- Failure mode of rainfall-induced landslide of granite residual soil, southeastern 1 2 Guangxi province, China 3 Shanbai Wu^{1, 2, 3, 4}, Ruihua Zhao^{1, 2, 3}, Liping Liao^{1, 2, 3*}, Yunchuan Yang^{1, 2, 3*}, Yao Wei^{1, 2, 3}, Wenzhi Wei^{1, 2, 3} 4 ¹College of Civil Engineering and Architecture, Guangxi University, Nanning 530004, China; 5 ²Guangxi Key Laboratory of Disaster Prevention and Engineering Safety, Guangxi University, Nanning 530004, China; 6 ³Key Laboratory of Disaster Prevention and Structural Safety of Ministry of Education, Guangxi University, Nanning 530004, China; 7 ⁴Faculty of Engineering, China University of Geosciences, Wuhan 430074, China 8 Correspondence: Liping Liao (011lp@163.com), Yunchuan Yang (yyunchuan@163.com) 9 10 Abstract. Granite residual soil landslides are widely distributed in the southeast of Guangxi, China. They are posing threats to local communities, economic development, and ecological restoration. To 11 12 understand the failure mode of the landslide can provide a scientific basis for early warning and 13 prevention. In this study, it conducted artificial flume model tests to investigate the failure mode of granite residual soil landslide. The macroscopic phenomena of landslides were observed and 14 15 summarized. The response and variations of soil moisture content and pore water pressure were 16 analyzed. And the discrepancies in landslide initiation were explored. The results had three aspects. 17 (1) The response of volume moisture content was not synchronized with that of pore water pressure. Their variations were influenced by initial dry density, slope angle, and rainfall intensity. The 18 fluctuation of pore water pressure depended on soil mechanical behavior and its diffusion. (2) The 19 differences in the formation process of granite residual soil landslides included the initiation time and 20 21 mode. The starting time of landslide was delayed with increasing initial dry density and slope angle, 22 but shortened with increasing rainfall intensity. The failure mode could be changed from a sudden 23 type to a progressive type due to the increase in initial dry density. (3) There are five stages in the 24 landslide mobilization as follows: rain infiltration and crack generation, soil slide at the slope toe, 25 occurrence of surface runoff and soil erosion, formation of steep-free surface, and soil slide at the 26 upper slope. This research can provide valuable reference for the prevention and early warning of 27 granite residual soil landslide in southeastern Guangxi.
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Keywords: Granite residual soil; Rainfall-induced landslide; Failure mode; Flume model test;
Southeastern Guangxi

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33 1 Introduction

34 Rainfall-induced landslides are the most common geohazards in the tropical and subtropical areas 35 covered by granite residual soil, such as Brazil (Lacerda, 2007; Coutinho et al., 2019), Singapore 36 (Rezaur et al., 2003; Rahardjo et al., 2008; Rahardjo et al., 2012; Zhai et al., 2016; Zhang et al., 2019), Malaysia (Rahman et al., 2018), Korea (Kim et al., 2004; Pham et al., 2019), the southern 37 38 (Jiao et al., 2005; Fan et al., 2018; Luo et al., 2021; Liu et al., 2021; Liu et al., 2020a; Liu et al., 39 2020b) and southeastern China (Xia et al., 2019; Yao et al., 2021; Shu et al., 2021; Zhao et al., 2021). 40 Guangxi is located in southeastern China, where granite is concentrated in the southeast, and 41 landslides occur frequently (Liao et al., 2019). Hot and rainy climatic conditions have caused strong 42 weathering of the surface granite, giving birth to tens of thousands of residual soil. This provides a 43 superior environment for the formation of landslides. Therefore, the southeastern Guangxi has been 44 threatened by granite residual soil landslides for a long time. Granite residual soil is a regional 45 special soil (Ministry of Construction of the People's Republic of China, 2002). One reason is that it 46 has the dual mechanical properties of cohesive soil and sandy soil. The other is that it exhibits an 47 abnormal combination of poor physical properties, such as high liquid limit and large void ratio, and 48 high-strength properties in a natural state (Chen et al., 2011). However, granite residual soil is 49 extremely sensitive to rainfall. It is easy to disintegrate and soften, and induce a wide range of landslides (Dahal et al., 2008; Liu et al., 2020a; Zhang and Tang, 2013). Although shallow landslides 50 51 are the main type (Rahardjo et al., 2008; Kim et al., 2004), they still have the characteristics of high 52 frequency (Kim et al., 2015), suddenness and mass occurrence.

53 The failure mode of residual soil landslide is an important basis for landslide monitoring and early 54 warning (Rezaur et al., 2003). In this regard, many scholars have conducted in-depth studies on 55 granite residual soil landslide and other residual soil landslide through statistical analysis, model tests 56 and numerical simulations. They classified the type of granite residual soil (Wu, 2006b) and studied 57 on the physical mechanical properties (Zhu and Anderson, 1998; Chen et al., 2011; Zhang and Tang, 58 2013; Chen and Gong, 2014; Xia et al., 2019), engineering characteristic (Wu, 2006a; Xu et al., 2017) 59 and microstructure (Li et al., 2017; Wang et al., 2018). The formation condition (Zhan et al., 2012; 60 Zuo et al., 2015) and instability mode (Zhao and Hu, 2005; Dahal et al., 2008; Xu and Jian, 2017) of granite residual soil landslides were revealed. They found and confirmed that the failure mode of 61 62 residual soil slope is different from that of homogeneous soil - rock slope. This is because it includes arc slip, plane slip and front shear slip, but plane slip is dominant (Fu et al., 2018). The failure 63 64 surface is parallel to the original slope (Kim et al., 2004). They also pointed out rainfall is the most 65 important external triggering factor due to two aspects (Coutinho et al., 2019). One is the deepening of the wetting peak induced by rainfall infiltration (Kim et al., 2004). Second, the increase in soil 66 water content and pore water pressure can lead to a decrease in slope stability (Gasmo et al., 2000; 67 68 Rezaur et al., 2003; Rahardjo et al., 2005; Lacerda, 2007; Rahardjo et al., 2008). Thus, in the process 69 of landslide formation, the variation of physical property parameters such as moisture, matric suction 70 or pore pressure play an important role in the residual soil landslide (Kassim et al., 2012; Igwe and Fukuoka, 2014; Pham et al., 2019; Zhai et al., 2016). Rainfall triggered mechanisms focus on 71 72 completely weathered granite fill slope in Hong Kong, China. They are static liquefaction (Chen et 73 al., 2004) and the transition from slide to flow due to localized transient pore water pressure (Take et

74 al., 2004). However, static liquefaction is impossible due to unsaturated condition. Instead, local 75 transient pore water pressure can induce the initially slip, which further triggers the high-speed slide (Take et al., 2004). Another finding is that the initial dry density (Mukhlisin et al., 2008) and slope 76 77 angle (Liu et al., 2020a; Liu et al., 2020b) can affect the water permeability and control the formation of landslides (Xu et al., 2018). Many scholars have carried out related studies on the relationship 78 79 between dry density of other types of soil, such as sandy soil, volcanic residual soil, and gravel soil, 80 and the initiation of landslides. They found through model tests that the initial density can determine 81 the stress-strain characteristics of the soil, and it corresponds to the initiation mechanism of dilation and contraction (Dai et al., 1999a; Dai et al., 1999b; Mckenna et al., 2011). The macroscopic 82 phenomena corresponding to these two mechanisms are that the saturated loose slope will suddenly 83 84 liquefy and flow rapidly, while the saturated dense slope will slowly creep (Iverson et al., 2000). It 85 can be seen that there is a significant difference in the sliding motion rate of sand landslides (Iverson, 86 2005). Especially when the dry density is optimal, the moving speed and sliding distance of the landslide are both maximums (Wang and Sassa, 2001). This is mainly because the initial dry density 87 affects the soil-water interaction and soil permeability (Ng and Pang, 2000; Jiang et al., 2017). For 88 89 example, high-density steep slopes are much more resistant to rainwater penetration than low-density 90 gentle slopes (Xu et al., 2018). A gentle slope can lead to better accumulation of rainwater, a faster 91 increase in water content, but a slower rate of soil collapse (Liu et al., 2020a; Liu et al., 2020b). 92 Other scholars have further confirmed the above results through numerical simulations. That is, the 93 initial dry density has a decisive influence on the movement accumulation and evolution process of 94 the landslide. It is mainly reflected in the significant differences in slip rate (Liang et al., 2017).

95 The above researches have pointed out the direction for the follow-up work. However, most of the conclusions related to failure process focus on gravel soil (Chen et al., 2017; Liao et al., 2018; Wu et 96 97 al., 2019), sandy soil (Moriwaki et al., 2004; Huang et al., 2008; Huang and Yuin, 2010), fill slope 98 (Chen et al., 2004; Take et al., 2004), clay soil (Elkamhawy et al., 2018; Miao et al., 2022) and loess 99 slope (Tu et al., 2009; Zou et al., 2020). Moreover, the degree of development of granite weathering crust is closely related to the climate, topography and environment (Qu et al., 2000). The granite 100 101 residual soil has significant heterogeneity characteristics in terms of thickness, physical and 102 mechanical property (Rahardjo et al., 2002; Rahardjo et al., 2012). These special characteristics lead to the complex initiation modes of landslides (Calcaterra and Parise, 2005; Mukhlisin and Taha, 2012; 103 Liu et al., 2020a; Xia et al., 2019). At present, the failure mode of granite residual soil slope in the 104 105 southeast of Guangxi has not been studied, which has brought challenges to the prevention and early 106 warning of landslides. Therefore, some scientific issues need to be solved. For example, what are the 107 similarities and differences of the failure process of granite residual soil slope? How do the physical parameters of residual soil change? In this paper, it conducted artificial flume model tests to resolve 108 109 the above issues. Firstly, the macroscopic phenomena of landslide is observed and summarized. 110 Subsequently, the variation characteristics of soil moisture content and pore water pressure are 111 analyzed. Finally, the differences in the initiation of rainfall-induced landslide are discussed.

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113 2 Field site and method

114 **2.1 Field site**

115 Rong County is a typical high-prone area of rainfall-induced landslide of granite residual soil in

116 southeast Guangxi (Liao et al., 2019). It is located between longitude 110°15'00"-110°53'00" E and

117 latitude 22°27′00″-23°07′00″ N (Fig. 1). The county covers an area of 2257 km², with an average

annual rainfall 1737.4 mm a^{-1} . The rainy period is from April to September, and the rainfall in this period accounts for 78.6 % of the average annual rainfall. The area of magmatic rocks is 1260.09

120 km², accounting for 55.83 % of the total area of the county. The lithology is mainly granite with an

121 area 1219.06 km².



122

- 123 Figure 1. Study area.
- 124

125 **2.2 Method**

126 Longtou village in Liuwang town is a landslide high-prone area in Rong County. Therefore, the test soil comes from Longtou village. Specific gravity of the soil is 2.71, and the minimum and maximum 127 of dry density are 1.18 g cm⁻³ and 1.72 g cm⁻³. Particle data is the average of three sets of sieve tests 128 on granite residual soil (Fig. 2). The red grid points in Figure 2 represent the cumulative content of 129 gravel (diameter < 2 mm) and silt and clay (diameter ≤ 0.075 mm). They are 87.52 % and 25.62 %. 130 131 The angles of natural slope in the study area are 30 ° - 45 ° and mainly 40 ° - 45 °. The dry density of superficial soil is 1.20 - 1.40 g cm⁻³. The average mass moisture content is 6 %-10 % (Wen, 2015). 132 Only two initial dry densities of 1.20 g cm⁻³ and 1.40 g cm⁻³ are set to highlight the discrepancies 133 between tests (Table 1). Two slope angles of 40 $^{\circ}$ and 45 $^{\circ}$ are established. Initial mass moisture 134 content is controlled in the range of 6 % to 10 %. Heavy rainfall is the main factor in the formation 135 of landslides (Wei et al., 2017). Hence, rainfall intensity and duration are set based on rainfall data 136

137 from multiple landslide events in the study area in 2010 (Wen, 2015). There are 1-3 periods of

rainfall, and each period lasts for 8 hours with an interval of 15 hours. Rainfall intensities are 60 mm

- h^{-1} and 90 mm h^{-1} respectively. Furthermore, the groundwater level in the study area is relatively deep.
- 140 The landslide initiation of granite residual soil does not depend on the fluctuation of groundwater
- 141 level. Therefore, the groundwater level is not considered in the tests.



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143 Figure 2. Particle gradation of granite residual soil.

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145 Table 1. Scheme of artificial flume model tests.

Test number	Slope angle (°)	Initial dry density (g cm ⁻³)	Rainfall intensity (mm h ⁻¹)	Rainfall duration (h)
1		1.20	60	8, 8, 8
2	15	1.40	60	8, 8, 8
3	45	1.20	90	8, 8
4		1.40	90	8, 8
5	40	1.20	60	8, 8, 8
6	40	1.20	90	8

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147 Test equipments are composed of rainfall control system, data testing system, and flume model. Rainfall control system contains central control system, suction pump, water tank, hose, brace, and 148 149 nozzle. The size of water output can be set in the rainfall control system. The distance from the nozzle to slope crest is 2.3 m. The effective rainfall area of the tests is 6 m², and the rainfall is 150 151 calibrated before the formal test. Data testing system consists of sensors and data collectors (Fig. 3). 152 The minimum time unit for data collection is 1 min, and the storage space of the data collector is 153 limited. Hence, the acquisition frequency of volume moisture content and pore water pressure is set 154 to 1 min and 3 min, respectively.





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Figure 3. Testing equipments. (a) Soil moisture sensor (the model is MP-406B). (b) Soil moisture collector (the model is
M-16). (c) Micro gauge of pore water pressure (the model is HC-25). (d) Pore water pressure collector (the model is
MCU).

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161 The length, width and height of test slope are 1.5 m, 0.8 m, and 0.6 m, respectively. The slope is 162 divided into six layers, and the thickness of each layer is 0.1 m (Fig. 4). Firstly, a sufficient amount 163 of air-dried soils are screened. Secondly, the required water is calculated based on the current and 164 designed moisture content. Subsequently, this water is sprayed evenly into the soil. When the water 165 and soil are fully mixed, they are placed in a container and kept for 24 hours. Finally, when moisture content of the mixture meets the requirement of designed moisture content, the slope model begins to 166 167 be made. The accuracy of initial dry density must be guaranteed, so the soil of each layer is 168 compacted with the wooden hammer. In addition, twelve monitoring points are set up inside the 169 model. They belong to five positions. Each monitoring point consists of a soil moisture sensor and a 170 micro gauge of pore water pressure (Fig. 4b).



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- Figure 4. Flume model. (a) Three-dimensional schematic of the model. (b) Center section of the slope and sensor locations.
- 174 **3 Results**

175 **3.1 Macroscopic phenomena of tests**

- 176 (1) Test 1
- 177 During the first rainfall, when the rainfall lasts for 50 min, two small ditches are found on the

178 slope surface. At this time, the soil at the slope toe slips, and triggers the soil on the trailing edge to 179 slide. The instability area is fan-shaped and located at the left side of the slope toe. Its length is three-180 quarters of the total length of the slope. When the rainfall lasts for 421 min, a new ditch developing on the slope shoulder is connected with the original instability area. In the second rainfall, the ditches 181 are continuously eroded. At the same time, many fine particles are moved to the slope toe by rain. 182 183 When the rainfall lasts for 559 min, the soil of the left slope shoulder begins to slide, causing the 184 formation of tensile crack at the slope crest. Then the soil around the crack slips and accumulates to 185 the slope toe. During the third rainfall, the continuous soil slide leads to the occurrence of a steep free surface. When the rainfall lasts for 1324 min, the soil of the steep surface starts to slide. The soil 186 187 sliding does not stop until the slope gradient becomes gentle.

188 (2) Test 2

189 When the first rainfall lasts for 67 min, the soil on the left side of the slope toe begins to slip. The 190 area of sliding range gradually extends. When the rainfall lasts 431 min, the instability range has 191 been extended to the slope shoulder, and the seventh sensor is exposed. Subsequently, the soil on the 192 right side of the slope toe slips, causing the soil slide in the middle slope. During the second rainfall, 193 tiny cracks are found on the right side of slope. When the rainfall lasts for 524 min, the soil around 194 the crack slips, and the sliding surface is arc-shaped. Owing to continuous rainfall, the process of soil 195 slide occurs repeatedly, and the gullies forms. The slope surface is eroded by third rainfall. The ditch 196 on the right side of slope extends and the slope eventually stabilizes.

197 (3) Test 3

198 In the first rainfall process, when the rainfall lasts for 32 min, tensile cracks appear successively 199 on the slope toe, and the soil around the cracks slips (Fig. 5a). Subsequently, a steep free surface is 200 formed. When the rainfall lasts for 39 min, the soil in the middle slope begins to slide (Fig. 5b). 201 When the rainfall lasts for 215 min, the soil on the slope shoulder starts to slip due to unbalance 202 internal forces (Fig. 5c). It causes the sensor #3 to deviate from the embedded position. When the 203 second rainfall lasts for 811 min, blocky soil slides suddenly on the right slope toe (Fig. 5d). When 204 the rainfall lasts for 923 min, massive soil on the right slope shoulder begins to slides owing to the 205 unloading effect of the slope toe (Fig. 5e). Subsequently, the slope is stable (Fig. 5f). This sliding 206 process is accompanied by the sinking of the slope.

207 (4) Test 4

When the first rainfall lasts for 45 min, the soil on the left slope toe starts to slip. Muddy water flows from the area of sliding soil. When the rainfall lasts for 78 min, the area of instability soil extends to the slope shoulder. However, only a small amount of soil on the right slope toe slips. During the second rainfall, the right slope is scoured away by rain, which results in a deep gully. When the rainfall lasts for 496 min, the soil on the right slope slips, but the slide scale is small. The slope is not completely destroyed.

214 (5) Test 5

When the first rain lasts for 26 min, the soil on the right foot begins to slide. The failure range extends to the middle of slope as the rainfall continues. At the same time, rainfall gravity leads to the formation of low-lying areas. When the rainfall duration is 208 min, the sunken area becomes larger, and the soil at the slope toe has basically slipped. When the second rainfall lasts for 766 min, the low-lying areas are connected, and a steep free surface is formed. Subsequently, the soil at the slope to continues to slide. In the third rainfall, a small amount of soil slips. However, there is no significant change in the slope eventually.

(6) Test 6

223 When the rainfall lasts for 5 min, tensile cracks occur at the slope toe, resulting in the soil failure. 224 When the rainfall lasts for 27 min, the failure range extends to the shoulder of slope. Subsequently, 225 massive soil on the free surface slides from time to time. When the rainfall lasts for 96 min, the soil 226 in the middle of slope begins to slip, causing the exposure of sensor #7. When the rainfall lasts for 227 133 min, the soil on the left slope shoulder begins to slide. The slope begins to be sinking. When the 228 rainfall lasts for 220 min, the soil on the right slope toe continues to slide. The failure area extends to 229 the middle of slope as the rainfall continues. At the end of the rainfall, the soil on the right slope 230 shoulder remains stable.



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Figure 5. Typical phenomena of test 3. (a) The soil at the slope toe begins to slip after tensile cracks appear. (b) The soil in the middle slope slides. (c) The soil on the slope shoulder slips owing to unbalance internal forces. (d) Blocky soil slides suddenly on the right slope toe. (e) Massive soil on the right slope shoulder slides due to the unloading effect of the slope toe. (f) The slope is stable at the end of the rainfall.

238 **3.2 Volume moisture content**

A-E inside the flume model represents the crest, shoulder, middle, and foot of the slope respectively.The variation characteristics of the volume moisture content (VMC) at A, B, and C are relatively

similar. Therefore, the VMC of C is selected in the paper to indicate a general trend. In addition, the 241 242 three positions (C, D, and E) are close to the sliding surface. Thus, the data of these three positions 243 are analyzed in this section and shown in Figure 6-Figure 11. The general variation of VMC mainly consists of three stages: initial constant, significant increase, and stability. When the monitoring 244 depth of the same position increases from 0.1 m to 0.5 m, the response time of VMC is delayed, and 245 246 the stable VMC increases. It is attributed to the rainwater infiltration process and its accumulation. In 247 addition, VMC is reduced due to water evaporation during the interval between two rainfall periods. 248 This phenomenon is particularly obvious for soils with a depth of 0.1-0.3 m. VMC can be restored to the previous level or even higher value in subsequent rain. 249

250 Figure 6 and Figure 7 shows the differences of VMC between test 1 and test 2 as follows. (1) 251 When the monitoring depth of the position C is 0.1 m and 0.3 m, the stable VMC of test 1 is smaller 252 than that of test 2. The main reason is that the capacity of soil to store water can be enhanced as 253 initial dry density (IDD) increases (Lu et al., 2018). (2) The VMC of three depths in the position C of 254 test 2 is similar. However, the VMC between three depths of test 1 has great difference. It is 255 especially noticeable in the first rain. (3) When the depth is 0.5 m, the VMC of the slope foot in test 1 256 is significantly smaller than that of the slope middle, but the VMC at these two locations is similar in 257 test 2.



261 Figure 7. Volume moisture content at position D and E of (a) test 1 and (b) test 2.

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263 The VMC of test 3 and test 4 is shown in Figure 8 and Figure 9. The response time of VMC of test 3 is shorter than that of test 4 at the same location. The reason is that the increase of IDD results in 264 265 the weakening of rain infiltration (Lee et al., 2005). The VMC at a depth of 0.1 m in test 3 decreases 266 sharply and eventually becomes zero in the first rain (Fig. 8a). This is due to the soil sliding causing 267 the third sensor to deviate from its original position. In addition, the VMC at the depth of 0.3 m in C 268 and D of test 3 fluctuates significantly (Figs. 8a and 9a). The macroscopic phenomena in section 3.1

- 269 indicate that the time of the soil failure is basically corresponding to the fluctuation time. Thus, the
- 270 fluctuation is attributed to the soil failure. The maintenance of water pipe causes a short water stop.
- 271 Hence, VMC fluctuates at the beginning of the second rainfall in test 4 (Figs. 8b and 9b).



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Figure 9. Volume moisture content at position D and E of (a) test 3 and (b) test 4.

277 The VMC of test 5 and test 6 is shown in Figure 10-Figure 11. When the rainfall intensity increases from 60 mm h⁻¹ to 90 mm h⁻¹, the stable value of VMC of test 5 is less than that of test 6. 278 However, the VMC in test 6 has a longer response time than that in test 5. It is obvious in the slope 279 280 crest, such as the position C. The worth noting in section 3.1 is that the sliding time of test 6 is earlier 281 than that in test 5. The main reasons of the above abnormal phenomena are including three aspects. 282 One is that when the rainfall intensity is relative larger, more rainwater can penetrate the soil quickly. 283 Shallow layer can be saturated rapidly. This process can cause silt and clay to migrate vertically and accumulate at a certain depth (Fang et al., 2012). Subsequently, the microstructure of soil is changed 284 (Chen et al., 2018), and the infiltration path is blocked by the fine particles. Furthermore, rainwater 285 286 cannot infiltrate the soil smoothly, and causes the long response time of VMC at the slope crest. The 287 other is that rainfall infiltration can cause a difference in water pressure between the slope crest and 288 the slope foot; this effect of seepage force will cause the slope foot to slide first (Zhou et al., 2014). In test 5 and test 6, the soil failures are both found in the slope foot at the beginning of rainfall. It is 289 290 consistent with the research made by Zhou et al. (2014). This local deformation of the slope can 291 cause internal force unbalance and soil microstructure change. The rainfall infiltration will be 292 affected later (Chang et al., 2021). On the other hand, the tensile crack of the slope toe can provide a 293 preferential path of rainwater. It is the main reason for the relative early sliding time in test 6. 294 However, the sensor #12 cannot observe this data because it is not located under the crack.



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Figure 11. Volume moisture content at position D and E of (a) test 5 and (b) test 6.

Figure 12 shows the response time and stable VMC at five positions during the first rainfall. Test 1 and Test 2, Test 3 and Test 4 in Figure 12 are respectively compared. The similar result is that when an IDD increases from 1.20 g cm⁻³ to 1.40 g cm⁻³, the response time of VMC at the same location is delayed. However, this similarity does not apply to the position D. The reason is that the local soil sliding is found in the shallow layer in the position D of test 2. It can lead to the decrease in the part of the soil thickness. Thus, the position D of test 2 affected by the rainfall is earlier than that of test 1.

The stable VMC with an IDD of 1.20 g cm⁻³ is smaller than that of 1.40 g cm⁻³. It is suitable for 306 most of the depths of test 1 to test 4. The abnormal points include as follows: the depth of 0.5 m at C 307 308 and D of test 1 and test 2, the depth of 0.1 m at A, B and C and the depth of 0.3 m at C of test 3 and 309 test 4. This is due to the difference in soil – water action during rainfall. When rainfall intensity is 60 mm h^{-1} , all the rainwater can percolate through the soil with an IDD of 1.20 g cm⁻³ and 1.40 g cm⁻³. 310 However, when rainfall intensity is 90 mm h⁻¹ and an IDD is 1.40 g cm⁻³, the rainwater seepage 311 312 capacity is less than 90 mm h⁻¹. Subsequently, rainwater cannot completely penetrate the soil and surface runoff is formed. The slope is eroded by surface runoff; it can be found in the macroscopic 313 phenomena of test 4. Therefore, even if the rainfall intensity is 90 mm h⁻¹, the stable value of VMC is 314 relative small. In addition, test 5 and test 6 have the same initial dry density, but the response time 315 cannot decrease when the rainfall intensity is from 60 mm h⁻¹ to 90 mm h⁻¹. The reasons are 316 mentioned in the previous paragraph. 317



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Figure 12. Response time and stable value of volume moisture content in six tests during the first rainfall. In this bar chart, IDD represents initial dry density, SA represents slope angle, SD represents sensor depth, and RD represents rainfall duration.

322 **3.3 Pore water pressure**

Pore water pressure (PWP) at three positions (C, D, E) is shown in Figure 13-Figure 18. The sensor 323 324 #3 of PWP in test 2 and test 4 breaks down, and it deviates from its original position in test 3. Thus, 325 the PMP of the sensor #3 are not analyzed in this section. The variation of PWP mainly consists of 326 similar three parts: stability, significant increase, dynamic fluctuation. Some differences between 327 these tests can be clarified. In test 1, the PWP at a depth of 0.3 m at C fluctuates drastically during the first rain. However, the PWP of test 2 does not fluctuate, and its variation is smaller than that in 328 329 test 1 (Fig. 13). In addition, the PWP with a depth of 0.3 m at D varies gently in test 1, but it 330 increases significantly during the second and third rain in test 2. The fluctuation occurs at a depth of 331 0.5 m at D in test 1 (Fig. 14). The changes of PWP and VMC are not synchronized, which manifests 332 in two aspects. One is the response time of PWP is later than that of VMC. The other is that VMC is 333 in a stable stage when PWP fluctuates.





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337 Figure 14. Pore water pressure at position D and E of (a) test 1 and (b) test 2.

338 In the first rainfall, the PWP response time of test 3 is shorter than that of test 4 at the same 339 location (Figs. 15 and 16). The difference in the response time is consistent with that in VMC. It directly reflects the soil seepage capacity when an IDD is 1.20 g cm⁻³ and 1.40 g cm⁻³ respectively. 340 341 Besides, the frequent fluctuation of PWP mostly appears in test 3. In particular, the PWP in test 3 is 342 decreasing after increasing at the most locations except for the depth of 0.5 m of D. This downward 343 trend exists at position C of test 4, but is not significant at D and E.



347 Figure 16. Pore water pressure at position D and E of (a) test 3 and (b) test 4.

348 Figure 17-Figure 18 shows the differences between test 5 and test 6 during the first rainfall. One is that the PWP curve at C in test 5 is flat. However, all the PWP in test 6 experiences the flat, increase 349 350 and decrease stages. The other is that the PWP at E in test 5 has an obvious volatility characteristic. It 351 fluctuates to the peak at the end of the first rain. Whereas, the PWP at E in test 6 has a downward 352 trend after it reaches the peak. This opposite trend is related to the differences between the soil 353 failures of these two tests. Soil sliding can cause stress to relax, which further results in an increase 354 in soil porosity. It will induce pore water pressure to decrease. When rainwater is enough, pore water 355 pressure can be restored.



359 Figure 18. Pore water pressure at position D and E of (a) test 5 and (b) test 6.

Figure 19 shows the response time and variation of PWP at five positions during the first rainfall. 360 Test 1 and Test 2, Test 3 and Test 4 in Figure 19 are respectively compared. The main commonality is 361 362 that when the location and rainfall duration is same, the response time of PWP with an IDD of 1.20 g cm⁻³ is shorter than that of 1.40 g cm⁻³. Nonetheless, most of the variation in PWP has a contrary 363 pattern. The reason is that even if the rainfall intensity is the same, the slope with different density 364 365 has diverse hydrological characteristics (Lan et al., 2003). For example, slopes with high density 366 have relatively low permeability and the change in PWP is limited. A significant difference is that 367 although PWP change of the surface soil layer at each position is the smallest except for test 3, the PWP changes of other two depths do not increase with the increase of depth. The reasons are 368 369 analyzed as follows. When the rainwater accumulates at a depth of 0.3 m, the PWP variation is 370 relative large. At this moment, the PWP with a depth of 0.3 m can be larger than that of 0.5 m. The continuous seepage can cause soil gravity to increase. It can produce the compressive stress on the 371 soil layer at a depth of 0.5 m. The further decrease in soil porosity can cause PWP to increase. At the 372 same time, if the soil with a depth of 0.3 m begins to slide, PWP will be released. Therefore, in these 373 374 conditions, the PWP with a depth of 0.5 m may be larger than that of 0.3 m. It suggests that changes 375 in PWP depend on soil deformation and its diffusion. This validates the study by Iverson et al. (1997).



Figure 19. Response time and variation of pore water pressure in six tests during the first rainfall. In this bar chart, IDD represents initial dry density, SA represents slope angle, SD represents sensor depth, and RD represents rainfall duration.

379 4 Discussion

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Six model tests have commonness in the patterns of slope failure based on the macroscopic phenomena. Based on these tests, the landslide formation can be classified into five stages and shown in Table 2. They are basically consistent with the results of the field survey in Southeast Guangxi (Wei et al., 2017). Therefore, the initiation processes of granite residual soil landslides can be reproduced by flume model tests.

(i) Rain infiltration and crack generation. At the beginning of rainfall, all rainwater can seep into
the slope. There is no surface runoff on the slope. Volume moisture content begins to increase.
However, matrix suction decreases, which results in the reduction of shear strength. In addition, the
gravity load of the slope increases and favors the downward creep. The differential distribution of
soil strength can cause cracks to generate at the slope toe, which provide a preferential path for
rainwater.

(ii) Soil slide at the slope toe. As rainfall continues, rainwater penetrates the soil through the crack.The accumulated rainwater in the crack can produce the pressure acting on the slope. It facilitates the

393 propagation of the crack. Hence, the soil strength around the crack decreases. Meanwhile, the 394 underground runoff converges at the toe of the slope. The VMC at the slope toe is relative large. The 395 water pressure's difference between the top and toe of the slope increases. This difference in pressure 396 and changes in the soil microstructure can lead to a reduction in the shear strength of the slope. 397 Therefore, the soil at the foot of the slope softens and slides first. Subsequently, muddy water 398 gradually flows out from the slope toe. This indicates that fine particles migrate through subsurface 399 runoff, causing changes in the microstructure of some soils along the flow network.

400 (iii) Occurrence of surface runoff and soil erosion. The water content of shallow soil layer 401 increases to a saturation value with the continuing rain. A saturation zone appears. This process 402 allows fine particles to migrate vertically to a certain depth. Subsequently, the infiltration path will be 403 blocked, and rainwater cannot permeate the soil smoothly. The surface runoff gradually forms. On 404 the other hand, the gravel of the soil remains on the slope surface, which is conductive to seepage 405 along the slope. Therefore, subsurface runoff can lead to the loss of the surface layer soil. Multiple 406 low-lying areas and ditches are generated by the erosion of surface runoff and splash erosion of 407 rainfall. The erosion destruction is most serious in the slope toe and the slope middle.

(iv) Formation of steep-free surface. As the soil at the foot of the slope continues to slide, the geometry and stress of the slope have changed due to the removal of downward support. Even the internal force balance of the slope is destroyed. The unstable range expands to the surroundings. A steep free surface begins to form subsequently. However, the soil on the top of the slope has not slipped.

(v) Soil slide at the upper slope. The presence of macro-pores between the gravel can promote the rainwater penetration through the soil. This process facilitates the rainwater transmission to a deep layer. The sliding force of the slope can be further improved. Meanwhile, the unbalance internal forces gradually increase due to the repeat slide of the slope toe. Besides, the increase of PWP leads to a reduction in the effective stress and shearing strength. Finally, when the sliding force is greater than the soil resistance, the soil at the slope top begins to slide. Obvious shear deformation is formed. Table 2. Schematic diagrams and photos of the landslide formation

Stage	Rain infiltration and crack generation	Soil slide at the slope toe	Occurrence of surface runoff and soil erosion	Formation of steep- free face	Soil slide at the upper slope
Schematic diagram	rainfall infiltration crack	slipped soil	surface runoff soil erosion	steep free face	slipped soil
Photo	rainfall infiltration	slipped soil	surface runoff and crosion	steep free face	slipped soit

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421 One difference between six tests is the time of landslide initiation (Table 3). Six initiation times 422 are 50 min, 67 min, 32 min, 45 min, 26 min and 5 min respectively. When the slope angle and 423 rainfall intensity are the same, the initiation time of a landslide with a density of 1.20 g cm⁻³ is 424 shorter than that of a landslide with a density of 1.40 g cm⁻³. The difference is 17 min and 13 min.

425	The reason is that when the IDD increases, the slope permeability decreases (Lan et al., 2003), and
426	the infiltration process is relative slow. Therefore, the slope needs more penetration time. This
427	corresponds to the difference of the response time of VMC in section 3.2. In section 3.2, when an
428	IDD increases from 1.20 g cm ⁻³ to 1.40 g cm ⁻³ , the response time of VMC and PWP is delayed. The
429	decrease rate of the shearing strength is correspondingly slow. This is beneficial to the stability of the
430	slope. When the slope angle and density are the same, the initiation time of a landslide with the
431	rainfall intensity of 90 mm h ⁻¹ is 18 min-22 min shorter than that of a landslide with the rainfall
432	intensity of 60 mm h ⁻¹ . The reason is that when the rainfall intensity is relative larger, more rainwater
433	can penetrate the soil quickly. This leads to a rapid increase in VMC and PWP in shallow soil layers.
434	The shearing strength decreases. At this time, the difference of water pressure between the slope toe
435	and the slope crest is obvious, which result in the first soil sliding at the slope toe. Meanwhile, when
436	the IDD is 1.20 g cm ⁻³ , the rainfall intensity is 60 mm h ⁻¹ and 90 mm h ⁻¹ , if a slope angle increases
437	from 40° to 45°, the starting time can be delayed by 24 min and 27 min. This is because steep slopes
438	are not conducive to infiltration of rainwater (Xu et al., 2018). Hence, the VMC and PWP respond to
439	rainfall slowly, which is favorable to slope stability. In a word, the initiation time of landslide is
440	closely related to density, slope angle, and rainfall intensity. It is mainly controlled by the
441	hydrological response of the slope.

Table 3. Initiation time of landslide for six tests.

Test number	1	2	3	4	5	6
Initiation time (min)	50	67	32	45	26	5

444 The other difference in six tests is the failure mode and process of landslide. In test 1, all the 445 surface soil slips, and the frequent sliding soil is in the shape of a block. In test 2, the sliding area 446 slowly spreads to the surroundings, and the partial right shoulder fails to slide eventually. In test 3, 447 the soil around the crack slides quickly, and all the soil on the slope surface is destroyed. In test 4, the 448 scouring action of rain results in the formation of a deep gully, but the slope has stabilized finally. In test 5, the low-lying areas are enlarged with the continuous rainfall, and all the soil at the slope toe 449 450 slips suddenly. In test 6, the soil surrounding crack slide rapidly, and the soil failure are repetitive. The above mentioned macroscopic phenomenon contains two main characteristics. When the IDD is 451 452 1.20 g cm⁻³, tensile crack is an important triggering factor for soil failure, and the formation process of landslide is relatively sudden and large in scale. When the IDD is 1.40 g cm⁻³, the soil failure of 453 454 the slope foot can trigger the trailing edge slip. Therefore, the sliding process is gradual and small-455 scale, often accompanied by the appearance of low-lying areas and ditches. The main reason is the 456 energy required for the destruction of large density is significantly greater than that of small density 457 (Xu et al., 2018). Hence, the formation process of landslide is different due to the initial state of the 458 slope.

459 Section 3.3 shows that the pore water pressure fluctuates significantly during the soil failure. 460 However, the variation of pore water pressure at the same position and depth is not synchronized 461 with the water content. The typical periods of test 2 and the test 3 are selected in this section to 462 understand the relationship between them. In test 2 with an IDD of 1.40 g cm⁻³, when the rainfall 463 lasts for 195 min-225 min, the soil in the slope middle slides. It promotes the development of cracks 464 and causes massive soil to slide (Fig. 20a). The seventh sensor is the closest to unstable soil, thus, the 465 data of this sensor is selected for detailed analysis. Figure 20b shows that the water content is stable 466 at about 61.6 % during this period, and the soil is in an over-saturated state. However, pore water 467 pressure gradually increases to a peak of 0.361 kPa when the rainfall duration is 195 min-201 min. Subsequently, pore water pressure decreases rapidly, and maintains a certain degree of volatility. 468 469 When the rainfall duration is 210 min, pore water pressure begins to increase again. In test 3 with an IDD of 1.20 g cm⁻³, when the rainfall lasts for 30 min-48 min, the shallow soil is softened and slides 470 many times (Fig. 21a). Figure 21b shows that when the rainfall duration is 30 min-36 min, VMC and 471 472 PWP both increases; when the rainfall lasts for 36 min, the increasing trend of them is relatively gentle; when the rainfall lasts for 42 min, although PWP increases rapidly again, but VMC remains 473 stable at 58.7 %. In a word, the differences in the variation of PWP and VMC comprise two aspects. 474 475 One is that when VMC begins to increase, PWP is invariant. The response time of PWP is behind 476 that of VMC. The other is that when VMC is constant or is in a significant rise, PWP has almost no change or only dramatic fluctuations. These may be related to mechanical behavior of granite 477 478 residual soil.



sensor #7 closest to sliding surface.



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480 Figure 20. Typical phenomenon and result with an initial dry density of 1.40 g cm⁻³. (a) Slope failure. (b) Results for



Figure 21. Typical phenomenon and result with an initial dry density of 1.20 g cm⁻³. (a) Slope failure. (b) Results of sensor #7 closest to sliding surface.

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The above results may be explained by the research made by Iverson (Iverson, 2005; Iverson et al., 2000). He found that landslide mobilization was affected by the mechanical properties of shear bands that were related to the initial density. When dry density is low and rainfall intensity is high, the hammering effect of rain can squeeze the shallow soil. In addition, pore water pressure can increase due to the decrease in void ratio and leads to a reduction in shear strength. When the initial local shear deformation occurs, the shear zone is mainly contractive. Subsequently, excessive pore water pressure is generated. However, excess pore water pressure is difficult to dissipate completely in a 493 short time. This condition can promote the continuous increase of pore water pressure and the 494 connection of potential sliding surfaces. Therefore, the type of landslide failure is a sudden sliding 495 type in the macroscopic phenomenon (Dai et al., 1999a; Dai et al., 1999b; Mckenna et al., 2011). 496 When the dry density is larger, the infiltration rate of rainwater is smaller. At the same time, the 497 response time of water content and pore water pressure is delayed. In addition, the fluctuation of pore 498 water pressure is limited. As a result, the ability of the slope to resist seepage damage is improved 499 effectively. When dilative shear deformation appears, it can cause the dissipation of pore water 500 pressure, and even leads to the appearance of negative pore water pressure (Chen et al., 2018). It can 501 results in the delay of the VMC and the recovery of the shear strength. After that, long-term rainfall 502 can restore the loss of pore pressure due to soil dilation, and shear deformation will reappear. At this 503 time, the macroscopic phenomenon of landslide start is progressive (Dai et al., 1999a; Dai et al., 504 1999b; Mckenna et al., 2011). The landslide mobilization mode in this paper is consistent with the 505 above mentioned.

506 Finally, the limitation of the model tests in this paper should be discussed. All sensors are 507 embedded in the center section of the slope (Fig. 4). Therefore, the sensors are less affected by the 508 left or right boundary. Monitoring data are reliable and can reflect the variation of VMC and PWP 509 during landslide formation. Because the sensor is connected to the data collector, the connecting line 510 is embedded in the slope. The surrounding soil is compacted to achieve the preset dry density. 511 However, the influence caused by the material heterogeneity of the connecting line, and the soil 512 cannot be eliminated. The effect is reflected in difference in rainwater infiltration. This may cause the 513 right side of the slope to tend to slide locally (Fig. 5 and Fig. 20). Nevertheless, this trend is 514 temporary and does not dominate the five similar stages of landslide formation. In addition, the five 515 stages are basically consistent with the field survey in Southeast Guangxi (Wei et al., 2017). In 516 conclusion, the model tests in this paper reproduce the failure pattern of granite residual soil slope 517 well. In future research, wireless transmission system will be employed to collect sensor data. This 518 can minimize the disturbance caused by the sensor line.

519 5 Conclusion

520 The present study is executed to analyze the failure mode and process of granite residual soil 521 landslides in Guangxi province, China. The following conclusions can be summarized.

(1) Volume moisture content and pore water pressure exhibits a non-synchronous response to the rain. Initial dry density and rainfall intensity has a significant effect on the hydrological response. Large density can restrain the rainwater infiltration rate and limit the fluctuation of pore water pressure. In addition, high rainfall intensity is corresponding to the short response time of volume moisture content. However, this is unsuitable for the soil with a small density, as changes in the soil microstructure can alter the seepage path. The fluctuation of pore water pressure depends on soil mechanical behavior and its diffusion.

(2) The differences in the formation process of granite residual soil landslides include the initiation time and mode. The starting time of landslide is closely related to initial dry density, slope angle, and rainfall intensity. It is mainly controlled by the hydrological response of the slope. The initiation time of 1.20 g cm⁻³ is 13 min-17 min earlier than that of 1.40 g cm⁻³. The initiation time of 90 mm h⁻¹ is 18 min-22 min shorter than that of 60 mm h⁻¹. Mechanical properties of the shear zone play the important role in the failure modes of landslides, which are closely related to the initial dry density. Two failure modes can be observed. One is a sudden sliding in a large scale with a density of 1.2 g cm^{-3} ; the other is a progressive sliding in a small scale with a density of 1.40 g cm^{-3} .

(3) Landslide mobilization can be classified into five stages as follows: rain infiltration and crack generation, soil slide at the slope toe, occurrence of surface runoff and soil erosion, formation of steep-free surface, and soil slide at the upper slope. It is accompanied by the migration of fine particles, and the formation of crack and macro-pores. Cracks and macro-pores can facilitate the hydrological response in the deep layer.

Future research includes four aspects. Firstly, more tests involving multiple factors will be conducted through the orthogonal experimental design. Secondly, triaxial instrument will be used to perform the stress path tests. Thirdly, the influence of variation of initial dry density along the vertical direction on slope failure will be analyzed. Fourthly, the quantitative relationship between volume moisture content and pore water pressure during landslide initiation will be explored.

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548 **Data availability**

All data in this study are available by contacting the first author: wushanbai@163.com.

550 Author contributions

551 SW carried out the artificial model tests, analyzed the experimental data, and wrote the manuscript. 552 RZ participated in the tests and analyzed part of the data. LL and YY guided the design and 553 implementation of the tests, as well as revised the content of the manuscript. YW and WW 554 participated in the implementation of the tests.

555 **Competing interests**

556 The authors declare that they have no conflict of interest.

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