



Effect of stress history on sediment transport and channel adjustment in graded gravel-bed rivers

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9 Abstract. With the increasing attention on environmental flow management for the maintenance of habitat diversity and 10 ecosystem health of mountain gravel-bed rivers, much interest has been paid to how inter-flood low flow can affect gravel-11 bed river morphodynamics during subsequent flood events. Previous research has found that antecedent conditioning flow can 12 lead to an increase in the critical shear stress and a reduction in sediment transport rate during a subsequent flood. But how long this effect can last during the flood event has not been fully discussed. In this paper, a series of flume experiments with 13 14 various durations of conditioning flow are presented to study this problem. Results show that channel morphology adjusts 15 significantly within the first 15 minutes of the conditioning flow, but becomes rather stable during the remainder of the 16 conditioning flow. The implementation of conditioning flow can indeed lead to a reduction of sediment transport rate during 17 the subsequent hydrograph, but such effect is limited only within a relatively short time at the beginning of the hydrograph. 18 This indicates that bed reorganization during the conditioning phase, which induce the stress history effect, is likely to be 19 erased with increasing intensity of flow and sediment transport during the subsequent flood event.

20 1 Introduction

21 Prediction of sediment transport is of vital importance because it is related to many aspects of river dynamics and 22 management, including river morphodynamics modeling (Parker, 2004), river restoration (Chin et al., 2009), aquatic habitats 23 (Montgomery et al., 1996), natural hazard planning (Marston, 2008), bedrock erosion (Sklar and Dietrich, 2004), and landscape 24 evolution (Howard, 1994). In mountain gravel-bed rivers, sediment transport is controlled by flow magnitude and flashiness, 25 sediment supply, bed surface structures, channel morphology and the grain size distribution (GSD) of sediment (Montgomery 26 and Buffington, 1997). Therefore, prediction of sediment transport in mountain rivers still remains difficult despite the large 27 body of existing theories. This is due to the fact that these theories were mostly developed for lowland streams with continuous 28 sediment supply and an average flow regime, which do not apply to mountain streams (Gomez and Church, 1989; Rickenmann, 29 2001; Schneider et al., 2015).





For example, the hydrograph of mountain gravel-bed rivers is often characterized by large fluctuations of flow discharge, including both short-term flash flood and long-term inter-flood low flow (Powell et al., 1999). However, research on the morphodynamics of mountain rivers often focuses on the effects of floods (or constant high flow) and neglects the role of inter-flood low flow, with the consideration that most sediment transport and morphological adjustments of mountain rivers occur during relatively high flows (Klingeman and Emmett, 1982; Paola et al., 1992).

Reid and colleagues (Reid and Frostick, 1984; Reid et al., 1985) studied the effects of inter-flood low flow on subsequent sediment transport in Turkey Brook, England. They found that bedload transport rates were reduced during relatively isolated flood events (e.g., events separated by long time intervals) compared to those that were closely spaced, with the entrainment threshold up to as large as three times higher. They linked this with sediment reorganization during prolonged periods of antecedent flow, which can make the river bed more armored and more resistant to entrainment, thus delaying the onset of sediment mobility in the following flood event.

To further study such "memory" effect of antecedent flow on the sediment transport during a subsequent flood, a number of flume experiments as well as field surveys have been conducted in the past decade, and different terms have been proposed, including "stress history effect" (Monteith and Pender, 2005; Paphitis and Collins, 2005; Haynes and Pender, 2007; Ockelford and Haynes, 2013), "flood history effect" (Mao, 2018), "flow history" (Masteller et al., 2019), etc. Given that all these terms are similar, here we adopt the term "stress history" in this paper.

46 Paphitis and Collins (2005) conducted flume experiments to study the entrainment threshold of uniform sediment 47 subjected to antecedent flow durations of up to 120 minutes. They found that with a longer and higher antecedent flow, the 48 critical bed shear stress increases and the total bedload flux decreases. The work of Paphitis and Collins (2005) was extended 49 by Monteith and Pender (2005) and Haynes and Pender (2007) to consider bimodal sand-gravel mixtures. They found that for 50 a graded bed, longer periods of antecedent flow increase bed stability due to local particle rearrangement, in agreement with 51 Paphitis and Collins (2005); whereas higher magnitudes of antecedent flow reduce bed stability due to selective entrainment 52 of the fine matrix on bed surface, counter to Paphitis and Collins' (2005) conclusion based on uniform sediment. Hayes and 53 Pender (2007) further analyzed the two competing effects and concluded that particle rearrangement may be of greater relative 54 importance than the winnowing of the fine sediment as it affects subsequent sediment transport. By using high resolution laser 55 scanning and statistical analysis of the bed topography, Ockelford and Haynes (2013) also demonstrated that the response of 56 bed topography to stress history is grade specific: bed roughness decreased in uniform beds but increased in graded bed with 57 an increase length of an antecedent flow period. Performing a series of flume experiments, Masteller and Finnegan (2017) 58 studied the evolution of the river bed on particle scale during low flow. They linked reduction of bedload flux to the re-59 organization of the highest protruding grains (1%-5% of the entire bed) on bed surface.

Because of the above-mentioned research, existing sediment transport formulae for gravel-bed rivers (e.g. MeyerPeter and Müller, 1948; Parker, 1990; Wilcock and Crowe, 2003; Wong and Parker, 2006) are regarded to be inaccurate
because they do not take the effect of stress history into account. To this end, Paphitis and Collins (2005) proposed an empirical
formula for the exposure correction factor in the critical shear velocity for a uniform sand-size bed based on their experimental





data. Johnson (2016) developed a state function for the critical shear stress in terms of transport disequilibrium, which incorporates the effects of stress history and hydrograph variability. Ockelford et al. (2019) proposed two forms of functions to link the antecedent duration and the critical shear stress. The two alternatives proposed by Ockelford et al. (2019) correct the function proposed by Paphitis and Collins (2005), whose exposure correction uses a logarithmic function which implicitly assumes an unbound growth as antecedent time tends towards infinity.

69 Research to date has shown that antecedent flow can stabilize the river bed, thus influencing the threshold of sediment 70 motion as well as bedload flux. However, most of the previous research about stress history is either under conditions with 71 relatively low sediment transport or with relatively short durations of sediment transport in order to capture the threshold of 72 sediment motion (Monteith and Pender, 2005; Paphitis and Collins, 2005; Haynes and Pender, 2007; Ockelford and Haynes, 73 2013; Masteller and Finnegan, 2017; Ockelford et al., 2019). On the other hand, other researchers have found that exceptionally 74 high discharge events can reduce critical shear stress by disrupting particle interlocking and breaking of bed structure 75 (Turowski et al., 2011; Yager et al., 2012; Ferrer-Boix and Hassan 2015; Masteller et al., 2019). Flume experiments by 76 Masteller and Finnegan (2017) also indicate an increase in the number of highly mobile, highly protruding grains in response 77 to sediment transporting flows. Therefore, the effect of high discharge events in reducing the critical shear stress likely 78 counterbalances the stress history effect of antecedent flow to increase the critical shear stress. In consideration of these 79 opposing mechanisms, how long can the stress history effect last during a subsequent flood event is not well understood. Such 80 a question is important especially in light of the fact that most sediment transport and channel adjustment of mountain gravel-81 bed rivers occurs during high discharge events, when the flow shear stress is high.

In this paper, flume experiments consisting of extended cycles of high and low flow is conducted to study this problem. The experimental arrangement is described in Sect. 2. In Sect. 3, we present the experimental results showing how channel morphology and sediment transport during a subsequent hydrograph respond to various durations of antecedent conditioning flow. The threshold of motion is analyzed in Sect. 4 based on the experimental data. Implications and limitations of this study are also discussed in Sect. 4. Finally, conclusions are summarized in Sect. 5.

87 2 Experimental arrangements

88 The experimental arrangements were guided by conditions observed in East Creek, a small mountain creek in Malcom 89 Knob Forest, University of British Columbia (for details on the study site see Papangelakis and Hassan, 2016). To investigate 90 study objectives, we conducted flume experiments in the Mountain Channel Hydraulic Experimental Laboratory at the 91 University of British Columbia. The experiments were conducted in a tilting flume with a length of 5 m, a width of 0.55 m 92 and a depth of 0.80 m. The initial slope was 0.04 m/m. Water, but not sediment was recirculated by an axial pump. A set of 93 six experiments (REF2 – REF7) was conducted; the experimental conditions are briefly summarized in Table 1. For 94 experiments REF3 – REF7, the same hydrograph and sedimentograph were conducted, but with different durations of constant 95 conditioning flow prior to the hydrograph/sedimentograph. We denote these as REF3 (10), REF4 (2), REF5 (5), REF6 (15)





- and REF7 (0.25), with the numbers in the brackets denoting the duration of the conditioning flow in hours. Experiment REF2
- 97 (15) consists of a 15-hour conditioning period without a subsequent hydrograph/sedimentograph, to test the reproducibility of
- 98 our experimental results during the conditioning flow.





99 Table 1. Summary of the experimental conditions and measurements. The experiments are listed in the table in order of decreasing duration of conditioning flow.

No.	Phase	Duration (h)	Flow discharge (l/s)	Water surface slope (%)	Flow depth (cm)	Froude number (-)	τ _b (Pa)	Sediment feed (kg/h)	D _{s50} (mm)	D _{s90} (mm)	D ₁₅₀ (mm)	D ₁₉₀ (mm)	$ au^*_{s50}$	Qs (kg/h)
REF2 (15)	Conditioning	15	25	2.62	6.33	0.91	16.27	0	15.2	29.6	1.07	5.43	0.069	0.27
REF6 (15)	Conditioning	15	25	3.27	6.47	0.88	20.76	0	15.87	30.69	35.18	42.84	0.089	0.89
	Step 1	2	26	3.34	6.39	0.94	20.93	1	15.66	29.98	12.51	39.38	0.083	0.68
	Step 2	2	28	3.10	6.29	1.03	19.13	1.5	17.18	30.40	7.28	27.59	0.069	0.76
	Step 3	2	32	3.06	6.80	1.05	20.41	3.2	15.34	30.85	12.39	36.54	0.082	6.73
	Step 4	2	40	2.81	7.78	1.07	21.45	10	15.95	30.34	11.48	36.03	0.083	13.39
	Conditioning	10	25	2.73	6.02	0.98	16.12	0	14.9	29.5	2.17	9.98	0.071	0.28
DEEQ	Step 1	2	26	2.75	5.93	1.04	16.00	1	15.0	29.3	2.55	19.94	0.066	1.71
REF3 (10)	Step 2	2	28	2.69	6.35	1.01	16.77	1.5	15.5	29.7	4.06	26.99	0.067	2.19
(10)	Step 3	2	32	2.88	6.81	1.04	19.25	3.2	15.9	29.7	6.18	24.26	0.075	2.44
	Step 4	2	40	2.48	8.34	0.96	20.28	10	15.6	32.8	14.45	39.13	0.080	12.45
	Conditioning	5	25	3.26	5.51	1.12	17.63	0	16.35	31.14	8.23	25.34	0.066	0.49
DEEC	Step 1	2	26	3.24	6.19	0.98	19.68	1	16.30	30.90	6.57	23.63	0.075	2.24
REF5 (5)	Step 2	2	28	3.09	6.21	1.05	18.82	1.5	16.87	31.27	9.38	28.44	0.069	3.30
(3)	Step 3	2	32	3.05	6.65	1.08	19.91	3.2	16.04	31.04	11.90	47.91	0.077	5.72
	Step 4	2	40	2.78	7.82	1.06	21.33	10	14.72	31.44	15.09	38.56	0.090	40.03
	Conditioning	2	25	2.82	5.55	1.11	15.34	0	13.58	28.78	3.10	15.79	0.070	1.50
DEE4	Step 1	2	26	2.73	5.55	1.16	14.85	1	14.61	28.83	3.90	20.31	0.063	0.96
REF4 (2)	Step 2	2	28	2.71	6.19	1.06	16.46	1.5	15.44	29.09	6.28	46.76	0.066	2.41
	Step 3	2	32	3.15	6.85	1.04	21.15	3.2	14.44	28.65	17.34	37.76	0.091	26.73
	Step 4	2	40	2.76	8.01	1.02	21.69	10	14.06	30.22	10.88	35.45	0.095	5.23
REF7 (0.25)	Conditioning	0.25	25	3.46	6.20	0.94	21.06	0	14.67	30.10	10.54	28.03	0.089	19.44
	Step 1	2	26	3.20	6.54	0.90	20.53	1	15.52	30.86	7.11	28.91	0.082	3.48
	Step 2	2	28	3.14	6.58	0.96	20.27	1.5	16.62	31.33	6.91	30.73	0.075	2.52
	Step 3	2	32	3.12	7.00	1.00	21.41	3.2	14.89	30.78	10.09	37.40	0.089	12.32
	Step 4	2	40	2.73	8.29	0.97	22.19	10	17.68	36.20	12.13	30.78	0.078	16.80





100 a. Q_{s} : bedload transport rate, τ_{b} : shear stress, D_{s50} and D_{s90} : D_{50} and D_{90} of bed surface, D_{l50} and D_{90} : D_{50} and D_{90} of bedload, τ^{*}_{s50} : Shields number for D_{s50} . Here

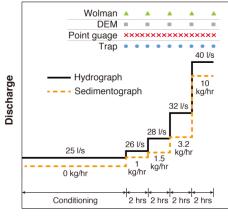
101 D_{90} denotes the grain size such that 90% is finer, and D_{50} denotes the grain size such that 50% is finer.

102





103 Figure 1 shows the water and sediment supply implemented in the experiments. The water discharge was 104 selected to represent typical flows in East Creek, with the 25 1/s flow during the conditioning period being equivalent 105 to half the bankfull flow, and the peak flow discharge of 40 l/s during the hydrograph being about 1.1 times the 106 bankfull flow in East Creek. Because the purpose of this paper is to study the evolution of bed stability, sediment was 107 not feed during the conditioning flow. For each step of the hydrograph, we chose a feed rate through numerical 108 simulations following Ferrer-Boix and Hassan (2014) in combination with trial experiments. Sediment was fed into 109 the flume at the upstream end using a conveyor belt feeder at the calculated transport rate capacity. The feed rate of the sedimentograph ranged between 1 kg/hour and 10 kg/hour. Both the hydrograph and the sedimentograph consisted 110 111 of four steps, with each step lasting for 2 hours.



Time

Figure 1. Water and sediment supply implemented in the experiments. Markers in top of the figure denote the time of measurements during the hydrograph phase. Time of measurements during the conditioning phase is not shown in this figure.

Figure 2 shows the GSD of the bulk sediment used in the experiments, with the grain size ranging between 116 117 0.5 and 64 mm. The GSD was scaled from East Creek by a ratio of 1:4, except that sediment (after scaling) with a grain size less than 0.5 mm was excluded. This preserved the entire gravel distribution of East Creek with a maximum 118 size of 256 mm (scaled to 64 mm in Fig. 2). The model was "generic" rather than specific in that no attempt was made 119 to reproduce the geometric details of the prototype channel. The bulk sediment was sieved in half φ intervals and 120 121 painted in different colors for each size class for texture analysis and visual identification. Before the commencement 122 of each experiment, we hand-mixed and screeded the bulk sediment to make a flat layer of loose material with a depth 123 of 0.15 m. The sediment was then slowly flooded and then drained to aid settlement. The bulk sediment is also used 124 for the sediment feed in each experiment.





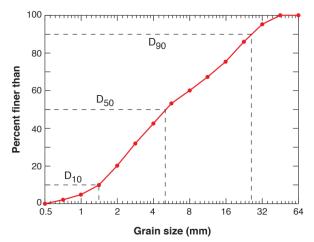


Figure 2. Grain size distribution of the bulk sediment used in the experiments.

127 The elevations of the bed surface and water surface were measured along the flume every 0.25 m using a mechanical point gauge with a precision of ± 0.001 m. Water depth fluctuations due to wave effects at a point were 128 129 about 5% or less. Water surface slope and bed slope are calculated based on a regression of the point gauge data measured between 0.5 m and 4.75 m upstream of the outlet. The most upstream and downstream sections are excluded 130 131 to avoid boundary effects. A green laser scanner mounted on a motorized cart was also used to measure the bed surface 132 elevation along the flume. Bed laser scans were composed of cross sections spaced 2 mm apart with 1 mm vertical 133 and horizontal accuracy (for details see Elgueta-Astaburuaga and Hassan, 2017). The standard deviation of bed 134 elevation was calculated based on the DEM data from scans. Before the calculation of standard deviation, the DEM 135 was detrended to remove spatial trends with scales larger than the scale of sediment patterns (e.g., bed slope or 136 undulations). To estimate the particle size distribution of the bed surface we used digital cameras mounted on a 137 motorized cart along the entire flume. Images were merged together to visualize the bed and preform the particle size analysis (Chartrand et al., 2018). The particle size distribution of the bed surface was estimated using the grid by 138 139 number (point counts) method, by identifying particle size at the intersection of a 5 cm grid superimposed on each 140 photograph. Individual grains were identified by color. Collected data were used to quantify changes in the bed surface 141 particle size distribution throughout each experiment.

142 Material evacuated from the flume was trapped in a 0.25 mm mesh screen in the tailbox, and weighted and 143 size a half φ intervals to calibrate a light table. The sediment transport rates for various size ranges were measured 144 at the end of the flume using a light table and automated image analysis at a resolution of 1 second (for details see 145 Zimmerman et al., 2008; Elgueta-Astaburuaga and Hassan 2017). To avoid random fluctuations in sediment transport, we report the bedload transport rate at a 5-minute resolution, and characteristic grain sizes of bedload at 15-minute 146 147 resolution. A range of methods for the estimation of bed shear stress has been suggested in the literature (reviewed in 148 Whiting and Dietrich, 1990). In this study, the shear stress is estimated using the depth-slope product corresponding 149 to normal (steady and uniform) flow. This method is selected because the focus of this work is on overall (mean) parameters controlling bed evolution; in addition, the water was too shallow to use an ADV. The water surface slope, 150





- rather than bed slope, is implemented in the calculation of shear stress, with the consideration that water surface slopeis closer to the friction slope and also has less random fluctuations than bed slope.
- The frequency of measurements during the hydrograph phase is also plotted in Fig. 1(a), with the point gauge measurements conducted every 30 minutes, the trap weighting/sampling conducted every hour, and the DEM/Wolman measurements by laser scan/photograph conducted every 2 hours (i.e. at the beginning/end of each stage of the hydrograph). For each measurement of DEM/Wolman, the flow was slowly lowered and then stopped to allow for the bed to be scanned by a laser and photographed. The frequency of measurement during the conditioning phase was adjusted in each experiment in accordance with the duration of the conditioning phase, and is therefore not plotted in Fig. 1(a).

160 **3 Experimental results**

Table 1 presents an overall schematization of the experimental results, including water surface slope, flow depth *h*, Froude number F_r ($F_r = u / (gh)^{0.5}$), where *u* is depth-averaged flow velocity), bedload transport rate Q_s , shear stress τ_b , D_{50} and D_{90} of bed surface (D_{s50} and D_{s90}), D_{50} and D_{90} of bedload (D_{150} and D_{190}), and Shields number τ^*_{s50} for a given D_{s50} . Here D_{90} denotes the grain size such that 90% is finer, and D_{50} denotes the grain size such that 50% is finer.

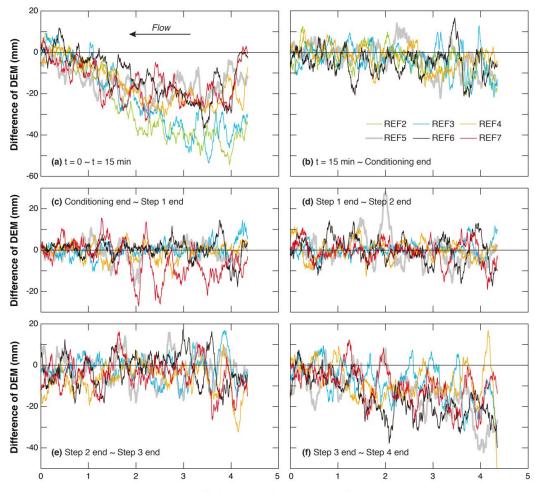
166 3.1 Channel adjustment

167 In this section, we present the channel adjustments during each experiment. Figure 3 shows the difference of longitudinal DEM averaged over the cross section, which can represent the adjustment of channel topography during 168 169 different periods of the experiment. From Fig. 3(a) we can see that for each experiment, evident degradation occurs 170 during the first 15 minutes, especially at the upstream of the flume. This is due to the fact that no sediment supply is 171 implemented during the conditioning period, and also the initial bed material is relatively loose. From 15 minutes until 172 the end of the conditioning phase (as shown in Fig. 3(b)), no evident aggradation/degradation is observed for any 173 experiment, indicating that most of the adjustment of channel topography during the conditioning phase has been 174 accomplished within the first 15 minutes. For Step 1 of the hydrograph (as shown in Fig. 3(c)), no evident 175 aggradation/degradation is observed for any of the experiments, except for REF7 (0.25), which has the shortest 176 conditioning phase. Similarly, the channel keeps relatively stable during Step 2 of the hydrograph for all experiments 177 (as shown in Fig. 3(d)), with no trend for aggradation/degradation observed. With the increase of flow discharge, some 178 degradation (with a magnitude of about 10 ~ 20 mm) can be observed in Step 3 for all experiments at the upstream 179 end of the channel, as shown in Fig. 3(e). Such degradation becomes more evident over the entire channel in Step 4 180 of the hydrograph, when flow discharge reaches its peak value. Further analysis of the DEM data shows that no 181 bedform were evident during the experiment.





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Distance from downstream end (m)

Figure 3. Spatial distribution of elevation difference from cross-sectionally averaged longitudinal DEM during the experiment: (a) from beginning of experiment to t = 15 minutes; (b) from t = 15 minutes to the end of conditioning phase; (c) from the end of conditioning phase to the end of Step 1 of hydrograph phase; (d) from the end of Step 1 to the end of Step 2 of the hydrograph phase; (e) from the end of Step 2 to the end of Step 3 of the hydrograph phase; (f) from the end of Step 3 to the end of Step 4 of the hydrograph phase.

Figure 4 shows the temporal variation of the standard deviation of bed elevation over the length of erodible bed during the experiment. Results show that the standard deviation of bed elevation is relatively small at the beginning of the experiments (corresponding to a relatively smooth bed depending on the way we prepared the initial bed), but increases notably within 15 minutes after the start of the conditioning phase. Such an increase of the bed roughness is accompanied by significant degradation during the first 15 minutes, as shown in Fig. 3(a). The standard deviation of bed elevation remains almost constant during the remaining conditioning phase, as well as during the hydrograph





- 194 phase, despite the fact that degradation is evident as the flow approaches its peak value. Besides, the value of standard
- 195 deviation is almost identical for each experiment, indicating the period of conditioning phase exerts little effect on the
- 196 standard deviation of bed elevation.

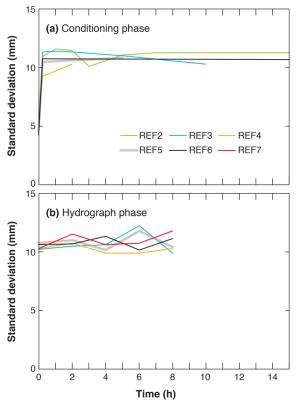
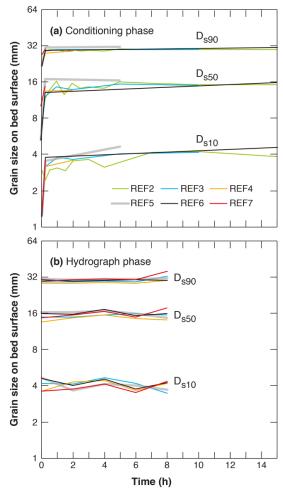


Figure 4. Temporal adjustments of standard deviation of bed elevation calculated over the whole erodible bed: (a) the
conditioning phase; (b) the hydrograph phase.

200 Figure 5 shows the temporal variation of the characteristic grain size of bed surface material. Three 201 parameters are presented here; D_{s10} , D_{s50} , and D_{s90} . The adjustment of bed surface GSD follows similar trends as the 202 adjustment of standard deviation of bed elevation. For all experiments, the bed surface is fine at the beginning, and 203 experiences a fast coarsening period during the first 15 minutes (along with the bed degradation in Fig. 3 and the 204 increase of bed roughness in Fig. 4). The characteristic grain sizes of bed surface remain relatively stable after the first 205 15 minutes. It is worth noted that the GSD of bed surface keeps relatively constant even during the hydrograph phase, 206 during which a flood event is introduced in the flume and evident bed degradation is observed. This is in agreement 207 with the observation of Ferrer-Boix and Hassan (2015) during successive water pulses.







Time (h)
 Figure 5. Temporal adjustments of characteristic grain sizes of bed surface material calculated over the whole erodible
 bed: (a) the conditioning phase; (b) the hydrograph phase.

211 3.2 Sediment transport

212 In Fig. 6 we exhibit the instantaneous sediment transport rate Q_s measured by the light table in each 213 experiment. Sediment transport is reported every 5 minutes, as described in Sect. 2. It can be seen in Fig. 6(a) that the 214 temporal variation of sediment transport rate during the conditioning phase follows the same trend in all six 215 experiments. That is, the sediment transport rate decreases significantly during the conditioning phase, with the decreasing rate being very large at the beginning and then gradually dropping. The sediment transport rate eventually 216 approaches a small and relatively constant value after about 8 hours of conditioning flow. Nevertheless, there are 217 218 random high points in the sediment transport rate even after 8 hours, despite no sediment feed from the inlet. These 219 spikes imply that partial destruction (or reorganization) of the bed structure occurs even after a long duration of 220 conditioning.





Previous researchers (Haynes and Pender, 2007; Masteller and Finnegan, 2017) have suggested that an exponential function can be implemented to describe such a decrease of sediment transport rate under conditioning flow. Additional analysis is implemented in the Supporting Information to fit REF2 (15) and REF6 (15) (which have the longest duration of conditioning phase) against a two-parameter exponential function. Results show that the exponential function can describe the general decreasing trend of sediment transport rate during the conditioning phase, except at the beginning of the experiment where the decrease of sediment transport rate is much more significant than that predicted by the exponential function. Readers can refer to the Supporting Information for more details.

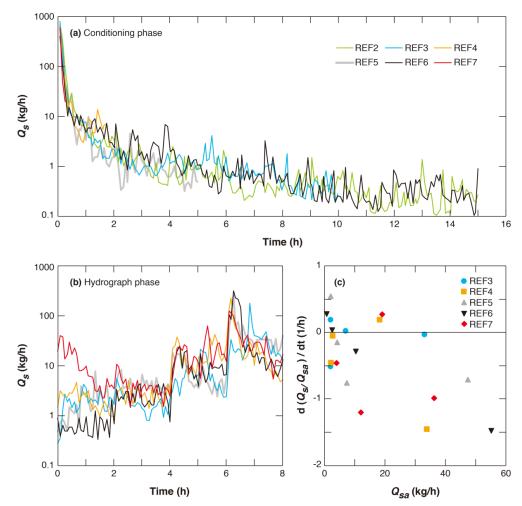


Figure 6. Instantaneous sediment transport rate measured by light table during (a) the conditioning phase; and (b) the hydrograph phase. (c) Intra-step temporal change rate of Q_s normalized against Q_{sa} for each hydrograph step. Q_s is the sediment transport rate, and Q_{sa} is the averaged sediment transport rate of a given hydrograph step.





232 Figure 6(b) presents the instantaneous sediment transport rate during the hydrograph phase. Results show 233 that variation of sediment transport rate among different experiments prevails in the first step of the hydrograph, with 234 the highest sediment transport rate for the experiment with the shortest conditioning duration (REF7 (0.25)); and the 235 smallest sediment transport rate for the experiment with the longest conditioning duration (REF6 (15)). Such variation 236 among experiments, however, diminishes towards the end of Step 1 and is not observed in the following three steps 237 of the hydrograph, with the line for each experiment collapsing together in the figure. The adjustments of sediment transport rate agree with the channel deformation shown in Fig. 3, where the pattern of variation in REF7 (0.25) 238 deviates from other experiments in Step 1 (more degradation in REF7 (0.25)), but collapses with other experiments in 239 240 the following three steps.

Results in Fig. 6(b) also show large variations of sediment transport rate during each step of the hydrograph. Such intra-step variations of sediment transport rate are investigated in Fig. 6(c), with the *x* axis being the averaged sediment transport rate of each step Q_{sa} and the *y* axis being $d(Q_{s/}Q_{sa})/dt$, which is estimated by linear regression. Here the instantaneous sediment transport rate Q_s is scaled against the average sediment transport rate of the corresponding step Q_{sa} , in order to facilitate the comparison among different hydrograph steps.

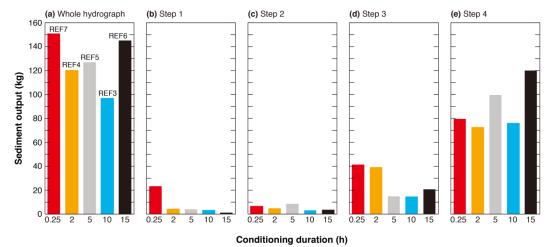
246 Results in Fig. 6(c) shows that a large fraction of the data (11 out of 20) exhibits a decreasing trend in time 247 for Q_s (i.e. a negative value in vertical coordinate). Basically, the larger the averaged sediment transport rate Q_{sa} , the 248 larger is the rate of reduction in Q_s . Ferrer-Boix and Hassan (2015) observed similar declines in sediment transport 249 during their water pulses experiments. They attributed this to (1) the presence of bed structures, which could have reduced skin friction up to 20% and (2) streamwise changes in the patterns of bed surface sorting. Out of 20 datasets, 250 251 5 exhibit some temporally increasing trend in Q_s (though not as evident as the decreasing trend mentioned before). 252 They are REF5 (5), REF3 (10), REF6 (15) during the first step; and REF7 (0.25), REF4 (2) during the third step. This 253 shows that for the three experiments with long conditioning duration, Q_s is very low at the end of the conditioning phase, and the first step of the hydrograph sees a temporally increasing trend in Q_s . Whereas for the two experiment 254 255 with short conditioning phase, Q_s is still high at the end of the conditioning, so that the sediment transport rate keeps 256 decreasing during the first step, until in the third step an increasing trend in Q_s is observed, at which the water and 257 sediment supply become evidently higher.

To better understand the effect of the conditioning duration on sediment transport, we calculate the cumulative sediment transport during the entire hydrograph phase as well as each step of the hydrograph. Fig. 7(a) shows that the total sediment output during the entire hydrograph does not show much difference for each experiment, indicating that the duration of conditioning flow does not pose much influence on the total volume of sediment transport during the subsequent flood.

263









264 265 Figure 7. Sediment output measured at a trap during (a) the whole hydrograph; (b) Step 1 of the hydrograph; (c) Step 266 2 of the hydrograph; (d) Step 3 of the hydrograph; (e) Step 4 of the hydrograph.

267 However, if we study the sediment transport during each step of the hydrograph, we can find that in Step 1 268 REF7 (0.25) has much larger sediment output than the other experiments, as shown in Fig. 7(b). This agrees with the 269 results for instantaneous sediment transport rate shown in Fig. 6(b), and shows that the duration of conditioning flow 270 can influence the sediment transport at the beginning of the subsequent flood, with a longer conditioning phase lead 271 to less sediment transport. When the duration of conditioning flow is over 2 hours, the subsequent sediment transport 272 rate becomes rather insensitive to further increase of conditioning duration, indicating that the reorganization of the 273 river bed under conditioning flow is mostly finished within 2 hours. The effects of stress history on subsequent 274 sediment transport can hardly be observed during Step 2 of the hydrograph (Fig. 7(c)). Sediment output in REF7 (0.25) 275 reduces significantly to similar magnitude of other experiments, because most of the loose bed material in REF7 (0.25) 276 has been moved by the end of Step 1. In Step 3 of the hydrograph (Fig. 7(d)), sediment output in REF7 (0.25) and 277 REF4 (2) is larger than in other 3 experiments which have longer conditioning phases. But this difference of sediment 278 output among experiments is not as significant as in Step 1. In the last step of the hydrograph, with the flow discharge 279 and sediment supply approaching their peaks, the five experiments present similar sediment outputs, demonstrating 280 that little influence of stress history remains.

281 Figure 8 shows the temporal variation of the grain size distribution of the bedload. Here D_{110} , D_{150} , and D_{190} denote grain sizes such that 10%, 50%, and 90% are finer in the bedload, respectively. The value of D₁₁₀ shows a 282 283 decreasing trend during the conditioning phase (Fig. 8 (a)), with a value of more than 2 mm at the beginning to about 284 0.6 mm after 15 hours, in spite of the large fluctuations before 8 hours. The decrease of D_{110} reflects an increase in the fraction of the finest sediment in bedload. In the first two steps of the hydrograph (Fig. 8(b)), the value of D_{110} is 285 relatively stable for experiments with long conditioning phases (i.e., REF6 (15) and REF3 (10)), but shows a 286 287 decreasing trend along with fluctuations for experiments with short conditioning phases (i.e., REF7 (0.25), REF4 (2),



299



and REF5 (5)). The last two steps of the hydrograph see an evident increase in the value of D_{110} compared with the first two steps, due to the increase of flow discharge and sediment supply (Fig. 8(b)).

290 Figures 8(c) and 8(d) show the temporal variation of D_{150} . Compared with that of D_{110} , the temporal variation 291 of D_{150} shows more significant fluctuations during the conditioning phase, as well as at the beginning of the hydrograph, and a decreasing or increasing trend for grain size in the conditioning/hydrograph phase is not as evident. As for the 292 293 temporal variation of D_{190} (in Figs. 8(e) and 8(f)), the fluctuations are still significant and there is almost no trend for 294 either increasing or decreasing grain size during the experiment. This indicates that the transport of the coarsest 295 sediment is not sensitive to the variation of our experimental conditions. The more significant fluctuations in D_{150} and 296 D_{190} might be attributed to the fact that during relatively low flow coarse sediment is more likely to be near the 297 threshold of motion and move intermittently, e.g. in pulses, as opposed to fine sediment. These fluctuations gradually 298 diminish with the increase of flow and sediment supply as the static armor on bed surface transits to mobile armor.

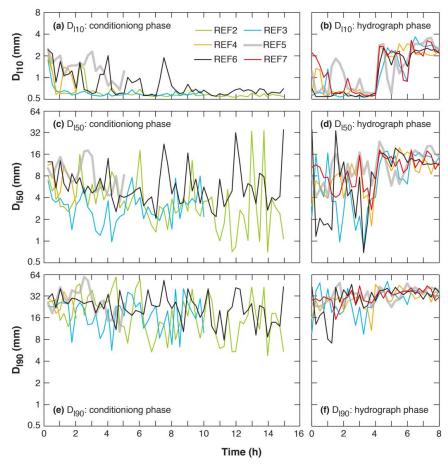


Figure 8. Temporal adjustments of characteristic grain sizes of bedload. (a) D_{110} during conditioning phase; (b) D_{110} during hydrograph phase; (c) D_{150} during conditioning phase; (d) D_{150} during hydrograph phase; (e) D_{190} during conditioning phase; (f) D_{190} during hydrograph phase.





303	With the fractional sediment transport rate measured by the light table, we also analyze the sediment mobility
304	of each size range during the experiment. Results show that sediment transport rate is characterized by equal mobility
305	at the beginning of the conditioning phase, but moves to partial/selective mobility after a relatively long conditioning
306	phase as well as during the first two steps of the hydrograph. However, with the increase of flow discharge and
307	sediment supply, the sediment transport regime gradually returns to equal mobility during the last two steps of the
308	hydrograph. Details of the analysis are presented in the Supporting Information.

309 4 Discussion

310 4.1 Threshold of sediment motion in experiments

311 The threshold of sediment motion is a key parameter for the prediction of bedload transport. Previous studies 312 on the stress history effect often start with a conditioning flow that is below the threshold of motion, and then gradually increase the flow discharge, so that the threshold of motion can be directly estimated in the experiment (e.g., Monteith 313 314 and Pender, 2005; Masteller and Finnegan, 2017; Ockelford et al., 2019; etc.). Because our experiments implement a 315 conditioning flow which can mobilize sediment (sediment transport at the beginning of the conditioning phase is especially large), the threshold of motion cannot be observed directly in the experiment. Here we estimate the threshold 316 of sediment motion by adopting the Wong and Parker (2006) sediment transport relation, which is a revision of the 317 Meyer-Peter and Müller (1948) relation. 318

We use the Wong and Parker (2006) relation, which maintains the exponent 1.5, of Meyer-Peter and Muller(1948):

321
$$q_s^* = 3.97 \left(\tau_{s50}^* - \tau_c^*\right)^{1.5}$$
 (1)

322
$$q_s^* = \frac{q_s}{\sqrt{RgD_{s50}}D_{s50}}$$
 (2)

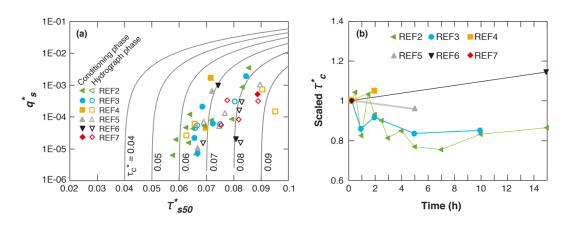
323
$$\tau_{s50}^* = \frac{\tau_b}{\rho g R D_{s50}}$$
 (3)

where q_s^* is the dimensionless bedload transport rate (Einstein number) defined by Eq. (2), τ_{s50}^* is the Shields number for surface median grain size D_{s50} defined by Eq. (3), τ_b is the flow shear stress calculated using the depth-slope product (Eq. (4)), τ_c^* is the critical Shields number for the threshold of sediment motion, q_s is the volumetric sediment transport rate per unit width; *h* is water depth, S_w is water surface slope, R = 1.65 is the submerged specific gravity of sediment, g = 9.81 m/s² is the gravitational acceleration and $\rho = 1000$ kg/m³ is the water density. Wong and Parker (2006) proposed a value of 0.0495 for τ_c^* in Eq. (1). Here we obtain q_s^* and τ_{s50}^* from the measured data of the experiments, and back calculate the value of τ_c^* using Eq. (1).





Figure 9(a) shows the values of q_s^* vs. τ_{s50}^* for each experiment, along with the Wong and Parker (2006) type relation (Eq. (1)) with various values for τ_c^* (from 0.04 to 0.09). It can be seen from the figure that the measured sediment transport is relatively low, with most points below the dimensionless value of 0.001. This indicates that the Shields number in our experiment is slightly larger than the critical Shields number, a state that is typical for gravelbed rivers (Parker, 1978). The four points with dimensionless transport rate above 0.001 are all at the beginning of the conditioning flow (t = 15 minutes). The values of q_s^* basically show an increasing trend with the increase of τ_{s50}^* , but with the value of critical Shields number τ_c^* covers a rather wide range (from less than 0.06 to larger than 0.09).



339

Figure 9. (a) Dimensionless sediment transport rate q_s^* vs. Shields number τ_{s50}^* using surface median grain size for measured transport rates (points). Also shown are lines for the Wong and Parker (2006) type equation (Eq. 1) using different values for τ_c^* . (b) Temporal adjustment of scaled τ_c^* (τ_c^* over τ_c^* at 15 minutes) during the conditioning phase. Here τ_c^* is back calculated using Eq. (1) (Wong and Parker (2006) type relation).

Table 2 shows the values of τ_c^* back-calculated at the beginning (t = 15 minutes) and the end of the conditioning phase in each experiment. The back-calculated values of τ_c^* vary in the range 0.066~0.086 for the conditioning phase, which is well above the value of 0.0495 as recommended by Wong and Parker (2006). Lamb et al. (2008) demonstrated that critical shear stress can become larger for large bed slope, and they proposed a relation which considers the effect of bed slope,

349
$$\tau_c^* = 0.15 S_b^{0.25}$$
 (5)

where S_b is bed slope. For comparison, Table 2 also shows the values of τ_c^* calculated by Eq. (5). Results shows that for the conditioning phase of our experiments, τ_c^* calculated by Eq. (5) is above 0.06, which is much higher than the recommended value of Wong and Parker (2006) and is closer to the values back-calculated by Eq. (1). Besides, the τ_c^* values predicted by the Lamb et al. (2008) relation show little variability, indicating that only the slope effect cannot explain the observed range of τ_c^* .

355





- **Table 2.** Values of τ_c^* at the beginning (t = 15 minutes) and the end of conditioning phase in each experiment. Here
- τ_c^* is back calculated with Eq. (1). Also shown here are values of τ_c^* estimated with the equation of Lamb et al. (2008)
- 358 for comparison.

		REF2	REF6	REF3	REF5	REF4	REF7
		(15)	(15)	(10)	(5)	(2)	(0.25)
t = 15	Back calculated by Eq. (1)	0.076	0.070	0.078	0.069	0.066	0.086
minutes	Lamb et al. (2008)	0.063	0.066	0.061	0.065	0.061	0.066
End of	Back calculated by Eq. (1)	0.066	0.081	0.067	0.066	0.069	0.086
conditioning	Lamb et al. (2008)	0.061	0.063	0.060	0.063	0.062	0.066

³⁵⁹

360 In Fig. 9(b), we plot the scaled τ_c^* during the conditioning phase of our experiments. For each experiment, the scaled τ_c^* is calculated as the ratio between τ_c^* and the corresponding τ_c^* at t = 15 minutes. τ_c^* implemented here 361 is back-calculated with Eq. (1). The scaled τ_c^* collapses on a value of unity at t = 15 minutes (i.e., the first point of 362 363 each experiment). It can be seen from the figure that different trends are exhibited for the adjustment of τ_c^* from t =15 minutes to the end of conditioning phase, with REF2 (15) and REF3 (10) exhibiting a decreasing trend, REF4 (2) 364 and REF5 (5) exhibiting very slight changes, and REF6 (15) exhibiting an increasing trend. The decrease of τ_c^* in 365 REF2 (15) an REF3 (10) is accompanied by a reduction of Shields number τ_{s50}^* , mainly due to the increase of surface 366 median grain size D_{s50} . Moreover, the variation of back-calculated τ_c^* is mostly within a range of $\pm 20\%$, in agreement 367 368 with our observation that variation of bed topography and bed surface texture become insignificant after 15 minutes. 369 It should be noted that τ_c^* cannot be back-calculated using Eq. (1) within the first 15 minutes of the conditioning phase, 370 since the information for flow depth, water surface slope and bed surface GSD is not available. Nevertheless, we 371 expect the adjustment of τ_c^* could be evident within the first 15 minutes, since the adjustments of both bed topography 372 and bed surface are significant during this period (as shown in Sect. 3.1).

373 4.2 Implications and limitations

374 Previous research has shown that antecedent conditioning flow can lead to an increased critical shear stress 375 and reduced sediment transport rate during subsequent flood event (Hassan and Church, 2000; Haynes and Pender, 2007; Ockelford and Haynes, 2013; Masteller and Finnegan, 2017; etc.). Our flume experiments also show a reduced 376 377 sediment transport rate in response to the implementation of conditioning flow. However, our results are different 378 from previous research in that the influence of antecedent conditioning flow is found to last for a relatively short time 379 at the beginning of the following hydrograph, and then gradually diminish with the increase of flow intensity as well 380 as sediment supply. Such results indicate that increasing flow intensity and sediment supply during a flood event can 381 lead to the loss of memory of stress history. A similar phenomenon was observed by Mao (2018) in his experiment, 382 where sediment transport during a high-magnitude flood event was not much affected by the occurrence of lower-383 magnitude flood event before.





384 Our results have practical implications for mountain gravel bed rivers. The importance of conditioning flow 385 has long been discussed in the literature, and researchers have suggested that the stress history effect be considered in 386 the modeling and analysis of gravel bed rivers. For example, previous research states that existing sediment transport theory for gravel bed rivers (e.g., Meyer-Peter and M üller, 1948; Wilcock and Crowe, 2003; Wong and Parker, 2006; 387 388 etc.) might lead to unrealistic prediction if the stress history effect is not taken into account (Masteller and Finnegan, 389 2017; Mao, 2018; Ockelford et al., 2019). Our results indicate that the stress history effect is important and needs to 390 be considered for low flow as well as the beginning of the flood event, but becomes insignificant as the flow gradually 391 approaches high flow discharge. This could have implications in river engineering such as water and sediment 392 regulation schemes for mountain gravel-bed rivers.

393 To explain the effect of stress history, Ockelford and Haynes (2013) has summarized the following possible 394 mechanisms. (1) Vertical settling during the conditioning flow consolidates the bed into a tighter packing arrangement 395 which is more resistant to entrainment. (2) Local reorientation and rearrangement of surface particles provide a greater degree of imbrication, less resistance to fluid flow, as well as direct sheltering on the bed surface. (3) The infiltration 396 397 of fines into low-relief pore spaces can further increase the bed compaction. In the experiment of Masteller and 398 Finnegan (2017), it was found that the most drastic changes during conditioning flow are manifest in the extreme tail 399 of the elevation distribution (i.e., the highest protruding grains) and go therefore undetected in most bulk measurements (e.g. the mean bed elevation or standard deviation of bed topography). They demonstrated that such 400 reorganization of the highest protruding grains can indeed lead to noticeable differences in the threshold of sediment 401 402 transport (Masteller and Finnegan, 2017). This might explain the observation in our experiment that after the first 15 403 minutes of the conditioning phase, adjustments of the bed topography and the bed surface GSD become insignificant, 404 but the sediment transport rate as well as its GSD keeps adjusting consistently.

405 In our experiments as well as previous experiments that study the effect conditioning flow (e.g., Monteith and Pender, 2005; Masteller and Finnegan, 2017; Ockelford et al., 2019; etc.), no sediment supply is implemented 406 407 during the conditioning flow, and the flow can reorganize the bed surface to a state that is more resistant to sediment 408 entrainment. Therefore, it is straightforward to expect that the conclusions based on our flume experiments to apply 409 for natural rivers where sediment supply is relatively low during low flow conditions. However, some gravel-bed 410 rivers have quite active hillslopes, and sediment input from hillslopes to river channel can occur regularly (Turowski et al., 2011; Reid et al., 2019). Since the sediment material from hillslopes is typically loose and easy to transport, 411 412 under such circumstances a long inter-event duration (i.e., low-flow duration) might lead to an enhanced sediment 413 transport rate in the subsequent flood (Turowski et al., 2011).

It should also be noted that in previous experiment on the stress history effect, conditioning flow is often set below the threshold of sediment motion. One exception is the experiment of Haynes and Pender (2007) in which the conditioning flow is above the threshold of motion for D_{50} . In this paper we also implement a conditioning flow which can mobilize sediment, especially at the beginning of the conditioning phase during which evident sediment transport occurs. Compared with the below-threshold conditioning flow, we consider that the above-threshold conditioning flow can induce more evident reorganization of bed surface, which might be more lasting during subsequent flood. That said, we expect the conclusion of this study can still hold if below-threshold conditioning flow is implemented.





- 421 Nevertheless, flume experiments with various magnitudes of conditioning flow (both above- and below-threshold of
- 422 motion) merit future study. Besides, considering that the conditions of existing experiments on stress history effect
- 423 are limited, implementation of numerical simulations under a wider range of conditions also merits future study.

424 5 Conclusions

425 In this paper, the effect of antecedent conditioning flow (i.e., the effect of stress history) on the 426 morphodynamics of gravel-bed rivers during subsequent floods is studied via flume experimentation. The experiment 427 described here is designed based on the conditions of East Creek, Canada. The experiment consists of two phases: a 428 conditioning phase with constant water discharge and no sediment supply, followed by a hydrograph phase with 429 hydrograph and sedimentograph. Five runs (REF 3~7) were conducted with identical experimental conditions except 430 different durations of conditioning phase. Another run (REF 2), which consists of only the conditioning phase, is conducted in order to test the reproducibility of experimental results during the conditioning flow. Experimental results 431 432 show the following.

- Adjustments of channel morphology (including channel bed longitudinal profile, standard deviation of bed
 elevation, characteristic grain sizes of bed surface material) are evident during the first 15 minutes of the
 conditioning phase, but become insignificant during the remainder of the conditioning phase.
- The implementation of conditioning flow can indeed lead to a reduction in sediment transport during the
 subsequent hydrograph, which agrees with previous research.
- However, the effect of stress history on sediment transport rate is limited to a relatively short time at the beginning
 of the hydrograph, and gradually diminishes with the increase of flow discharge and sediment supply, indicating
 a loss of memory of stress history under high flow discharge. Also, the effect of stress history on the GSD of
 bedload is not evident.
- The threshold of sediment motion is estimated with the form of the Wong and Parker (2006) relation. The estimated critical Shields number varies in the range 0.066~0.086 during the conditioning phase (excluding the first 15 minutes), and is higher than the value recommended by Wong and Parker (2006).
- 445 Our study has implications in regard to a wide range of issues for mountain gravel-bed rivers, including 446 sediment budget analysis, river morphodynamic modeling, water and sediment regulation, flood management, and 447 ecological restoration schemes.

448 Notation

- 449 D_{150} : grain size such that 50 percent in sediment load is finer (similarly D_{110} is such that 10 percent in sediment load
- 450 is finer and D_{190} is such that 90 percent in sediment load is finer).
- 451 D_{s50} : grain size such that 50 percent on bed surface is finer (similarly D_{s10} is such that 10 percent on bed surface is
- 452 finer and D_{s90} is such that 90 percent on bed surface is finer).
- 453 F_r : Froude number.
- 454 *g*: gravitational acceleration.





- 455 *h*: water depth.
- 456 Q_s : sediment transport rate.
- 457 q_s : volumetric sediment transport rate per unit width.
- 458 q_s^* : the dimensionless bedload transport rate (Einstein number).
- 459 *R*: submerged specific gravity of sediment.
- 460 S_b : bed slope.
- 461 S_w : water surface slope.
- 462 ρ : water density.
- 463 τ_b : bed shear stress.
- 464 τ_c^* : critical Shields number for the threshold of sediment motion.
- 465 τ_{s50}^* : dimensionless shear stress (Shields number) of the D_{s50} .

466 Data availability

467 Data used for the analysis can be found at doi: 10.6084/m9.figshare.12758414 (An, 2020).

468 Author contribution

- 469 Marwan A. Hassan and Xudong Fu designed the research. Carles Ferrer-Boix performed the experiments. Chenge An
- 470 processed and analyzed the experimental data. Chenge An prepared the manuscript with contributions from all 471 coauthors.

472 Competing interests

473 The authors declare that they have no conflict of interest.

474 Acknowledgments

- 475 Gary Parker provided constructive comments and helped edit of this paper. Maria A. Elgueta-Astaburuaga
- 476 helped conduct the experiments. Rick Ketler provided support in equipment and data collections. Eric Leinberger
- 477 provided support in designing the figures. The participation of Chenge An was supported by grant from China
- 478 Postdoctoral Science Foundation (grant 2018M641368). The participation of Xudong Fu was supported by grants
- 479 from the National Natural Science Foundation of China (grants 51525901 and 91747207).

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