The Effects of Late Cenozoic Climate Change on the Global Distribution of Frost Cracking

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Abstract. Frost cracking is a dominant mechanical weathering phenomenon facilitating the breakdown of bedrock in periglacial regions. Despite recent advances in understanding frost cracking processes, few studies have addressed how global climate change over the Late Cenozoic may have impacted spatial variations in frost cracking intensity. In this study, we estimate global changes in frost cracking intensity (FCI) by segregation ice growth. Existing process-based models of FCI are applied in combination with soil thickness data from the Harmonized World Soil Database. Temporal and spatial variations in FCI are predicted using surface temperatures changes obtained from ECHAM5 general circulation model simulations conducted for four different paleoclimate time-slices. Time-slices considered include Pre-Industrial (~1850 CE, PI), Mid-Holocene (~6 ka, MH), Last Glacial Maximum (~21 ka, LGM) and Pliocene (~3 Ma, PLIO) times. Results indicate for all paleoclimate time slices that frost cracking was most prevalent (relative to PI times) in the mid to high latitude regions, as well as high-elevation lower latitudes areas such the Himalayas, Tibet, European Alps, the Japanese Alps, the USA Rocky Mountains, and the Andes Mountains. The smallest deviations in frost cracking (relative to PI conditions) were observed in the MH simulation, which yielded slightly higher FCI values in most of the areas. In contrast, larger deviations were observed in the simulations of the colder climate (LGM) and warmer climate (PLIO). Our results indicate that the impact of climate change on frost cracking was most severe during the PI – LGM period due to higher differences in temperatures and glaciation at higher latitudes. The PLIO results indicate low FCI in the Andes and higher values of FCI in Greenland and Canada due to the diminished extent of glaciation in the warmer PLIO climate.

Keywords: Climate Change, frost cracking, physical weathering, Pre-Industrial, Mid-Holocene, Last Glacial Maximum, Pliocene

1. Introduction

Climate change, mountain building, and erosion are closely linked over different spatial and temporal scales (e.g. Whipple, 2009; Adams et al., 2020). Over million year timescales, mountain building alters global climate by introducing physical obstacles to atmospheric flow (Raymo and Ruddiman, 1992) that influences regional temperatures and precipitation (Botoyin et al., 2020; Ehlers and Poulsen, 2009; Mutz et al., 2018; Mutz and Ehlers, 2019). Over decadal to million-year time scales, climate change impacts the erosion of mountains in several ways, such as through the modification of vegetation cover (e.g. Acosta et al., 2015; Schmid et al., 2018; Werner et al., 2018; Starke et al., 2020, Schaller and Ehlers, 2022), and through its influence on physical and chemical weathering processes, as well as glacial, fluvial and hillslope erosion (e.g. Vaëll et al., 2011; Herman et al., 2013; Lease and Ehlers, 2013; Perron, 2017). Climate change from the Late Cenozoic to present has played an important
Critical cracking occurs when the pressure of freezing (and expanding) water in pore walls or fractures exceeds the cohesive strength of the porous media and causes cracks to propagate (Davidson and Nye, 1985). However, subcritical cracking can also occur without exceeding thresholds (Eppes and Kearnin, 2017). Frost cracking is a dominant mechanism of weathering in periglacial regions (Marshall et al., 2015), and typically occurs at latitudes greater than 30°N and 30°S or in high elevations. Previous field studies of frost cracking in mountain regions include studies in, for example, the Japanese Alps (Matsuoka, 2001), Southern Alps of New Zealand (Hales and Roering, 2009), Swiss Alps (Amirnaro et al., 2012; Girard et al., 2013; Matsuoka, 2008; Messenzech et al., 2017), French Western Alps (Delunel et al., 2010), Italian Alps (Savi et al., 2015), Eastern Alps (Rode et al., 2016), Austrian Alps (Kellerer-Pirklbauer, 2017), Oregon (Marshall et al., 2015; Rempel et al., 2016), and the Rocky Mountains, USA (Anderson, 1998). These studies demonstrated clear relationships between changes in near surface air temperatures and frost cracking. Various models have also been developed to estimate frost cracking intensity (FCI) using mean annual air temperatures (MAT) (Andersen et al., 2015; Anderson, 1998; Anderson et al., 2013; Hales and Roering, 2007; Marshall et al., 2015) and in some cases, with the additional consideration of sediment thickness variations over bedrock (Andersen et al., 2015; Anderson et al., 2013). These studies document the importance of time spent in the frost cracking window (FCW) for the frost-cracking intensity (FCI) of a given area. The assumption of FCW is based on the premise that frost cracking occurs in response to segregation ice growth in bedrock when subsurface temperatures are between -8 °C and -3 °C (Anderson, 1998). However, this assumption is not supported by physical models (e.g. Walder and Hallet, 1985), field data (e.g. Girard et al., 2013; Draebing et al., 2017) or lab simulations (e.g. Murton et al., 2016). The FCW depends on rock strength and crack geometry (Walder and Hallet, 1985), and thus spatial variations are expected due to lithological changes. More complex models consider near surface thermal gradients as a proxy of the frost cracking intensity for segregation ice growth, as well as the effects of overlying sediment layer thickness on frost cracking (Andersen et al., 2015). The previous studies provide insight into not only observed regional variations in frost cracking, but also some of the key processes required for predicting frost cracking intensity. However, despite recognition that Late Cenozoic global climate change impacts surface processes (e.g. Mutz et al., 2018; Mutz and Ehlers, 2019) and frost-cracking intensity (e.g. Marshall et al., 2015), to the best of our knowledge, no study has taken full advantage of climate change predictions in conjunction with a process-based understanding of the spatiotemporal variations in frost cracking on a global scale. This study builds upon previous work by estimating the global response in FCI to different end-member climate states. Here, we complement previous work on the effects of climate on surface processes by addressing the following hypothesis: If Late Cenozoic global climate change resulted in latitudinal variations in ground surface temperatures, then the intensity of frost cracking should temporally and spatially vary in such a way that leads to the occurrence of more intense frost cracking at lower latitudes during colder climates. We do this by coupling existing frost-cracking models to high-resolution paleoclimate General Circulation Model (GCM) simulations (Mutz et al., 2018). More specifically we apply three different frost-cracking models that are driven by predicted surface temperature changes from GCM time-slice experiments including (a) the Pliocene.
(~3 Ma, PLIO), considered an analog for Earth’s potential future due to anthropogenic climate change, (b) the Last Glacial Maximum (~21 ka, LGM), covering a full glacial period, (c) the Mid-Holocene (~6 ka, MH) climate optimum, and (d) Pre-Industrial (~1850 CE, PI) conditions before the onset of significant anthropogenic disturbances to climate.

2. Data

This manuscript builds upon and uses paleoclimate model simulations we previously published for different time periods (Mutz et al., 2018; Mutz and Ehlers, 2019). The output from those simulations was used for new calculations of FCI described below. More specifically, the climate and soil dataset used for this study includes simulated daily land surface temperatures (obtained from the Mutz et al. (2018) simulations) for different paleoclimatic time-slice experiments (PI, MH, LGM and PLIO) conducted with the GCM ECHAM5 simulations, and soil thickness data (Wieder, 2014). Due to the lack of paleo soil thickness data, global variations in soil thickness are assumed to be uniform between all time-slices investigated. The reader is advised that this assumption has limitations and would introduce uncertainty in the model results as past weathering would alter soil thickness and hence influence further weathering. However, as the main goal of this study is to simulate and analyze the climate change effect for global FCI changes in different palaeoenvironmental conditions, we keep the soil thickness constant. In addition, there are no data sets available for past soil thicknesses that would allow circumventing the approach used here. Given this, we use a present-day dataset for soil thickness due to the absence of paleo soil data.

The ECHAM5 paleoclimate simulations were conducted at a high spatial resolution (T159, corresponding roughly to a 80km x 80km horizontal grid at the equator) and 31 vertical levels (to 10hPa). ECHAM5 was developed at the Max Planck Institute for Meteorology (Roeckner et al., 2003). It is based on the spectral weather forecast model of ECMWF (Simmons et al., 1989) and is a well-established tool in modern and paleoclimate studies. The ECHAM5 paleoclimate simulations by Mutz et al. (2018) were driven with time-slice specific boundary conditions derived from multiple modeling initiatives and paleogeographic, paleoenvironmental and vegetation reconstruction projects (see Table 1). Details about the boundary conditions and prevailing climates for specific time-slices (PI, MH, LGM and PLIO) are provided in Mutz et al. (2018). Each simulated time-slice resulted in 17 simulated model years, where the first two years contained model spin up effects and were discarded. The remaining 15 years of simulated climate were in dynamic equilibrium with the prescribed boundary conditions and used for our analysis.

Table 1. Boundary Conditions of the paleoclimate simulations (Mutz et al., 2018).

<table>
<thead>
<tr>
<th>Paleoclimate Simulations</th>
<th>Boundary Conditions</th>
</tr>
</thead>
<tbody>
<tr>
<td>PI (~1850)</td>
<td>• Sea-Surface temperatures (SST) and sea-ice concentrations (SIC) were sourced from transient coupled ocean-atmosphere simulations (Dietrich et al., 2013; Lorenz and Lohmann, 2004) • Green-house gas (GHG) concentrations (Dietrich et al., 2013) were obtained from ice-core-based reconstructions of CO₂ (Etheridge et al., 1996), CH₄ (Etheridge et al., 1998), and N₂O (Sowers et al., 2003)</td>
</tr>
</tbody>
</table>
MH (~ 6 ka)
- SST and SIC are derived from a transient, low resolution, coupled atmosphere-ocean simulation of the mid (6 ka) Holocene (Lohmann et al., 2013; Wei and Lohmann, 2012)
- GHG concentrations (Dietrich et al., 2013) are obtained from ice-core-based reconstructions of CO₂ (Etheridge et al., 1996), CH₄ (Etheridge et al., 1998), and N₂O (Sowers et al., 2003)
- Global vegetation maps are based on plant functional types maps by the BIOME 6000 / Palaeo-vegetation Mapping Project (Prentice et al., 2000; Harrison et al., 2001; Bigelow et al., 2003; Pickett et al., 2004) and model predictions by Arnold et al. (2009)
- Orbital parameters from Dietrich et al., (2013)

LGM (~ 21 ka)
- Land-sea distribution and ice sheet extent and thickness are based on the PMIP III guidelines (Abe-Ouchi et al., 2015)
- SST and SIC are based on GLAMAP (Sarnthein et al., 2003) and CLIMAP (CLIMAP group members, 1981) reconstructions
- GHGs concentrations are prescribed following Otto-Bliesner et al. (2006)
- Global vegetation maps are based on plant functional types maps by the BIOME 6000 / Palaeo-vegetation Mapping Project (Prentice et al., 2000; Harrison et al., 2001; Bigelow et al., 2003; Pickett et al., 2004) and model predictions by Arnold et al. (2009)
- Orbital parameters from Dietrich et al., (2013)

PLIO (~ 3 Ma)
- Surface conditions (SST, SIC, sea land mask, topography and ice cover), GHG concentrations and orbital parameters are obtained from the PRISM project (Haywood et al., 2010; Sohl et al., 2009; Dowsett et al., 2010)
- PRISM vegetation reconstruction converted to ECHAM5 compatible plant functional types following Stepanek and Lohmann (2012)

* (SST: Sea Surface Temperature; SIC: Sea Ice Concentration; GHG: Greenhouse Gas; PMIP III: Paleoclimate Modelling Intercomparison Project, phase 3; PRISM: Pliocene Research, Interpretation and Synoptic Mapping)
Figure 1. Soil depth map from the Harmonized World Soil Database (HWSD, version 1.2) used in this study (Wieder, 2014). Due to the paucity of some data inputs for paleoclimate time-slices (e.g. soil thickness, rock properties, hydrology, etc.), the simulations assume present day values.

Soil thickness data was obtained from the re-gridded Harmonized World Soil Database (HWSD) v1.2 (Wieder, 2014) which has a 0.05-degree spatial resolution and depths ranging from 0 m to 1 m (Fig. 1). The above soil thickness data was upscaled to match the spatial resolution of the ECHAM5 paleoclimate simulations (T159, ca. 80km x 80km).

3. Methods

In this section we present the pre-processing of GCM paleo-temperature data for the calculation of mean annual temperatures (MAT) and the half amplitude of annual temperature variations (Ta). This is followed by the description of the models (simple to complex) that were applied to generate first order (global) estimation of annual depth integrated FCI for selected Cenozoic time-slices.

3.1. Pre-processing of GCM simulation temperature data

We calculated the mean annual land surface temperatures (MAT) to serve as input for subsequent calculations and a reference for differences in global paleoclimate. The MAT’s for the paleoclimate GCM experiments (PLIO, LGM, MH, and PI) were calculated (Fig. 2) from each of the simulations’ 15 years of daily land surface
temperature values. In addition, the half amplitude of annual surface temperature variations (Ta) was extracted at all surface grid locations for all years (Fig. 3). We use the MAT for ground surface temperature in subsequent calculations, following Anderson et al., (2013), Marshall et al., (2015), and Rempel et al., (2016). The maxima and minima for global average MAT’s and Ta’s for all the time-slices are shown in Table 2.

Figure 2. Mean Annual Surface Temperature maps (15-year average) from the ECHAM5 GCM simulations for the Pre-Industrial (top-left), Mid-Holocene (top-right), Last Glacial Maximum (bottom-left), and mid-Pliocene (bottom-right) (unit: °C). These are calculated from GCM simulation output of Mutz et al. (2018) and Mutz and Ehlers (2019).

Table 2. MAT and Ta (for ground surface temperature) for Pre-Industrial, Mid-Holocene, Last Glacial Maximum and Pliocene simulations.

<table>
<thead>
<tr>
<th>Time-slices (Paleoclimate Simulations)</th>
<th>MAT (°C)</th>
<th>Ta (°C)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Minimum</td>
<td>Maximum</td>
</tr>
<tr>
<td>Pre-Industrial (~ 1850)</td>
<td>-58</td>
<td>34</td>
</tr>
<tr>
<td>Mid-Holocene (~ 6 ka)</td>
<td>-58</td>
<td>35</td>
</tr>
<tr>
<td>Last Glacial Maximum (~21 ka)</td>
<td>-67</td>
<td>39</td>
</tr>
<tr>
<td>Pliocene (~ 3 Ma)</td>
<td>-50</td>
<td>48</td>
</tr>
</tbody>
</table>

The calculation of temporally varying sub-surface temperatures follows the approach of Hales and Roering (2007) and uses the analytical solution for the one-dimensional heat conduction equation (Turcotte and Schubert, 2014).
forced with daily temperatures following sinusoidal variations. While daily paleo-temperatures can be obtained from Mutz et al. (2018), the daily variations produced by the GCM cannot be validated as well as seasonal or annual means. To avoid overinterpretation of the GCM simulations, we refrained from using daily paleo-temperatures from Mutz et al. (2018) and instead use sinusoidal daily temperatures. Temperature variations with depth and time were calculated at each GCM grid point as:

\[ T(z, t) = \text{MAT} + \text{Ta} \cdot e^{-\frac{z}{\sqrt{\text{Py}}} \cdot \sin \left( \frac{2\pi t - \frac{z}{\text{Py}}} {\sqrt{\text{Py}}} \right) } \]  

(1)

where, \( T \) represents daily subsurface temperature at depth \( z \) (m) and time \( t \) (days in a year), \( \text{MAT} \) and \( \text{Ta} \) represent mean annual surface temperature and half amplitude of annual temperature variation respectively, \( \text{Py} \) is the period of the sinusoidal cycle (1 year), and \( \alpha \) is the thermal diffusivity. Thermal diffusivity values near the Earth’s surface can range from \( 1 - 2 \times 10^{-6} \text{m}^2\text{s}^{-1} \) for most rocks (Anderson, 1998) and range between \( 7 - 10 \times 10^{-5} \text{m}^2\text{s}^{-1} \) for other Earth materials comprising the overlying sediment layer (Eppelbaum et al., 2014).

In this study, we used a thermal diffusivity of \( 1.5 \times 10^{-6} \text{m}^2\text{s}^{-1} \) for bedrock and \( 8 \times 10^{-7} \text{m}^2\text{s}^{-1} \) for the overlying sediment layer. The maximum depth investigated here is 20 m, as it is slightly deeper than the maximum frost penetration depth of ~14 m reported by (Hales and Roering, 2007).

Figure 3. Half Amplitude of Annual Temperature Variation (15-year average) for the Pre-Industrial (top-left), Mid-Holocene (top-right), Last Glacial Maximum (bottom-left), and Pliocene (bottom-right) (unit: °C). These are calculated from GCM simulation output of Mutz et al., (2018) and Mutz and Ehlers (2019).
The calculation of subsurface temperatures was discretized into 200 depth intervals from the surface to the maximum depth of 20 m. Smaller depth intervals (~1 cm) were used near the surface and large intervals (~20 cm) at greater depths, because the FCI is expected to change most dramatically near the surface and dampen with depth due to thermal diffusion (Andersen et al., 2015).

3.2. Estimation of Frost Cracking Intensity

We applied three different approaches (models) with different levels of complexity to estimate global variations in frost cracking during different past climates (Fig 4; Andersen et al., 2015; Anderson, 1998; Hales and Roering, 2007). The models use predicted ground surface temperatures from each grid cell in the GCM to calculate subsurface temperatures and FCI. We then calculate differences between the FCI from the PI reference simulation and the FCI predicted for the PLIO, LGM and MH time-slices to assess relative change in FCI over the Late Cenozoic. The conceptual diagram (Fig. 4) illustrates differences in the models used in our study, which are discussed in detail in sections 3.2.1 - 3.2.3. Models 1-3 successively increase in complexity and consider more factors. The approach of Andersen et al., (2015), referred to here as Model 3, is the most recent and complex in its consideration of the processes (e.g. effect of soil-cover on FCI) that are relevant for frost cracking. Given this, we focus our presentation of results in the main text here on Model 3, but for completeness describe below differences of Model 3 from earlier Models (1-2). For brevity, results from the earlier models are presented in the supplementary material. A flowchart illustrating our methods is presented in Fig. 5. Similar to previous studies, the hydrogeological properties of the bedrock (i.e. infiltration, water saturation, porosity and permeability) are ignored in this study. This approach provides a simplified means for estimating the FCI for underlying bedrock at a global scale.
3.2.1. Model 1: Frost cracking intensity as a function of time spent in the frost cracking window (FCW)

Model 1 represents the simplest approach and applies the method of Anderson (1998). In our application of this model, we use a more representative thermal diffusivity value for rocks of $1.5 \times 10^{-7} m^2 s^{-1}$, because the previous study was specific to granitic bedrock and applied a diffusivity specific to that. Furthermore, the boundary conditions of a low rock surface albedo ($\leq 0.1$) and presence of a high atmospheric transmissivity ($\geq 0.9$) on the surface were relaxed, as surface temperatures were used in our study instead of near-surface air temperatures.
For our implementation of model 1, we applied equation 1 for sinusoidal varying daily temperatures at the surface, and calculated temperatures up to 20 m depth. The number of days spent in the FCI window ($-8 \degree C < T < -3 \degree C$) for each depth interval were calculated over a period of 1 year for all time slices (PI, MH, LGM and PLIO):

$$FCl(z) = \begin{cases} N(z), & \text{if } -8\degree C < T(z, t) < -3\degree C \\ 0, & \text{else} \end{cases}$$

where $FCl(z)$ is referred to the frost cracking intensity at depth $z$. $N(z)$ indicates the number of days the bedrock (at depth $z$) spends in the FCI window over a period of 1 year.

Estimation of frost cracking intensity for each location included depth averaging of the FCI such that:

$$\tilde{FCl} = \frac{1}{D} \int_0^D FCI(z) \, dz$$

where $\tilde{FCl}$ is the integrated frost cracking intensity to a depth of $D = 20$ m. The unit of integrated frost cracking intensity in this model is Days. The FCI values are calculated for all model years separately and then averaged over the total time (15 years) for each paleoclimate time-slice.

### 3.2.2. Model 2: Frost cracking intensity as a function of subsurface thermal gradients

Model 2 applies the approach of Hales and Roering (2007) to estimate FCI using climate change driven variations in subsurface thermal gradients. This approach extends the work of Anderson (1998) with the additional consideration of segregation ice growth. Segregated ice growth is attributed to the migration of liquid water to colder regions in shallow bedrock, accumulating in localized zones to form ice lenses inducing weathering (Walder and Hallet, 1985).

To facilitate ice segregation growth, the model assumes the availability of liquid water ($T > 0 \degree C$) at either boundary ($z = 0$ m or $z = 20$ m), with a negative thermal gradient for a positive surface temperature, and a positive thermal gradient for the positive lower boundary ($z = 20$ m) temperature. This implementation supports frost cracking in the bedrock with temperatures between $-8 \degree C$ and $-3 \degree C$ (Hallet et al., 1991). In the case of permafrost areas, MAT is always negative, but as sinusoidal $T(z, t)$ is calculated based on MAT and $Ta$, a positive $T$ ($> 0 \degree C$) may occur during warmer days of the year. In addition, $Ta$ is higher for higher latitudes (Fig. 3), which are more prone to frost cracking.

The model is described as follows:

$$FCl(z, t) = \begin{cases} \frac{dT}{dz}(z, t), & \text{if } -8\degree C < T(z, t) < -3\degree C \\ 0, & \text{else} \end{cases}$$

$$\tilde{FCl} = \int_0^D \int_0^{D_t} FCl(z, t) \, dt \, dz$$

where $FCl(z, t)$ is the frost cracking intensity at depth $z$ and time $t$. It is an index for the absolute value of the thermal gradient at that particular depth and time that fulfils the conditions defined above.

In equation 5, $\tilde{FCl}$ represents the integrated FCI for a geographic location. More specifically, the FCI is integrated over one year at each depth and then integrated for all depth elements. $D$ represents depth (20 m), $Py$ is a period...
of the sinusoid (1 year), \( dt \) is the time interval (1 day) and \( dz \) is the depth interval, as described in section 3.1. The unit of integrated frost cracking intensity in this case is °C.

3.2.3. Model 3: Frost cracking intensity as a function of thermal gradients and sediment thickness

In the final (most complex) approach used in this study, the effect of an overlying soil layer (Fig. 1) is considered in addition to the subsurface thermal gradient variations with depth. This model applies the approach of Andersen et al. (2015), which extends the work of Hales and Roering (2007) and Anderson et al. (2013). The model assumptions are similar to the previous approaches. For segregation ice growth, it additionally considers the influence of the volume of water available in the proximity of an ice lens. The parameters used in Model 3 are listed below (Table 3).

### Table 3. Input parameters for Model 3 (Andersen et al., 2015)

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Description</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>( \Phi_S )</td>
<td>Porosity of soil</td>
<td>0.3</td>
</tr>
<tr>
<td>( \Phi_B )</td>
<td>Porosity of bedrock</td>
<td>0.02</td>
</tr>
<tr>
<td>( \gamma_{SW} )</td>
<td>Flow restriction in warm soil</td>
<td>1.0 m(^{-1})</td>
</tr>
<tr>
<td>( \gamma_{SC} )</td>
<td>Flow restriction in cold soil</td>
<td>2.0 m(^{-1})</td>
</tr>
<tr>
<td>( \gamma_{BW} )</td>
<td>Flow restriction in warm bedrock</td>
<td>2.0 m(^{-1})</td>
</tr>
<tr>
<td>( \gamma_{BC} )</td>
<td>Flow restriction in cold bedrock</td>
<td>4.0 m(^{-1})</td>
</tr>
<tr>
<td>( V_{CW} )</td>
<td>Critical water volume</td>
<td>0.04 m</td>
</tr>
</tbody>
</table>

In Model 3, frost cracking intensity is estimated as a product of the thermal gradient and volume of water available (\( V_w \)) for segregation ice growth at each depth element, such that:

\[
FCI(z, t) = \begin{cases} 
\frac{dT}{dz} (z, t) V_w(z), & \text{if } -8^\circ C < T(z, t) < -3^\circ C \\
0, & \text{else} \end{cases} \tag{6}
\]

where, \( FCI (z, t) \) is the frost cracking intensity in bedrock at depth \( z \) and time \( t \), and \( V_w (z) \) is the volume of water available for segregation ice growth. \( V_w (z) \) is estimated at each depth \( z \) by integrating the occurrence of unfrozen water along a path \( l \), starting at depth \( z \) and following a positive thermal gradient towards the ice lens. The volume of available water (\( V_w (z) \)) and total flow restriction \( I' (z') \), between the depth of occurrence of water \( z' \) and the location of segregation ice growth \( z \), are calculated using equations 7 and 8 respectively (Andersen et al., 2015):

\[
V_w(z) = \int_{z'}^l \phi(z') w_j(z') e^{-\gamma(z')} dz' \tag{7}
\]

\[
I'(z') = \int_{z'}^l \gamma(z'') dz'' \tag{8}
\]

where, \( l \) is the distance from depth \( z \) to the surface, lower boundary, or an interface where the thermal gradient changes sign (from positive to negative or vice versa). The penalty function \( e^{-\gamma(z')} \) (Anderson et al., 2013) is a function of the total flow restriction \( I'(z') \) at the depth \( z' \). Since segregation ice growth is exhibited at sub-zero

Deleted: It is calculated for each of the GCM model years and then averaged over the total time (15 years).
temperatures (below – 3°C) and liquid water is available at positive temperatures (T > 0°C), water must migrate through a mixture of frozen and unfrozen soil or the bedrock. The variables γ_sw, γ_sc, γ_w, γ_ac (defined in Table 3) represent the flow restriction parameters and were used in the model to approximate a range of permeabilities (Andersen et al., 2015), but do not explicitly simulate water transport. However, it is unclear if the inclusion of the penalty function leads to a better representation of frost cracking processes. Therefore, we conducted two sets of experiments for Model 3 that were conducted with, and without, the penalty function and are presented in section 4.1 and 4.2, respectively.

The soil porosity (φ_w = 0.3) is assumed to be higher than that of bedrock (φ_b = 0.02). V_w (z) is expected to be high due to the presence of unfrozen soil in the proximity of a frozen bedrock. Since Model 3 limits the positive effects of V_w to a critical water volume V_cw (Table 2), if V_w > V_cw, then V_w = V_cw, the expected high (> V_cw) values for V_w will not affect frost cracking any further.

Lastly, the integrated frost cracking intensity \( \dot{FCI} \) across Earth’s terrestrial surface was calculated by depth integration of the FCI averaged over a period of 1 year (Anderson et al., 2013):

\[
\dot{FCI} = \frac{1}{\Delta t} \int_{0}^{\Delta t} FCI(z, t) \, dt \tag{9}
\]

where, \( Py \) is 1 year and \( D \) is the maximum depth investigated (20 m). The unit of integrated FCI in this model is °Cm. Integrated FCI is calculated for each of the GCM simulation’s model years and then averaged over the total number of years (15 years).

4. Results

In the following, we document the general trends in the estimated FCI from Model 3 (Andersen et al., 2015) for all the paleoclimate time-slices (PI, MH, LGM, PLIO) based on the coupling of the above models to GCM output for these time slices. We present the results for the experiments conducted with and without the penalty function separately in sections 4.1 and 4.2, respectively. The FCI distribution is masked for the glaciated regions during specific paleoclimate time-slices, as the surface covered under ice-sheets is disconnected from atmospheric processes (Gräming et al., 2018). In the PLIO results, the regions that experienced Pleistocene glaciation are masked with the LGM glacier cover, as the assumption of comparable soil depths in these regions is heavily violated. Since spatial and temporal variations in frost cracking do not vary much between the three approaches, for brevity we focus our presentation of results on the most recent (Model 3 - Andersen et al., 2015) approach. The results of simpler approaches (Model 1, 2; Anderson 1998 and Hales and Roering, 2007) are presented in the supplementary material.

4.1. Model 3 - Scenario 1: FCI as a function of thermal gradient and soil thickness (with penalty function)

In this scenario, we estimate the global FCI distribution using Model 3 (Andersen et al., 2015) with the penalty function, which makes FCI dependent on the distance to water. The predicted global sum of FCI is greatest for the MH (~31,39977 °C m), followed by the PI (~30,3235 °C m), LGM (~23,8277 °C m) and PLIO (~21,6529 °C m). The correlation between FCI values and Ta is high (Pearson r: between 0.8 and 0.89) and statistically significant (using the 95% level as a threshold to determine significance). On the other hand, the correlation
between FCI and MATs is good in the LGM (Pearson r: -0.68), moderate in the PI and MH (Pearson r: -0.3 – -0.4), and poor in the PLIO (Pearson r: -0.04).

For all paleoclimate time slice experiments, the FCI predicted by Model 3 is in the range of 0 – 0.22 °C m at higher latitudes (30°N – 80°N and 45°S – 60°S) (Fig. 6). The maximum FCI values are observed in the higher latitudes (50°N – 80°N) and show the same pattern as variations in Ta when Ta exceeds 30°C. In the PI and MH simulations, the highest FCI is observed in North America (40°N – 55°N and 70°N – 80°N) and Eurasia (35°N – 50°N, 55°E – 80°E and 55°N – 80°N, 80°E – 180°E), with values ranging from ~0.08 °C m to ~0.2 °C m. Low FCI can be observed in South America, with values between 0.02 °C m and 0.05 °C m. This is consistent with results from models 1 and 2 (see supplement). In the LGM simulation, the highest FCI values are observed in Alaska, Turkmenistan, Uzbekistan, Eastern China and north-eastern latitudes in Eurasia (70°N – 80°N, 105°E – 180°E) with values ranging from ~0.08 °C m to ~0.2 °C m. In the Andes of South America, the frost cracking activity is restricted to the geographical range of 12°S – 55°S. The highest South American FCI values (~ 0.15 °C m to ~ 0.22 °C m) are predicted for the southern part of the continent (40°S – 50°S).

Figure 6. Model 3 (Scenario 1) predicted integrated FCI as a function of thermal gradient and sediment thickness (with the penalty function) for Pre-Industrial (top-left), Mid-Holocene (top-right), Late Glacial Maximum (bottom-left), and mid-Pliocene (bottom-right) times (unit: °C m). The grey areas in plots indicates the absence of frost cracking. For all time slices, the regions covered by ice were removed from the calculation and are highlighted in violet color (Bracannot et al., 2012). For the PLIO results, the LGM ice cover is used, since the assumption of modern soil depth is heavily violated in these regions.

In the mid-Pliocene, the maximum FCI values are predicted in the higher latitudes i.e., Alaska (~0.15 °C m – 0.22 °C m). Moderately high values are predicted for the northern latitudes of Eurasia (0.05 °C m – 0.16 °C m). Overall,
the magnitude of mid-Pliocene FCI is lower than that of all other investigated time slices. The only exception are some high-latitude regions (e.g. Alaska) that exhibit locally higher FCI values in the mid-Pliocene relative to the Pl. Negligible frost cracking is predicted for South America, which is consistent with the results of Model 1 (Anderson, 1998).

For all the time-slices, regions with positive MATs (0 °C to 15 °C) exhibit higher values of FCI where the sediment cover is thinner (e.g. Middle East Asia). In contrast, predictions of FCI in regions with negative MATs (-5 °C to -20 °C) and high Ta (30 °C to 40 °C) tend to be higher where sediment cover is thicker (e.g. North East Eurasia).

4.2. Model 3 - Scenario 2: FCI as a function of thermal gradient and soil thickness (without penalty function)

In this scenario, we estimate global FCI distribution using Model 3 (Andersen et al., 2015) without applying the penalty function (Fig. 7). The highest magnitude of frost cracking intensity is simulated for the PLIO (~53 °C m), followed by the MH (~47 °C m), PI (~45 °C m), and LGM (~43 °C m). However, the maximum global sum of FCI is observed in the MH (~31,397 °C m), followed by the PI (~30,223 °C m), LGM (~23,827 °C m) and PLIO (~21,652 °C m) simulations. Similar to the observations in Model 2 (see Supplement S.2), the FCI distribution is negatively correlated with MATs (Pearson r: between -0.4 and -0.5) and Ta (Pearson r: between 0.9 and 0.95). These correlations are significant (using the 95% threshold to determine significance).

In the PI simulations, the maximum FCI values are predicted for the mid-high latitudes (i.e., FCI: 21 – 44 °C m in 40 °N – 70 °N) of North America and Eurasia. Low to moderate frost cracking is predicted for South America (i.e., FCI: 6 – 18 °C m in 20 °S – 55 °S). The MH simulations predict a similar FCI pattern and FCI values that are slightly higher than in the PI (e.g., FCI: 21 – 47 °C m in 40 °N – 70 °N).

In the LGM simulation, major portions of North America and Europe are covered by ice-sheets and thus excluded from our frost cracking models. The simulations yield maximum FCI values for Alaska (i.e., 21 – 44 °C m) and the mid-high latitudes in Asia (i.e., FCI: 14 – 42 °C m in 35 °N – 65 °N), moderate FCI values in the peri-glacial regions in North America (i.e., FCI: 18 – 33 °C m in 35 °N – 42 °N), and low FCI values in South America (i.e., FCI: 4 – 18 °C m in 15 °S – 55 °S). In the PLIO simulation, major frost cracking activity is predicted for Alaska (i.e., 21 – 48 °C m) and the northern latitudes of Asia (i.e., FCI: 18 – 48 °C m in 30 °N – 80 °N). We do not observe any significant frost cracking in Europe, North America and South America in the PLIO simulations.
5. Discussion

In this section, we synthesize and interpret the global results of all the models, including scenarios with and without the penalty function in Model 3. For brevity, we limit our discussion of regional variations to Tibet, Europe and South America. For other regional areas of interest to readers, the data used in the following figures is available for download (see acknowledgements). Our presentation of selected regional areas is followed by the comparison of modeled FCI with published field observations. We also compare the model outcomes of all the three models used in the study. Finally, we discuss the study’s limitations.

5.1. Synthesis and Interpretation

This section comprises the synthesis and interpretation of the global trends in FCI values predicted by Model 1, 2, and 3 for the investigated paleoclimate simulations (PI, MH, LGM and PLIO). In all the paleoclimate simulations, high values of FCI in northern latitudes (60 °N – 80 °N) in Eurasia and North America coincide with lower MATs and permafrost extent in the LGM and present day. Furthermore, the soil thickness in some of these areas is as low as 10 – 20 cm in North America, and 40 – 50 cm in Eurasia. The higher values of FCI coincide with positive MATs (~10 °C – 20 °C) in the mid-high latitudes in North America, where Ta values are also high (25 °C – 30 °C) and soil cover thickness is in the range of 50 cm – 60 cm. However, the highest FCI was predicted in the Middle East, which experiences similar MATs and Ta values and has significantly thinner soil cover (~ 80 cm – 100 cm). This indicates that frost cracking is more prevalent in areas with positive MATs and thin or no soil cover. This confirms the findings of Hales and Roering (2007, 2009), and Anderson et al. (2013, 2015, 2015)).
Differences in FCI between PI and MH climate simulations

The differences in FCI between the PI and MH climate simulations are in the range of −0.04 °C m to 0.02 °C m on a global scale (Fig. 8a). The MH simulation yields higher FCI values for most regions except for parts of northern Asia, mid-western Europe, mid North America, the Andes Mountains and parts of Alaska and Tibet. These differences may be attributed to the slight changes in MATs in these regions. The PI – MH comparisons for Europe (Fig. 8b) reveal very small deviations in MH-FCI from PI conditions (ΔFCI = 0.02 °C m to 0.02 °C m). These changes are negative in Western Europe (including areas near the cities of Paris, Berlin and Rome), and positive in Eastern Europe (including Budapest, Kiev and Moscow). Tibet exhibits only small (−0.02 °C m).
predominantly positive MH-FCI deviations from PI conditions (Fig. 8c). The magnitude of PI-MH FCI differences in southwestern South America (Fig. 8d) is similar to that in other regions ($\Delta$FCI $\approx$ -0.02 °C m to 0.02 °C m).

Figure 8. Differences between (Model 3) predictions of Pre-Industrial and Mid-Holocene long-term FCI means (unit: °C m) for (a) the entire Earth surface, (b) Europe, (c) South Asia, and (d) South America. Glacial cover is highlighted in violet. City abbreviations: Tibet: Du – Dushamby, Nn – Srinagar, Ku – Xinjiang, Ka – Kathmandu, Lh – Lhasa, Na – Namche Barwa, Ch – Chenshangou; Europe: Pa – Paris, Be – Berlin, Mo – Moscow, Ki – Kiev, Ro – Rome, Bu – Budapest, Ma – Madrid; South America: LP – La Paz, Ar – Arica, Sa – Santiago. The regions covered by ice were removed from the calculation and are highlighted in violet color (Bracannot et al., 2012).

5.2.2. Differences in FCI between PI and LGM climate simulations

The differences in FCI between PI and LGM on global scale (Fig. 9a) are highest in the mid-high latitudes (~42°N) in North America ($\Delta$FCI $\approx$ 0.08 °C m) and northern Asia (~75°N) ($\Delta$FCI $\approx$ 0.07 °C m). The close proximity of these regions to the glacier cover in the LGM highlights the possibility of the presence of periglacial environments that support frost cracking (Marshall et al., 2015) during the PI. This is also observed in the mid-high latitudes in Asia (30°N – 50°N) ($\Delta$FCI $\approx$ 0.04 °C m), which may be attributed to the positive MATs in this region during the PI simulation.

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Moved up [1]: Figure 8.

Moved down [4]: Tibet exhibits only small (~0.02 °C m), predominantly positive MH-FCI deviations from PI conditions (Fig. 8c).

Deleted: The differences in FCI between the PI and MH climate simulations are the range of ~0.04 °C m to 0.02 °C m. The MH simulation yields higher FCI values for most regions except for parts of northern Asia, mid-western Europe, mid North America, Alaska, the Andes Mountains and Tibet. These differences may be attributed to the slight changes in MATs in these regions. The differences between PI and LGM FCI values are highest in the high latitudes (Fig. 7) in North America ($\Delta$FCI $\approx$ 0.16 °C m) and northern Europe ($\Delta$FCI $\approx$ 0.04 °C m). This is likely due to continental glaciation in these areas (Supplement Fig. 3) leading to low or no frost cracking during LGM. In the mid-high latitudes (~50°N to 70°N) of Northern Asia, LGM FCI values are higher than in PI FCI values ($\Delta$FCI $\approx$ -0.06 °C m). This can be attributed to an absence of glacial cover and higher Ta values (Fig. 3) in this region during the LGM. However, the LGM FCI values are higher than in the PI simulation ($\Delta$FCI $\approx$ 0.04 °C m) in the mid-high latitudes in Asia (30°N – 50°N), which may be attributed to the positive MATs in this area during the PI simulation.

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Figure 9. Differences between (Model 3) predictions of Pre-Industrial and Last Glacial Maximum long-term FCI means (unit: °C m) for (a) the entire Earth surface, (b) Europe, (c) South Asia, and (d) South America. Glacial cover is highlighted in violet. City abbreviations: Tibet - Du - Dushambe, Na - Srinagar, Ku - Xinjiang, Ka - Kathmandu, Lh - Lhasa, Na - Namche Bawa, Ch - Chenshangou, Europe: Pa - Paris, Be - Berlin, Mo - Moscow, Ki - Kiev, Ro - Rome, Bu - Budapest, Ma - Madrid; South America: LP - La Paz, Ar - Arica, An - Antofagasta, Sa - Santiago.

The regions covered by ice were removed from the calculation and are highlighted in violet color (Bracannot et al., 2012).

However, in the higher latitudes of Asia (~50°N to 70°N) and South America (~40°S to 50°S), the LGM experiences more frost cracking than the PI ($\Delta$FCI $\approx$ -0.03 to -0.06 °C m). This can be attributed to higher Ta values (Fig. 3) in these regions during the LGM. In central Europe (Fig. 9b), including Paris, Budapest and Kiev, the PI shows higher FCI ($\Delta$FCI $\approx$ -0.02 to -0.06 °C m) than the LGM. On the other hand, the LGM simulations predict higher FCI ($\Delta$FCI $\approx$ -0.02 to -0.06 °C m) in southern Europe (including Madrid and Rome). Overall, the Tibetan Plateau experiences higher FCI values ($\Delta$FCI $\approx$ 0.06 °C m) during the PI (Fig. 9c). Only in the eastern part of Tibet, near Lhasa, LGM FCI values are higher ($\Delta$FCI $\approx$ 0.04 °C m). In South America (Fig. 9d), the LGM yields lower FCI values ($\Delta$FCI $\approx$ -0.06 °C m) in the Andes Mountains, and the PI simulation yields lower FCI values ($\Delta$FCI $\approx$ -0.06 °C m) in the east of the Andes Mountains in the southern part of the region (40°S – 50°S).

5.2.3. Differences in FCI between PI and PLIO climate simulations
Figure 10. Differences between (Model 3) predictions of Pre-Industrial and Pliocene long-term FCI means (unit: °C m) for (a) the entire Earth surface, (b) Europe, (c) South Asia, and (d) South America. Maximum Pleistocene glacial cover is highlighted in violet. City abbreviations: Tibet: Du – Dushambe, Nn – Srinagar, Ku – Xinjiang, Ka – Kathmandu, Lh – Lhasa, Na – Namche Barwa, Ch – Chenshangou; Europe: Pa – Paris, Be – Berlin, Mo – Moscow, Ki – Kiev, Ro – Rome, Bu – Budapest, Ma – Madrid; South America: LP – La Paz, Ar – Arica, An – Antofagasta, Sa – Santiago. The regions covered by ice were removed from the calculation and are highlighted in violet color (Bracannot et al., 2012).

Frost cracking is higher in the PI than in the PLIO (Fig. 10a) (ΔFCI ≈ 0.04 – 0.08 °C m) in the mid-to-high latitudes of Europe and North America (35°N – 55°N), and in higher latitudes in Asia (50°N – 80°N). This can be attributed to the warmer climate during PLIO and high Ta (Fig. 3) in the PI simulation. However, the PLIO exhibits marginally higher frost cracking in some regions of Asia and Alaska, where MATs are in the range of 0 – 5 °C.

In central to southern Europe, including Madrid, Paris, Rome, Budapest and Kiev, PI-FCI values are moderate (ΔFCI = 0.02 °C m - 0.06 °C m). On the Tibetan Plateau (Fig. 10c), PI-FCI values are higher (ΔFCI ≈ 0.04 °C m) over most of the region, except for the eastern slopes of Himalayas, where PLIO-FCI values are higher than European region (ΔFCI ≈ -0.04 °C m). South America experienced largest differences in FCI (ΔFCI ≈ 0.02 °C m to 0.08 °C m) (Fig. 10d). This is likely caused by high temperatures in the Pliocene (Mutz et al., 2018), which prevented the bedrock in the mid-latitude regions of South America to reach the FCW.

In summary, the comparison of differences between paleo-FCI and PI-FCI indicate a low impact of changing...
surface temperatures between the PI and MH simulations on frost cracking. This is not surprising given the relatively small climatological differences between the simulations. The differences in FCI between the PLIO and PI are more varied, but generally greater. The LGM simulation produced the greatest differences in FCI with respect to the PI simulation. These differences can be attributed to increased glaciation and a much colder climate in higher latitudes, including North America and Europe. High LGM-FCI values were exhibited east of the Andes Mountains in the southern part of South America, possibly due to lower MATs (Fig. 2) and high Ta values (~ 20 °C – 25 °C) (Fig. 3) during the LGM. The above interpretations are in agreement with Mutz et al. (2018) and Mutz and Ehlers (2019) who suggested minor deviation of MH MATs from PI values for these regions, and higher deviations in the LGM and PLIO simulations.

Comparison to previous studies

In this section, we discuss the broad trends of modeled FCI in the context of variations in MAT, Ta, and water availability. We do this to document how these changes compare to findings of previous studies. We found that FCI and Ta are highly (and significantly) correlated in our models. For example, Model 3 (scenario 1) results yield significant Pearson r values in the range of 0.8 – 0.9. This is consistent with findings by Rempel et al. (2016), who suggested that for the same MAT and rock properties, FCI is expected to be higher for regions with higher Ta, as steeper temperature gradients supports more liquid transport. Walder and Hallet (1985) suggested that FCI is higher for moderately low, negative MATs and that frost cracking in cold regions could persist due to water transport in cold bedrock. The assumption of positive temperatures (and availability of liquid water) at either boundary (i.e. at surface and 20 m depth) in Models 1, 2 and 3 is inconsistent with above statement. The inclusion of a penalty function, which represents the dependency of FCI on distance to water, leads to higher global sums of FCI during colder climates. More specifically, the inclusion of the penalty function predicts LGM-FCI values to be 20% higher than in the PI. This is in line with studies of global chemical weathering fluxes (Gibbs and Kump, 1994; Ludwig et al., 1999). Finally, recent work (Marshall et al., 2015a, 2017) for Western Oregon, USA, suggested that periglacial processes were vigorous during the LGM, which is supported by our model showing increased FCI values in the LGM (see Fig. 9a) for periglacial regions (42°N – 44°N; 115°W – 125°W) in North America. Taken together, previous studies are consistent with the broad trends in FCI predicted by our global analysis.

5.4. Inter-comparison of Models 1-3

A comparison of the FCI predicted by the three models for the different time slices highlights some key differences (Fig. 6, and supplement Figs. 1, 2). The pattern of global sums in FCI values in specific time-slices is different in all the three models, which can be accredited to different inputs considered in each model. These inputs include the availability of water for frost cracking by segregation ice growth, and the volume of available water (with and without consideration of distance to water). For example, Model 1, Model 2, Model 3 (scenario 1: with penalty function), and Model 3 (scenario 2: without penalty function) predict the global sum of FCI to be greatest in the PI, MH, LGM and MH, respectively.

Model 1 predicts the maximum FCI values in the regions with MATs in the range of -10 °C to -5 °C, relatively low FCI values in regions with MATs of -5 °C – 0 °C, and very low values in regions characterized by high MATs above 0 °C. In contrast, Model 2 (Supplement Fig. 2) and Model 3 yield maximum FCI values for positive MATs with high Ta, as observed in previous studies (Anderson et al., 2015; Anderson et al., 2013; Hales and Roering, 2007).
In Model 3, the soil thickness plays an important role in the estimation of the FCI. The model predicts high FCI values for areas with low soil thickness, such as < 5 cm in Eurasia (55 °E – 80 °E, 35 °N – 50 °N) and 10 cm to 20 cm for North America (50 °N – 63 °N; 70 °N – 80 °N). This result is in close agreement with Andersen et al. (2015). Due to the lower penetration depths of the freezing front, the FCI is considerably dampened in the presence of the soil cover, thereby limiting the bedrock from reaching FCW in cases of positive MATs (Andersen et al., 2015).

The spatial pattern of frost cracking in Model 3 is influenced by consideration of segregation ice growth, in which the available volume of water (Vw) in the vicinity of an ice lens is critical. Segregation ice growth and sediment cover are responsible for the observed patterns in FCI. The other models considered (see supplement Fig. 1, 2) do not explicitly account for both these processes and therefore produce different predictions of the FCI in some regions.

5.5. Model Limitations

Here we discuss the limitations of the 3 frost cracking models and uncertainties stemming from the application of the ECHAM5 simulations as input to these models. One of the most important limitations in this study is the use of the same soil thickness for each of our paleoclimate time-slices (Wieder, 2014). In reality, the soil thickness may be different for PL, MIH, LGM, and PLIO due to erosion and sedimentation, and temporal variations in soil production. However, there are currently no other global estimates of paleo soil thickness available. Therefore, using present-day thickness remains the best-informed and feasible approach. Nevertheless, we stress that our modelled FCI values should be regarded as the predicted FCI response to climate change without consideration of weathering – soil thickness dynamics. Furthermore, uniform thermal diffusivity and porosity were used for bedrock and sediment cover over the globe for simplification, even though thermal diffusivity and porosity vary for different Earth materials. The application of different thermal diffusivities for individual lithologies was not considered, although typical thermoconductivity variations of rocks can vary by a factor of 2-3 at the most (Ehlers, 2005). In addition, our models neglect the hydrogeological properties of bedrock, including moisture content and permeability for the calculation of subsurface temperature variations, which may influence water availability for frost cracking. To the best of our knowledge, there are no global inventories of these properties that are suited for studies such as ours. In our approach, we assume that these material properties are spatially and temporally constant. As a result, our predictions are only suited as adequate representations of regional trends in FCI, and the reader is advised that local deviations from our values are likely and will depend on near surface geologic and hydrologic variations. Although the GCM simulations presented are at a high-resolution (from the perspective of the climate modeling community) they are nevertheless coarse from the perspective of local geomorphic processes. The coarse spatial resolution of our models raises several issues for more detailed geomorphic analyses. More specifically, in regions with bare bedrock, the model assumes the presence of a soil layer with 30% porosity, which compromises our model results. Furthermore, the coarse spatial resolutions of the paleoclimate simulations (a ~ 80 x 80 km horizontal grid) and low soil thickness spatial resolution (5 km) complicates the consideration of subgrid variations in regions characterised by complex and high topography (e.g. European Alps, Himalayas or Andes). For future studies in such terrain, this problem may be addressed by regional climate downscaling (e.g. Fiddes and Gruber, 2014 and Wang et al., 2021) and the use of high resolution lithologic, and soil distribution
data (when available). A further source of uncertainties stems from possible inaccuracies in paleoclimate estimates that drive the frost cracking models. The reader is referred to Mutz et al. (2018) for further discussion of the GCM’s limitations. Given the above limitations, we cautiously highlight that the results presented here are essentially maps of FCI sensitivity to climate change forcing. Although broad agreement is found between our predictions and previous work (Section 5.5), we caution that geologic and hydrologic complexities in the ‘real world’ may produce variations in FCI driven by hydrologic and geologic heterogeneities we are unable to account for.

Finally, it is worth noting that only selected time slices were evaluated here. Although the LGM was a significant global glacial event, previous (and more extreme) ice ages occurred in the Quaternary. Therefore, the spatial patterns of FCI predicted here may not match observations in all areas, particularly where they have a ‘periglacial hangover’ of frost cracking from previous glaciations.

6. Conclusions

We presented three approaches to quantify the frost cracking intensity (FCI) for different times in the Late Cenozoic, namely pre-industrial (PI, ~1850 CE), Mid-Holocene (MH, ~6 ka), Las Glacial Maximum (LGM, ~21 ka) and mid-Pliocene (PLIO, ~3 Ma). These approaches are based on process-informed frost cracking models and their coupling to paleoclimate simulations (Mutz et al., 2018). A simple one-dimensional heat conduction model (Hales and Roering, 2007) was applied along with FCI estimation approaches from Anderson (1998) and Andersen et al. (2015). Our analysis and presentation of results focused on the most recent and more thoroughly parameterized approach of Andersen et al., (2015; Model 3). Specifically, we quantified the change in direction and magnitude of FCI in the above-mentioned climate states with respect to the PI control simulation. The major findings of our study include:

1. The latitudinal extent of frost cracking in the PI and MH are very similar, in Eurasia (28 °N – 80 °N), North America (40 °N – 80 °N) and South America (20 °S – 55 °S). During the LGM, the FCI extent is reduced in Eurasia (28 °N – 78 °N) and North America (35 °N – 75 °N), and increased in South America (15 °S – 55 °S). This can be attributed to extensive glaciation in the northern parts of Canada, Greenland and Northern Europe not favoring the frost cracking process due to more persistently cold conditions in these regions. In the PLIO, the FCI extent is similar to that of PI in Eurasia (30 °N – 80 °N) and North America (40 °N – 85 °N). PLIO-FCI values are higher in Canada (~ 0.16 °C m to 0.18 °C m) and Greenland (~ 0.08 °C m), but significantly reduced in South America (21 °S – 55 °S) with values of FCI below 0.02 °C m.

2. MH climatic conditions induce only small deviations of FCI from PI values, whereas the colder (LGM) and warmer (PLIO) climates produce larger FCI anomalies, which are consistent with the findings of Mutz and Ehlers, (2019).

3. Global sums of the FCI predicted by Model 3 - scenario 1, which is based on Andersen et al., (2015) which makes FCI dependent on distance to water, are highest for the LGM. Our models predict a global FCI increase of 22% (relative to PI) in non-glaciated regions for this time period. The predicted changes in FCI presented here do not entirely confirm our hypothesis that: Late Cenozoic global climate change resulted in varying intensity in FCI such that more intense frost cracking occur at lower latitudes during colder climates. Of particular interest is that although we document latitudinally influenced spatial and
temporal changes in FCI, these changes are not uniform at the same latitude. The largest changes in FCI between time slices occur in different geographic regions at different time periods meaning that a more simplified approach of assuming only latitudinal shifts in FCI between cold and warm periods is not sufficient and that spatial changes in global climate need to be considered. Finally, we suggest that Model 3 can be adapted in future work to regional conditions, using field geological and hydrogeological parameters for better accuracy (Andersen et al., 2015). The results of this study can further be used in modelling the erosion and denudation processes related to frost cracking, or for the interpretation of catchment average erosion rates from cosmogenic radionuclides data. Predictions for potential future sites that are prone to hazards related to frost cracking, such as rockfall, can be generated by coupling these models to climate simulations forced with different greenhouse gas concentration scenarios representing different possible climate conditions of the future.

Code availability

The code and data used in this study are freely available upon request.

Author contributions

HS, SM and T.A.E designed the initial model setup and simulation programs and conducted model modifications, simulation runs and analysis. HS and TAE prepared the manuscript with contributions from SM.

Competing interests

The authors declare that they have no competing interests.

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