



1 **Structural variations in basal decollement and internal deformation of the Lesser**
2 **Himalayan Duplex trigger landscape morphology in NW Himalayan interiors**

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10

11 **Abstract**

12 The Kishtwar Window (KW) of the NW Himalaya exposes the northwestern termination
13 of the orogen-parallel anticlinal stack of thrust nappes, termed as the Lesser Himalayan Duplex
14 and its evolution portrays rapid exhumation at least over the last 2-3 Myr. However, speculations
15 remain if it still actively deforming. Here we combine morphometric analyses with structural and
16 field evidences to describe the spatial pattern of internal deformation of the duplex. We suggest
17 that the variations in the geometry of the basal décollement, the Main Himalayan Thrust (MHT)
18 and internal faulting within the duplex define the observed neotectonic deformation. We
19 recognize two significant steep stream segments/ knickzones, one in center of the window, and a
20 second one along its western margin, which we relate to fault-ramps emerging from the MHT.
21 The larger of the knickzones, in the core of the window, show an increase in the angle of



22 foliations towards downstream. Highly-fractured and folded rocks at the base of the steep stream
23 segment, suggest internal deformation of the duplex, possibly linked to surface-breaking thrust
24 fault-ramp at the core of the duplex. The second steepened knickzone coincides with the western
25 margin of the window and is identified by a narrow channel through a comparatively weaker
26 bedrock gorge. Summarizing our findings, we favor a structural and active tectonic control on
27 the growth of the duplex even over geomorphic timescales. Corroborating with previous studies,
28 we suggest that the differential uplift and growth of the duplex is linked to several flat-ramp
29 structures along the MHT.

30 **Keywords**

31 Steepness index, knickzone, rock strength, Lesser Himalayan Duplex, Main Himalayan Thrust.

32

33 **1. Introduction**

34

35 Protracted convergence between the Indian and the Eurasian plate resulted into the
36 growth and evolution of the Himalayan orogen and temporal in-sequence formation of the
37 Southern Tibetan Detachment System (STDS), the Main Central Thrust (MCT), the Main
38 Boundary Thrust (MBT) and the Main Frontal Thrust (MFT) towards the south (Yin and
39 Harrison, 2000; Yin, 2006; Mukherjee, 2013). All these fault-zones emerge from a low-angle
40 basal decollement, the Main Himalayan Thrust (MHT), established in the late Miocene (Vannay
41 et al., 2004) and forming the base of the Himalayan orogenic wedge (Ni and Barazangi, 1984;
42 Nabelek et al., 2009; Avouac et al., 2016).



43 Majority of scientists have favored that the late Pleistocene-Holocene shortening of the
44 Himalaya is mostly accommodated within the southern margin of the wedge, i.e., the Sub-
45 Himalaya (morphotectonic segment in between the MBT and the MFT) (Wesnousky et al., 1999;
46 Lave and Avouac, 2000; Burgess et al., 2012; Thakur et al., 2014; Mukherjee, 2015; Vassalo et
47 al., 2015; Dey et al., 2016; Dey et al., 2018). The statement above implies that the northerly
48 thrusts, i.e., the MBT and the brittle faults exposed in the vicinity of the southern margin of the
49 Higher Himalaya, are considered inactive and not contributing to the growth and total shortening
50 of the Himalaya since at least late Pleistocene. However, in recent years, several studies focused
51 on the low-Temperature thermochronological data and thermal modeling of the interiors of the
52 NW Himalaya have raised questions on the statement above. The recent studies suggested that
53 10-15% of the total Quaternary shortening has been accommodated within the interiors of the
54 Himalaya as out-of-sequence deformation, i.e., in hanging wall of the MBT or other structures
55 (Thiede et al., 2004; Deeken et al., 2011; Thiede et al., 2017; Gavillot et al., 2018) (*Fig. S1*).
56 Overall, the out-of-sequence deformation of the Himalayan wedge has been explained by two
57 end-member models. One of them favored reactivation of the MCT (Wobus et al., 2003), while
58 the other tried to explain all changes along the southern margin of the Higher Himalaya with a
59 major ramp triggering deformation along the MHT (Bollinger et al., 2006; Herman et al., 2010;
60 Robert et al., 2009). Landscape evolution models, structural analysis and thermochronological
61 data from the interior of the Himalaya favor that the Lesser Himalaya has formed a duplex at the
62 base of the southern Himalayan front by sustained internal deformation since late Miocene
63 (Decelles et al., 2001; Mitra et al., 2010; Robinson and Martin, 2014). Growth of the duplex
64 resulted into the uplift of the Higher Himalaya forming the major orographic barrier of the
65 orogen. The Kishtwar Window (KW) in the NW Himalaya represents the northwestern



66 termination of the Lesser Himalayan Duplex (LHD). While most of the published cross-sections
67 of the Himalayan orogen today recognize the duplex (Webb et al., 2011; Mitra et al., 2010;
68 DeCelles et al., 2001), usually very little or no data are available on how the deformation is
69 spatially as well as temporally distributed and most importantly, whether the duplex is active
70 over timescales shorter than a million year.

71 The pioneering low-temperature thermochron study by Kumar et al., (1995) portrayed the
72 first orogen-perpendicular sampling traverse extending from the Kishtwar tectonic Window over
73 the Zaskar Range. More recent studies link the evolution of the KW to the growth of a Lesser
74 Himalayan Duplex structure (Gavillot et al., 2018), surrounded by the Miocene MCT shear zone
75 along the base of the High Himalayan Crystalline, locally named as the Kishtwar Thrust (KT).
76 Thermochronological constraints suggest higher rates of exhumation within the window (3.2-3.6
77 mm.a⁻¹) (Gavillot et al., 2018), corroborating well with similar thermochron-based findings from
78 the of the Kullu-Rampur window along the Beas Stübner et al., (2018) and Sutlej valley (Jain et
79 al., 2000; Vannay et al., 2004; Thiede et al., 2004) over the Quaternary timescale. In contrast,
80 geodetic shortening rates, lack spatial resolution and only capture inter-seismic deformation
81 (Banerjee and Burgmann, 2002; Kundu et al., 2014), and there exists no chronological data to
82 provide information on ongoing tectonic activity in the interiors of the Himalaya over
83 intermediate timescales. Therefore, to understand the 10³-10⁴-year timescale neotectonic
84 evolution, either we have to have geological field evidence, chronologically-constrained
85 geomorphic markers or at least have a rigorous morphometric analysis of potential study areas,
86 such as the KW.

87 Documenting over-steepened longitudinal river profiles along the southern margin of the
88 Higher Himalaya was pioneered by Seeber and Gornitz (1983), who related that to Quaternary



89 internal deformation and recent uplift. They used longitudinal stream profiles and stream-length
90 index and identified unadjusted and steep stream segments at the transition from the Lesser to the
91 Higher Himalaya along sixteen major Himalayan rivers, including the Chenab river passing
92 through the Kishtwar-Jammu region. Similarly, a study by Nennewitz et al., (2018) also
93 portrayed morphometric indices (basinwide steepness indices and topographic relief) across a
94 large part of the NW Himalaya. Though the resolution of the analyses was coarse, the first-order
95 results encourage us to explore the large drainage networks over the prospect study areas in
96 much more detail and with a terrain data having finer resolution than 30m SRTM DEM used
97 before.

98 Another pivotal question on the Himalayan wedge kinematics is about the structural
99 pattern of the basal decollement. Based on the large-scale basinwide morphometric analysis,
100 Nennewitz et al., (2018) have proposed that the million-year-timescale shortening achieved in
101 the interior of the Himalaya near the Sutlej-Beas area in the eastern Himachal Pradesh is caused
102 by accentuated rock uplift over a ramp at a mid-crustal depth of $\sim 8-25$ km on the MHT. In
103 contrast, studies from the Dhauladhar Range in the northwestern Himalaya hints the presence of
104 deep-seated crustal ramp on the MBT and yielded a shortening rate of $1.5-3 \text{ mm a}^{-1}$ across the
105 MBT over the last 8 Ma and absence of mid-crustal ramp (Thiede et al., 2017). However, it is
106 still unclear whether this proposed ramp on the MHT is laterally continuous or is interrupted in
107 the far western sectors of the Ravi-Chamba region in the western Himachal Pradesh and Jammu
108 region. Gavillot et al. (2018) recently presented an orogen-perpendicular balanced cross-section
109 of the Chenab region extending ~ 160 km inside the MFT, which favors the existence of a mid-
110 crustal ramp beneath the KW. Their suggestion is in agreement with very young AFT cooling
111 ages (1-3 Ma) (Kumar et al., 1995) in the window and previous documentation of structural



112 deformation styles described in DiPietro and Pogue (2004), Yin (2006) and Searle et al., (2007).
113 Previous work, however, lacks field evidence, as well as details about of active deformation. The
114 thermochronological control is also restricted to very limited stretches along the Chenab River
115 and hardly covers the entire KW. Therefore, the interpretation of deformation pattern based on
116 exhumation rates is not very well constrained. However, the high Quaternary exhumation rates
117 from the KW motivated us to study the KW and the surroundings in detail.

118 In this study, we will focus on few long-standing questions on Himalayan neotectonic
119 evolution, which are-

120 1. What is the spatial extent of neotectonic deformation, if any, in the interiors of the
121 Himalaya?

122 2. What is the role of the Lesser Himalayan duplex in defining the morphology of the
123 Himalayan interiors?

124 3. How reliably we can infer about sub-surface structural variations of the orogenic
125 wedge by analyzing the terrain morphology?

126 To address these questions, we adopted a combination of methods such as morphometric
127 analysis using high-resolution digital elevation models, field observation on rock type, structural
128 variations as well as rock strength data collection and, analysis of satellite images to assess the
129 spatial distribution of the late Quaternary deformation of the KW and surroundings_(Fig.1). Our
130 aim was to test if the landscape morphology can be explained by changes in the basal
131 decollement and associated active structures, likewise it has been done in the neighboring sectors
132 of the Himalaya (Fig.1). We have used 12.5m ALOS PALSAR DEM and LANDSAT satellite
133 imagery for various morphometric analyses such as longitudinal stream profiles, basinwide



134 normalized steepness indices and channel width measurement. We calculated specific stream
135 power of selected stretches within the study area and used it as a proxy of fluvial incision. We
136 combined the results with field observation, field-collected data on bedrock structural styles and
137 rock strength data by using hand-held rebound hammer to investigate the spatial distribution of
138 neotectonic deformation in the vicinity of the KW. Our morphometric results document that the
139 regional distribution of faulting is concentrated in the core of the window and along the western
140 margin of the window, and indicated that faulting within and growth of the Lesser Himalayan
141 Duplex are controlling deformation of the Himalayan interior and uplift of High Himalaya in its
142 hanging wall. With this study, we put new insights on the structural variations within the NW
143 Himalayan interiors and spatial distribution of neotectonic evolution of the Himalayan orogen
144 over the geomorphic timescale of 10^4 - 10^5 years.

145

146 **2. Geological background**

147 The orogenic growth of the Himalaya, resulted an overall in-sequence development of
148 the orogen-scale fault systems which broadly define the morphotectonic sectors of the orogen
149 (*fig. S1*). Notable among those sectors, the Higher Himalaya is bordered by the MCT in the south
150 and is comprised of high-grade metasediments, Higher Himalayan Crystalline Sequence (HHCS)
151 and Ordovician granite intrusives (Yin and Harrison, 2000). The Low-grade metasediments
152 (quartzites, phyllites, schists, slates) of the Proterozoic Lesser Himalayan sequence are exposed
153 between the MCT in the north and MBT in the south. The Lesser Himalayan domain is narrow
154 (4-15 km) in the NW Himalaya except where it is exposed in the form of tectonic windows
155 (Kishtwar window, Kullu-Rampur window etc.) in the western Himalaya (Steck, 2003). The



156 Sub-Himalayan fold-and-thrust belt lying to the south of the MBT is tectonically the most active
157 sector since the late Quaternary (Thakur et al., 2014; Vignon et al., 2016).

158 Near the southwest corner of our study area, Proterozoic low-grade Lesser Himalayan
159 metasediments are thrust over the Tertiary Sub-Himalayan sediments along the MBT (Wadia,
160 1934; Thakur, 1992). Near the Chenab region, Apatite U-Th/He ages suggest that cooling and
161 exhumation related to faulting along the MBT thrust sheet initiated before $\sim 5 \pm 3$ Ma (Kumar et
162 al., 1995). Geomorphic data obtained across the MBT in Kashmir Himalaya suggest that MBT
163 has not been reactivated for the last 14-17 ka (Vassallo et al., 2015). In the NW Himalaya, the
164 Lesser Himalayan sequence (LHS) exposed between the MBT and the MCT is characterized by a
165 < 10 km-wide zone of sheared schists, slates, quartzites, phyllites and Proterozoic intrusive
166 granite bodies (Bhatia and Bhatia, 1973; Thakur, 1992; Steck, 2003). The LHS is bounded by the
167 MCT shear zone in the hanging wall. The MCT hanging wall forms highly deformed nappe
168 exposing lower and higher Haimantas, which are related to the Higher Himalayan Crystalline
169 Sequence (HHCS) (Bhatia and Bhatia, 1973; Thakur, 1992; Yin and Harrison, 2000; Searle et
170 al., 2007). Nearly 40 km NE of the frontal MCT shear zone, MCT fault zone is re-exposed in the
171 vicinity of KW is called the Kishtwar Thrust (KT) (Ul Haq et al., 2019) (*fig. 1*). Within the KW,
172 Lesser Himalayan Rampur quartzites, low-grade mica schists and phyllites along with the granite
173 intrusives are exposed (Steck, 2003; DiPietro and Pogue, 2004; Yin, 2006; Gavillot et al., 2018).
174 (*Fig. 2a*). KW exposes a stack of LHS nappes in the footwall of the MCT (in this case, KT)
175 which is related to the Lesser Himalayan Duplex (LHD), characteristic of the central Himalaya
176 (Decelles et al., 2001). Regionally balanced cross-sections (DiPietro and Pogue, 2004; Searle et
177 al., 2007; Gavillot et al., 2018) suggest that the Himalayan wedge is bounded at the base by
178 décollement, named the MHT. Sub-surface structural formations beneath the KW is not well-



179 constrained. A recent study by Gavillot et al., (2018) propose the existence of two mid-crustal
180 ramp segments beneath the KW, viz., MCR-1 and MCR-2 (*fig. S2*). Based on
181 thermochronological constraints, Gavillot et al. (2018) and Kumar et al., (1995), proposed that
182 the core of the window is exhumed with rates $> 1 \text{ mm.a}^{-1}$ during the Quaternary, at a higher rate
183 when compared to the surroundings.

184

185 **3. Methods of morphometric analysis and field data collection**

186

187 **3.1.Morphometry**

188 For conducting the morphometric analysis, we have used 12.5m ALOS-PALSAR DEM
189 data (high resolution terrain-corrected). This DEM data has lesser issues with artifacts and noises
190 than 30m SRTM data, which fails to capture the drainage network properly in areas populated by
191 narrow channel gorges.

192 **3.1.1. Drainage network extraction**

193 The drainage network and the longitudinal stream profiles were extracted using the
194 Topographic Analysis Kit toolbox (Forte and Whipple, 2019). An equivalent of 10-pixel
195 smoothing of the raw DEM data has been applied to remove noises from the DEM. The
196 longitudinal stream profile of the Chenab trunk stream was processed with the Topotoolbox
197 ‘Knickpointfinder’ tool (Schwanghart and Scherler, 2014). Several jumps/ kinks in the
198 longitudinal profile are seen and those are marked as knickpoints (Fig.2a). A 30m tolerance
199 threshold was applied to extract only the major knickpoints.

200 **3.1.2. Basinwide normalized steepness indices**



201 Global observations across a broad spectrum of tectonic and climatic regimes have
202 revealed a power-law scaling between the local river gradient and upstream contributing area:

$$203 \quad S = k_s \cdot A^{-\theta} \quad (1)$$

204 where S is the stream gradient (m/m), k_s is the steepness index ($\text{m}^{2\theta}$), A is the upstream
205 drainage area (m^2), and θ is the concavity index (Flint, 1974; Whipple and Tucker, 1999).
206 Normalized steepness-index values (k_{sn}) are steepness indices calculated using a reference
207 concavity value (θ_{ref}), which is useful to compare steepness-indices of different river systems
208 (Wobus et al., 2006). We extracted the k_{sn} values in the study area using the ArcGIS and
209 MATLAB-supported Topographic Analysis Toolkit (Forte and Whipple, 2019) following the
210 procedure of Wobus et al. (2006). We performed an automated k_{sn} extraction using a critical area
211 of 10^6 m^2 for assigning the channel head, a smoothing window of 500 m, a θ_{ref} of 0.45, and an
212 auto- k_{sn} window of 250 m for calculating k_{sn} values. The slope-breaks, known as the knickpoints
213 (sometimes referred to as knickzones if it is manifested by a series of rapids instead of a single
214 sharp break in profile), were allocated by comparing the change of slope along the distance-
215 elevation plot (Fig.2a). The threshold ‘dz’ value (projected stream offset across a knickpoint) for
216 this study is 30m. Basinwide mean k_{sn} values are plotted using a 1000 km^2 threshold catchment
217 area (Fig. 3a).

218 Identification of the knickpoints/ knickzones and their relationship with the rock-types as
219 well as with existing structures are necessary to understand the causal mechanism of the
220 respective knickpoints/ knickzones. Knickpoints/(zones) can be generated by lithological,
221 tectonic and structural control. Lithological knickpoints are stationary and anchored at the
222 transition from the soft-to-hard substrate. The tectonic knickpoints originate at the active tectonic
223 boundary and migrate upstream with time. Structural variations, such as ramp-flat geometry of



224 any emerging thrust may cause a quasistatic knickpoint at the transition of the flat-to-ramp of the
225 fault. In such cases, the ramp segment is characterized by higher steepness than the flat segment
226 and often the ramp is characterized by a sequence of rapids, forming a wide knickzone, instead
227 of a single knickpoint.

228 3.1.3. Channel Width

229 Channel width is a parameter of assessment of lateral erosion/incision through bedrocks
230 of equivalent strength (Turowski, 2009). The channel width of the Chenab trunk stream within
231 the elevation range of 600 to 2200 m above the MSL was derived by manual selection and
232 digitization of the channel banks using the Google Earth Digital Globe imagery
233 (<http://www.digitalglobe.com/>) of minimum 3.2 m spatial resolution. We used the shortest
234 distance between the two banks as the channel width. We rejected areas having largely unparallel
235 channel-banks as that would bias the result. We used a 50 m step between two consecutive points
236 for channel width determination. Twenty point-averaged channel width data along with elevation
237 of the riverbed, is shown in *Fig.3b*.

238 3.1.4. Specific stream power (SSP) calculation

239 Specific stream power has often been used as a proxy of fluvial incision or differential
240 uplift along the channel (Royden and Perron, 2013; Whipple and Tucker, 1999). Areas of higher
241 uplift/incision are characterized by transient increase in the specific stream power. Channel slope
242 and channel width data were used to analyse the corresponding changes in the specific stream
243 power (SSP) from upstream of the gorge area to the gorge reaches (Bagnold, 1966). The SSP (ω)
244 was estimated using the following equation –

$$245 \quad \omega = \gamma \cdot Q \cdot s / w \quad (\text{Eq. 1})$$



246 Where, γ - unit weight of water, Q – water discharge, s – energy slope considered
247 equivalent to the channel slope; w – channel width. SSP data from selected stretches are shown
248 in Table 1.

249

250 **3.2. Field data collection**

251 ***3.2.1. Structural data***

252 We measured the strike and dip of the foliations and bedding planes of the Lesser and
253 Higher Himalayan rocks using the Freiberg clinometer compass. At least five measurements are
254 taken at every location and the average of them has been reported in Fig. 4a. Field photos in the
255 supplement (fig. S4-S17) support observed variations in the structural styles.

256 ***3.2.2. Rock strength data***

257 Recording rock strength data in the field is important to understand the role of variable
258 rock-type and rock-strength in changes in morphology. It provides us important insights on the
259 genesis of knickpoints whether they are lithologically-controlled or not. It also helps to
260 understand the variations in channel steepness across rocks of similar lithological strength. We
261 systematically measured the rock strength of the main geologic units using a hand-held rebound
262 hammer. Repeated measurements (8-10 measurements at each of the 96 locations throughout the
263 study area) were conducted to measure the variability of rock-strength within the main lithologic
264 units and between them (Fig. 3a). Average rock strength data collected from each of the test
265 locations are plotted against the longitudinal river profile and channel width data in Fig.3b.

266

267 **4. Results**

268



269 **4.1. Field observations and measurements**

270 The Chenab River has deeply incised the KW (*Fig. 2a* and *3c*). The LHS rock units
271 exposed within the KW are mainly composed of Rampur Quartzites and phyllites, with
272 occasional schists in between. (Steck, 2003; Gavillot et al., 2018). The LHD has been suggested
273 to be an asymmetric antiformal stack with a steeper western flank (dip: 70° /west) (*Fig. 4a*). The
274 KW is surrounded by rock units related to the Higher Himalayan high-grade metasedimentary
275 sequence, mainly garnet-bearing mica schists and gneisses. Higher Himalayan rocks close to the
276 western edge of the KW form a syncline with a southwest-verging MCT at its' base. The KT,
277 southern structural boundary of the window margin, accommodating the differential exhumation
278 between window internal and surroundings – and it is expressed as highly deformed sub-vertical
279 shear bands.

280 Along the traverse of the Chenab River through the window and further downstream, two
281 prominent stretches of ~20 and ~25-30 km length have been identified where the channel
282 gradients are high and we observed sequence of rapids (*fig. S5*). These steep segments are also
283 characterized by a very narrow channel width (< 30m) (*fig. S8*). The steepened segments define
284 knickzone rather than a single knickpoint. The knickzones in the trunk stream as well as in the
285 tributaries are hosted over bedrock gorges – and field evidence confirms that none of them
286 (downstream from the eastern edge of the KW) are related to damming by landslides or other
287 mass movements. The eastern margin of the KW is characterized by a wide 'U-shaped' valley
288 filled with thick sand layers and coarser fluvio-glacial sediments. The river incises through this
289 Late Pleistocene fill at present (*fig. S4*).

290 The rock strength data taken along the Chenab trunk stream shows large variations (R-
291 value ranging from 28 to 62) across different morphotectonic segments (*Fig. 3a, Fig. 3b*). Within



292 the KW, Lesser Himalayan phyllites and schists have low R values (30-35); however, the low-
293 strength schists and phyllites are sparsely present. The dominant Rampur quartzites in KW, the ,
294 as well as the granitic intrusives in the eastern part of the KW, shows very high R values of 55-
295 62 and 51-56 respectively (*Fig. 3a*). Compared to the high R values in the KW, the Higher
296 Himalayan rocks near the KT (western margin of the KW) show low strength (R: 35-45) till the
297 point L2 (*Fig. 3b*). The rock strength increases (R: 45-50) further downstream until it reaches the
298 MCT shear zone. The R-value in the frontal Lesser Himalaya is moderate (R: 40-45).

299 The Higher Himalayan sequence dips steeply away from the duplex (~65° towards west)
300 (*fig. S10*). The frontal horses of the LH duplex expose internally-folded greenschist facies rocks.
301 Although at the western margin of the duplex, the quartzites stand sub-vertically, the general dip
302 amount reduces as we move from west to east for the next ~10-15 km (*Fig. 4a*). Near the core of
303 the KW, we observed deformed quartz veins of at least two generations, as well as macroscopic
304 white mica. Near the core of the window, where the river is also very steep and narrow, the rock
305 units are also steeply-dipping towards the east (~60-65°) and are extremely nearly isoclinal and
306 vigorously deformed at places (*fig. S14, S15*). Towards the eastern edge of the window, however,
307 the quartzites dip much gently towards the east (~25-30°) and much lesser folding and faulting
308 have been recognized in the field.

309 The E-W traverse of the Chenab river is completely devoid of any sediment storage.
310 However, along the N-S traverse parallel to the western margin of the KW, a sedimentary record
311 for at least one large-scale mass movement have been stored. The debris flow deposits overlies
312 the Higher Himalayan bedrock and another sequence of late Pleistocene fluvio-glacial deposits.
313 The town of Kishtwar is situated on this debris flow deposit (*fig. S17*). Along the N-S traverse of



314 the Chenab, we have observed at least two epigenetic gorges lying along the main channel (*fig.*
315 *S18*). The active channel has incised the Higher Himalayan bedrock and formed strath surfaces.

316 **4.2. Results from morphometric analysis**

317 ***4.2.1. Steep stream segments and associated knickpoints***

318 The longitudinal stream profile along the Chenab River does not portray a typical
319 adjusted concave-up profile across the Himalaya (*Fig. 2a*). We observe breaks in slope and
320 concavity at least at four occasions within a ~150 km traverse upstream from the MBT. These
321 breaks are defined as knickpoints or knickzones depending on their type characteristics. The
322 slope breaks define the upstream reaches of the steep stream segments. The basinwide steepness
323 indices span from ~30- >750 m^{0.9} across the study area (*Fig. 3a*). We assigned a threshold value
324 of $k_{sn}>650$ for the steepest watersheds/ stream segments. Along the traverse, the major
325 knickpoints are L1 (~1770m), K1 (~1700m), K2 (~1150m) and L2 (~800m) respectively
326 (*Fig.2a*).

327 Already Nennewitz et al., (2018) had proposed a high basin-averaged k_{sn} value of > 300
328 in the KW. Here in this study, we worked with a much-detailed DEM and stream-specific k_{sn}
329 allocation (*fig. S3*), as well as a basinwide steepness calculation. Our results corroborate with the
330 earlier findings, but, predicts the zone of interest in greater detail. It is important to note that by
331 setting a higher tolerance level in the ‘knickpointfinder’ tool in Topotoolbox, we have managed
332 to remove the DEM artifacts from consideration (Schwanhart and Scherler, 2014).

333 ***4.2.2. Channel width and valley morphology***

334 The channel width of the Chenab river is on average low (30-60m) within the core of the
335 KW (*Fig. 3b*), and the low channel width continues till the Chenab River flows N-S along the
336 western margin of the KW. However, there are a few exceptions; upstream from the knickpoint



337 L1 in the Padder valley (in which the town of Padder is located), the channel widens (width ~80-
338 100m) and the channel gradient is low (*Fig. 2a*). The second instance of a wider channel is seen
339 upstream from knickpoint K2, where there is a reservoir for the Dul-Hasti dam (*fig. S7*).
340 Downstream from K2 within the Higher Himalaya, the channel width ranges from 50-70 m.
341 However, towards the lower stretches of the N-S traverse, the width is even lower (16-52m). The
342 river width increases to 100-200m as Chenab River takes a westward path thereafter. The river
343 width increases beyond 300m until it leaves the crystalline rocks in the hanging wall of the MCT
344 and enters the Lesser Himalaya in the hanging wall of the MBT across the Baglihar dam. Within
345 the frontal LH, the channel width is again lowered (50-80 m).

346 We have also drawn topographic swath profiles across the Chenab River, which
347 represents the shape of the valley at four different morphotectonic domains (*Fig.3c*). While
348 section 1 representing the upstream segment of the MCT hanging wall (E-W traverse of the
349 river) shows an ‘open’ ‘V-shaped’ profile, the shape of the valley at location 2 across the N-S
350 traverse of the river shows an acute ‘V-shaped’ channel morphology. The similar strongly-
351 incised channel is seen at the core of the KW (location 3) and location 4 in the Padder valley,
352 where the valley becomes ‘U-shaped.’

353 4.2.3. Changes in specific stream power (SSP)

354 Discharge-normalized SSP data calculated from the upstream stretches and the
355 knickzones, K1 and K2 show major increase in SSP within the steep knickzones. The increase in
356 SSP from upstream to the knickzones K1 and K2 are 4.44 and 5.02 times, respectively (Table 1).
357 Such high increase in SSP is aided by steepening of channel gradient and narrowing of channel
358 bed.

359



360 **5. Discussions**

361

362 Morphometric parameters are widely used as indicators of active tectonics and transient
363 topography (Hack, 1973; Kirby and Whipple, 2012; Seeber and Gornitz (1983)). Many studies
364 have used morphometry as a proxy for understanding the spatial distribution of active
365 deformation across certain segments of the Himalayan front (Malik and Mohanty, 2007; van der
366 Beek et al., 2016; Nennewitz et al., 2018; Kaushal et al., 2017). More importantly, some studies
367 have integrated morphometric analysis with rigorous chronological constraints to assess the
368 spatial and temporal variability in deformation within the Sub-Himalaya (Lave and Avouac,
369 2000; Thakur et al., 2014; Vassalo et al., 2015; Dey et al., 2016; Srivastava et al., 2018). All
370 these studies have shown that morphometric indicators can also be used for a qualitative estimate
371 of changes in uplift rate or spatial variations of deformation, even in the Sub-Himalayan domain
372 where the rivers are often alluviated due to high sediment load (Malik and Mohanty, 2007).
373 Therefore, using morphometric indices to examine some prospect areas and using their relative
374 difference as a proxy of relative changes in faulting and differential uplift as well as connecting
375 these regions with nearby regions having chronological constraints on short-intermediate
376 timescale deformation, is a potent option, when applied carefully.

377 The KW exhibits younger Apatite fission-track cooling ages (~2-3 Ma) as compared to
378 the surrounding Higher Himalaya, which have been interpreted as the result of rapid exhumation
379 of the LH duplex over 10^6 -year timescale (Kumar et al., 1995) are higher compared to
380 surrounding Higher Himalaya. However, we lack any measurements of deformation on the 10^3 -
381 10^5 -year timescale. With the existing AFT data and assuming that no major changes of the
382 deformation regime have taken place since the Quaternary, we may well use it for calibration of



383 morphometric proxies and interpolate these estimates to regions, where no thermochronological
384 constraint exists. Thus, we have come up with a morphometric analysis of the terrain and
385 combined those results with existing chronology and structural data as a proxy for the spatial
386 distribution of faulting and fault patterns.

387

388 **5.1. Knickpoints and their genesis**

389 Already Seeber and Gornitz (1983) showed that the Chenab River is characterized by a
390 zone of steep channel gradient in the vicinity of the KW. Thiede and Ehlers (2013) demonstrated
391 a strong correlation between steeped longitudinal river profiles and young thermochronological
392 cooling ages, suggesting recent focused rock uplift and rapid exhumation along many major
393 rivers draining the southern Himalayan front. Although, it is still an open debate whether uplift
394 and growth of the LHD are triggered solely by slip over the crustal ramp of the MHT or
395 additional out-of-sequence surface-breaking faults are augmenting it (Avouac et al., 2001;
396 Herman et al., 2010; Elliot et al., 2016; Whipple et al., 2016).

397 The longitudinal profile of the lower Chenab traverse (below ~2000 m above MSL) is
398 punctuated by two prominent stretches of knickpoint zones (Fig.2a). Below we will discuss the
399 potential cause of formation of those major knickpoints in the context of detailed field
400 observation, of existing field-collected structural and lithological data, geomorphic features, rock
401 strength and channel width information (Fig.3b).

402 **5.1.1. Lithologically-controlled knickpoints**

403 The Himalayan traverse of the Chenab River is characterized by large variations in
404 substrate lithology and rock strength (Fig.1, Fig.2a). These variations have inflicted their
405 ‘marks’ on the river profile. An instance of soft-to-hard substrate transition happens across the



406 knickpoint L1, lying downstream from the Padder valley, at the eastern edge of the KW (*Fig.2a*).
407 Across L1, the river enters the LH bedrock gorge (R value > 50) after exiting the Padder valley
408 filled with unconsolidated fluvioglacial sediments (*fig. S4*). A similar soft-to-hard substrate
409 transition is observed upstream from the MCT shear zone. The corresponding knickpoint L2
410 represents a change in lithological formation from the sheared and deformed Higher Himalayan
411 crystalline (R value ~35-40) to deep-seated Haimantas (R value ~40-50). There is no field
412 evidence, such as fault splays or ramps, in support of L2 to be a structurally-controlled one.

413 **5.1.2. Tectonically-controlled knickpoints**

414 Compiling previously-published data on regional tectonogeomorphic attributes (Gavillot
415 et al., 2018) with detailed field documentation of structural styles and tectonic features, we have
416 deciphered the role of rock-uplift and variable structural styles in the interiors of the NW
417 Himalaya. We have found at least two instances where knickpoints are not related to change in
418 substrate, nor are they artificially altered.

419 The knickzone K1 (~1700 m above MSL) represents the upstream reach of a steepened
420 stream segment of run-length ~18-20 km. The upstream and downstream side of K1 is
421 characterized by a change in the orientation (dip angle) of the foliation of the LH bedrock (*Fig.*
422 *4a*). Across K1, the dips of the foliation planes change from ~30° to ~60-65° towards east. K1
423 also reflects a change in the channel width (*Fig. 3b*). The steep segment exhibits a narrower
424 channel through the core of the LH duplex. Near the end of the steep segment, we observed
425 intensely-deformed (folded and fractured) LH rocks (*fig. S14*). We explain this as evidence of
426 faulting within the LH duplex and the steep stream segment represents the ramp of the fault or
427 fault zone between two duplex nappes (*Fig.4b*). K1 therefore, reflects the transition from flat to
428 ramp of the existing structure soled to the basal decollement. The steep segment represents a



429 drop of ~420m of the Chenab river across a run-length of ~20 km (*Fig.2b*). In addition to this,
430 we may comment that the schists and phyllites within the Lesser Himalayan sequence probably
431 act as the basal planes of the thrust nappes.

432 On the other hand, the other knickpoint K2 nearly coincides with the exposure of the KT
433 (*Fig.2a*). K2 cannot be a lithologically-controlled knickpoint as it reflects a hard-to-soft substrate
434 transition from LH rocks (R value > 50) to HH rocks (R value < 45). However, in the longitudinal
435 profile, K2 does not represent a sharp slope break because the downstream segment runs parallel
436 for ~25-30 km and not perpendicular to the orientation of all major structures of the orogen,
437 including the KT. Therefore, we performed an orthogonal projection of the E-W trending
438 traverses of the Chenab river and tried to estimate an orogen-perpendicular drop of the Chenab
439 across K2 (*Fig. 2c*). The truncated profile across K2 shows a drop of ~230m of the channel
440 across an orogen-perpendicular run-length of ~5 km. The orogen-parallel stretch of the river
441 exhibits narrow channel width (<30-35m) through a moderately hard HH bedrock (R-value: 35-
442 45). The tributaries within this stretch form significant knickpoint at the confluence with the
443 trunk stream (*fig. S6*). These evidences hint towards a rapid uplift of the HH rocks near the
444 western margin of the KT and are possibly related to the presence of another crustal ramp
445 emerging from the MHT (*Fig.4b*).

446 Both the knickzones, K1 and K2 portray transiently-high specific stream power values
447 (Table 1). This signifies the fact that the knickzones are undergoing much rapid fluvial incision
448 than the rest of the study area. If we consider the fluvial incision as a proxy of relative uplift
449 (assuming a steady-state), we may well say that the knickzones define the spatial extent of the
450 areas undergoing differential uplift caused by movement on the fault ramps.

451 **5.2. Our findings in context with the previously-published data**



452 AFT-cooling ages by Kumar et al., (1995) showcased a rapid exhumation of the KW
453 (AFT ages: ~2-3 Ma) compared to the surroundings (AFT age: 6-12 Ma). Although proper
454 thermal modeling is lacking in this region. Lateral similarities of the regional topography and age
455 patterns along the Sutlej area, Beas and Dhauladhar Range (Thiede et al., 2017; Thiede et al.,
456 2009; Stübner et al., 2018) have yielded 2-3 mm a⁻¹ exhumation rates. Long-term exhumation
457 rates from the NW Himalaya agree well with findings of Nennewitz et al. (2018) who correlated
458 the young thermochron ages with high basinwide k_{sn} values suggesting high uplift rates over
459 intermediate to longer timescales. Therefore, the proposed range of long-term exhumation rates
460 of >2 mm a⁻¹ determined by Gavillot et al., (2018) agree with the regional data pattern. Although
461 the geomorphic implications on landscape evolution are valid for shorter timescales than the
462 low-T thermochron studies, we must comment that our field observations and analysis support a
463 protracted growth of the LH duplex. Unless there has been a recent growth of the duplex, the
464 geomorphic signatures would have been subdued. Young low-T thermochron ages (Kumar et al.,
465 1995) had been sampled from the steepened stream reaches, where the SSP is high. Interestingly,
466 exhumation rates steepened stretches is ~ten times more than that of the Higher Himalaya in the
467 hanging wall of the duplex. Our estimates of SSP also reflect an increase by ~five times within
468 the steepened stretches.

469 Deeply-incised channel morphology, steep channel gradients marked by knickpoints at
470 the upstream reaches in and around the KW could be explained by the presence of at least two
471 orogen-parallel mid-crustal ramps on the MHT (*Fig.4b*). Existence of two mid-crustal ramps has
472 already been suggested in the balanced cross-section published by Gavillot et al., (2018).
473 However, the internal structural orientation of the LH duplex published by Gavillot et al., (2018)
474 (*fig. S2*) differ considerably from our field observations (*Supplement 1, part 2*). We observe



475 pronounced deformation at the core of the KW suggesting that this is related to active faulting or
476 internal folding at the base of the steepened stretch of K1 (*fig. S16*). The ramp of the fault-zone
477 mentioned above triggers rapid exhumation of the hanging wall. It causes high relief, steep
478 channel gradients and higher basinwide steepness indices over the ramp (*Fig.4a*). Similar ramps
479 have been proposed on the MBT beneath the Dhauladhar Range (Thiede et al., 2017) and in the
480 east of the NW Himalaya (Caldwell et al., 2013; Mahesh et al., 2015; Stübner et al., 2018; Yadav
481 et al., 2019).

482 Our findings from the Kishtwar region of the NW Himalaya establishes the importance of
483 morphometric parameters in the assessment of intermediate timescales of 10^4 - 10^6 years. We can
484 resolve variations in the tectonic imprint on landscape evolution by analyzing the topography
485 with high-resolution DEM. Earlier studies used to process larger areas, but the resolution of
486 those data and findings is coarse (Nennewitz et al., 2018).

487 Models explaining the spatial distribution of the high uplift zone in the interiors of the
488 Himalaya favor the existence of a mid-crustal ramp, which has variable dimension, geometry,
489 and distance from the mountain front along-strike of the Himalayan orogeny (Robert et al.,
490 2009). Our data support the idea of mid-crustal ramps beneath the Higher Himalayan domain
491 (Nennewitz et al., 2018) and we predict that the seismic hypocenters are clustered in the vicinity
492 of the ramp of MHT and within the LHD and are linked to the ongoing growth of the duplex.
493 Our results verify the previously-suggested models that there exist two orogen-parallel small
494 ramps beneath the Kishtwar Window instead of one (Gavillot et al., 2018). However, we must
495 also comment that the previous model as well as the balanced cross-section lack detailing and the
496 thermochron data (Kumar et al., 1995) is sparse. Therefore, field observation and the detailed
497 morphometric analysis using high-resolution DEM help to measure the spatial extent of



498 deformation. We are able to resolve the high-relief Kishtwar Window and the surroundings into
499 two major steep orogen-parallel belts/ zones (Fig. 4a). While the larger one is an active high-
500 angle fault-ramp emerging from the MHT and causing sustained uplift in the core of the duplex,
501 the smaller one lies along the western margin of the KW. We suggest that this has two major
502 implications. One, we have evidence for ongoing internal deformation of duplex and that entire
503 window is still growing – and therefor this could be potential source future seismic activity.
504 Two, our finding contradicts with the existence of a single major ramp in the interiors of the
505 Himalaya, as described from other sectors of the Himalaya (Gahalaut and Kalpna, 2001; Elliot
506 et al., 2016; Thiede et al., 2017). This portrays along-strike variations in the geometry of the
507 basal decollement (MHT) in the Himalaya, in agreement with earlier findings.

508

509 **6. Conclusions**

510

511 Our field observation and the characteristics of terrain morphology match well with the
512 spatial pattern of previously-published thermochronological data and unanimously indicate that
513 the Kishtwar Window is undergoing active and focused uplift and exhumation at present, during
514 intermediate timescales, and in geological past since at least the late Miocene. By compiling all
515 the results and published records, we favor the following conclusions:

- 516 1. The Chenab maintains an over-steepened bedrock and a low channel width
517 irrespective of any lithological variations across the KW and beyond, suggesting
518 ongoing rapid fluvial incision.
- 519 2. Our field observations, morphometric analysis, and rock strength measurements
520 document that at least two of these major knickzones on the trunk stream are non-



521 lithologic and rather can be related to differential uplift of the rock units. The
522 incision potential in the steepened stretches ~4-5 times higher than the
523 surroundings.

524 3. The differential uplift is related to variations in the geometry of the basal
525 decollement. Our results show the presence of at least two mid-crustal ramps
526 beneath the Kishtwar Window and the surroundings, as compared to a single
527 crustal ramp proposed from interiors of the nearby sectors of the NW Himalaya.

528 4. The larger of the proposed crustal ramps emerge as an active high-angle ramp at
529 the core of the Lesser Himalayan Duplex and causes sustained uplift of the
530 hanging wall.

531 To summarize, our new study reinforces the importance of detailed field observation and
532 morphometric analysis in understanding the neotectonic framework of the interiors of the
533 Himalaya. Our study refutes the long-standing hypothesis of nearly 100% accommodation of late
534 Quaternary crustal shortening within the Sub-Himalayan domain and provides new insights on
535 the structural styles and ongoing deformation in the Himalayan interiors.

536

537

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546

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751

752 **Figure captions**

753

754 **Figure 1:** An overview geological map of the western sector of the Indian Himalaya showing
755 major lithology (modified after Steck,2003 and Gavillot et al., 2018) and existing structures
756 (Vassalo et al., 2015; Gavillot et al., 2018). The tectonic Kishtwar Window (KW) is surrounded
757 by exposure of MCT, locally known as the Kishtwar Thrust (KT), and exposes the Lesser
758 Himalayan duplex. The Lesser Himalayan duplex (LH duplex) forms a west-verging asymmetric
759 anticline.

760 **Figure 2:** (a) Longitudinal river profile of the Chenab trunk stream showing unadjusted stretches
761 along its traverse through the different morphotectonic sectors of the study region. The profile is
762 color-coded with substrate lithology, and the four knickpoints/zones (K1, K2, L1, L2) observed
763 along profile are color-coded according to their causal factors. (b) and (c) 3-km wide topographic
764 swath profiles drawn across the tectonically-generated knickzones K1 and K2 respectively, show
765 a change in the base level across the steep stream segments. The orthogonal profile projection
766 method has been used in the case of K2 (fig.2c) to identify the width of the steep segment.
767 Importantly, the steep segments portray higher relief and narrow channel width irrespective of
768 lithological variations.



769 **Figure 3:** (a) Basinwide steepness indices map of the study area (plotted using a 1000 km²
770 threshold) showing high ksn stretches in and around the KW. Note the high ksn belt at the core
771 of the LH duplex and the western margin of the KW. Rock rebound values (multi-point
772 averaged) collected from the field are plotted alongside. (b) Longitudinal profile of the Chenab
773 trunk stream and associated channel width in and around the KW. Rebound values are
774 extrapolated on the profile. (c) Cross-profile topographic swaths drawn at four locations (shown
775 in fig. 3b) showing variations in channel geometry. Interestingly, the N-S transect of Chenab
776 downstream from the KW shows a narrower channel through a deeply-incised gorge despite
777 weak substrate.

778 **Figure 4:** (a) Detailed structural data from the study area showing structural and lithological
779 variations (modified after Steck, 2003; Gavillot et al., 2018). Field evidence for the same are
780 provided in Supplement 1(part 2). (b) A conceptual drawing of the internal deformation of the
781 LH duplex showing the existing structural variations of the MHT and possible locations of mid-
782 crustal ramps. The steep stream segment (~18-20 km long) at the core of the duplex relates to a
783 ramp emerging from the MHT. The folded and fractured LH quartzites at the base of the ramp
784 possibly indicate a surface-breaking fault within the LH duplex. Sustained uplift along the fault
785 cause accentuated uplift of the hanging wall, resulting in higher topographic relief, narrowing of
786 the channel and river steepening indicating a transient topography.

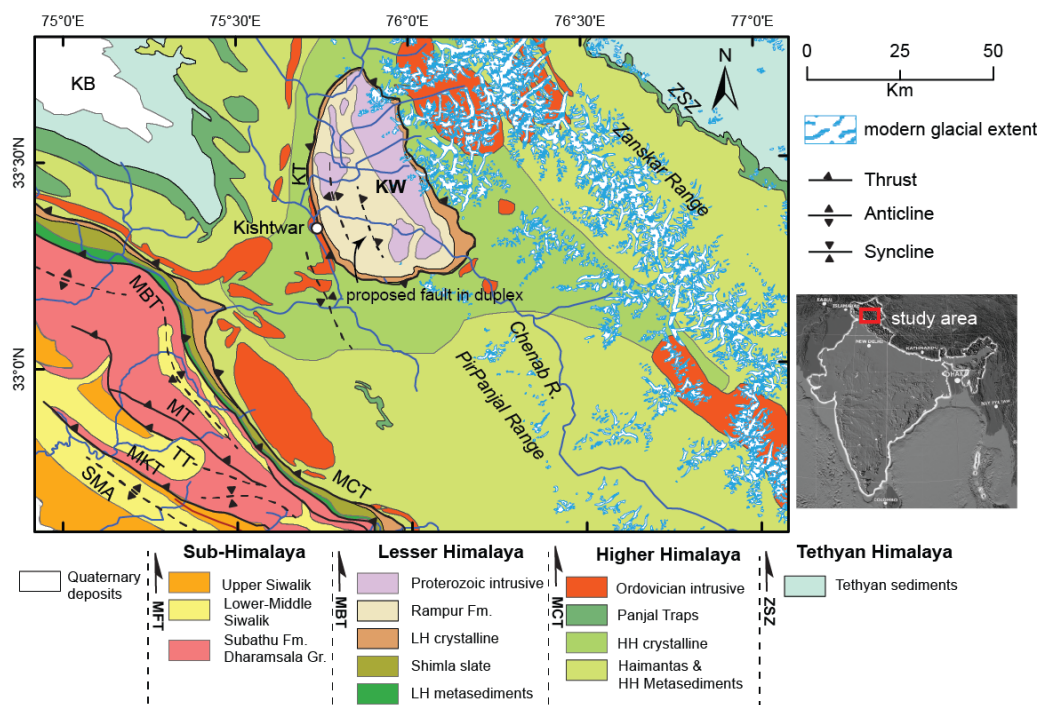
787 Table caption:

788 Table 1: Morphometric parameters for calculation of discharge-normalized specific stream
789 power (SSP) in the study area, highlighting the changes in SSP through the steepened stretches.
790 Increase in SSP by 4-5 times through the steepened stretches reflect higher potential for fluvial
791 erosion, balancing the differential uplift of the terrain.



792 **Figures**

793 **Figure 1**



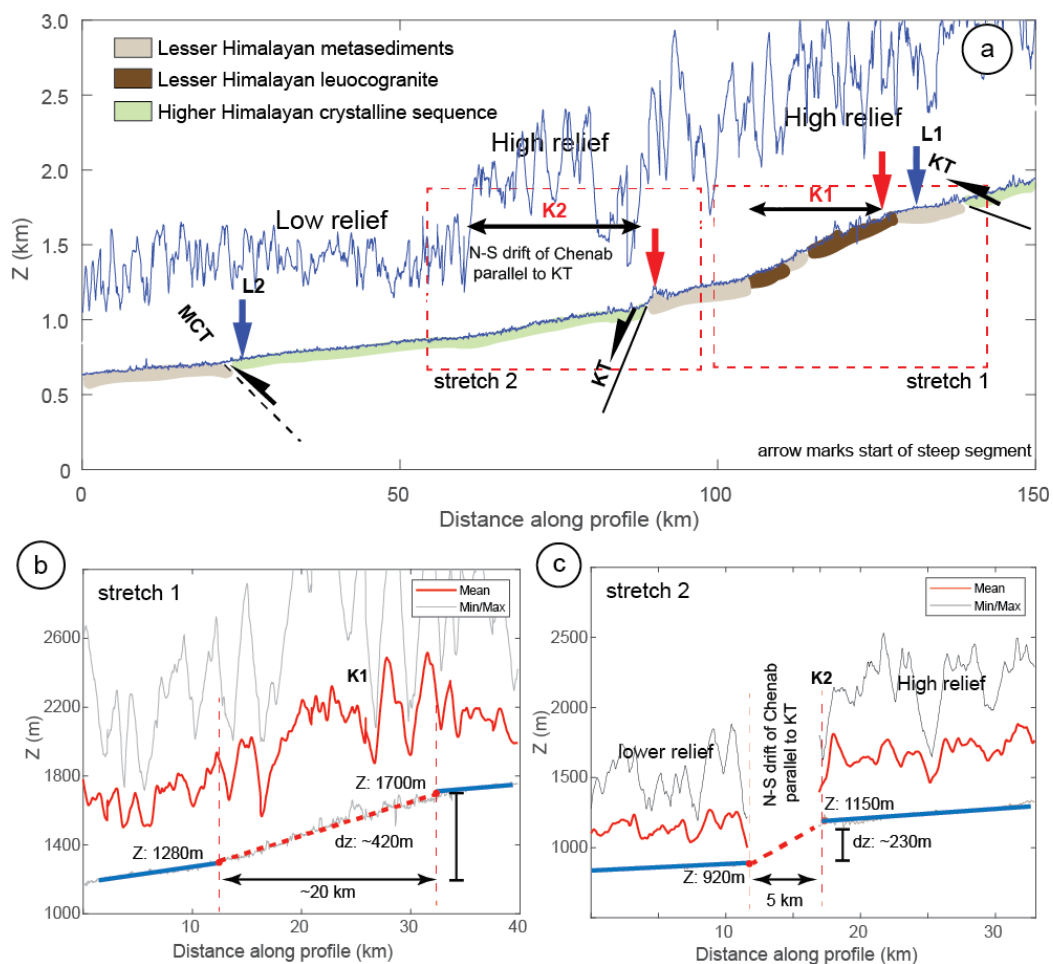
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797 **Figure 2**

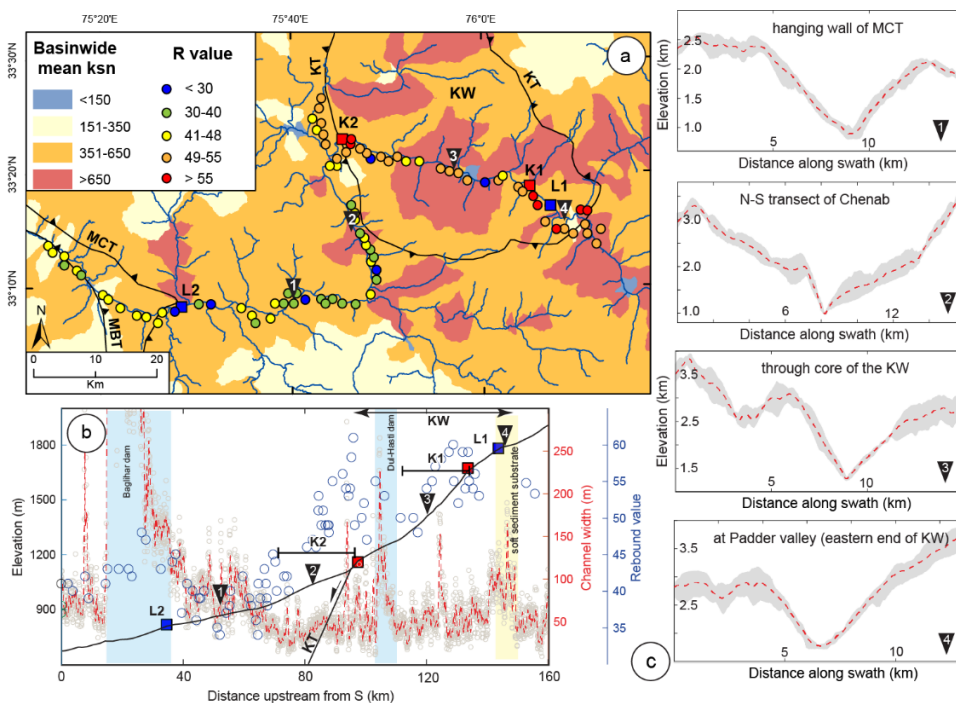


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800 **Figure 3**



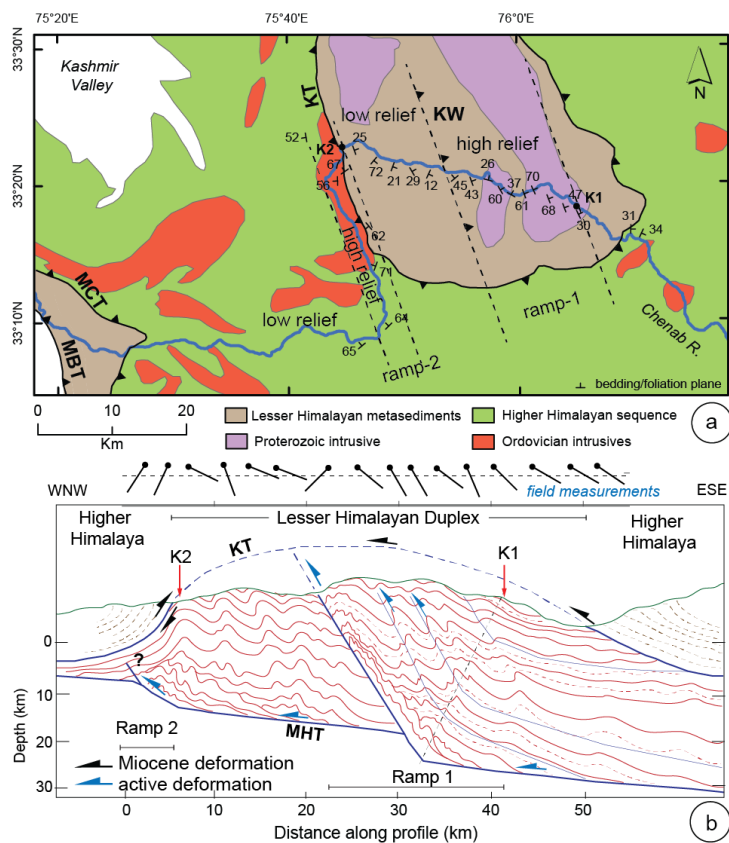
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804 **Figure 4**



805

806 **Table 1**

Parameter	flat 1	ramp 1	% change	ratio ramp:flat	flat 2	ramp 2	% change	ratio ramp:flat
average channel gradient (m/m)	0.006	0.021	250.00	3.5	0.01	0.046	360	4.60
average channel width (m)	70	45	-35.71	0.6	55	42	-24	0.76
*Specific stream power (SSP)	0.000086	0.000467	444.44	5.4	0.000182	0.001095	502	6.02

* SSP calculated by assuming equal-discharge (Q)

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