hauser, 2018), but this aspect warrants further research.

203

### 204 2.3.3 Water discharge data

205 The Federal Office for the Environment (FOEN) of Switzerland has measured the runoff values  
206 of Swiss streams over several decades. We employed the mean annual discharge values over 20  
207 years for these streams (Supplement S2) and calculated one standard deviation thereof (see  
208 Table 1). For the Peruvian streams, we used the mean annual water discharge values  $Q_{mean}$   
209 reported by Litty et al. (2017) and Reber et al. (2017). These authors obtained the mean annual  
210 water discharge (Table 1) through a combination of hydrological data reported by the Sistema  
211 Nacional de Información de Recursos Hídricos and the TRMM-V6.3B43.2 precipitation  
212 database (Huffman et al., 2007). They also considered the intra-annual runoff variability as one  
213 standard deviation from  $Q_{mean}$  to account for the strong seasonality in runoff for the Peruvian  
214 streams, which we employed in this paper. For the Peruvian streams, the assigned uncertainties  
215 to  $Q_{mean}$  are therefore significantly larger than for the Swiss rivers (Table 1). A re-assessment of the  
216 inter-annual variability of water discharge for those streams in Peru where the gauging sites are  
217 close to the grain size sampling location (distance of a few kilometers) yields a one standard  
218 deviation of c. 50%, which is still much larger than for the Swiss rivers (Appendix S2). We  
219 therefore run sensitivity tests where we considered scenarios with different relative values for 1σ  
220 standard deviations of  $Q_{mean}$ .

221 We additionally ran sensitivity tests to explore how the mobility probability changes if runoff  
222 quantiles instead of  $Q_{mean}$  are considered (Supplement S3). In particular, we ran a series of Monte  
223 Carlo simulations for various runoff quantiles and then calculated the resulting probability of

224 sediment mobilization for each of these quantiles. We then multiplied the occurrence probability of  
225 each runoff quantiles (listed by the Swiss authorities and calculated for the Peruvian streams based  
226 on 4 to 98-years equivalent daily records) with the corresponding transport probability and summed  
227 the values. This integration provides an alternative and more realistic estimate of transport  
228 probability (Supplement S3).

229

#### 230 2.3.4 Channel width data

231 For the Swiss streams, channel widths and gradients (Table 1, Supplement S4) were measured  
232 on orthophotos and LiDAR DEMs with a 2-m resolution provided by Swisstopo. From this  
233 database, gradients were measured over a reach of c. 250 to 500 m. All selected Swiss rivers are  
234 single-thread streams following the classification scheme of Eaton et al. (2010), and flows are  
235 constrained by artificial banks where channel widths are constant over several kilometers. For  
236 these streams, we therefore measured the cross-sectional widths between the channel banks,  
237 similar to Litty and Schlunegger (2017).

238 We complemented this information with channel width (wetted perimeter) and energy gradient  
239 data for 21 Peruvian streams that were collected by Litty et al. (2017) in the field and on  
240 orthophotos taken between March-June. This period also corresponds to the season when the  
241 digital photos for the grain size analysis were made (Mai 2015). We acknowledge that widths of  
242 active channels in Peru vary greatly on an annual basis because of the strong seasonality of  
243 runoff (see above and large intra-annual variability of runoff in Table 1). We therefore  
244 considered scenarios where channel widths are twice as large as those reported on Table 1 (see  
245 Supplement S5).

246 The uncertainties on slope and channel width largely depend on the resolution of the digital  
247 elevation models underlying the orthophotos (2-m LiDAR DEM for Switzerland, and 30-m ASTER  
248 DEM for Peru). It is not possible to precisely determine the uncertainties on the slope values.  
249 Nevertheless, we anticipate that these will be smaller for the Swiss rivers than for the Peruvian  
250 streams mainly because of the higher resolution of the DEM. We ran sensitivity models where we  
251 explored how the probability of material transport changes in the Swiss rivers for various  
252 uncertainties on channel widths, energy gradients and mean annual discharge values (Supplement  
253 S4)

254

255

### 256 **3 Results**

257 The grain sizes range from 8 mm to 70 mm for the  $D_{50}$ , 29 mm to 128 mm for the  $D_{84}$ , and 52 mm  
258 and 263 mm to the  $D_{96}$ . The smallest and largest  $D_{50}$  values were determined for the Maggia and  
259 Rhein Rivers in the Swiss Alps, respectively (Table 1). The grain sizes in the Swiss Rivers also  
260 reveal the largest spread where the ratio between the  $D_{96}$  and  $D_{50}$  grain size ranges between 2.2  
261 (Sarine) and 17.7 (Maggia Losone I), while the corresponding ratios in the Peruvian streams are

262 between 2.1 (PRC-ME9) and 5.8 (PRC-ME17). In the Swiss Alps, the critical shear stresses  $\tau_c$   
263 (median values) for entraining the  $D_{84}$  grain size ranges from c. 20 Pa (Emme River) to c. 90 Pa  
264 (Rhein and Simme Rivers). In the Peruvian Andes, the largest critical shear values are <80 Pa  
265 (PRC-ME39). The shear stress values related to the mean annual water discharge  $Q_{mean}$  range from c.  
266 15 Pa to 100 Pa in the Alps and from 20 Pa to >400 Pa in the Andes. Considering the strength of a  
267 mean annual flow and the  $D_{84}$  grain size as threshold, the probability of sediment transport  
268 occurrence in the Peruvian Andes and in the Swiss Alps comprises the full range between 0% and  
269 100%.

270 Rivers that are not affected by recurrent high magnitude events (e.g., debris flows) and where  
271 the grain size distribution is not perturbed by lateral material supply are expected to display a self-  
272 similar grain size distribution (Whittaker et al., 2011; D'Arcy et al., 2017; Harries et al., 2018),  
273 characterized by a linear relationship between the  $D_{84}/D_{50}$  and  $D_{96}/D_{50}$  ratios. In case of the Maggia  
274 River, the largest grains are oversized if the  $D_{50}$  and the grain size distribution of the other streams  
275 are considered as reference (Fig. 2). This could reflect a response to the supply of coarse-grained  
276 material by a tributary stream where the confluence is <1 km upstream of the Maggia sites.  
277 Alternatively, and possibly more likely, it reflects the response to the high magnitude floods in this  
278 stream (Brönnimann et al., 2018). In particular, while the ratio between the last and first quantiles is  
279 <150 in the Swiss streams on the northern side the Alps, the ratio is 860 in the Maggia River.  
280 Interestingly, such ratios are not rare in Peru. However, we anticipate that the Peruvian streams are  
281 capable to accommodate such large runoff variabilities through much wider channel belts that are  
282 not confined by artificial banks along most of the streams. If we exclude the Maggia dataset, then  
283 the probability of sediment transport occurrence scales positively and linearly with the  $D_{96}/D_{50}$  ratios  
284 (Fig. 3A). The observed relationship appears stronger for the Swiss rivers ( $R^2 = 0.74$ , p-value =  $2E-$   
285  $4$ ) than for the Peruvian stream ( $R^2 = 0.33$ , p-value =  $4E-3$ ). These correlations suggest that gravel  
286 bars with a poorer sorting of the bed material, here expressed by a high  $D_{96}/D_{50}$  ratio, have a greater  
287 probability for the occurrence of sediment transport than those with better-sorted material. If the  
288 normalized residuals are plotted against the sorting, then they do not show any specific and  
289 significant patterns, and therefore appear independent of the sorting (Fig. 3B). This suggests  
290 that the inferred linear relationships between the probability of transport occurrence and the  
291  $D_{96}/D_{50}$  are statistically robust. Although Fig. 3A suggests that the regression for the Swiss rivers  
292 (slope=  $0.16 \pm 0.06$ ; intercept=  $-0.34 \pm 0.31$ ) differs from that of the Peruvian streams (slope=  
293  $0.18 \pm 0.11$ ; intercept=  $-0.02 \pm 0.46$ ), the regression parameters do not significantly differ when  
294 considering them within their 95% confidence intervals.

295 The use of discharge quantiles yields sediment transport probabilities within a large range between  
296 <10% and >50%, and they are higher than reported values from other streams (Torizzo and Pitlick,  
297 2004; Pfeiffer and Finnegan, 2008). Additionally, the resulting probabilities are positively and  
298 linearly correlated with the probability of transport estimated with  $Q_{mean}$  (Figure S3 in Supplement),  
299 and the correlations are very similar between the Swiss (slope:  $0.74 \pm 0.02$ ; intercept:  $0.05 \pm 0.01$ ) and



300 Peruvian streams (slope:  $0.73 \pm 0.19$ ; intercept:  $0.03 \pm 0.14$ ). The mean annual discharge estimates  
301  $Q_{mean}$  are likely biased by infrequent, but large magnitude floods, which could explain the 25%  
302 larger transport probabilities if  $Q_{mean}$  is used as reference runoff.

303 The assignments of different uncertainties on reach slopes, channel widths and discharge has no  
304 major influence on the inferred relationships between transport probability and sorting (Supplement  
305 S4, S5). For the Peruvian streams, however, assignments of twofold larger values to channel widths  
306 will decrease the probability of transport for a given sorting by c. 10-15%. The inferred linear  
307 relationship between both variables, however, will remain (Supplement S5).

308

#### 309 **4 Discussion and Conclusions**

310 The sediment transport calculation is based on the inference that water discharge is strong enough to  
311 entrain the frame building grain size  $D_{84}$ . Therefore, the relationships between the mobilization  
312 probability and the  $D_{96}/D_{50}$  ratio could depend on the selected grain size percentile (e.g., the  $D_{84}$   
313 versus the  $D_{50}$ ), which sets the transport threshold. However, recent experiments have shown that  
314 the  $D_{84}$  better characterizes the thresholds for sediment entrainment than the  $D_{50}$  (MacKenzie et al.  
315 (2018). Besides, grain size  $D$  linearly propagates into the equation (2) and thus into the probability  
316 of  $\tau > \tau_c$ . Therefore, although the resulting probabilities vary depending on the threshold grain size,  
317 the relationships between the  $D_{96}/D_{50}$  ratio and the mobilization probability will not change. In  
318 addition, because of the linear relationship between the  $D_{84}/D_{50}$  and  $D_{96}/D_{50}$  ratios (Fig. 2), the same  
319 dependency of transport probability on sorting will also emerge if the  $D_{96}/D_{84}$  ratios are used. For  
320 the case where different discharge estimates are considered, here expressed as the ratio  $\Delta$  of a  
321 specific runoff to the mean annual discharge  $Q_{mean}$ , then the corresponding probability of sediment  
322 transport will change by  $\sim \sqrt{\Delta}$  (equation 7), but the dependency on the  $D_{96}/D_{50}$  ratio will remain.

323 This is also valid if transport probabilities are calculated based on discharge quantiles (Supplement  
324 S3), and if larger channel widths particularly for Peruvian streams are considered (Supplement S5).  
325 This suggests that the sorting of the bed material has a measurable impact on the mobility of gravel  
326 bars and thus on the frequency of sediment mobilization irrespective of the selection of a threshold  
327 grain size and the choice of a reference water discharge. We note that while the data is relatively  
328 scarce and scattered (i.e., the same transport probability for a c. twofold difference in the  $D_{96}/D_{50}$   
329 ratio), the relationships observed between the probability of transport occurrence and the degree of  
330 material sorting are significant with p-values  $\ll 0.01$ . We explain the scatter in the data by the  
331 natural stochastic nature of processes that are commonly encountered in the field.

332 For a given  $D_{96}/D_{50}$  ratio, the probability of material transport tends to be greater in the Peruvian  
333 than in the Swiss rivers (Fig. 3A). We explain the albeit small divergence in the transport  
334 probability between both settings (i.e. regression parameters overlap within their 95% confidence  
335 interval) by (i) the differences between the geomorphic conditions and sediment supply processes in  
336 both mountain ranges, (ii) the anthropogenic corrections of the Swiss streams, and (iii) the generally  
337 stochastic nature in sediment supply. In the Swiss Alps, the channel network, the processes on the

338 hillslopes, and the pattern of erosion and sediment supply has mainly been conditioned, and thus  
339 controlled, by the glacial impact on the landscape and the large variability of exposed bedrock  
340 lithologies (e.g., Salcher et al., 2014; Stutenbecker et al., 2016). In addition, the intra-annual runoff  
341 variability is much lower than in the Peruvian streams (Supplement S2). In contrast, the erosion and  
342 sediment supply in the western Peruvian Andes is mainly driven by the combined effect of  
343 orographic rain (Montgomery et al., 2001; Viveen et al., 2019) and earthquakes (McPhilips et al.,  
344 2014), and the intra-annual runoff variability is quite large (Table 1). Because the patterns,  
345 conditions and mechanisms of sediment supply largely influence the grain size distribution of the  
346 supplied material (Attal et al., 2015), and as consequence, the downstream propagation of these  
347 grain size signals (Sklar et al., 2006), we do not expect identical relationships between grain size  
348 parameters and probability of sediment transport in both mountain ranges. In the same sense, the  
349 large variability and stochastic nature of sediment supply and transport processes could also explain  
350 the large spread in transport probability that we report for the Swiss and Peruvian streams. A large  
351 spread in transport probability was also inferred for mountainous rivers in the USA (Torizzo and  
352 Pitlick, 2004; Pfeiffer and Finnegan, 2018). We note, however, that Pfeiffer and Finnegan (2018)  
353 report lower transport probabilities that range between 8% and nearly 100% for the West Coast, 1%  
354 and 12% for the Rocky Mountains, and <10% for the Appalachian Mountains. In a broader sense,  
355 these authors considered the ratio between sediment supply and sediment transport capacity as  
356 criteria for the incipient motion of bedload material, which differs from the mobility criteria that we  
357 set in this paper. However, the largest difference stems from the values of the database. While the  
358  $D_{50}$  of Pfeiffer and Finnegan (2018) has nearly the same size as the  $D_{84}$  reported here, their channel  
359 gradients tend to be 3 times lower. Because shear stress linearly depends on gradient (equation 7),  
360 then the probability where  $\tau > \tau_c$  will be directly and proportionally affected by this. Nevertheless,  
361 even if we would select a different channel gradient, the probability of material mobilization will go  
362 down (most likely linearly), but the dependency of the transport probability on the grain size sorting  
363 will remain. Accordingly, despite the large scatter in the dataset, the relationships between transport  
364 mobility and sorting is statistically significant with p-values  $\ll 0.01$ , which suggests the sorting of  
365 coarse-grained bed sediments has a measurable impact on the mobility of the bedload material. We  
366 therefore conclude that besides the generally accepted controls including transport regime and  
367 sediment supply (Dade and Friend, 1998; Church, 2006), the sorting of the bed material represents  
368 an additional, yet important variable that influences the mobility of material on gravel bars. In  
369 addition, further research could possibly disclose a mechanism where sediment supply, material  
370 sorting and transport probability may be closely linked through a positive feedback.

371

372 Figure 1

373 A) Map showing the sites where grain size data has been measured in the Swiss Alps. The research  
374 sites are close to water gauging stations; B) map showing locations for which grain size and water  
375 discharge data is available in Peru (Litty et al., 2017).

376

377 Figure 2

378 Relationship between ratio of the  $D_{96}/D_{50}$  and  $D_{84}/D_{50}$ , implying that the  $D_{96}$  grain sizes of the  
379 Maggia gravel bars are too large if the  $D_{50}$  is taken as reference and if the other gravel bars are  
380 considered.

381

382 Figure 3

383 A) Relationships between the probability of sediment transport occurrence and the  $D_{96}/D_{50}$  ratio,  
384 which we use as proxy for the sorting of the gravel bar, in the Swiss and Peruvian rivers. B)  
385 Normalized residuals that are plotted against the sorting. The normalized residuals do not show  
386 any specific and significant patterns.

387

388 Table 1

389 Channel morphometry (width and gradient), grain size and water discharge measured at the research  
390 sites. The table also shows the results of the various calculations (critical shear stress  $\tau_c$ , shear stress  
391  $\tau$  of a flow with a mean annual runoff  $Q_{med}$ , and probability of sediment transport occurrence related  
392 to this flow).

393

#### 394 **Data availability**

395 All data that have been used in this paper are listed in Table 1 and in the Supplement.

396

#### 397 **Author contributions**

398 FS and RD designed the study. RD conducted the Monte Carlo Simulation. PG provided the grain  
399 size data in the Supplement. FS wrote the paper with input from RD and PG. All authors discussed  
400 the article.

401

#### 402 **Competing interests**

403 The authors declare that they have no conflict of interest.

404

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408

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550

551

TABLE 1. GRAIN SIZE, CHANNEL METRICS, SHEAR STRESSES AND RELATIVE TRANSPORT TIME

| Id | River             | Site coordinates Latitude (DD WGS84) | Site coordinates Longitude (DD WGS84) | Channel width along reach (m) | Reach gradient (m/m) | Qmean: Mean annual water discharge (m <sup>3</sup> /s) | Standard deviation of Qmean (m <sup>3</sup> /s)** | D50 (m) | D84 (m)  | D96 (m) | D96/D50 | D84/D50 | Critical Sheer (median) (Pa) | Critical Sheer (16th%) (Pa) | Critical Sheer (84th%) (Pa) | Sheer stress in response to Qmean (median) (Pa) | Sheer stress in response to Qmean (16th%) (Pa) | Sheer stress in response to Qmean (84th%) (Pa) | Relative transport time for Qmean and the D84 as threshold |
|----|-------------------|--------------------------------------|---------------------------------------|-------------------------------|----------------------|--|---|---------|----------|---------|---------|---------|------------------------------|-----------------------------|-----------------------------|---|--|--|--|
| 1  | Emme*             | 46.96                                | 7.75                                  | 30                            | 0.007                | 11.9   | 2.5   | 0.009   | 0.029    | 0.052   | 5.8     | 3.2     | 21                           | 15                          | 29                          | 30  | 23   | 39   | 81%  |
| 2  | Landquart*        | 46.98                                | 9.61                                  | 32                            | 0.018                | 24.1   | 5.1   | 0.025   | 0.083*** | 0.135   | 5.4     | 3.3     | 60                           | 42                          | 82                          | 102   | 79   | 130  | 90%  |
| 3  | Waldemme Littau*  | 47.07                                | 8.28                                  | 27                            | 0.011                | 15.5   | 2.8   | 0.009   | 0.050*** | 0.084   | 9.3     | 5.5     | 36                           | 26                          | 50                          | 55  | 42   | 69   | 85%  |
| 4  | Reuss*            | 46.88                                | 8.62                                  | 48                            | 0.007                | 42.9   | 4.7   | 0.009   | 0.032*** | 0.064   | 7.2     | 4.1     | 27                           | 19                          | 37                          | 48  | 38   | 60   | 93%  |
| 5  | Maggia Losone II* | 46.17                                | 8.77                                  | 84                            | 0.005                | 22.7   | 10.8  | 0.011   | 0.046*** | 0.127   | 11.3    | 4.1     | 33                           | 23                          | 46                          | 19  | 12   | 26   | 11%  |
| 6  | Maggia Losone I*  | 46.17                                | 8.77                                  | 22                            | 0.005                | 22.7   | 10.8  | 0.008   | 0.033*** | 0.140   | 17.7    | 4.1     | 24                           | 17                          | 33                          | 39  | 26   | 53   | 83%  |
| 7  | Rhein             | 47.01                                | 9.30                                  | 92                            | 0.002                | 167.5  | 24.5  | 0.070   | 0.128    | 0.169   | 2.4     | 1.8     | 92                           | 65                          | 127                         | 26  | 20   | 32   | 0%   |
| 8  | Sarine            | 46.36                                | 7.05                                  | 24                            | 0.004                | 21.0   | 3.9   | 0.049   | 0.080    | 0.108   | 2.2     | 1.6     | 58                           | 41                          | 80                          | 27  | 21   | 35   | 4%   |
| 9  | Lütschine         | 46.38                                | 7.53                                  | 32                            | 0.007                | 19.0   | 1.7   | 0.061   | 0.111    | 0.153   | 2.5     | 1.8     | 80                           | 56                          | 110                         | 39  | 31   | 49   | 4%   |
| 10 | Thur              | 47.30                                | 9.12                                  | 52                            | 0.002                | 37.9   | 6.8   | 0.024   | 0.045    | 0.069   | 2.9     | 1.8     | 32                           | 23                          | 45                          | 13  | 10   | 17   | 2%   |
| 11 | Simmme            | 46.39                                | 7.27                                  | 15                            | 0.014                | 12.0   | 1.8   | 0.062   | 0.119    | 0.263   | 4.2     | 1.9     | 86                           | 61                          | 118                         | 87  | 68   | 109  | 51%  |
| 12 | Sitter            | 47.24                                | 9.19                                  | 26                            | 0.005                | 10.2   | 1.6   | 0.028   | 0.064    | 0.094   | 3.3     | 2.2     | 46                           | 33                          | 64                          | 24  | 19   | 30   | 6%   |
| 13 | Kander            | 46.39                                | 7.40                                  | 26                            | 0.009                | 20.0   | 2.3   | 0.054   | 0.116    | 0.193   | 3.6     | 2.1     | 84                           | 59                          | 115                         | 58  | 46   | 72   | 19%  |
| 14 | Sense*            | 46.89                                | 7.35                                  | 24                            | 0.005                | 8.7  | 1.7   | 0.024   | 0.060    | 0.096   | 4.0     | 2.5     | 43                           | 31                          | 60                          | 22  | 17   | 28   | 6%   |
| 15 | PRC-ME1#          | -18.12                               | -70.33                                | 6                             | 0.015                | 3.4  | 0.8   | 0.023   | 0.062    | 0.100   | 4.3     | 2.7     | 45                           | 32                          | 62                          | 76  | 58   | 97   | 89%  |
| 16 | PRC-ME3#          | -17.82                               | -70.51                                | 6                             | 0.013                | 4.0  | 5.0   | 0.025   | 0.055    | 0.110   | 4.4     | 2.2     | 40                           | 28                          | 55                          | 83  | 46   | 126  | 86%  |
| 17 | PRC-ME5#          | -17.29                               | -70.99                                | 7                             | 0.018                | 3.4  | 1.0   | 0.026   | 0.051    | 0.078   | 3.0     | 2.0     | 37                           | 26                          | 51                          | 82  | 61   | 107  | 96%  |
| 18 | PRC-ME6#          | -17.03                               | -71.69                                | 26                            | 0.051****            | 38.1   | 37.8  | 0.015   | 0.036    | 0.075   | 5.0     | 2.4     | 26                           | 18                          | 36                          | 432   | 244  | 643  | 100%****   |
| 19 | PRC-ME802#        | -16.34                               | -72.13                                | 15                            | 0.019                | 30.1   | 21.7  | 0.020   | 0.060    | 0.100   | 5.0     | 3.0     | 43                           | 31                          | 60                          | 193   | 116  | 278  | 98%  |
| 20 | PRC-ME7#          | -16.51                               | -72.64                                | 100                           | 0.005                | 68.4   | 52.7  | 0.052   | 0.087    | 0.120   | 2.3     | 1.7     | 63                           | 44                          | 86                          | 31  | 18   | 45   | 8%   |
| 21 | PRC-ME9#          | -16.42                               | -73.12                                | 70                            | 0.004                | 91.1   | 82.2  | 0.048   | 0.068    | 0.100   | 2.1     | 1.4     | 49                           | 35                          | 68                          | 37  | 21   | 54   | 29%  |
| 22 | PRC-ME1402#       | -15.85                               | -74.26                                | 3                             | 0.014                | 20.4   | 29.9  | 0.013   | 0.030    | 0.060   | 4.6     | 2.3     | 22                           | 15                          | 30                          | 336   | 182  | 510  | 100%   |
| 23 | PRC-ME15#         | -15.63                               | -74.64                                | 23                            | 0.003                | 12.1   | 16.7  | 0.029   | 0.064    | 0.096   | 3.3     | 2.2     | 46                           | 33                          | 64                          | 19  | 10   | 29   | 5%   |
| 24 | PRC-ME16#         | -13.73                               | -75.89                                | 20                            | 0.013                | 13.6   | 17.8  | 0.030   | 0.066    | 0.130   | 4.3     | 2.2     | 48                           | 34                          | 66                          | 85  | 47   | 129  | 80%  |
| 25 | PRC-ME17#         | -13.47                               | -76.14                                | 5                             | 0.010                | 10.1   | 14.8  | 0.013   | 0.038    | 0.076   | 5.8     | 2.9     | 28                           | 19                          | 38                          | 126   | 68   | 191  | 97%  |
| 26 | PRC-ME19#         | -13.12                               | -76.39                                | 60                            | 0.010                | 26.4   | 25.9  | 0.020   | 0.046    | 0.088   | 4.4     | 2.3     | 33                           | 23                          | 46                          | 49  | 28   | 72   | 72%  |
| 27 | PRC-ME20#         | -12.67                               | -76.65                                | 22                            | 0.008                | 8.2  | 9.8   | 0.016   | 0.048    | 0.088   | 5.5     | 3.0     | 35                           | 25                          | 48                          | 38  | 21   | 57   | 55%  |
| 28 | PRC-ME22#         | -12.25                               | -76.89                                | 5                             | 0.022                | 3.7  | 4.3   | 0.030   | 0.050    | 0.088   | 2.9     | 1.7     | 36                           | 26                          | 50                          | 141   | 78   | 212  | 96%  |
| 29 | PRC-ME23#         | -11.79                               | -76.99                                | 40                            | 0.018                | 4.9  | 5.1   | 0.053   | 0.105    | 0.150   | 2.8     | 2.0     | 76                           | 54                          | 104                         | 42  | 24   | 63   | 13%  |
| 30 | PRC-ME23#         | -11.61                               | -77.24                                | 20                            | 0.010                | 8.9  | 7.8   | 0.055   | 0.083    | 0.120   | 2.2     | 1.5     | 60                           | 42                          | 82                          | 48  | 27   | 70   | 32%  |
| 31 | PRC-ME25#         | -11.07                               | -77.59                                | 5                             | 0.012                | 3.8  | 4.6   | 0.028   | 0.077    | 0.130   | 4.6     | 2.8     | 56                           | 39                          | 77                          | 82  | 45   | 124  | 72%  |
| 32 | PAT-ME#           | -10.72                               | -77.77                                | 30                            | 0.014                | 30.9   | 24.3  | 0.018   | 0.036    | 0.060   | 3.3     | 2.0     | 26                           | 18                          | 36                          | 102   | 60   | 148  | 97%  |
| 33 | PRC-ME38#         | -10.07                               | -78.16                                | 15                            | 0.004                | 9.8  | 12.7  | 0.017   | 0.034    | 0.052   | 3.1     | 2.0     | 25                           | 17                          | 34                          | 28  | 15   | 42   | 56%  |
| 34 | PRC-ME27#         | -8.97                                | -78.62                                | 40                            | 0.005                | 96.1   | 67.7  | 0.020   | 0.054    | 0.090   | 4.5     | 2.7     | 39                           | 27                          | 54                          | 61  | 37   | 87   | 77%  |
| 35 | PRC-ME30#         | -7.32                                | -79.48                                | 40                            | 0.007                | 25.4   | 27.7  | 0.029   | 0.063    | 0.100   | 3.4     | 2.2     | 45                           | 32                          | 63                          | 44  | 24   | 65   | 46%  |

*Italic*=Swiss Rivers, plain=Peruvian Rivers

Water discharge data from the Swiss Rivers is taken from the Swiss Federal Office for the Environment (FOEN: www.hydrodaten.admin.ch). Reported values represent discharges monitored over the period 1990-2011; Except for the Rhein (1977-1990).

Water discharge and drainage basin size data from the Peruvian Rivers is taken from Reber et al. (2017) and Litty et al. (2017)

#The grain size data from the Peruvian streams is taken from Litty et al. (2017)

\*The grain size data, channel width and gradient data from the Emme, Landquart, Reuss, Maggia and Sense Rivers is taken from Litty and Schlunegger (2017)

\*\*While standard deviation on annual water flow represents inter-annual variance for Switzerland, it represents intra-annual ones in Peru.

\*\*\*Mean values of measurement results by Hauser (2017) and Litty and Schlunegger (2017)

\*\*\*\*The results are possibly biased by an error on the slope, which appears too steep; the consideration of a flatter slope (0.013) still yields 99%



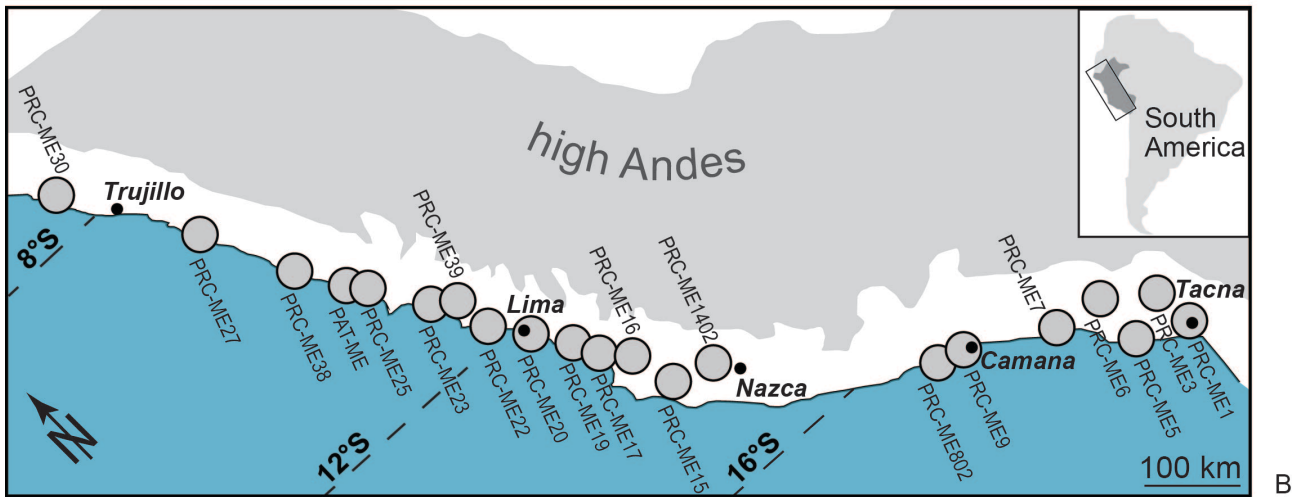
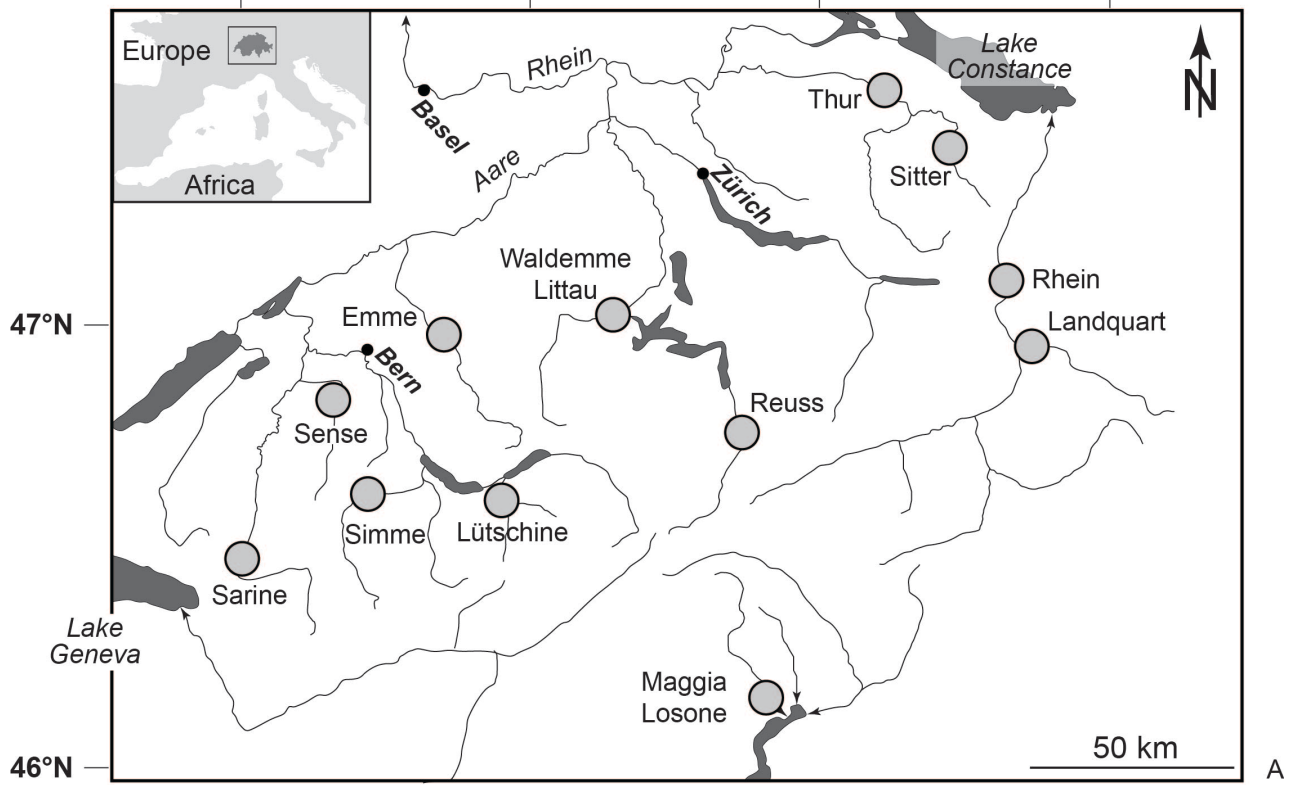
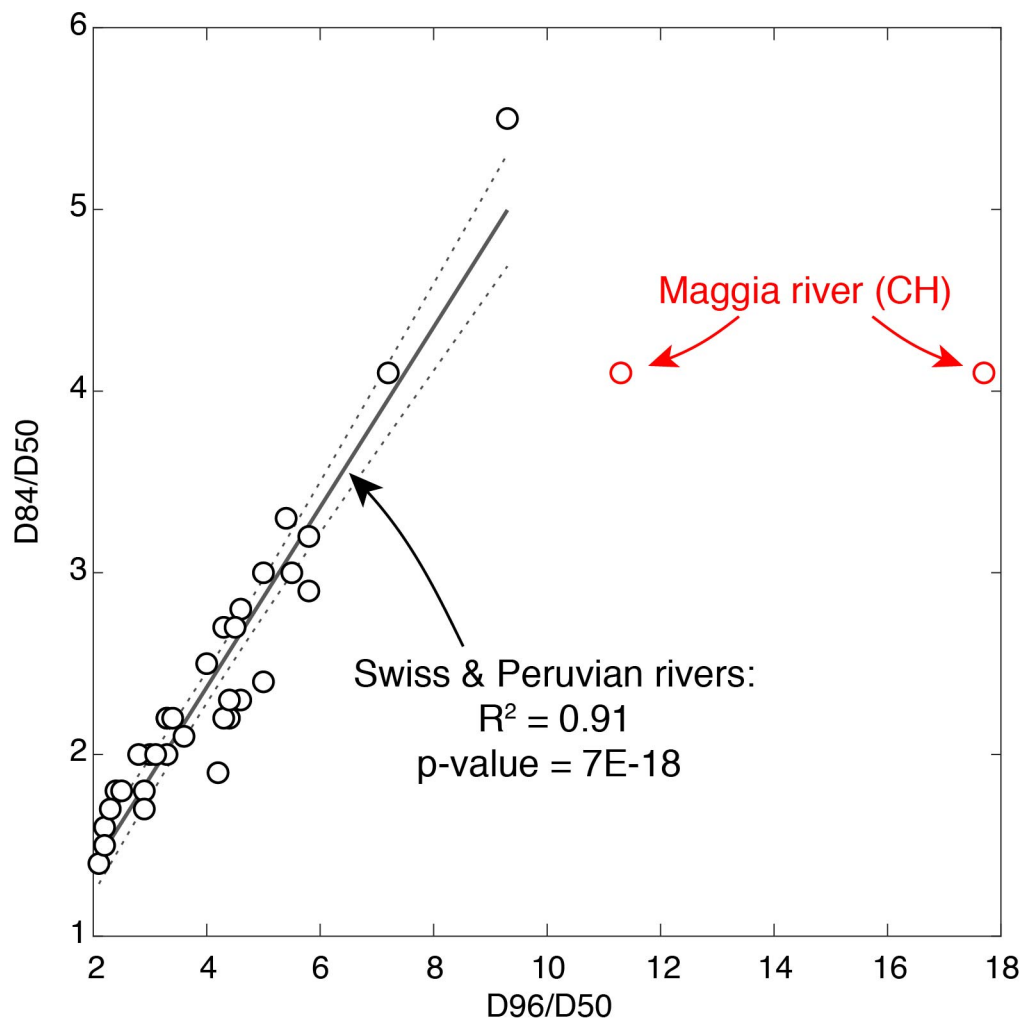


Figure 1

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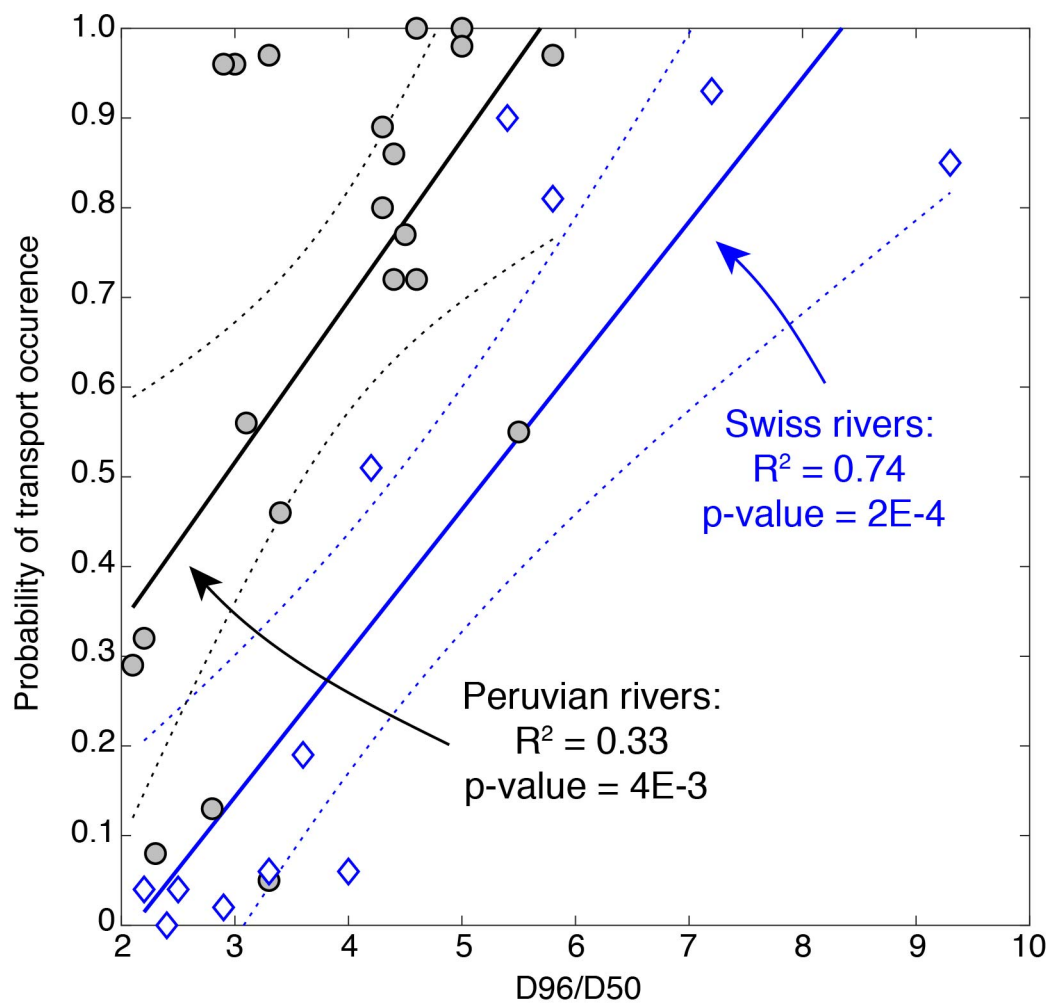
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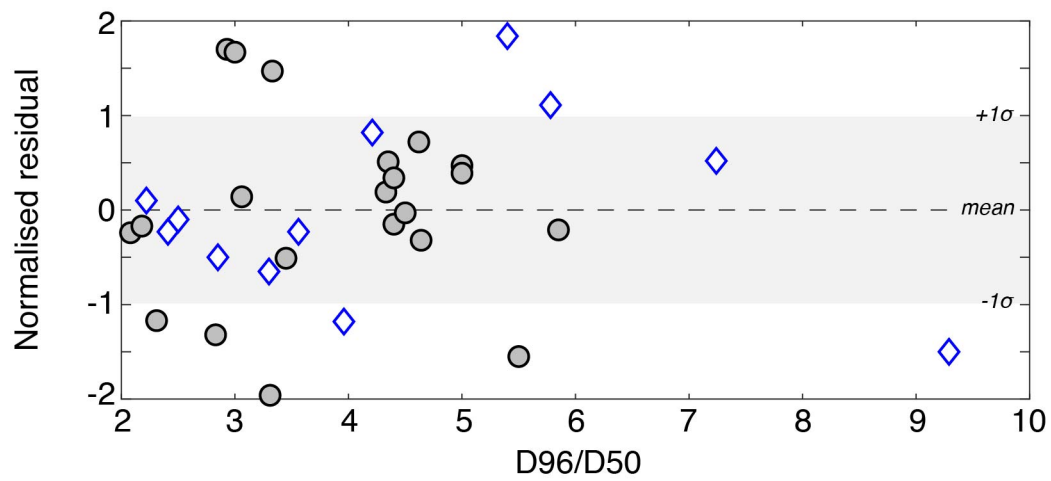
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Figure 2



A



B

Figure 3