¹ Autogenic knickpoints in laboratory landscape experiments.

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7 ABSTRACT

8 The upstream propagation of knickpoints in river longitudinal profiles is commonly assumed to 9 be related to discrete changes in tectonics, climate or base-level. However, the recognition that 10 some knickpoints may form autogenically, independent of any external perturbation, may challenge these assumptions. We investigate here the genesis and dynamics of such autogenic 11 knickpoints in laboratory experiments at the drainage basin scale, where landscapes evolved in 12 response to constant rates of base-level fall and precipitation. Despite these constant forcings, we 13 14 observe that knickpoints regularly initiate in rivers at the catchments' outlet throughout the 15 duration of experiments. The upstream knickpoint propagation rate does not decrease monotonically in relationship with the decrease of drainage area, as predicted by stream-power 16 based models, instead the propagation rate first increases until the mid-part of catchments before 17 18 decreasing. To investigate the dynamics of the knickpoints, we calculated hydraulic information 19 (water depth, river width, discharge and shear stress) using a hydrodynamic model. We show that 20 knickpoint initiation at the outlet coincides with a fairly abrupt river narrowing entailing an 21 increase in their shear stress. Then, once knickpoints have propagated upward, rivers widen 22 causing a decrease in shear stress and incision rate, and making the river incision less than the 23 base-level fall rate. This creates an unstable situation which drives the formation of a new knickpoint. The experiments suggest a new autocyclic model of knickpoint generation controlled 24 25 by river width dynamics independent of variations in climate or tectonics. This questions an interpretation of landscape records focusing only on climate and tectonic changes without
 considering autogenic processes.

28 1 Introduction

29 Knickpoints are discrete zones of steepened bed gradient that are commonly observed in river longitudinal profiles. Although they occasionally occur due to changes in bedrock properties (e.g. Duvall 30 et al., 2004), in many cases they are dynamic features that propagate upstream along drainage networks 31 32 (Whipple and Tucker, 1999; Kirby and Whipple, 2012; Whittaker and Boulton, 2012). In this latter case, 33 they are commonly considered as formed in response to variations in external forcing such as uplift rate, sea level or climate (e.g. Crosby and Whipple 2006; Berlin and Anderson, 2007; Kirby and Whipple, 34 35 2012; Whittaker and Boulton, 2012; Mitchell and Yanites, 2019) which opens the possibility of using 36 knickpoints in landscapes to identify such changes. Several studies pointed out, however, that some knickpoints could be autogenic, that is to say internally-generated without any variation in boundary 37 conditions (e.g. Hasbargen and Paola, 2000, 2003; Finnegan and Dietrich, 2011). Understanding how 38 knickpoints can form autogenically is therefore crucial for interpreting changes in external forcing from 39 knickpoint occurrence in landscapes. Most observations of autogenic knickpoints formation come from 40 experimental modelling (see for example Paola et al., 2009) their initiation being attributed to 41 42 amplification of local instabilities in flume (Scheingross et al., 2019) and drainage basin scale (Hasbargen and Paola, 2000), experiments. In these latter experiments for example, successive 43 knickpoints initiated despite constant external forcing (base-level fall and precipitation) throughout the 44 45 duration of the runs, even when landscapes were at steady-state on average in terms of sediment flux. Internal processes may also complexify the propagation of knickpoints as shown in the flume 46 experiments of Cantelli and Muto (2014) and Grimaud et al. (2016) where a single discrete event of 47 base-level drop resulted in the propagation of multiple waves of knickpoints. 48

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In this work, we consider the generation and dynamics of autogenic knickpoints in laboratory-scale
drainage basins experiments forced by constant rate of base-level fall and steady precipitation. Such

landscape experiments have been used successfully to explore how tectonics and climate impact erosion 52 53 processes and the evolution of topography under controlled conditions (e.g. Hasbargen and Paola, 2000; Bonnet and Crave, 2003; Lague et al., 2003; Turowski et al., 2006; Bonnet, 2009; Singh et al., 2015; 54 Sweeney et al., 2015; Moussirou and Bonnet, 2018). This approach allows for the observation of 55 complex dynamics that are sometimes difficult to simulate numerically and sheds new light on the way 56 natural landforms may evolve. Landscape experiments capture the tree-like structure of drainage 57 networks, the supply of eroded material from hillslopes, and especially their fluctuations, which is a 58 59 natural complexity that is not reproduced in flume experiments, for example. The experiments presented here have been performed using a new setup specifically designed to investigate the evolution of a large, 60 61 meter-long, single drainage basin under controlled forcing condition. In previous similar catchmentscale experiments (Hasbargen and Paola, 2000, 2003; Bigi et al., 2006; Rohais et al., 2012) the outlet 62 63 location was pinned to a narrow motor-controlled gate used to simulate base-level fall and which also 64 set the river width at the outlet. A specificity of our setup here is to use a large gate instead of a narrow 65 one, allowing experimental rivers to freely evolve downstream, with no constraints on their width. We 66 report here results from experiments where successive knickpoints initiate near the outlet autogenically 67 and propagate within drainage basins. The experiments show a new model of autogenic knickpoint 68 initiation and propagation driven by downstream river width dynamics.

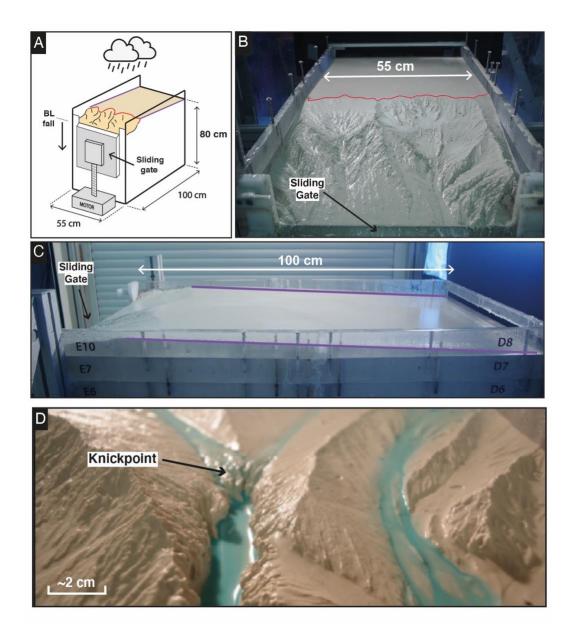
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70 2 Methods

71 We present here results from 3 experiments, BL05, BL10 and BL15, performed with different rates of base level fall, of respectively 5, 10 and 15 mm h⁻¹ (Table 1). The facility is a box with dimensions 100 72 73 x 55 cm filled with silica paste (Fig. 1; see also Fig. S1 in the Supplemental Material). At its front side, 74 a sliding gate, 41 cm-wide, drops down at constant rate, acting as the base level. The initial surface 75 consists on a plane with a counterslope of $\sim 3^\circ$, opposite to the base level-side (Fig. 1C). During a run, 76 runoff-induced erosion occurs in response to steady base level fall and rainfall (mean rainfall rate is 95 mm h⁻¹ with a spatial coefficient of variation (standard deviation/mean) of 35%). Incision initiates at 77 78 some point along the base level and propagates upstream until complete dissection of the initial surface.

79 Note that the counterslope of the initial surface allows separating the rainfall flux between the base level

80 and the opposite side of the device, creating a water divide (Fig. 1B).



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Figure 1. Experimental setup. Purple and red lines show respectively the counter-slope of the initial
topography and the main water divide. (A) Sketch of the erosion box with the sliding gate, 41 cm wide,
used to drop down the base level (BL). (B), (C) Front and side photographs (experiments BL10 at 525
min and BL15 at 185 min). (D) Photograph of a typical knickpoint studied here.

Experiments	Base Level Fall (mm/h)	Precipitation Rate (mm/h)	Duration Time (min)	Mean Divide Retreat Rate (mm/h)	nDDVmax*	Mean Knickpoint Retreat Rate (mm/h)
BL15	15	95	1065	66.3	0.52	183.6 ± 93.8
BL10	10	95	1200	55.7	0.57	164.8 ± 74.8
BL05	5	95	1455	25	0.54	73.1 ± 50

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*nDDVmax : normalized distance of maximum knickpoint velocity

Experiments were stopped every 5 min to digitize the topography using a laser sheet and to construct 89 Digital Elevation Models (DEMs) with a pixel size of 1 mm². Longitudinal profiles and knickpoints 90 91 were extracted with a semi-automatic procedure that had to be developed to process the ~200 DEMs per 92 experiment. For this purpose, we first extracted longitudinal profiles by finding the lowest elevation on 93 successive rows (lines oriented parallel to the sliding gate) of each DEM within a 20 cm-wide swath 94 perpendicular to the sliding gate that included the main river (the one with the largest catchment for each 95 experiment). Then the lowest elevation found in our search was plotted against distance down the long axis of the box. This procedure has already been applied by Baynes et al. (2018) and Tofelde et al. 96 97 (2019). It may result in a slight overestimation in channel slope because it does not consider the obliquity 98 of channels within the box in the distance calculation nor their sinuosity. However, these effects are of minor influence here, because most channels are straight and roughly parallel to the long side of the box. 99 100 In a second step, we computed the erosion rates by considering elevation difference between each 101 successive pairs of longitudinal profiles and we identified knickpoints as peaks in erosion rates with 102 values above the steady erosion amount defined by the rate of base-level fall (Fig. 2). We verified 103 manually that this procedure defines knickpoints correctly by checking the computed positions on longitudinal profiles. We investigated in particular if the procedure is robust with respect to the time 104 105 interval between successive profiles. We found that the record interval of 5 minutes is too small to 106 produce well-defined erosional peaks, which lead us to identify knickpoint positions from a time-interval 107 of 10 minutes. Then, we built a first catalogue of knickpoints positions at different times from which we 108 manually extract the successive positions of each individual knickpoint. We complemented the database 109 by computing incremental retreat rates of knickpoints from their successive positions.

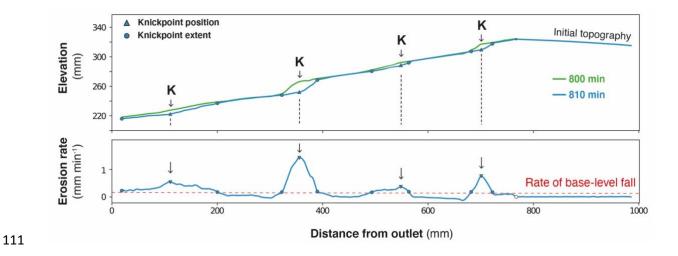


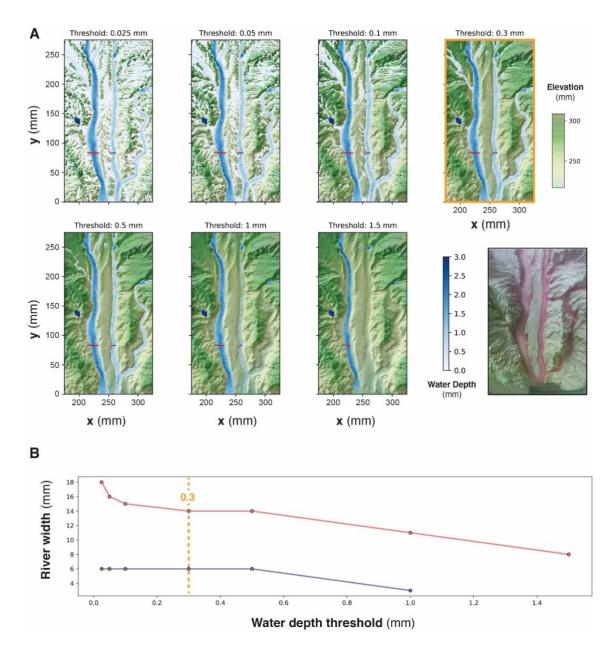
Figure 2. Graph showing two successive longitudinal profiles of experiment BL10 taken at 10 min interval (top) and corresponding erosion rate profile (bottom). Triangles illustrate the position of erosional peaks taken as knickpoint position (black arrows). Red dashed line shows the rate of baselevel fall.

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117 DEMs were also used to compute hydraulic information (water depth, river width, discharge and shear 118 stress) using the Floodos hydrodynamic model of Davy et al. (2017; see also Baynes et al. (2018, 119 2020) for previous use of Floodos for analyzing laboratory experiments). Floodos is a precipiton-based 120 model that calculates the 2D shallow water equations (SWE) without inertia terms, from the routing of 121 elementary water volumes on top of topography. We ran Floodos on successive DEMs of experiments by inputting spatial distribution of precipitation, then generating several output raster products at the 122 123 pixel size, including water depth, unit discharge and bed shear stress that were then used for 124 computation of hydrologic parameters (river width, specific discharge and shear stress). The solution of the SWE depends on the friction coefficient (C) that depends on water viscosity only for laminar 125 flow; its theoretical value is $\sim 2.5 \times 10^6 \text{ m}^{-1} \text{ s}^{-1}$ at 10°C (Baynes et al., 2018). To ensure that Floodos 126 127 outputs (e.g. water depth raster maps) calculated using this value are consistent with actual experiment hydraulic conditions, we injected dye in the rainfall water during a run to catch the actual extent of 128 129 water flow and make rivers visible. A visual comparison with Floodos results shows a good match 130 between model outputs and experimental results (Fig. S2), which validates the numerical method and

the expected theoretical friction coefficient C (Baynes et al., 2018). Given the difficulty to measure the 131 132 mm-scale water depth without perturbating the flow, river widths were extracted from Floodos DEM 133 outputs by thresholding the water depth mapsconsidering that river banks correspond to sharp 134 variations in water depth. The water depth threshold was estimated by trial and error by comparing the 135 the rivers extracted from the calculation with direct observations on experiments where rainwater was 136 colored by red dye (Fig. 3). A good visual agreement was obtained for a threshold value of the water depth between 0.1 and 0.5 mm, and a mid-value of 0.3 mm was then used for determining river 137 138 widths.





141 Figure 3. Impact of water depth threshold used to delineate river boundaries on estimated river widths. 142 A. Map views of water depths (blue colors) superimposed to DEM, for water depth threshold values between 0.025 and 1.5 mm. Red and purple lines show corresponding river widths for two rivers. Photo 143 144 on the bottom right shows the active river width during the corresponding experimental run ("control 145 run"), viewed by injecting red dye in the water used to generate the artificial rainfall. B. Corresponding 146 local river widths for the two sections shown by red and purple lines. A threshold value of between 0.1 147 and 0.5 mm shows a good similarity between rivers on water depth map and the control run. Here, a mid-value of 0.3 mm has been chosen for computing river widths. 148

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150 **3 Results**

151 **3.1 Dynamics of knickpoints retreat**

152 In each experiment, base level fall induces the growth of drainage networks by headward erosion and 153 the progressive migration of a main water divide (Fig. 4). The migration rate of the divide is constant in each experiment (Fig. 5 and Table 1), and this value increases from 25 to 66 mm h^{-1} with prescribed rate 154 of base level fall of 5 to 15 mm h⁻¹. The successive longitudinal profiles of the main river investigated 155 156 in each experiment (Fig. 6) illustrate the growth of rivers as they propagate within the box. These profiles 157 show alternations of segments with low and high slopes, the latter defining knickpoints. Knickpoints regularly initiate at the outlet throughout the duration of the runs in all experiments and propagate 158 upward until they reach and merge with the divide, some profiles showing even several knickpoints that 159 retreat simultaneously (Fig. 6). A characteristic of these knickpoints highlighted in Figure 7 (see also 160 Fig. 6) is that they generally initiate downstream with a gentle slope and gradually steepen as they 161 162 migrate upstream. Their maximum slope is generally reached when they have propagated to the central 163 part of the profiles (see below). Then the slope is maintained or slightly decreases during their retreat in 164 the upper segment of the profiles.

165 The mean retreat velocity of knickpoints varies between experiments from 73 ± 50 to 183 ± 94 mm h⁻¹ 166 (Table 1) and increases as a function of the rate of base-level fall. Data suggest a non-linear relationship

between base-level fall rate and mean retreat velocity of knickpoints, however complementary 167 168 experiments would be necessary to constraint this dependency. To investigate the propagation of the knickpoints, we built space-time diagrams (Fig. 8) by plotting the successive alongstream position of 169 170 each knickpoint over experimental runtime, as well as the position of the water divide in the box as 171 already reported in Figure 5. To compare the dynamics of knickpoints within an experiment regardless of the stage of water divide retreat into the box, the position of knickpoints (distance to outlet, D) has 172 been normalized to the position of the divide, hereafter referred to as normalized distance to divide 173 174 (nDD; nDD=0 at outlet and nDD=1 at the divide; Fig. 4). Lines of isovalue of nDD considering an increment of 0.1 are also shown in the space-time diagrams (Fig. 8). To a first order, the trajectories of 175 176 each knickpoint are very comparable within an experiment regardless the stage of retreat of the water 177 divide and the size of the catchment. Visually for example, in the space-time diagrams there is no 178 systematic variation in the general slope of the successive knickpoint trajectories over time, as the rivers 179 expand, that would indicate a change in mean knickpoint velocity in relation to the change in the river 180 length and catchment size. In detail, an inflection of trajectories is visible for many knickpoints when 181 they are close to the divide, for nDD > -0.8 (Figure 8), which indicates that they slow down as they 182 approach the divide. The opposite is observed for some knickpoints when they are close to the outlet, for nDD < $\sim 0.2 / 0.3$, with some trajectories suggesting, on the contrary, an acceleration after their 183 initiation (Fig. 8; see also Fig. 7). These qualitative interpretations are supported by the detail analysis 184 185 of retreat velocity data shown in Figure 9. For each experiment, we show in Figure 9A the stack of 186 successive retreat velocities of each individual knickpoint according to distance nDD. These data show 187 that the range of knickpoint retreat rates depends on the rate of base-level fall. Moreover, the envelopes draw a bell-shaped distribution for each experiment, which suggests that retreat velocities are maximum 188 189 when knickpoints are located at a mid-distance between the outlet and the divide, for central values of 190 nDD, between 0.4 and 0.6. This is supported by summary statistics of retreat velocities at 0.1 intervals of nDD considering all knickpoints in each experiment (Fig. 9B). Both the mean and median values 191 192 show higher rates of upstream propagation when knickpoints are in the central section of rivers in the 193 three experiments, and conversely lower rates near the outlet (nDD < 0.2 / 0.3) where they initiate and 194 start to propagate and near the divide (nDD > 0.8), as suggested by trajectories shown in Figure 8. To

195 further characterize this trend, we determined the position of maximum knickpoint velocity on 196 longitudinal profiles, hereafter nDD_{Vmax}, from a second order polynomial fit (Fig. 9C). nDD_{Vmax} values 197 are very similar between experiments (0.52, 0.57 and 0.54: Table 1). They separate positive to negative trends of knickpoint velocities versus normalized distance as also illustrated in Figure S4 (see 198 Supplemental Material). Data from the three experiments indicate that after their initiation near the 199 outlet, knickpoints first speed up with a maximum in the central part of the catchments before 200 201 decelerating near the divide. It is worth noting that this specific trend of knickpoint retreat rates is observed regardless of the experiment stages and thus whatever the position of the divide in the box. 202 This applies both to rivers in the early stages of experiments evolution, i.e. when they are small as well 203 204 as for very large rivers at the end of experiments.

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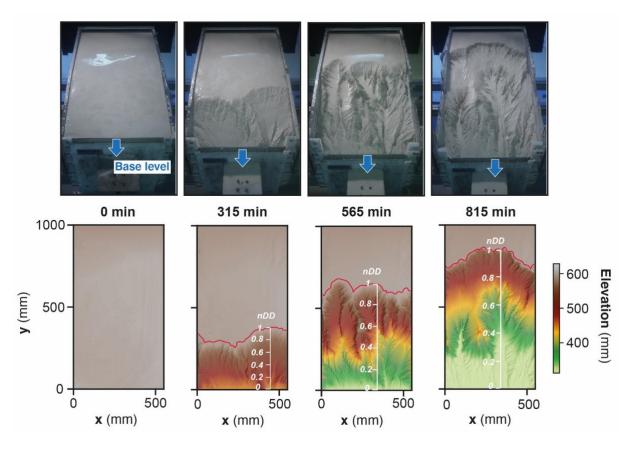


Figure 4. Photos (top row) and corresponding DEMs (bottom row) of experiment BL15 at four runtimes.
Note the propagation of the divide (red line) through the erosion box and the drop of the sliding gate
used for falling base-level (blue arrows). The normalized distance to divide (nDD, see text) used to
follow the position of knickpoints during runs is shown superimposed to DEMs.

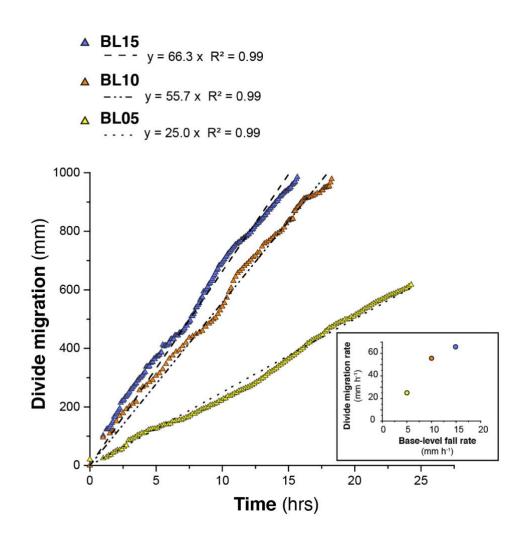


Figure 5. Evolution of the water divide position within the erosion box for the three experiments. The
inset figure (Bottom right) shows the relation between the divide migration rate in the three experiments

and their related base-level fall rate.

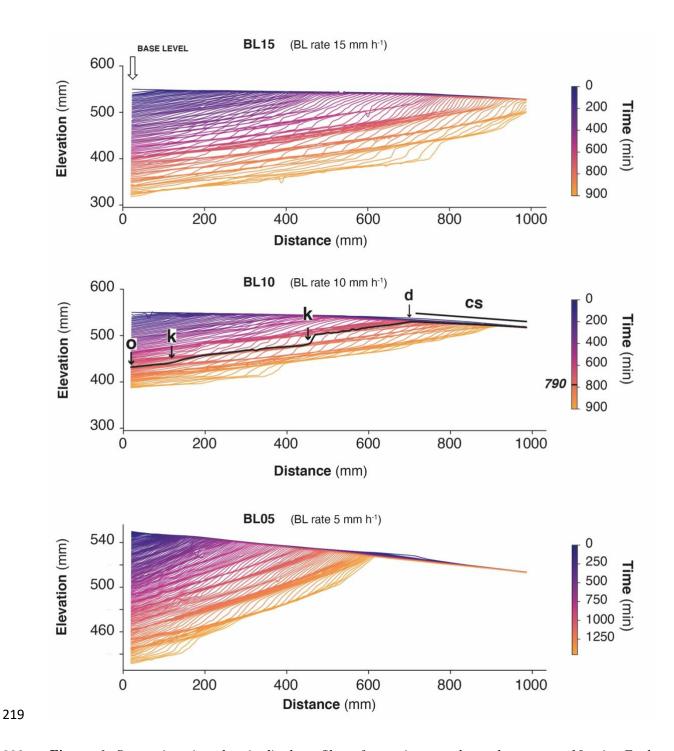


Figure 6. Successive river longitudinal profiles of experiments, shown here every 10 min. Each
longitudinal profile is colored according to experimental runtime. The sliding gate used to drop the base
level is to the left. Note the initial counterslope (cs). Black thick line on BL10 is the longitudinal profile
at t=790 min, illustrating the outlet (o), knickpoints (k), and water divide (d). Note the change of scale
for experiment BL05.

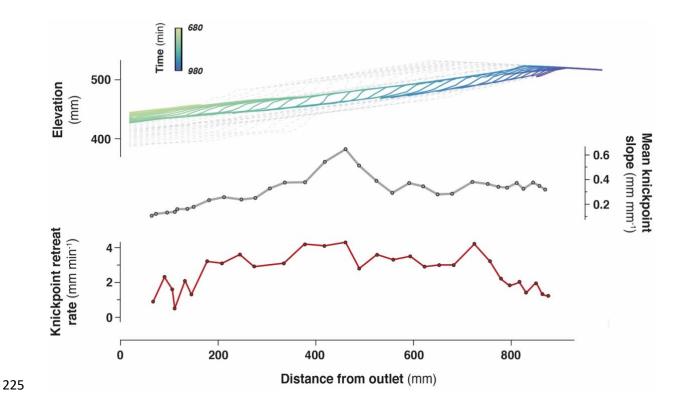


Figure 7. Retreat of an individual knickpoint from experiment BL10 (see also Fig. 6) showing its initiation with a gentle slope which subsequently steepen as it migrates upstream (see also Fig. S3 in the Supplemental Material). Its maximum slope is reached at mid-distance between the outlet and the divide. Its lowest retreat rates are observed downstream near the outlet and upstream near the divide.

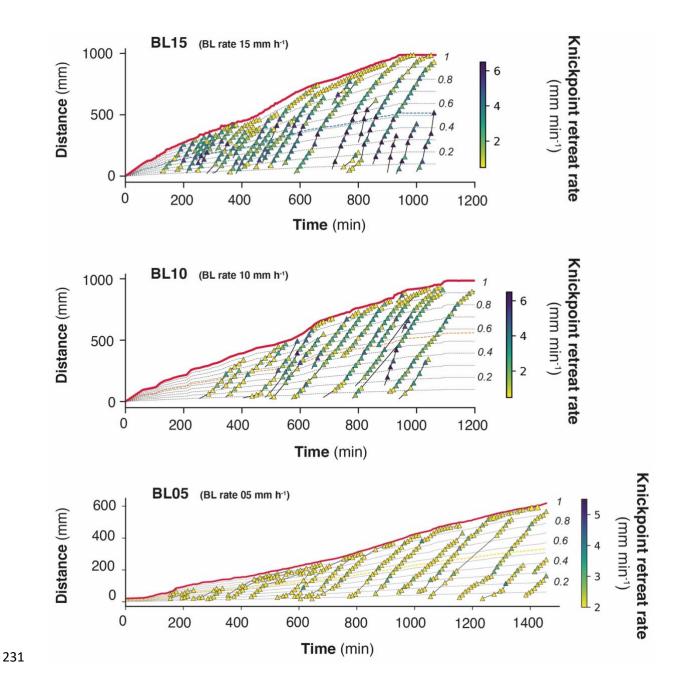
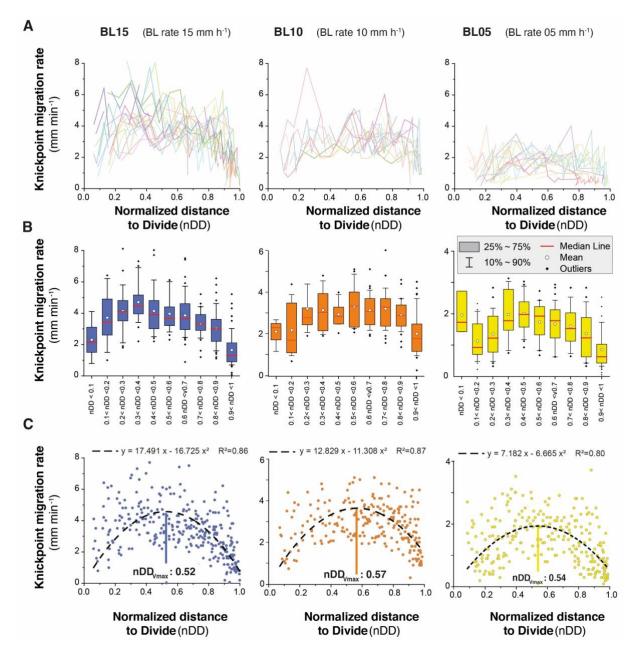


Figure 8. Space-time diagrams showing the propagation of the water divide (red line) and successive
trajectories of knickpoints (triangles). Symbols color shows instant (10 min) knickpoints retreat rate.
Thin black dashed lines show the normalized distances to divide (nDD). Thin colored dashed lines show
nDD_{Vmax}, the normalized distance where the highest rate of retreat velocity is deduced from the analysis
(see text and Figure 9C). Note the change of scale and color bar for experiment BL10.



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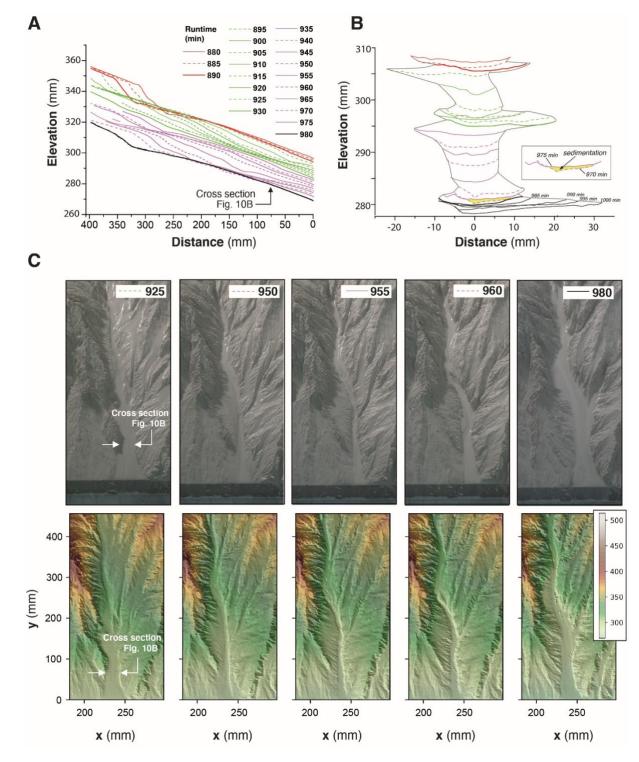
Figure 9. (A) Knickpoint retreat rates according to the normalized distances to divide (nDD) for each 239 knickpoint of experiments. Each color line corresponds to an individual knickpoint of the space-time 240 241 diagram in Fig. 8. Note that the scale on the y-axis is the same for all graphs. (B) Summary statistics of retreat rates for nDD intervals of 0.1. Note the change in scale on the y-axis between the graphs (C) 242 243 Plot of all knickpoints retreat rates for each experiment. Note the change in scale on the y-axis between 244 the graphs. Black dashed line shows the second order polynomial fit to the data used to define the normalized longitudinal distance of maximum velocity of knickpoints (nDD_{Vmax}; see also Fig. S4 in the 245 246 Supplemental Material).

247 **3.2 Knickpoints initiation**

To illustrate how knickpoints initiated near the outlet, we consider here a 120 minute-long sequence of 248 249 channel evolution in experiment BL15 during which two knickpoints (K1 and K2) successively initiate 250 and propagate upward (Fig. 10). In addition, we analyzed the history of channel width (Fig. 11A) and 251 unit water discharge (Fig. 11B) at a cross-section located at 8 cm from the outlet (see location on Fig. 252 10B). We also present a summary of the statistics of normalized elevation changes (Fig. 11C) and shear 253 stress (Fig. 11D) for all pixels across the section. The sequence starts with a "standard" profile (i.e., a 254 typical river profile without any perturbation) at runtimes 880 and 890 min once a previous knickpoint had already propagated through the section, still visible upstream in Figure 10A. The channel is 23 to 255 25 mm wide (Fig. 10B and 11A) and the unit discharge is about 1.5.10⁶ mm² h⁻¹. Erosion in the channel 256 257 is on average lower than the base level fall as normalized erosion (erosion rate / base level fall rate) is 258 <1 for most pixels along the section (Fig. 11C). Then, the knickpoint K1 initiates at runtime 895 min 259 and starts to propagate upstream. At the surveyed section, the channel first narrows, up to ~ 15 mm wide 260 at 905 min (~60 % decrease), and then widens (~25 mm) once the knickpoint has moved upstream of the section, at 910 min (Fig. 10B). The narrowing phase is naturally associated with an increase of the 261 262 unit discharge (Fig. 11B) and with enhanced erosion greater than the base level fall rate, up to 4 times 263 the base level fall rate in average at 900 min (Fig. 11 C), with extremes as high as 8 times the base level 264 fall rate. Once knickpoint K1 has retreated, unit discharge decreases as the channel subsequently widens, to reach a width of 25 cm to 28 cm between 925 and 930 min (Fig. 11A) while a new regular profile, 265 266 i.e. without any slope break, established at 930 min (Fig. 10A). The normalized erosion across the section decreases below the base level value (Fig. 11C), with mean erosion rate values of 0.53, 0.36 and 267 268 0.76 times below the base level rates between 915 to 925 min. Longitudinally, the profiles stack together 269 downstream of the knickpoint following its retreat from 895 to 925 min (Fig. 10A), which also indicates 270 minor vertical erosion here once the knickpoint has retreated despite the ongoing base level falling. The 271 second knickpoint (K2) then initiates at 935 min, propagates upstream in a similar way, leading to the 272 setting up of a new regular profile at 980 min downstream its position at that time (Fig. 10A). Channel narrowing is also observed on the cross-section at the passage of this second knickpoint with a width 273

274 that decreases to ~15 mm wide (Fig. 10B and 11A), associated with an increase of the unit discharge and the erosion rate (Fig. 11C). It is followed again by a phase of widening to reach a width to around 275 276 30 / 35 mm once the knickpoint has propagated upstream and by a decreasing erosion below the base 277 level fall rate (Fig. 11C). Again, the longitudinal profiles stack together downstream of the knickpoint (Fig. 10A). Note that at 975 min, most of the surveyed section is undergoing sedimentation (mean 278 279 normalized erosion rate is 0.1 and median is -0.25: Figures 10B and 11C). The distribution of river bed 280 shear stress along the section is given in the Figure 11D. Despite a large variability along the section, 281 one can observe a significant increase of the median and maximum values at the time of the knickpoint 282 passage, both for K1 and K2. Once knickpoints passed, the shear stresses decrease as the river widens.

283 This sequence illustrates that the rivers are never in equilibrium at the 5 min time-scale, but continuously 284 oscillate over time between disequilibrium states with periods when channel are too wide to keep pace 285 with the base level, and periods of knickpoint propagation when the erosion is enhanced to catch up the 286 base level. The river width is the regulation parameter which allows the river erosion to adapt 287 by increasing or decreasing the unit discharge. These knickpoints then propagate upward up to the divide 288 as discussed previously (Fig. 6). The average erosion rate is similar to the base level fall rate (mean 289 normalized erosion rate of the sequence is 0.99) but it does not correspond to any stable configuration 290 of the river since the erosion rate fluctuates between smaller and larger values. Knickpoints are byproducts of this unsteady dynamics, which are generated during the phases when the river catches up 291 with its erosion deficit with respect to the base level. 292



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Figure 10. Downstream knickpoints initiation and propagation in a 120 minute-long sequence of experiment BL15 from experimental runtime 880 to 1000 minutes. (A) Sequence of downstream longitudinal profiles (5 min time-interval) of the investigated river, corresponding to the sequence hydro-geomorphic parameters shown in Figures 11 and 12. Propagation of the first (K1; initiated at 895') and second (K2; initiated at 935') knickpoints is shown in green and purple colors respectively

- 299 (see text). (B) Time evolution of successive cross-sections of the channel at 80 mm from the outlet. Colors
- are the same as in Fig. 10A. (C) Photos (top row) and perspective views of DEM -bottom row) at five
- *time-steps. Color bar is elevation in mm.*

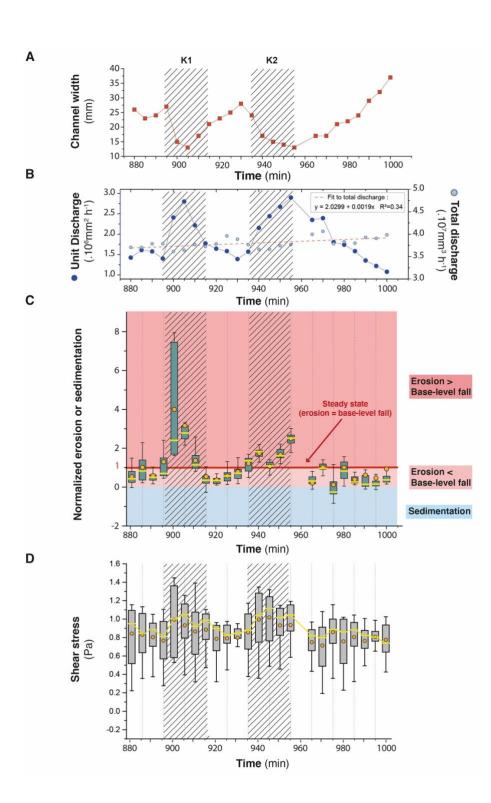


Figure 11. Time-series (5 min time interval) of river width (A) and unit and total discharge (B) for the 305 306 channel in experiment BL15 shown in Figure 10B (see also location of Fig. 10C). Time-series of box-307 and-whisker plots of normalized erosion or sedimentation (C) and shear stress (D) for all pixels across 308 the channel cross-section. Orange solid circles and yellow lines show the mean and median values, respectively. Edges of the boxes indicate the 25th and 75th percentiles. Note that in C, normalized values 309 of 1 indicate erosion at the same rate as base-level fall (steady-state conditions). Values > 1 or <1310 311 indicate respectively higher and lower erosion rate than BL fall rate. Negative values indicate 312 sedimentation. On all graphs, crosshatched areas indicate the passage of knickpoints K1 and K2.

313 To complement cross-section data, we also illustrate (Fig. 12) how parameters vary longitudinally by 314 considering four stages, two before (925 min) and after (975 min) the passage of the knickpoint K2 and 315 two during its retreat (945 and 950 min). Note that at 925 min, the previous knickpoint (K1) has just passed upstream the investigated profile and is responsible for the enhanced normalized erosion and 316 increased shear stress upstream between distance 200 to 350 mm. Similarly, at 975 min the second 317 318 knickpoint (K2) is still in the upstream part of the profile between distance 300 to 350 mm. We also reported the longitudinal variations in river width, shear stress and normalized erosion along the profiles 319 320 (Fig. 12). At runtimes 925 and 975 min, before and after the passage of knickpoint K2, erosion is below 321 the base level rate along all the profiles down the knickpoints, with even localized sedimentation at 975 322 min between 50 and \sim 150 mm. These sections are characterized by low shear stress values, being 323 between 0.5 and 1 and by rivers that widen downward (around 0.7 mm/cm). On the opposite, during the 324 passage of knickpoint K2, at runtimes 945 and 950 min, mean shear stress increases locally at the 325 knickpoint location, being > 1 and the normalized erosion overpasses the base level rate there. These 326 knickpoint segments are characterized by a narrowing of the rivers as already shown previously. The 327 data illustrate that erosion mainly occurs during periods of knickpoint retreat though a combination of 328 local steepening of the profile and narrowing of the river, resulting in an increased shear stress. On the 329 opposite, once a knickpoint has propagated and between the passage of two successive knickpoints, 330 erosion decreases significantly and does not longer compensate the base level fall. These periods of defeated erosion are characterized by low bed shear stress values in wide rivers, that widen downward. 331

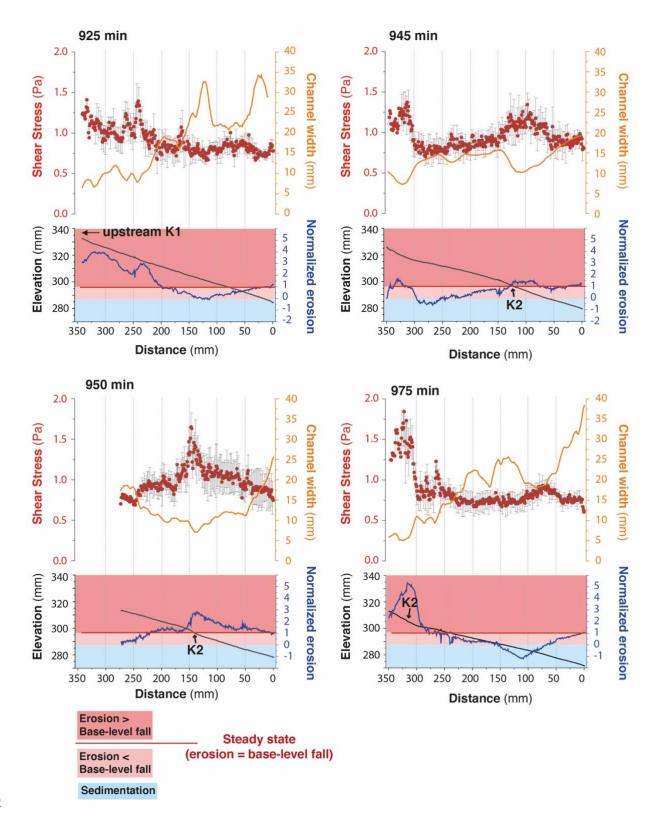


Figure 12. Longitudinal trends of hydro-geomorphic parameters in experiment BL15 at runtimes 925,
945, 950 and 975 min (see text for comments). K1 and K2: first and second knickpoints discussed in the
text (see also Fig. 10A).

336 4 Discussion

337 4.1 Autogenic knickpoints

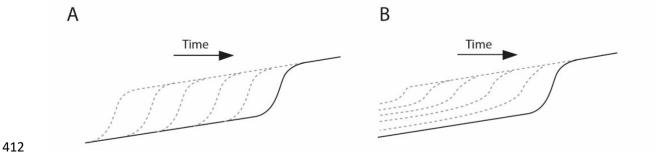
Our experiments illustrate the generation and retreat of successive knickpoint waves that traveled across 338 339 the landscape during the growth of drainage networks. They formed throughout the duration of experiments independent of the steady precipitation and base level fall rates and of the homogeneity of 340 the eroded material. These knickpoints were autogenically generated (Hasbargen and Paola, 2000), 341 342 arising only from internal geomorphic adjustments within the catchments rather than from variation in 343 external forcing. Our observations appear very similar to those of Hasbargen and Paola (2000, 2003) 344 and Bigi et al. (2006) who also reported the generation of successive autogenic knickpoints in landscape 345 experiments evolving under steady forcing (rainfall and base level fall rate) throughout the duration of 346 the runs. Unlike our experiments, which mainly consider the growth phase of drainage networks, 347 experiments reported in Hasbargen and Paola (2000, 2003) and Bigi et al. (2006) considered the 348 propagation of knickpoints after the phase of network growth, while their system was at steady-state on average (mean catchment erosion rate equal to base level rate). Then, given that the size of their 349 experimental catchment was steady over time and given the steady rainfall rate, they were able to rule 350 351 out variations of water discharge over time as a main driver for the generation of their knickpoints. On 352 the opposite, in our experiments the size of catchments continuously increased over time, and thus the 353 water discharge. However, this does not appear as a key factor controlling knickpoints initiation for 354 several reasons. First, as we already mentioned, knickpoints arose at all stages of network growth and 355 divide retreat, for both small and large rivers (Fig. 8), and thus whatever the range of water discharge at 356 outlet. Second, the migration of the water divide related to drainage network growth occurred steadily 357 and roughly at a constant rate during the experiments (see Figures 5 and 8), as well as the size of the 358 catchments and the related increase in water discharge. Thus, we can rule out abrupt variations in 359 discharge as the driving mechanism for knickpoint initiation. Last, knickpoint initiations occurred at a 360 higher frequency than the increase in water discharge that resulted from catchment expansion and divide 361 migration. For example, in addition to unit discharge, we also reported on Figure 11B the variation in 362 total discharge during the 120 min-long sequence of knickpoint initiation discussed previously. The total

363 discharge rose from $3.7 \ 10^7$ to $4.0 \ 10^7 \ \text{mm}^3 \ \text{h}^{-1}$ in 120 minutes representing a ~ 8% increase, which is 364 relatively low compared to the ~100 % increase of unit discharge during the passage of a knickpoint. 365 For all these reasons we conclude that the change in catchment size was not the main driver of successive 366 knickpoints initiation in our experiments, which occurred at a higher frequency.

367 4.2 Processes controlling knickpoints initiation and propagation

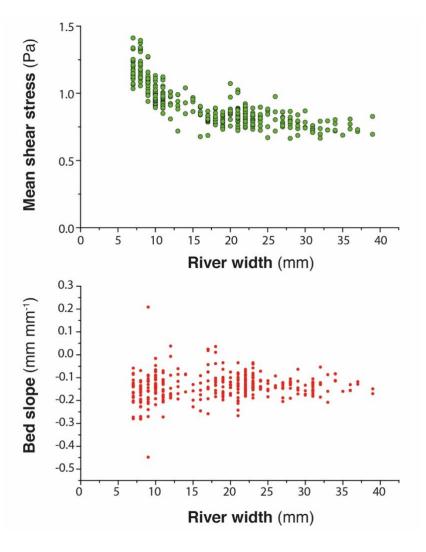
Given that the initiation of successive knickpoints was not related to changes in external factors and 368 369 catchment size over time, we consider internal geomorphic processes as driving mechanisms. The 370 detailed sequence of knickpoint initiation and propagation discussed above shows enhanced incision 371 above the rate of base level fall during the periods of knickpoints propagation. This occurred through 372 local steepening of the longitudinal profile and narrowing of the river, these two factors lead to an 373 increase in unit discharge and bed shear stress along the knickpoints. Several studies already documented how steepening and narrowing act together for increasing river incision rate (e.g. Lavé and 374 375 Avouac, 2001; Duvall et al., 2004; Whittaker et al., 2007; Cook et al., 2013), which is what we also document here. The novelty in our finding here, however, lies in the evolution after knickpoint retreat. 376 377 Immediately after the retreat of a knickpoint, we show that erosion in the section of the channel where the knickpoint just passed is inhibited despite the ongoing base level fall: river incision is lower than the 378 379 rate of base level fall, until the passage of a new knickpoint. Although only illustrated in the sequence 380 detailed previously (Figs. 10 to 12), this was a general behavior that occurred in all three experiments along their whole longitudinal profile, not only their downstream part as in this sequence. This 381 systematic decrease in erosion downstream of the knickpoints is inherent to the geometry of the stacks 382 383 of all successive longitudinal profiles of each experiment (Fig. 6). In most cases, profiles downstream 384 of retreating knickpoints stack on top of each other, as illustrated schematically on Figure 13A, which 385 indicates minor or no erosion downstream of the knickpoints until the passage of a new one. In the case of continuous steady adjustment of rivers to base level fall downstream of the knickpoints, the geometry 386 387 of profiles should instead show a pattern as illustrated in Figure 13B. The pattern of profiles evolution 388 over time documented here is usually observed following incremental drops in base level (Finnegan, 389 2013; Grimaud et al., 2016) and to our best knowledge this is the first time here that such geometry is

documented in the case of a continuous base level fall. This particular pattern is explained by the 390 391 decrease in erosion rate downstream of the retreating knickpoints which acts as if the base level was not 392 falling continuously at a constant rate but instead dropped regularly step-by-step. Therefore, 393 understanding the systematic occurrence of successive knickpoints in our experiments requires 394 understanding why erosion rate dropped downstream of knickpoints, following their retreat. After the passage of knickpoints, we systematically observe a widening of the rivers, as also documented in 395 natural systems (e.g. Cook et al., 2014; Zavala-Ortiz et al., 2021) and a decrease in the bed shear stress. 396 397 Because an increase in channel width over time inevitably reduces the bed shear stress if discharge and 398 river gradient remain constant (Fuller et al., 2016), we propose that widening was the main factor responsible for the decrease in shear stress and erosion rate after the passage of a knickpoint, and thus 399 for the occurrence of the successive autogenic knickpoints. Demonstrating the sole effect of river width 400 on bed shear stress and erosion rate is complicated by covariations of these factors with river slope and 401 402 variations of discharge related to connection of tributaries. This can be illustrated however on the basis 403 of the sequence considered previously, particularly at runtime 925 min between the passage of the two 404 successive knickpoints K1 and K2 (Figs. 10 and 12). At that time, the profile of the river here had a 405 roughly constant slope (Fig. 14), without any slope break and no major tributary connected (Fig. 10) that could have significantly changed the water discharge. As illustrated in Figure 12, this river segment 406 407 was characterized by widening and decreasing shear stress downward despite constant slope and total 408 discharge. Thus, this example illustrates a decrease in shear stress that was only the result of the 409 widening of the river downward (Fig. 14), which supports the hypothesis that decreased erosion 410 downstream of the propagating knickpoints was mainly due to the widening dynamics of the experimental rivers. 411



- 413 *Figure 13.* Sketches illustrating the difference in the geometry of successive longitudinal profiles
- following the retreat of a knickpoint depending on whether fluvial incision is inhibited (A) or not (B)

415 *downstream of the retreating knickpoint with respect to the continuously falling base level.*



416

417 *Figure 14.* Top: river bed shear stress versus river width in the downstream section, 40 cm-long, of
418 experiment BL15 at runtime 925 (see also Fig. 12). Bottom: corresponding slope of the river bed.

419

Incision of rivers in our experiments is fundamentally discontinuous despite continuous forcing and we highlight downstream river width dynamics, in particular river widening, as a main cause of instability. We show that once knickpoints have retreated, unit discharge, shear stress and incision rate all decrease downstream while the rivers widen, resulting in a state where incision no longer counterbalances the base-level fall. This results in an unstable situation that ends with the initiation and propagation of a new

knickpoint and a new sequence of width narrowing, increasing shear stress and incision rate, allowing 425 426 the river to recover from the incision delay accumulated during the previous widening period. Further 427 work is required to understand the mechanisms responsible for lateral channel erosion in our 428 experiments, which is a key ingredient for understanding river mobility and widening. Several field (e.g. 429 Hartshorn et al., 2002; Turowski et al., 2008; Fuller et al., 2009), experimental (e.g. Wickert et al., 2013; Bufe et al., 2016; Fuller et al., 2016; Baynes et al., 2020) and numerical (e.g. Turowski et al., 2007; 430 Lague, 2010; Langston and Tucker, 2018; Li et al., 2021) studies have demonstrated that high sediment 431 432 flux relative to transport capacity promotes increased lateral channel erosion. Most of these studies 433 highlight the role of cover effect, the protection of the river bed by transient deposition of sediments on the river bed (Sklar and Dietrich, 2001; Turowski et al., 2007, 2008; Lague, 2010; Baynes et al., 2020; 434 435 Li et al., 2021), as a main factor promoting lateral erosion in high sediment flux settings. Other studies 436 show that by modifying the bed roughness, sediment deposition may deflect the flow, which also promotes lateral erosion and widening (Finnegan et al., 2007; Fuller et al., 2016). Contrary to 437 438 experimental devices specifically designed to address these issues (e.g. Finnegan et al., 2007; Fuller et 439 al., 2016), direct observation on actual processes that drive lateral erosion in our experiments is made 440 difficult by the small size of the topographic features, the depth of rivers being of millimeter scale, and 441 by the low grain size of the material used. Opacity due to the generation of the artificial rainfall also considerably limits direct observation during the runs. Despite these limitations, data suggest that lateral 442 443 erosion and river widening in our experiments is also related to an increase in sediment flux. We show 444 that knickpoints are locations of enhanced erosion well above the rate of base level fall. We document, 445 for example, mean erosion rates greater than 5 times the base level fall rate, with extreme values up to a factor of 8 locally (Fig. 11 and 12). Downstream, where rivers widen, we observe that the general 446 447 decrease in erosion rate is also associated with local deposition in some parts of the channels (for 448 example at runtime 915 min in Figure 11 or 975 min in Figures 10 to 12). We thus hypothesize that lateral erosion and widening are due in part to the increase sediment flux related to enhanced erosion on 449 450 knickpoints. Further work is needed to test this hypothesis, for example by investigating in detail spatio-451 temporal variations in erosion and sedimentation during width widening.

452 Further work is also needed to better understand how knickpoints initiate after the phases of widening, 453 in particular for determining whether river narrowing drives the formation of the knickpoints (e.g. Amos 454 and Burbank, 2007) or whether narrowing is a consequence of steepening (e.g. Finnegan et al., 2005). 455 Some studies that investigated river response to increased uplift rate show that narrowing alone, at 456 constant river gradient, can allow rivers to increase their incision rate (Lavé and Avouac, 2001; Duvall 457 et al., 2004; Amos et al., 2007). In this context, Amos et al. (2007) propose a model in which the river response to an increase in uplift rate first involves width narrowing, with the increase in slope and 458 459 formation of a knickpoint occurring only in a second stage, if the increase in incision induced by 460 narrowing is not sufficient to counteract the uplift rate. In our experiments here, we suggest that channel narrowing predates, and in fact enables, the steepening of the profile in the initial stages of knickpoints 461 462 formation. Indeed, we observe that the transition from a wide to a narrow channel occurs very quickly, 463 at a smaller time scale than the time interval between two successive digitization of the experiments (5 464 min), and the knickpoints that form then have a very gentle slope, which then amplifies as they migrate 465 upstream (Fig. 7). This suggests that it is not the steepening that drives river narrowing but on the 466 contrary that narrowing is essential for knickpoints to initiate. Further work would also be needed to 467 verify this hypothesis, in particular with additional experiments with much higher frequency of data 468 acquisition to capture these changes in much more detail.

469 **4.3 Implications**

470 Knickpoints in river longitudinal profiles are commonly related to variations in tectonics or climate 471 through their influence on base level and/or sediment supply (e.g. Whipple and Tucker, 1999; Crosby and Whipple, 2006; Kirby and Whipple, 2012; Whittaker and Boulton, 2012) and are then used to 472 473 highlight such changes when interpreting their occurrence in natural systems. The recognition here that 474 knickpoints may be generated autogenically due to cycles of river widening and narrowing is then of first importance for retrieving information on tectonics and climate from their record in landscapes in 475 476 the form of knickpoints. Finding criteria that could be used in the analysis of natural systems to 477 differentiate these autocyclic knickpoints from those formed in response to tectonics or climate would 478 be an important step in the continuation of this work. A specificity of knickpoints in our experiments is

479 to initiate downstream with a gentle slope, which subsequently steepen in the early stages of migration, 480 and as a hypothesis we suggest that this may be characteristic of their autogenic formation following the 481 mechanism described here. Being able to recognize these autogenic knickpoints would also be important 482 for studies that investigate knickpoints propagation (e.g. Crosby and Whipple 2006; Berlin and Anderson, 2007; Schwanghart and Scherler, 2020) because knickpoints in our experiments are 483 484 characterized by an upward dynamic of retreat that is not conventional. According to stream-power 485 based celerity models, these studies consider that the upstream propagation rate of knickpoints depends 486 inversely on drainage area (a proxy for discharge; Crosby and Whipple 2006; Berlin and Anderson, 487 2007), implying a monotonous decrease of their retreat rate as they propagate upstream due to the progressive reduction of drainage area and water discharge. This property is used for example to invert 488 489 their present location for dating the external perturbation responsible for their formation (Crosby and Whipple 2006; Berlin and Anderson, 2007). Here, knickpoints in our experiments first accelerate during 490 491 their initial stages of propagation before decelerating in a second time as they approach the divide 492 (Fig.9). Only this later phase of decreasing knickpoint velocity in the upstream part of rivers (for 493 normalized distance $NDD > nDD_{Vmax}$: Fig. 9) is consistent with predictions from stream-power based 494 celerity models (see Fig. S5 in the Supplemental Material). On the opposite, a sole control by drainage 495 area and discharge cannot explain the increase in velocity observed in the downstream sections (for 496 $NDD < nDD_{Vmax}$: Fig. 9), which implies an additional controlling factor. We suggest that this specific 497 mode of retreat downstream is related to the progressive steepening of the knickpoints rather than to a 498 purely hydrologic control. Deciphering the respective roles of slope and discharge in the retreat 499 dynamics documented would require further in-depth analysis, particularly during the early stages of 500 initiation and propagation which appear to be specific to the autogenic mechanism defined here.

We show that the formation of knickpoints in our experiments is closely related to periods of decreasing erosion rate as the rivers widen, counterbalanced by increasing rate greater than the rate of base level fall as the rivers narrow and knickpoints form. Thus, the sequential evolution of longitudinal profiles is very similar to the geometry that would be observed if the system was forced by discrete drops of the base level, rather than by a continuous drop as it is actually the case. We did not measure the sediment flux at the output of our models, but we can assume that it would be characterized by fluctuations controlled by the frequency of knickpoint initiation, superimposed on a longer-term increasing trend related to the growth of drainage networks. Some sediment outflux fluctuations were actually measured by Hasbargen and Paola (2000) in their experiments and interpreted as the consequence of knickpoint propagation. This study and our work illustrate that fluctuations in sediment flux can be observed at catchments outlet despite constant forcing parameters, when autocyclic knickpoints are generated in river systems.

513 By performing such exploratory experiments, we do not pretend to reproduce natural landscapes in the 514 laboratory because of important scaling issues (see Paola et al., 2009 for an extensive reflection on this 515 matter) but rather to highlight and document complex system behaviors under controlled conditions that 516 could provoke further investigations. Our findings support ongoing investigations that aim in better understanding the links between lateral erosion, channel geometry and valley width which is an issue 517 that is emerging in the last years (e.g. Turowski, 2018; Croissant et al., 2019; Langston and Tucker, 518 519 2019; Baynes et al., 2020; Zavala-Ortiz et al., 2021). A perspective to our work would be to investigate the mechanism of knickpoints generation driven by river width variations and the conditions that lead 520 521 to their formation using landscape evolution models that incorporate lateral erosion and a dynamic river 522 width (e.g. Davy et al., 2017; Carretier et al., 2018; Langston and Tucker, 2019). Simulations of Langston and Tucker (2019) highlight the role of bedrock erodibility as an important factor controlling 523 lateral migration of rivers and the width of valleys, an issue that has not been investigated here given 524 525 the similarity of the eroded materials in our experiments here. This study also confirms the assumption 526 of Hancock and Anderson (2002) that lateral erosion and widening occurs preferentially in contexts of 527 low incision rate, *i.e.* in domains with low uplift rate. This is likely in such contexts that the new mode 528 of autogenic knickpoints formation driven by river width dynamics that we define in this study should 529 apply.

530 5 Conclusion

Knickpoints in the longitudinal profile of rivers are commonly assumed to be incisional waves that
propagate upstream through landscapes in response to changes in tectonics, climate or base-level. Based

533 on results from a set of laboratory experiments at the drainage basin scale that simulate the growth of drainage networks in response to constant base level fall and rainfall, we show that knickpoints also 534 form autogenically, independent of any variations in these external forcing factors. In all experiments, 535 536 successive knickpoints initiate and propagate upward throughout the duration of the experimental runs, 537 independent of the rate of base level fall applied and of the size of the rivers as the catchments expand. Thanks to the computation of hydraulic information (water depth, river width, discharge and shear 538 stress) using a hydrodynamic model, we show that the formation of knickpoints is driven by variations 539 540 in river width at the outlet of catchments and we highlight width widening as a main cause of instability leading to knickpoint formation. Widening entails a decrease in shear stress and an incision rate lower 541 than the rate of base level fall, resulting in an unstable situation that ends up with a sequence of width 542 narrowing, increasing shear stress and incision rate as a knickpoint initiates. Rivers in our experiments 543 thus evolve following sequences of width widening and narrowing that drive the initiation and 544 propagation of successive knickpoints. As a result, incision is fundamentally discontinuous over time 545 546 despite continuous forcing. It occurs during discrete events of knickpoint propagation that allow the 547 rivers to recover from the incision delay accumulated during widening periods.

548

Author contributions. SB designed the experimental device. LdL, SB and AG built the experimental
setup and carried out the experiments. LdL analyzed the data with the help of SB and PhD. All authors
discussed the data. LdL and SB wrote the manuscript with input from AG and PhD.

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