



1           **The formation processes and development characteristics of**  
2           **sandbars due to outburst flood triggered by landslide dam**  
3                           **overtopping failure**

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12           **Abstract**

13           Sandbars are an essential form of riverbed morphology which could be affected  
14           by landslide dams. However, few studies have focused on the formation processes and  
15           development characteristics of sandbars triggered by outburst flood. In such a way,  
16           eight group dam failure experiments with 4 to 7 times of dam length movable bed is  
17           carried out to study the temporal and spatial distributions of 25 sandbars along the  
18           riverbeds, the sandbars geometric characteristics, and the influence of outburst flow  
19           hydraulic characteristics on developments of sandbars. The results show that sandbars  
20           are formed after peak discharge of outburst flow. The number of sandbars is 0.4 to 1.0  
21           times the ratio of river bed length to dam length. Besides, sandbars have the  
22           characteristic of lengthening towards upstream during the failure process. Sandbars'



23 upstream edges have a more extensive development than sandbars downstream edges.  
24 The length of a sandbar along the channel changes faster than the sandbar's width and  
25 height. The sandbars' length and width are about 10 to 80 and 1 to 7 times of average  
26 height, respectively, and the average heights of sandbars are about 1 to 3.5 times the  
27 maximum particle size. Sandbars' lengths make a more significant impact on sandbars'  
28 volumes than widths and heights. It found that the Froude number has a significant  
29 influence on the sediment carrying capacity. And the sediment concentrations in  
30 volumes of the outburst flow at the upstream edges of all sandbars are greater than those  
31 at the downstream edges of sandbars. Meanwhile, the sediment carrying capacities of  
32 the outburst flow at the upstream edges of sandbars are smaller than those at the  
33 sandbars' downstream edges. And the differences between the sediment concentrations  
34 and the sediment carrying capacities determine the sedimentation or entrainment. The  
35 results can reference the research on the river channel's geomorphological  
36 characteristics affected by the outburst flood.

### 37 **Keywords**

38 Landslide dam · Overtopping failure · Sediment transport · Sandbar formation and  
39 development

### 40 **1. Introduction**

41 Activities such as rainfalls and earthquakes often cause collapses, landslides,  
42 which block the river to form a water retaining body similar to a reservoir dam, called  
43 a landslide dam (Takahashi, 2007). According to statistics, 85 % of the dams were  
44 destroyed within one year after formations, and more than 50 % of the dams were



45 damaged by overtopping (Costa and Schuster, 1988). Overtopping outburst floods are  
46 extraordinarily destructive and seriously threaten people's personal and property safety.  
47 Therefore, more and more scholars pay attention to the failure mechanisms and modes  
48 of landslide dams and analyze outburst flood hydraulic characteristics and flood  
49 evolution process (Pickert et al., 2011; Fan et al., 2012; Jiang et al., 2017, 2018, 2019a;  
50 Zhang et al., 2019; Jiang and Wei, 2019b). Indeed, the outburst flood formed by  
51 landslide dam failure carries loose materials in the channel during its evolution and  
52 erodes and deposits along the channel. Sandbars are one typical landform formed during  
53 the outburst flood evolution (Turzewski et al., 2019; Jiang and Wei, 2020; Wu et al.,  
54 2020). Sandbars are shaped siltation bodies with exposed water surfaces formed by  
55 rivers, lakes, and seashores (Chien et al., 1987). Moreover, sandbars are a feature of the  
56 transition zone between aquatic and terrestrial, which have essential impacts on  
57 transportation and species habitation using river corridors (Lin, 1990; Tracy-Smith et  
58 al., 2012; Alexander et al., 2020). Consequently, sandbars have become the focus of  
59 attention on river bedform and ecology.

60 At present, many researches about formations and developments of sandbars have  
61 been conducted in natural rivers. Through field observations and indoor experiments,  
62 sandbars' shapes and sizes can be observed intuitively, which is vital for understanding  
63 formations and development characteristics of sandbars (Chien et al., 1987; Ashworth,  
64 1996; Ashworth et al., 2000; Wright and Kaplinski, 2011; Demirci et al., 2014; Xie et  
65 al., 2017; Alexander et al., 2020). For example, Chien et al. (1987) based on a large  
66 number of field cases and data, and concluded that there are three basic types of



67 sandbars developments: (1) in the upstream backwater sections and the downstream  
68 widening sections of the sandbars, sediments fall to promote the developments of  
69 sandbars; (2) water flow erodes the front edges and sides of sandbars, and bends the  
70 bars; (3) the protruding river core bedrock forces the flow to diverge and deposit the  
71 sediments. Ashworth et al. (2000) through observing the nearly 1 km long sandbar of  
72 the Jamuna River in Bangladesh, and sandbars' formation and development process  
73 were analyzed. They pointed out that the cross-level formed by dunes and slip face  
74 accretion at bar margins dominated developments of sandbars; Wright and Kaplinski  
75 (2011) measured the three-dimensional flow structures and sandbars dynamics of the  
76 two basins of the Colorado River in the Grand Canyon during the controlled flooding  
77 of the Glen Canyon Dam. They found that the lateral reflux zone is conducive to fine  
78 particle sediment deposition to form sandbars. Hooke and Yorke (2011) used remote  
79 sensing images to analyze sandbars' dynamic evolution processes at multiple time  
80 scales. They considered that the developments of sandbars are related to flow hydraulic  
81 property. And they pointed out that analyzing the dynamic characteristics of sandbars  
82 in rivers over a long period of time still needs more field data; Demirci et al. (2014)  
83 obtained the dimensionless equation of sandbars' volumes through experimental data  
84 using linear regression and nonlinear regression methods. The results showed that  
85 experimental data are in good agreement with the proposed equation, but there was no  
86 in-depth analysis of sandbars' other geometric features, and the relationship between  
87 the geometric dimensions of sandbars was not clear; Xie et al. (2017) studied the  
88 sandbars at the estuary of the Qiantang River and stated that the flow discharge played



89 a major role in sandbars' growths: when the flow discharge was large, the sandbars  
90 would be eroded; when the flow discharge was small, the sandbars would be silted.

91 Some researchers have established mathematical models to simulate sandbars  
92 growths and analyzed the development processes of sandbars. For example, Gao (1999)  
93 believed that sandbars are the sedimentation results and used the hydrodynamic method  
94 to derive the theoretical formula for sandbars' lengths. However, the method is not  
95 suitable for unsteady flow, such as outburst flood caused by dammed lake overtopping  
96 failure; Defina and Andrea (2003) established a two-dimensional finite element channel  
97 morphological evolution model based on a non-cohesive river bed to simulate  
98 formations and growths of sandbars. Using this model to study the impact of initial  
99 disturbances on the initial flow field, which in turn affected sandbars growths; later,  
100 Crosato and Mosselman (2009) simplified the physical mechanism of sandbars  
101 formations and established a sandbar formation model. They considered that sandbars'  
102 positions would change when the flow discharge changed or the riverside line was  
103 eroded or deposited. And they proposed a quantitative method to predict the number of  
104 sandbars in the river. But this model is suitable for rivers with a width height ratio less  
105 than 100; Mueller and Grams (2018) coupled a simple morphological dynamics model  
106 with flow and sediment concentration data, and it could reasonably predict sandbars'  
107 volumes change. This method is aimed at the sandbars formed by the debris flow, but  
108 the applicability of the sandbars formed by outburst flood remains to be investigated.

109 Sandbars formed after the landslide dam failure are caused by the strong unsteady  
110 outburst flood. Kobayashi et al. (2010) established a two-dimensional morphological



111 dynamics model to study sandbars' growth processes under the action of unsteady flow.  
112 And they discussed that flow unsteady property seemed to change the growth  
113 mechanism of sandbars. Besides, for this type of sandbars, the upstream sediment is  
114 mainly supplied by the dam material, which is different from other types of sandbars.  
115 Until now, there is little field observation data of riverbed topography during landslide  
116 dam breaching. As a result, questions remain regarding the formation processes and  
117 development characteristics of the sandbars formed by outburst floods.

118 Overtopping failure is the most common failure mode of the landslide dam, so this  
119 paper investigates the formation processes and growth characteristics of the sandbars  
120 formed by the outburst flood due to landslide dam overtopping failure. This paper  
121 focuses on the formation processes, the geometrical size characteristics of sandbars in  
122 the downstream channel during the dammed lake's failure, and how the outburst flood  
123 affects sandbars' developments. Firstly, through flume experiments, sandbars'  
124 formation processes on the downstream channel under the dammed lake failure  
125 condition were reproduced. Then, based on the experimental data, the growth  
126 characteristics of sandbars' upstream and downstream edges were analyzed.  
127 Furthermore, statistical analysis of sandbars geometrical dimensions at each moment  
128 during the failure process, such as length, width, height, and volume, had been carried  
129 out to obtain sandbars' size characteristics. Finally, by combining the hydraulic  
130 characteristics of outburst flow at sandbars areas and sediment transport theory, the  
131 sandbars' growth mechanisms were analyzed.



## 132 2. Experimental design

### 133 2.1 Model design and experimental materials

134 The longitudinal profiles of experimental landslide dams were trapezoidal and  
135 triangular. The trapezoidal dam height and crest width were both 0.3 m, and the  
136 triangular dam height was also 0.3 m. In the experiment, river bed slope angle  $\theta$  was  
137 fixed at  $10^\circ$ , and the landslide dam upstream slope angle  $\alpha$  was set to  $40^\circ$ , and the  
138 landslide dam downstream slope angles  $\beta$  were set to five different values. The  
139 moveable bed was set downstream of the model dam, which had a length of 8 m. The  
140 downstream channel bed's length was about 4 to 7 times of dam length along the  
141 channel. The test parameters are shown in Table 1.

142 **Table 1** test parameters

No.	Dam shape	$\beta$ ( $^\circ$ )
T1	Trapezoid	10
T2	Trapezoid	15
T3	Trapezoid	20
T4	Trapezoid	25
T5	Trapezoid	30
T6	Tringle	10
T7	Tringle	15
T8	Tringle	20

143 Peng and Zhang (2012) proposed that landslide dam height ( $H_d$ ), dam bottom  
144 width parallel to the channel ( $W_d$ ), dam volume ( $V_d$ ), and reservoir volume ( $V_r$ ) are the  
145 key geometric parameters of landslide dam, and proposed a set of dimensionless  
146 numbers,  $\frac{H_d}{W_d}$ ,  $\frac{V_d^{1/3}}{H_d}$  and  $\frac{V_r^{1/3}}{H_d}$ , to verify whether the established dam model is  
147 consistent with the landslide dam in the field (Zhou et al., 2019). As the field data show  
148 that the  $\frac{H_d}{W_d}$ ,  $\frac{V_d^{1/3}}{H_d}$  and  $\frac{V_r^{1/3}}{H_d}$  are ranged about 0.001 to 2, 0 to 40, and 0 to 20 for  
149 filed landslide dam (Zhou et al., 2019). Table 2 shows the dimensionless numbers of



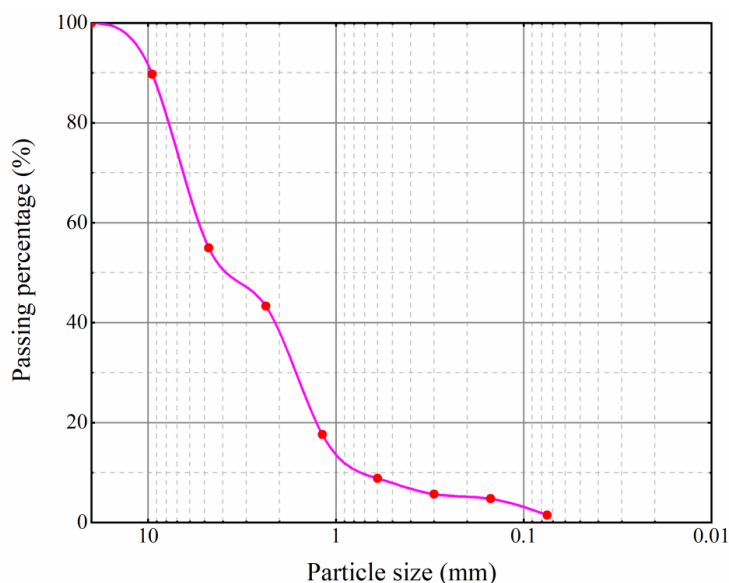
150 the experimental dams, which are all within the acceptable range of the field landslide  
151 dams, indicating that the dams in the experiments are relatively close to field landslide  
152 dams.

153 **Table 2** landslide dam parameters. The value of  $\frac{H_d}{W_d}$  ranges from 0.1 to 0.3, and  $\frac{V_d^{1/3}}{H_d}$  and  $\frac{V_l^{1/3}}{H_d}$   
154 both range from 1 to 2, which all fall within the acceptable range of values of the field landslide  
155 dams (Zhou et al., 2019).

No.	$H_d$ (m)	$W_d$ (m)	$\frac{H_d}{W_d}$	$\frac{V_d^{1/3}}{H_d}$	$\frac{V_l^{1/3}}{H_d}$
T1	0.3	2.359	0.127	1.643	1.477
T2	0.3	1.777	0.169	1.513	1.477
T3	0.3	1.482	0.202	1.437	1.477
T4	0.3	1.301	0.231	1.387	1.477
T5	0.3	1.177	0.255	1.350	1.477
T6	0.3	2.059	0.146	1.508	1.477
T7	0.3	1.477	0.203	1.350	1.477
T8	0.3	1.182	0.254	1.254	1.477

156 The dam materials used in this study were mixtures of sand and graves, with a  
157 median particle size  $D_{50}$  of 3.8 mm. Due to the flume space limitation, the maximum  
158 sediment particle size was set to 20 mm. The riverbed was movable, which consisted  
159 of the same material as the dam model. The thickness of the riverbed was set to 0.06 m.  
160 The gradation curve of material particles' sizes is shown in Fig. 1.





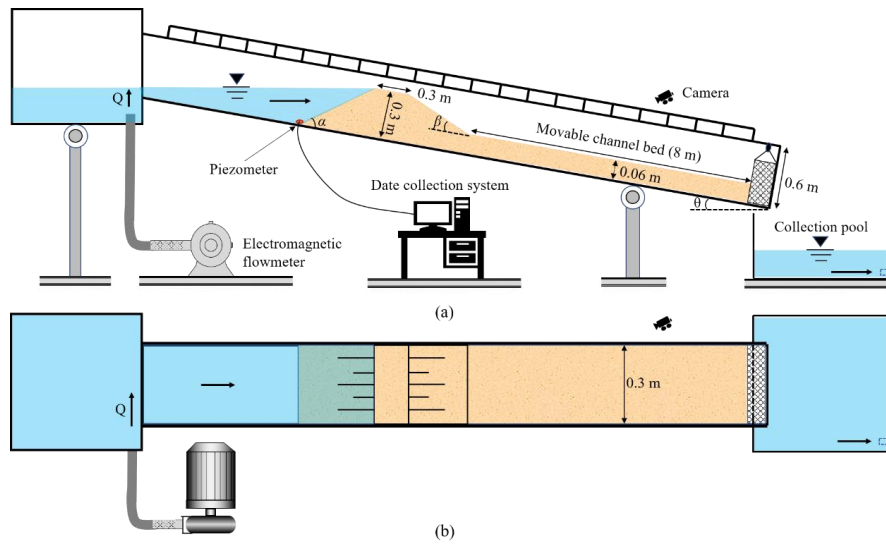
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**Figure. 1** Gradation curve of the dam materials

## 163 2.2 Experimental apparatus

164 The experimental setups are shown in Fig. 2. The flume was 15 m long, 0.3 m  
165 wide, and 0.6 m high. The flume slope was adjustable from 10 to 30°. One side of the  
166 flume was transparent glass, and scale lines were drawn on the glass to facilitate  
167 observation and recording of experimental phenomena. The inflow discharge was set  
168 as 1.0 L s<sup>-1</sup>. Under the control of the electromagnetic flowmeter, the error range could  
169 be controlled within ±0.01 L s<sup>-1</sup>. During the tests, the toe of the dam upstream slope  
170 was set at 4.5 m away from the water supply tank. A baffle with a height of 6 cm was  
171 set at the flume end as a boundary condition. Seven cameras were placed on the  
172 transparent glass side of the flume, one camera was placed on the top of the dam, and  
173 one camera was placed directly behind the flume. A total of nine cameras recorded the  
174 whole experimental phenomena.



175

176

**Figure. 2** Experimental setups. (a) Front view of the flume. (b) Top view of the flume.

177

### 2.3 Measurements

178

In the experiment, the flow velocity was measured based on the reference object.

179

After the dam failure, a large number of small balls were continuously thrown into the

180

flume. Because the balls were of small mass and were eye-catching and easy to observe,

181

they would maintain the same movement state as the outburst flow under the flow's

182

drive. In a certain period, the balls' distance can be determined by the glass's scale lines

183

and then divide by the time to get balls' speeds, that is, the outburst flow velocities.

184

Flow depth could be read directly through the glass's scale lines, and the difference

185

between the flow surface elevation and the bed sand elevation represented flow depth.

186

Sandbars' lengths, widths, and heights could be obtained from the screen. It should

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be noted that, due to the irregular shapes of the sandbars, the lengths of the sandbars

188

along the flume could be measured, but sandbars' widths and heights were different at

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different locations. In this paper, the representative width and height values, which were



190 the arithmetic means along the channel, were used. Regarding the sandbars' volumes,  
191 according to the actual sandbars' geometric characteristics, the sandbars were divided  
192 into several parts, and then the volume calculation formula of the similar geometric  
193 body was used to calculate the volume of each part respectively, and finally, the  
194 sandbars' volumes were obtained by summing.

### 195 **3. Experimental results**

#### 196 **3.1 Formation processes of sandbars**

197 The outburst flood due to the dam overtopping failure carried the downstream  
198 channel's sediment and promoted formations and developments of sandbars. It showed  
199 that three to four sandbars downstream the dam after the dam failure. Turzewski et al.  
200 (2019) investigated the sandbars in the Yigong River triggered by the Yigong outburst  
201 flood in 2000. They found that the number of sandbars is about 0.69 to 0.77 times the  
202 ratio of river bed length to dam length for the sandbar frequent region. In this study,  
203 sandbars were distributed in the 8 m length of the channel, which is 4 to 7 times of dam  
204 length. It reflected the number of sandbars was 0.4 to 1.0 times the ratio of river bed  
205 length to dam length. By comparing the experimental data and the field data of  
206 Turzewski et al. (2019), it can be found that field data falls within the range of  
207 experimental data. Experimental models took more influencing factors into account,  
208 while the field data of Turzewski et al. (2019) only focused on the sandbars in the  
209 Yigong River case, which is the reason for that field data falls within the range of  
210 experimental data.



211 It took the T7 test as an example to analyze sandbars formation processes, as  
212 shown in Fig. 3. Start timing when the flow just exceeded the dam crest, and at the  
213 initial dam failure stage, the outburst flood carried the dam material to the dam  
214 downstream slope (T=5 s). As the dam failed further, the flow discharge increased, and  
215 outburst flood carried many dam materials to the channel bed (T=19 s). It should be  
216 noted that although a large number of sediments were transported on the channel bed  
217 before the peak discharge, no sandbar would be formed on the downstream channel bed.  
218 After the moment of peak discharge, the flow discharge gradually weakened, and dam  
219 materials were transported to the section near the dam toe. The flow could not transport  
220 all the sediments away, and some sediments gradually silted down, then the first sandbar  
221 occurred near the dam toe (T=30 s, the sandbar in the figure is marked with a blue  
222 dotted line). After the first sandbar was formed, flow movement was changed. The  
223 advancing flow bypassed the first sandbar, and the flow streamlines bent. Due to inertia,  
224 the moving sediments no longer moved along the curved streamline but moved in the  
225 original direction. On the opposite side of the first sandbar, sediments piled up to form  
226 the second sandbar. With the first and second sandbars' existence, the flow streamline's  
227 bending was more apparent, and flow moved along the "S" shaped path to the  
228 downstream channel bed. It could be seen that there was a mutual feeding relationship  
229 between sandbars and flow. That is, sandbars and flow influenced each other.

230 Similarly, the first and second sandbars affected the formation of the sandbar  
231 downstream. Because of the accumulation and erosion of sediments, the channel bed's  
232 sandbars kept growing, and sandbars' locations and geometric dimensions were



233 changed. For example, when  $T=33$  s, more and more sediments were deposited on the  
234 upstream sandbars' edges, the sandbar near the dam toe continued to grow, and the  
235 upstream sandbar's volume increased. When the dam was failed entirely, the sandbars  
236 had changed significantly compared to the initial sandbars, making the channel bed  
237 topography changed significantly. Eventually, sandbars were scattered on both sides of  
238 the flume, forming a meandering channel downstream ( $T=40$  and  $47$  s). This  
239 phenomenon is in good agreement with the field sandbars along the Yigong river (Wu  
240 et al., 2020).



241  
242 **Figure. 3** The riverbed morphology at six different moments during the sandbars' formations and  
243 growths for the T7 experiment. The sandbars in the figure are marked with blue dotted lines.

### 244 **3.2 Position characteristics of the sandbars' edges**

245 Figure. 4 shows sandbars' locations on the channel bed during the dam failure. The  
246 red lines in the figure represent the sandbars, and the orange rectangles represent the  
247 flumes. Figure. 4 can clearly show the formation sequences of sandbars at different  
248 locations. That is, sandbars were formed first near the dams, and the farther from the  
249 dam toe, the later the sandbar was formed, which is consistent with the content of Sect.  
250 3.1. Sandbars near the downstream dam toes are all located on the dam breach side

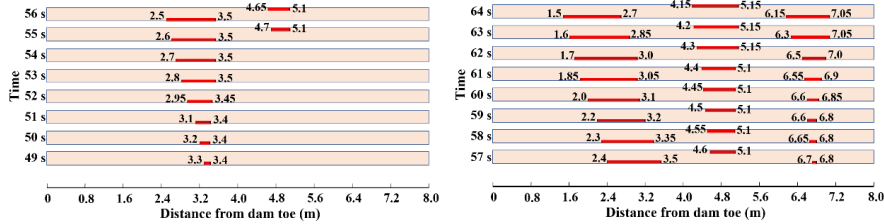


251 across the river. This characteristic has also been found in Chen et al. (2015).

252 According to the sandbars' formation sequences, the channel bed's sandbars were  
253 divided into three types: the sandbar near the dam toe, the sandbar near the middle  
254 reaches, and the sandbar near the bed end. And the characteristics of the position  
255 changes of the sandbars' upstream and downstream edges were analyzed, respectively.  
256 Figure 4 that the upstream edges of the sandbars near the dam toes in the eight group  
257 experiments basically moved upstream with time. But the movement directions of the  
258 downstream edges of the sandbars near the dam toes showed diversity: in the two tests  
259 of T2 and T5, the sandbars' downstream edges moved toward the dam toes, from a  
260 distance from the downstream toe of 3.3 to 2.9 m and 3.7 to 3.5 m respectively, as  
261 shown in Fig.4(b) and (e); in the tests of T1, T6, T7, and T8, the sandbars' downstream  
262 edges first moved away from the dam toes and then moved toward the dam toes, and  
263 the downstream edges move forward compared to the original location. However, the  
264 distance they moved is 0.15 to 0.7 m, which is small as shown in Fig.4(a), (f), (g), and  
265 (h); in the experiments of T3 and T4, the sandbars' downstream edges positions  
266 remained almost unchanged, see Fig.4(c) and (d). However, no matter how the  
267 downstream edge positions of the sandbars near the dam toes changed, the results of  
268 the eight tests have a common feature: compared with the position when the sandbars  
269 were formed, the downstream edges moved less distance, and the amount of movement  
270 was much smaller than those of sandbars' upstream edges. The lengths of the sandbars  
271 near the dam toes increased with the failure time. It can be seen that the sediments on  
272 the sandbars' upstream edges played a greater role in the length developments of the



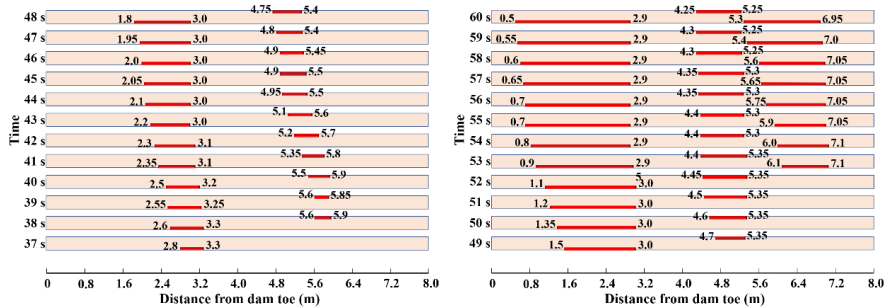
273 sandbars near the dam toes.



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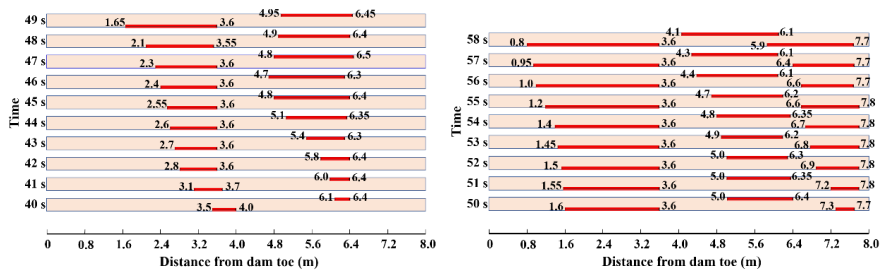
(a)



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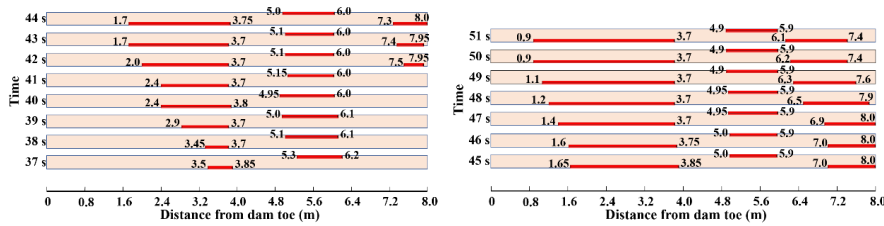
(b)



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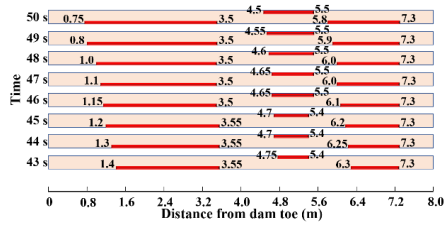
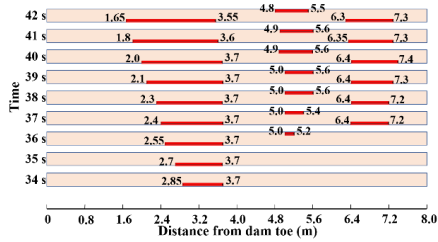
(c)



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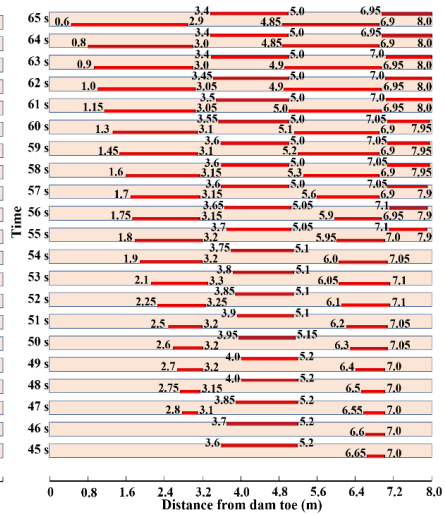
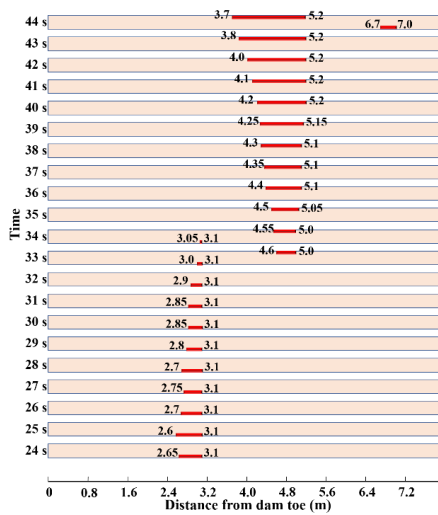
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(d)



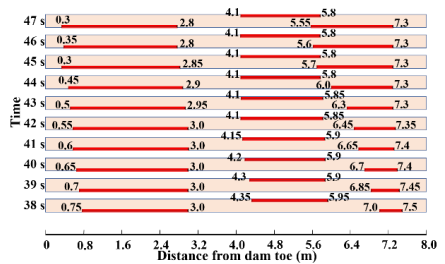
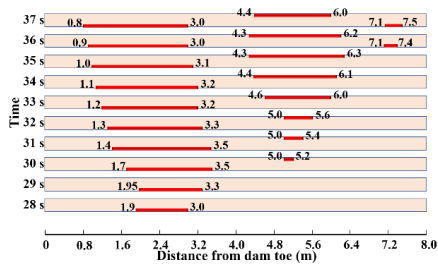
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(e)



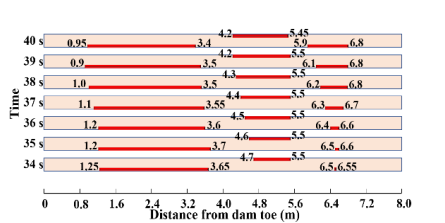
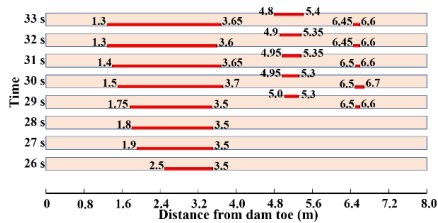
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(f)



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(g)



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(h)





290 **Figure. 4** The sandbars' locations during the dam failure: (a) sandbars' locations of the T1 test; (b)  
291 sandbars' locations of the T2 test; (c) sandbars' locations of the T3 test; (d) sandbars' locations of  
292 the T4 test; (e) sandbars' locations of the T5 test; (f) sandbars' locations of the T6 test; (g) sandbars'  
293 locations of the T7 test; (h) sandbars' locations of the T8 test. The red lines in the figure represent  
294 the sandbars, and the orange rectangles represent the flumes. The numbers at both ends of the red  
295 lines represent the distances between the two edges of sandbars and the dam toe, that is, the distances  
296 between the upstream and downstream edges of sandbars and the dam toe.

297 Growth characteristics of the upstream and downstream edges of the sandbars near  
298 the bed ends were similar to those of the sandbars near dam toes. That is, upstream  
299 edges grew toward dam toes, and the upstream edges move more extensively than the  
300 downstream edges. And sandbars downstream edges almost remained at the initial  
301 location. Sandbars' lengths gradually increased throughout the process of dam failure.  
302 Compared with the sandbars near the dam toes, the sandbars' movements in other reach  
303 were smaller. The distance between the sandbars in middle and end reach is smaller  
304 than the distance between sandbars near dam toe and adjacent sandbars.

305 The dam downstream slope and longitudinal section shape also influenced the  
306 sandbars. The largest movement distance for upstream edges of sandbars near the dam  
307 toe moved was 1.8 m, and for downstream edges of sandbars was 0.7 m with a  
308 downstream slope angle of  $10^\circ$  for trapezoidal shape models. It was the smallest  
309 movement distance for the upstream edge and the largest movement distance for the  
310 downstream edge for the trapezoidal shape models. The sandbar's final length was 1.2  
311 m, which was the shortest among the downstream slope angle from 10 to  $30^\circ$ . However,



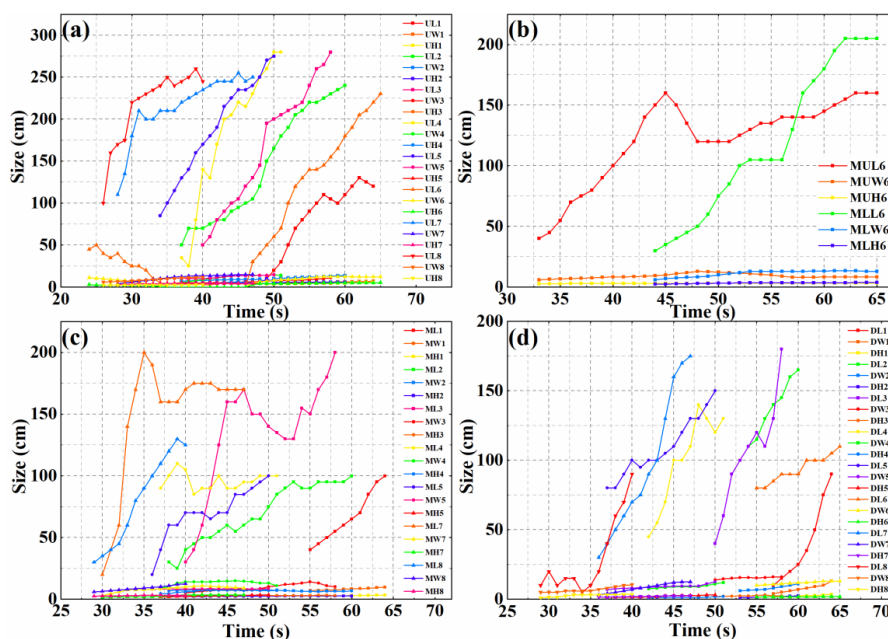
312 sandbar length varied small with a maximum difference of 0.4 m when the angle  
313 increased from 15 to 30°. The lengths of sandbars in the middle and end reach were  
314 also the smallest for the 10° downstream slope. However, the distance between the  
315 sandbars was largest for the 10° downstream slope, and this is due to the smaller  
316 outburst flood discharge and capacity of bedload for the 10° downstream slope. The  
317 overlapping phenomena existed along the channel for sandbars with large downstream  
318 slope, such as the sandbars in the middle and end reach at 60 s for T2. For the triangular  
319 shape of the dam, the dam volume was the main factor influencing sandbars'  
320 developments. It could be demonstrated from two sides: one is the number of sandbars  
321 in the test, which dam downstream slope is 10° and with a larger dam volume, was  
322 more than the number of sandbars for 15 and 20° downstream slope dam models; the  
323 other is the lengths of sandbars in middle and end reaches become smaller with the  
324 increasing downstream slope from 10 to 20° (i.e., with decreasing dam volume).

### 325 **3.3 Characteristics of the sandbars' geometric sizes**

326 Corresponding to Sect. 3.2, Fig. 5 shows that the lengths of the sandbars near the  
327 dam toes were longer than other sandbars' lengths, and the sandbars near the dam toes  
328 appeared first. Because the sandbars near the dam toes were closer to the dams, when  
329 the flow carried a large number of sediments from the dam downstream slopes to the  
330 channel beds, the slopes decreased, and a large number of sediments accumulated  
331 around the sandbars near the dam toes to promote sandbars' developments. Sufficient  
332 incoming sand from the upper reach made the lengths of the sandbars near the dam toes



333 larger than the other sandbars' lengths. For all the sandbars, their lengths were largest  
334 in the whole process, followed by widths, and finally were heights. Sandbars' lengths  
335 had a growing trend, and their growth rates were more significant than growth rates of  
336 widths and heights. The sandbars' shapes were irregular during the entire dam failure  
337 process, which is similar to the field sandbars (Wu et al., 2020). The average values of  
338 the widths and heights of the entire sandbars were selected as the parameters reflecting  
339 the characteristics of sandbars' widths and heights shown in Fig. 5. From the figure, we  
340 can know that sandbars' widths changed more drastically than the sandbars' heights,  
341 which is mainly because sandbars' heights were significantly affected by outburst flow  
342 depth. In most cases, flow depth was less than the heights of sandbars, the sediments  
343 mostly accumulated at the sandbars' edges and waists, and could not "climb up"  
344 sandbars' tops; in addition, the reduction of flow depth was not large enough, so the  
345 sandbars' heights did not change much. The variations of widths and heights both  
346 increase slowly with time and then tended to be stable values.  
347



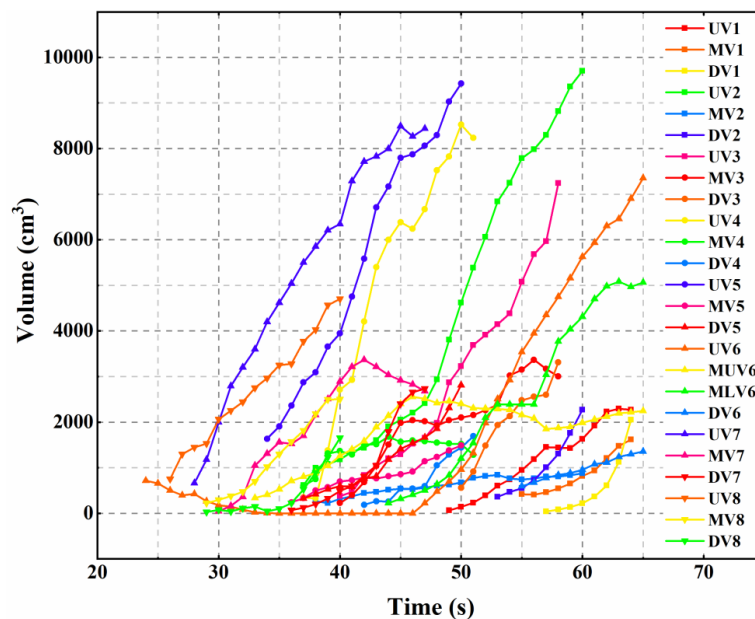
348

349 **Figure. 5** The lengths, widths, and heights of the sandbars: (a) sizes of the sandbars near the dam  
 350 toes; (b) sizes of the sandbars near the middle-upper and middle-lower reaches; (c) sizes of the  
 351 sandbars near the middle reaches; (d) sizes of the sandbars near the bed ends. Notation: UL<sub>i</sub>, UW<sub>i</sub>,  
 352 and UH<sub>i</sub> represent the length, width, and height of the sandbar near the dam toe of the T<sub>i</sub> test,  
 353 respectively. For example, UL1 indicates the length of the sandbar near the dam toe of the T1 test;  
 354 MUL<sub>i</sub>, MUW<sub>i</sub>, and MUH<sub>i</sub> represent the length, width, and height of the sandbar near the middle  
 355 and upper reaches of the T<sub>i</sub> test, respectively. For example, MUL1 indicates the length of the sandbar  
 356 near the middle and upper reaches of the T1 test; ML<sub>i</sub>, MW<sub>i</sub>, and MH<sub>i</sub> represent the length, width,  
 357 and height of the middle sandbar of the T<sub>i</sub> test, respectively; MLL<sub>i</sub>, MLW<sub>i</sub>, and MLH<sub>i</sub> represent the  
 358 length, width, and height of the sandbar near the middle and lower reaches of the T<sub>i</sub> test, respectively;  
 359 DL<sub>i</sub>, DW<sub>i</sub>, and DH<sub>i</sub> represent the length, width, and height of the sandbar near the bed end of the T<sub>i</sub>  
 360 test, respectively.

361 When the amounts of sediments deposited on sandbars were larger than the



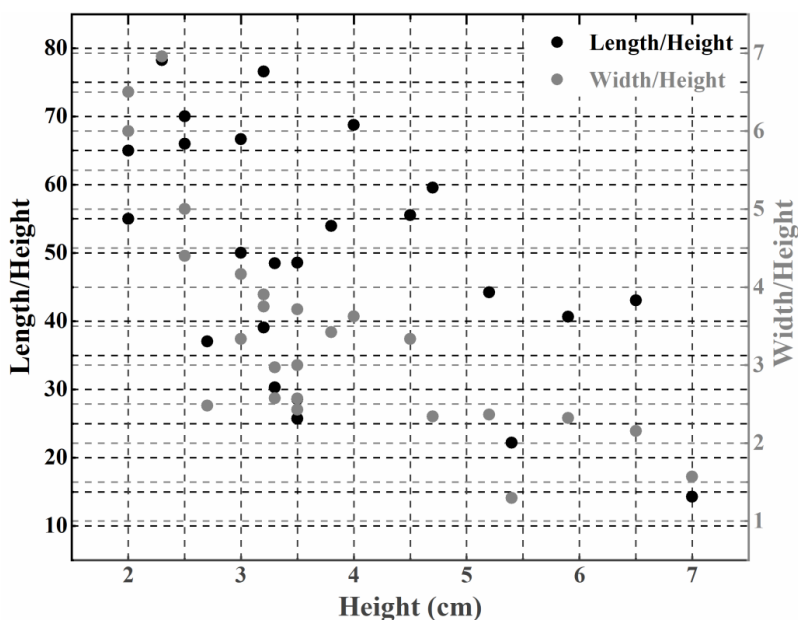
362 quantities of eroded sediments, sandbars' volumes became larger. Otherwise, sandbars'  
363 volumes would decrease or remain at a stable level. Figure. 6 reveals sandbars' volume  
364 characteristics during the dam failure. Most of the 25 sandbars gradually increased in  
365 volume, indicating that the amounts of outburst flow erosions in the sandbars' vicinities  
366 were less than the amounts of siltation during the entire outburst process. The volumes  
367 were about 0.018 to 0.142, 0.009 to 0.055, and 0.014 to 0.055 times of the initial dam  
368 volumes for the sandbars near dam toes, the sandbars near the middle reaches, and the  
369 sandbars near the end reach, respectively. It indicates that sandbars' total volumes in the  
370 downstream channel of 4 to 7 times dam length to the initial dam volumes are about  
371 0.009 to 0.142. By referring to Figs. 5 and 6, the sandbars' volume characteristics were  
372 consistent with the sandbars' length characteristics. And because the widths and heights  
373 developed in small change, sandbars' volumes were mainly controlled by sandbars'  
374 lengths.





376 **Figure. 6** Volumes of sandbars. Notation:  $UV_i$ ,  $MV_i$ ,  $DV_i$ ,  $MUV_i$ ,  $MLV_i$  represent the sandbar's  
377 volume near the dam toe, the sandbar near the middle reaches, the sandbar near the bed end, the  
378 sandbar near the middle-upper reaches, and the sandbar near the middle-lower reaches, respectively.  
379 For example,  $UV_1$  means that the sandbar's volume near the dam toe of the T1 test.

380 Jiang and Wei (2020) discussed the relationships between the lengths and the  
381 maximum widths and heights of sandbars when the dam was failed entirely, but the  
382 relationships with sandbars lengths, average widths, and heights had not been involved.  
383 It found that the average heights after the dam failure were about 1 to 3.5 times the  
384 maximum grain size. The ratios of lengths to average heights were basically between  
385 10 to 80, and the rate of average widths to average heights were basically between 1 to  
386 7 (Fig. 7).



387  
388 **Figure. 7** The ratios of length and height, width and height of the sandbar at the end of the dam



#### 390 **4. Hydraulic characteristics of flow at the edges of the sandbars**

391 Outburst flow influences the sandbars' formations and growths directly, and the  
392 existence of sandbars will also affect the outburst flow hydraulic characteristics. In  
393 order to understand how outburst flow affects sandbars' formations and developments,  
394 it is necessary to explore the outburst flow hydraulic characteristics.

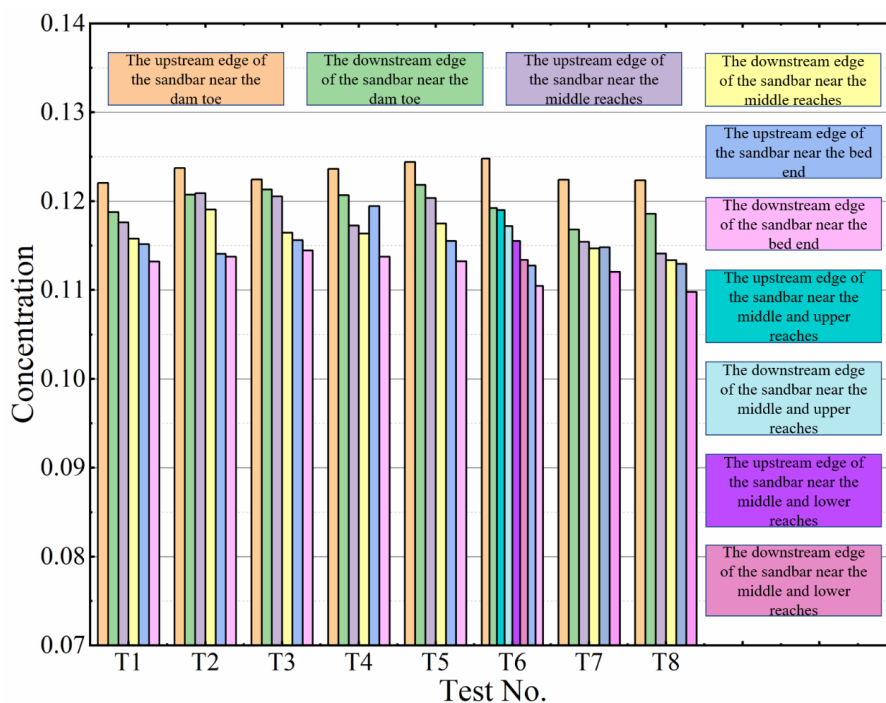
395 As stated in Sect. 3, the sandbars' lengths are the most critical parameters affecting  
396 the sandbars' volumes, and sandbars' lengths are the most sensitive to the flow.  
397 Therefore, analyzing hydraulic parameters at the sandbars' upstream and downstream  
398 edges is helpful to understand the impact of the outburst flow on sandbars growths.

399 Sediment concentration in volume is an important physical parameter of sediment-  
400 laden flow and is closely related to sandbars growths. The concentration calculation  
401 method of Laursen (1958) was used to analyze the sediment concentrations in volumes  
402 at the sandbars' upstream and downstream edges. In order to facilitate the comparison  
403 of the sediment concentrations in volumes at the sandbars' edges, the average values of  
404 the sediment concentrations for the 25 sandbars' edges were taken (from the moment  
405 the sandbars were formed to the moment the dam was failed entirely), as shown in Fig.  
406 8.

407 From Fig. 8, it reflects that average concentrations of the upstream edges of the  
408 sandbars near the dam toes are the largest, mainly because this location was close to the  
409 dam. Flow transported the dam materials to the vicinities of the sandbars near the dam  
410 toes, and the amounts of sediments transported were more than other parts of the  
411 channel bed. The sediment concentration of flow along the channel bed gradually



412 decreased. The part of the sediments that caused the sediment concentration decreased  
 413 to participate in sandbars' formations and growths. From the perspective of the entire  
 414 sediment concentration variation range, there was a little difference between the  
 415 concentrations at the upstream edges of the sandbars near the dam toes and the  
 416 concentrations at the downstream edges of the sandbars near the bed ends, indicating  
 417 that only a small part of sediments participated in the developments of the sandbars.  
 418 The sediment concentrations of flow at the upstream and downstream edges of all  
 419 sandbars had the same characteristic. The concentrations of flow at the upstream edges  
 420 of sandbars were larger than that at the downstream edges. This was mainly because  
 421 when the flow goes through the sandbars areas, some sediments deposit on the sandbars'  
 422 upstream edges and abdomens, causing sandbars growths.



423  
 424

Figure. 8 Sediment concentrations in volumes at edges of sandbars



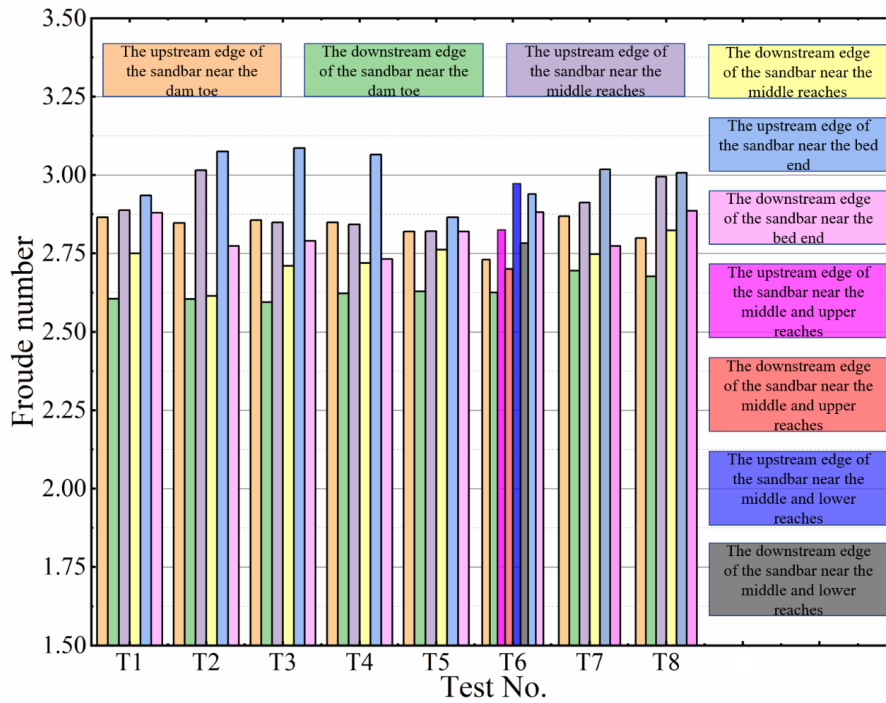


425           The ratio of the inertial force to the gravity of the outburst flow can be reflected  
426 by the Froude number ( $Fr$ ). The equation for calculating the Froude number is as follow:

$$Fr = \frac{u}{\sqrt{gh}}, \quad (1)$$

427 where  $u$  is the flow velocity,  $\text{m s}^{-1}$ ;  $g$  is the acceleration of gravity,  $\text{m}^2 \text{s}^{-1}$ ;  $h$  is the flow  
428 depth, m.

429           In order to facilitate the comparison of the flow Froude numbers at different  
430 locations, the flow Froude numbers at the upstream and downstream edges of the 25  
431 sandbars were taken as the average values over time of the entire dam failure process  
432 (from the moment the sandbar was formed to the moment when the dam was failed  
433 entirely), as shown in Fig. 9. It can be found that although the velocity and depth of  
434 flow in the late period of the peak discharge gradually decreased, the average Froude  
435 numbers of flow at the upstream and downstream edges of the sandbars are greater than  
436 1, and it reflects the inertia effect is strong in these locations. If the streamline is  
437 changed in these locations, the particles in the water may move laterally or the original  
438 path causing sedimentation or erosion.



439  
 440

**Figure. 9** Froude numbers of outburst flow at the edges of sandbars

441 The flow sediment carrying capacity indicates the amounts of sediments that can  
 442 be carried through a river section under certain flow and boundary conditions. For these  
 443 experiments, sandbars' formations and growths mainly depended on the accumulation  
 444 of bedload, so we focused on the sediment carrying capacity of bedload. The calculation  
 445 equation of bedload sediment carrying capacity is

$$c_e = \frac{q_b}{hu}, \quad (2)$$

446 where  $c_e$  is bedload sediment carrying capacity,  $q_b$  is the unit-width bedload transport  
 447 rate, and it can be calculated using the MPM equation (Meyer-Peter, 1948)

$$q_b = 8\sqrt{(s-1)gd^3(\theta - \theta_c)^{1.5}}, \quad (3)$$

448 where  $\theta$  is the Shields number, which can be obtained according to Eq. (4), and  $\theta_c$  is the  
 449 critical Shields number. Referring to Misri et al. (1984),  $\theta_c$  is taken as 0.03 in this paper;



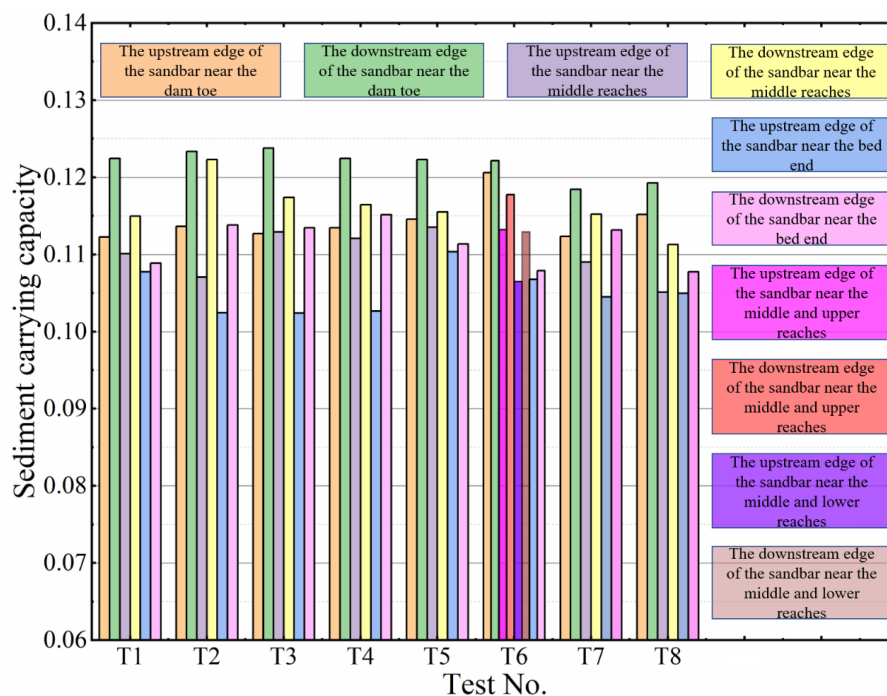
450  $s$  is the submerged specific gravity of sediment, which can be calculated according to  
 451 the Eq. (5);  $d$  is the particle size of the sediment, m.

$$\theta = \frac{u_*}{(s-1)gd}, \quad (4)$$

$$s = \frac{\rho_s}{\rho_w}, \quad (5)$$

452 where  $u_*$  is the frictional flow velocity,  $\text{m s}^{-1}$ ;  $\rho_s$  is the weight of sediment, and  $\rho_w$  is the  
 453 weight of water.

454 The volume of sediments that can be carried by the flow in the flow sections could  
 455 be obtained with the above equations. Similarly, taking the average values of the  
 456 sediment carrying capacities of flow (from the moment of sandbars formations to the  
 457 moment of complete failure of the dam) for analysis, as shown in Fig. 10.



458

459

**Figure. 10** Sediment carrying capacities of outburst flow at the edges of sandbars



460 It shows that, from the whole process's perspective, comparing the sediment  
461 carrying capacities at the upstream and downstream edges of different sandbars, the  
462 sediment carrying capacities decrease along the downstream channel bed. Taking the  
463 T1 test for example, the sediment carrying capacity of the flow at the upstream edge of  
464 the sandbar near the dam toe was larger than the sediment carrying capacity at the  
465 upstream edge of the sandbar near the middle reaches. The sediment carrying capacity  
466 at the downstream edge of the sandbar near the dam toe was larger than the sediment  
467 carrying capacity at the downstream edge of the sandbar near the middle reaches. The  
468 characteristic indicates that the outburst flow erosion effect gradually weakened with  
469 the distance from the dam. Compared to the sediment carrying capacities at the  
470 upstream and downstream edges of the same sandbar, it can be found that the sediment  
471 carrying capacity at the upstream edge was smaller than that at the downstream edge,  
472 but the difference was not large. Through combining Figs. 9 and 10, it can be found that  
473 there is a relationship between the sediment carrying capacity and the Froude number.  
474 That is, when the Froude number increases, the sediment carrying capacity will  
475 decrease; when the Froude number of the flow decreases, the sediment carrying  
476 capacity will increase.

## 477 **5. The influence of outflow transportation capacity on development of** 478 **sandbars' lengths**

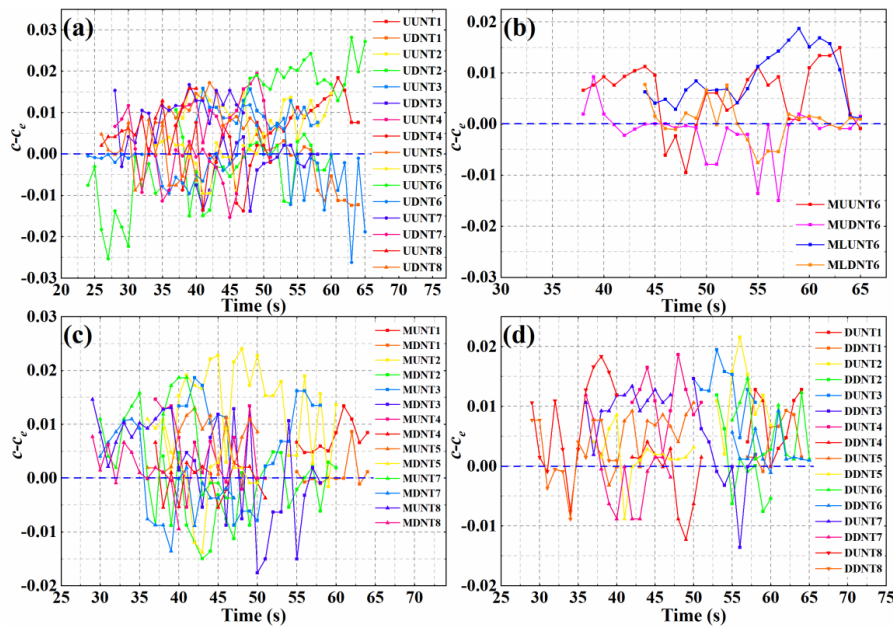
479 Sandbar length is the predominant factor to control the volume. The transportation  
480 condition of outflow at the sandbars' edges determines sandbar growth: for the sandbars'



481 upstream edges, if the sediment concentrations in volumes are greater than the sediment  
482 carrying capacities of the flow, sediments are accumulated, and the upstream edges will  
483 extend toward the dam toes. And suppose the sediment concentrations in volumes are  
484 less than the flow sediment carrying capacities. In that case, the upstream edges of  
485 sandbars will be in a state of erosion, and the sandbars' upstream edges will extend far  
486 away from the dam toes. As for the sandbars downstream edges, when the sediment  
487 carrying capacities of the flow are smaller than the sediment concentrations, it means  
488 that the flow cannot take away all sediments. Sediments will deposit, and the  
489 downstream edges of sandbars will extend far from the dam toes; when the sediment  
490 carrying capacities are larger than the sediment concentrations, the downstream edges  
491 of the sandbars will be in an eroded state, and the sediments are carried by flow to the  
492 downstream channel bed, and the sandbars' downstream edges will extend toward the  
493 dam toes; when the sediment carrying capacities of the flow are equal to the sediment  
494 concentrations, then the flow at sandbars' downstream edges will be in an equilibrium  
495 sediment transport state. Figure. 11 shows the relationships between the sediment  
496 concentrations in volumes and the sediment carrying capacities at the sandbars  
497 upstream and downstream edges. It can be seen that the differences between the  
498 sediment concentrations in volumes and the sediment carrying capacities ( $c-c_e$ )  
499 fluctuate during the whole process of sandbars developments. Through referring to Figs.  
500 4 and 11, the two pictures are highly consistent. When the ( $c-c_e$ ) in Fig. 11 is greater  
501 than 0, the sandbar's upstream edge point migrates upstream, or the downstream edge  
502 point of the sandbar migrates downstream in Fig. 4. When the ( $c-c_e$ ) is less than 0, the



503 sandbar's upstream edge point migrates downstream, or the downstream edge point of  
 504 the sandbar migrates upstream.

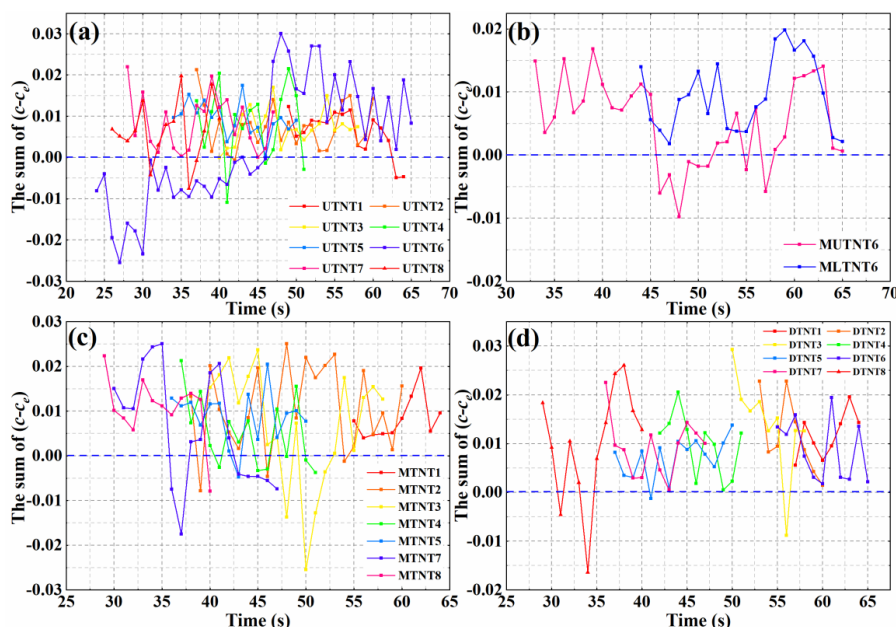


505  
 506 **Figure. 11** The difference of the sediment concentrations in volumes and the sediment carrying  
 507 capacities ( $c - c_e$ ) at upstream and downstream edges of sandbars: (a) the ( $c - c_e$ ) at the edges of the  
 508 sandbars near the dam toes; (b) the ( $c - c_e$ ) at the edges of the sandbars near the middle and upper  
 509 reaches; (c) the ( $c - c_e$ ) at the edges of the sandbars near the middle reaches; (d) the ( $c - c_e$ ) at the edges  
 510 of the sandbars near the bed ends. Notation:  $c$  is the sediment concentration in volume;  $c_e$  is the  
 511 sediment carrying capacity of flow; UUNTi, UDNTi represent ( $c - c_e$ ) at the upstream and  
 512 downstream edges of the sandbar near the dam toe of the Ti test. For example, UUNTi represent  
 513 the value of ( $c - c_e$ ) at the upstream edge of the sandbar near the dam toe of the T1 test; MUUNTi,  
 514 MLDNTSi represent ( $c - c_e$ ) at the upstream and downstream edges of the sandbar near the middle  
 515 and upper reaches of the Ti test; MLUNTi, MLDNTSi represent ( $c - c_e$ ) at the upstream and  
 516 downstream edges of the sandbar near the middle and lower reaches of the Ti test; MUNTi, MDNTSi



517 represent  $(c-c_e)$  at the upstream and downstream edges of the sandbar near the middle reaches of the  
518  $T_i$  test;  $DUNT_i$ ,  $DDNT_i$  represent  $(c-c_e)$  at the upstream and downstream edges of the sandbar near  
519 the bed end of the  $T_i$  test. ( $i=1$  to 8)

520 The sums of  $(c-c_e)$  at the sandbars' upstream and downstream edges are used as  
521 the criterion for judging the sandbars' length variation. The relationships between the  
522 sums of  $(c-c_e)$  and zero determine the increase or decrease of sandbars' lengths. Suppose  
523 the sums of  $(c-c_e)$  are greater than zero. In that case, it means that the outburst flow  
524 cannot transport all the sediments. The excess sediments are deposited in the sandbars  
525 areas, corresponding to the increase in sandbars' lengths and volumes; otherwise,  
526 sandbars' lengths and volumes are reduced. Figure. 12 shows the relationships between  
527 the sums of  $(c-c_e)$  at the sandbars' edges and 0. By combining Figs. 12 and 6, it can be  
528 seen that when the sums of  $(c-c_e)$  are greater than 0, sandbars' lengths and volumes are  
529 increased. It reveals that the relationship between the sums of  $(c-c_e)$  and 0 can be used  
530 to judge the trend of sandbars' lengths and volumes.



531

532 **Figure. 12** The sums of  $(c-c_e)$  at the upstream and downstream edges of sandbars: (a) the sums of  
 533  $(c-c_e)$  at the upstream and downstream edges of the sandbars near the dam toes; (b) the sums of  $(c-$   
 534  $c_e)$  at the upstream and downstream edges of the sandbars near the middle-upper reaches, and the  
 535 sandbars near the middle-lower reaches; (c) the sums of  $(c-c_e)$  at the upstream and downstream  
 536 edges of the sandbars near the middle reaches; (d) the sums of  $(c-c_e)$  at the upstream and downstream  
 537 edges of the sandbars near the bed ends. Notation: for the  $T_i$  test,  $UTNT_i$ ,  $MUTNT_i$ ,  $MLTNT_i$ ,  
 538  $MNTT_i$ ,  $DTNT_i$  respectively represent the sum of the  $(c-c_e)$  at the upstream and downstream edges  
 539 of the sandbar near the dam toe, the sandbar near the middle-upper reaches, the sandbar near the  
 540 middle-lower reaches, and the sandbar near the middle reaches, and the sandbar near the bed end.  
 541 ( $i=1$  to 8)

## 542 6. Conclusion

543 In this paper, a downstream moveable bed with 4 to 7 times the length of dam





544 length along the channel was set, and through eight flume experiments, 25 sandbars  
545 were formed downstream channel caused by overtopping flow. The sandbars  
546 development characteristics and the influences of hydraulic parameters on sandbars  
547 were also analyzed. The main conclusions are as follows.

548 (1) The number of sandbars is 0.4 to 1.0 times the ratio of river bed length to dam  
549 length. Sandbars first appeared near dam toes located on the dam breach sides across  
550 the rivers. Inertia force made sediment accumulate on the opposite banks of the channel  
551 bed, resulting in the formations of sandbars downstream. Meanwhile, it has the  
552 characteristic that the farther away from the dam, the later the sandbar formation.  
553 During the evolution of outburst flow, the sandbars' upstream edges are mainly in  
554 siltation states. The sandbars' lengths increase with failure time, mainly caused by  
555 sandbars' upstream edges move upstream. The downstream edges develop slowly and  
556 basically near the initial positions. And the developments of sandbars downstream  
557 edges are much smaller than the developments of sandbars' upstream edges.

558 (2) During dam failure, the lengths varied faster than the widths and heights of  
559 sandbars. And the lengths along the river are the largest, followed by widths, and finally  
560 are sandbars' heights after the dam failure. The average sandbars' heights are about 1 to  
561 3.5 times the maximum particle size. The sandbars' lengths are about 10 to 80 times the  
562 average heights, and the average widths are 1 to 7 times the average heights. The lengths  
563 mainly control the sandbars' volumes. The ratio of sandbars' total volumes in the  
564 downstream channel of 4 to 7 times dam length to initial dams' volumes are about 0.009  
565 to 0.142.



566 (3) The impact of outburst flow on sandbars is mainly manifested by the sediment  
567 concentration and the sediment carrying capacity. During the entire dam failure process,  
568 the sediment concentrations at sandbars' upstream edges are greater than that on the  
569 downstream edges. The Froude number has a significant influence on the sediment  
570 carrying capacity. When the Froude number increases or decreases, the sediment  
571 carrying capacity decreases or increases accordingly. The sediment carrying capacities  
572 at the sandbars' upstream edges are smaller than those at the sandbars' downstream  
573 edges. The characteristics of sediment concentrations and sediment carrying capacities  
574 at sandbars' edges cause sandbars to develop upstream.

575 (4) The formation processes and development characteristics of sandbars from the  
576 perspective of flow transporting sediments are analyzed. There is a corresponding good  
577 relationship between outburst flow hydraulic characteristics and sandbars development  
578 characteristics: the difference between the sediment concentration and the sediment  
579 carrying capacity of the flow will determine the erosion and accumulation of sediments  
580 that affect sandbars developments. The sandbars developments are an intuitive  
581 manifestation of the changes in outburst flow hydraulic characteristics.

## 582 **Author contribution**

583 Xiangang Jiang was responsible for the experiments, article thinking, and writing.  
584 Haiguang Cheng was responsible for calculating the article parameters. Lei Gao was  
585 responsible for the article's pictures, and Weiming Liu was responsible for checking the  
586 full article.



587 **Competing interests**

588 The authors declare that they have no known competing financial interests or  
589 personal relationships that could have appeared to influence the work reported in this  
590 paper.

591 **Acknowledgments**

592 This research has been supported by The National Natural Science Foundation of  
593 China (No. 41807289) and Key Laboratory of Ministry of Education for Geomechanics  
594 and Embankment Engineering, Hohai University (No. 202020) and Open fund of Key  
595 Laboratory of mountain hazards and surface processes, Chinese Academy of Sciences  
596 (No. KLMHESP-20-05).

597 **Code and data availability statement**

598 The codes and data that support the findings of this study are available from the  
599 corresponding author upon reasonable request.

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