# The Effects of Late Cenozoic Climate Change on the Global

## 2 Distribution of Frost Cracking

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- 6 **Abstract.** Frost cracking is a dominant mechanical weathering phenomenon facilitating the breakdown of bedrock
- 7 in periglacial regions. Despite recent advances in understanding frost cracking processes, few studies have
- 8 addressed how global climate change over the Late Cenozoic may have impacted spatial variations in frost
- 9 cracking intensity. In this study, we estimate global changes in frost cracking intensity (FCI) by segregation ice
- growth. Existing process-based models of FCI are applied in combination with soil thickness data from the
- Harmonized World Soil Database. Temporal and spatial variations in FCI are predicted using surface temperatures
- 12 changes obtained from ECHAM5 general circulation model simulations conducted for four different paleoclimate
- 13 time-slices. Time-slices considered include Pre-Industrial (~1850 CE, PI), Mid-Holocene (~6 ka, MH), Last
- 14 Glacial Maximum (~21 ka, LGM) and Pliocene (~3 Ma, PLIO) times. Results indicate for all paleoclimate time
- 15 slices that frost cracking was most prevalent (relative to PI times) in the mid to high latitude regions, as well as
- high-elevation lower latitudes areas such the Himalayas, Tibet, European Alps, the Japanese Alps, the USA Rocky
- 17 Mountains, and the Andes Mountains. The smallest deviations in frost cracking (relative to PI conditions) were
- observed in the MH simulation, which yielded slightly higher FCI values in most of the areas. In contrast, larger
- deviations were observed in the simulations of the colder climate (LGM) and warmer climate (PLIO). Our results
- 20 indicate that the impact of climate change on frost cracking was most severe during the PI LGM period due to
- 21 higher differences in temperatures and glaciation at higher latitudes. The PLIO results indicate low FCI in the
- 22 Andes and higher values of FCI in Greenland and Canada due to the diminished extent of glaciation in the warmer
- 23 PLIO climate.
- 24 **Keywords:** Climate Change, frost cracking, physical weathering, Pre-Industrial, Mid-Holocene, Last Glacial
- 25 Maximum, Pliocene

#### 26 1. Introduction

- 27 Climate change, mountain building, and erosion are closely linked over different spatial and temporal scales (e.g.
- 28 Whipple, 2009; Adams et al., 2020). Over million year timescales, mountain building alters global climate by
- 29 introducing physical obstacles to atmospheric flow (Raymo and Ruddiman, 1992) that influences regional
- temperatures and precipitation (Botsyun et al., 2020; Ehlers and Poulsen, 2009; Mutz et al., 2018; Mutz and Ehlers,
- 31 2019). Over decadal to million-year time scales, climate change impacts the erosion of mountains in several ways,
- 32 such as through the modification of vegetation cover (e.g. Acosta et al., 2015; Schmid et al., 2018; Werner et al.,
- 33 2018; Starke et al., 2020; Schaller and Ehlers, 2022), and through its influence on physical and chemical
- weathering processes, as well as glacial, fluvial and hillslope erosion (e.g. Valla et al., 2011; Herman et al., 2013;
- Lease and Ehlers, 2013; Perron, 2017). Climate change from the Late Cenozoic to present has played an important

36 role in eroding mountain topography and lowland sedimentation (Hasler et al., 2011; Herman and Champagnac, 37 2016; Marshall et al., 2015; Peizhen et al., 2001; Rangwala and Miller, 2012). Climate change influences surface processes through not only precipitation changes, but also through seasonal temperature changes that affect 38 39 physical weathering mechanisms, such as frost cracking (Anderson, 1998; Delunel et al., 2010; Hales and Roering, 40 2007; Walder and Hallet, 1985). Critical cracking occurs when the pressure of freezing (and expanding) water in 41 pore walls or fractures exceeds the cohesive strength of the porous media and causes cracks to propagate 42 (Davidson and Nye, 1985). However, subcritical cracking can also occur without exceeding thresholds (Eppes 43 and Keanini, 2017). Frost cracking is a dominant mechanism of weathering in periglacial regions (Marshall et al., 44 2015), and typically occurs at latitudes greater than 30°N and 30°S or at high elevations. 45 Previous field studies of frost cracking in mountain regions includes studies in, for example, the Japanese Alps 46 (Matsuoka, 2001), Southern Alps of New Zealand (Hales and Roering, 2009), Swiss Alps (Amitrano et al., 2012; 47 Girard et al., 2013; Matsuoka, 2008; Messenzehl et al., 2017), French Western Alps (Delunel et al., 2010), Italian 48 Alps (Savi et al., 2015), Eastern Alps (Rode et al., 2016), Austrian Alps (Kellerer-Pirklbauer, 2017), Oregon 49 (Marshall et al., 2015; Rempel et al., 2016), and the Rocky Mountains, USA (Anderson, 1998). These studies 50 demonstrated clear relationships between changes in near-surface air temperatures and frost cracking. Various 51 models have also been developed to estimate frost cracking intensity (FCI) using the mean annual air temperatures 52 (MAT) (Andersen et al., 2015; Anderson, 1998; Anderson et al., 2013; Hales and Roering, 2007; Marshall et al., 53 2015) and in some cases, with the additional consideration of sediment thickness variations over bedrock 54 (Andersen et al., 2015; Anderson et al., 2013). These studies document the importance of time spent in the frost 55 cracking window (FCW) for the frost-cracking intensity (FCI) of a given area. The assumption of FCW is based 56 on the premise that frost cracking occurs in response to segregation ice growth in bedrock when subsurface temperatures are between -8 °C and -3 °C (Anderson, 1998). However, this assumption is not supported by 57 58 physical models (e.g. Walder and Hallet, 1985), field data (e.g. Girard et al., 2013; Draebing et al., 2017) or lab 59 simulations (e.g. Murton et al., 2006). The FCW depends on rock strength and crack geometry (Walder and Hallet, 60 1985), and thus spatial variations are expected due to lithological changes. More complex models consider near 61 surface thermal gradients as a proxy of the frost cracking intensity for segregation ice growth, as well as the effects 62 of overlying sediment layer thickness on frost cracking (Andersen et al., 2015). 63 The previous studies provide insight into not only observed regional variations in frost cracking, but also some of 64 the key processes required for predicting frost cracking intensity. However, despite recognition that Late Cenozoic 65 global climate change impacts surface processes (e.g. Mutz et al., 2018; Mutz and Ehlers, 2019) and frost-cracking 66 intensity (e.g. Marshall et al., 2015), to the best of our knowledge, no study has taken full advantage of climate 67 change predictions in conjunction with a process-based understanding of the spatiotemporal variations in frost 68 cracking on a global scale. This study builds upon previous work by estimating the global response in FCI to 69 different end-member climate states. Here, we complement previous work on the effects of climate on surface 70 processes by addressing the following hypothesis: If Late Cenozoic global climate change resulted in latitudinal 71 variations in ground surface temperatures, then the intensity of frost cracking should temporally and spatially vary 72 in such a way that leads to the occurrence of more intense frost cracking at lower latitudes during colder climates. 73 We do this by coupling existing frost-cracking models to high-resolution paleoclimate General Circulation Model 74 (GCM) simulations (Mutz et al., 2018). More specifically we apply three different frost-cracking models that are 75 driven by predicted surface temperature changes from GCM time-slice experiments including (a) the Pliocene

- 76 (~3 Ma, PLIO), considered an analog for Earth's potential future due to anthropogenic climate change, (b) the
- 77 Last Glacial Maximum (~21 ka, LGM), covering a full glacial period, (c) the Mid-Holocene (~6 ka, MH) climate
- 78 optimum, and (d) Pre-Industrial (~1850 CE, PI) conditions before the onset of significant anthropogenic
- 79 disturbances to climate.

#### 2. Data

This manuscript builds upon, and uses, paleoclimate model simulations we previously published for different time periods (Mutz et al., 2018; Mutz and Ehlers, 2019). The output from those simulations was used for new calculations of FCI described below. More specifically, the climate and soil dataset used for this study includes simulated daily land surface temperatures (obtained from the Mutz et al. (2018) simulations) for different paleoclimatic time-slice experiments (PI, MH, LGM and PLIO) conducted with the GCM ECHAM5 simulations, and soil thickness data (Wieder, 2014). Due to the lack of paleo soil thickness data, global variations in soil thickness are assumed to be uniform between all time-slices investigated. The reader is advised that this assumption has limitations and would introduce uncertainty in the model results as past weathering would alter soil thickness and hence influence further weathering. However, as the main goal of this study is to simulate and analyze the climate change effect for global FCI changes in different palaeoenvironmental conditions, we keep the soil thickness constant. In addition, there are no data sets available for past soil thicknesses that would allow circumventing the approach used here. Given this, we use a present-day dataset for soil thickness due to the

The ECHAM5 paleoclimate simulations were conducted at a high spatial resolution (T159, corresponding roughly to a 80km x 80km horizontal grid at the equator) and 31 vertical levels (to 10hPa). ECHAM5 was developed at the Max Planck Institute for Meteorology (Roeckner et al., 2003). It is based on the spectral weather forecast model of ECMWF (Simmons et al., 1989) and is a well-established tool in modern and paleoclimate studies. The ECHAM5 paleoclimate simulations by Mutz et al. (2018) were driven with time-slice specific boundary conditions derived from multiple modeling initiatives and paleogeographic, paleoenvironmental and vegetation reconstruction projects (see Table 1). Details about the boundary conditions and prevailing climates for specific time-slices (PI, MH, LGM and PLIO) are provided in Mutz et al. (2018). Each simulated time-slice resulted in 17 simulated model years, where the first two years contained model spin up effects and were discarded. The remaining 15 years of simulated climate were in dynamic equilibrium with the prescribed boundary conditions and used for our analysis.

absence of paleo soil data.

### Table 1. Boundary Conditions of the paleoclimate simulations (Mutz et al., 2018).

<b>Paleoclimate Simulations</b>	<b>Boundary Conditions</b>		
PI (~ 1850)	<ul> <li>Sea-Surface temperatures (SST) and sea-ice concentrations (SIC) were sourced from transient coupled ocean-atmosphere simulations (Dietrich et al., 2013; Lorenz and Lohmann, 2004)</li> </ul>		
	• Green-house gas (GHG) concentrations (Dietrich et al., 2013) were obtained from ice-core-based reconstructions of CO <sub>2</sub> (Etheridge et al., 1996), CH (Etheridge et al., 1998), and N <sub>2</sub> O (Sowers et al., 2003)		
MH (~ 6 ka)	<ul> <li>SST and SIC are derived from a transient, low resolution, coupled atmosphere-ocean simulation of the mid (6 ka) Holocene (Lohmann et al. 2013; Wei and Lohmann, 2012)</li> <li>GHG concentrations (Dietrich et al., 2013) are obtained from ice-core-based reconstructions of CO<sub>2</sub> (Etheridge et al., 1996), CH<sub>2</sub> (Etheridge et al., 1998) and N<sub>2</sub>O (Sowers et al., 2003)</li> <li>Global vegetation maps are based on plant functional types maps by the BIOME 6000 / Palaeovegetation Mapping Project (Prentice et al., 2000 Harrison et al., 2001; Bigelow et al., 2003; Pickett et al., 2004) and mode predictions by Arnold et al. (2009)</li> <li>Orbital parameters from Dietrich et al., (2013)</li> </ul>		
	• Land-sea distribution and ice sheet extent and thickness are based on the PMIP III guidelines (Abe-Ouchi et al., 2015)		
	• SST and SIC are based on GLAMAP (Sarnthein et al., 2003) and CLIMAP (CLIMAP group members, 1981) reconstructions		
LGM (~ 21 ka)	• GHGs concentrations are prescribed following Otto-Bliesner et al. (2006)		
	• Global vegetation maps are based on plant functional types maps by the BIOME 6000 / Palaeovegetation Mapping Project (Prentice et al., 2000 Harrison et al., 2001; Bigelow et al., 2003; Pickett et al., 2004) and mode predictions by Arnold et al. (2009)		
	• Orbital parameters from Dietrich et al., (2013)		
PLIO (~3 Ma)	<ul> <li>Surface conditions (SST, SIC, sea land mask, topography and ice cover) GHG concentrations and orbital parameters are obtained from the PRISM project (Haywood et al., 2010; Sohl et al., 2009; Dowsett et al., 2010)</li> <li>PRISM vegetation reconstruction converted to ECHAM5 compatible plan functional types following Stepanek and Lohmann (2012)</li> </ul>		

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<sup>\* (</sup>SST: Sea Surface Temperature; SIC: Sea Ice Concentration; GHG: Greenhouse Gas; PMIP III: Paleoclimate

<sup>116</sup> Modelling Intercomparison Project, phase 3; PRISM: Pliocene Research, Interpretation and Synoptic Mapping).

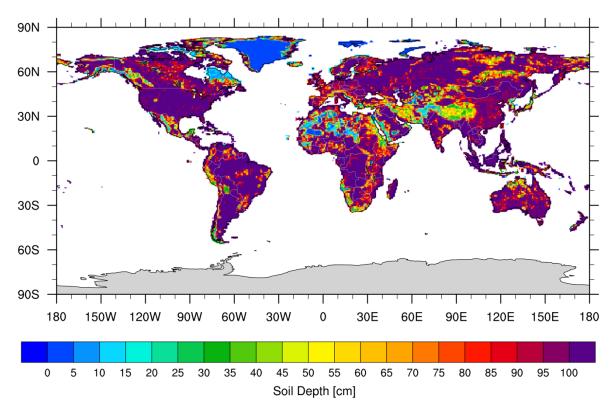


Figure 1. Soil depth map from the Harmonized World Soil Databased (HWSD, version 1.2) used in this study (Wieder, 2014). Due to the paucity of some data inputs for paleoclimate time-slices (e.g. soil thickness, rock properties, hydrology, etc.), the simulations assume present day values.

Soil thickness data was obtained from the re-gridded Harmonized World Soil Database (HWSD) v1.2 (Wieder, 2014) which has a 0.05-degree spatial resolution and depths ranging from 0 *m* to 1 *m* (Fig. 1). The above soil thickness data was upscaled to match the spatial resolution of the ECHAM5 paleoclimate simulations (T159, ca. 80km x 80km).

#### 3. Methods

In this section we present the pre-processing of GCM paleo-temperature data for the calculation of mean annual temperatures (MAT) and the half amplitude of annual temperature variations (Ta). This is followed by the description of the models (simpler to complex) that were applied to generate first order (global) estimation of annual depth integrated FCI for selected Cenozoic time-slices.

#### 3.1. Pre-processing of GCM simulation temperature data

We calculated the mean annual land surface temperatures (MAT) to serve as input for subsequent calculations and a reference for differences in global paleoclimate. The MAT's for the paleoclimate GCM experiments (PLIO, LGM, MH, and PI) were calculated (Fig. 2) from each of the simulations' 15 years of daily land surface temperature values. In addition, the half amplitude of annual surface temperature variations (Ta) was extracted at all surface grid locations for all years (Fig. 3). We use the MAT for ground surface temperature in subsequent

calculations, following Anderson et al., (2013), Marshall et al., (2015), and Rempel et al., (2016). The maxima and minima for global average MAT's and Ta's for all the time-slices are shown in Table 2.

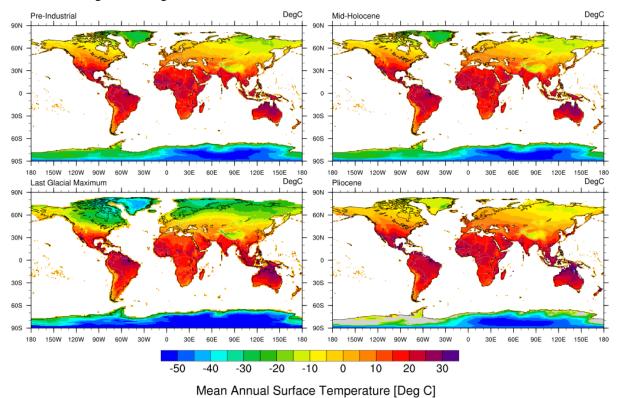


Figure 2. Mean Annual Surface Temperature maps (15-year average) from the ECHAM5 GCM simulations for the Pre-Industrial (top-left), Mid-Holocene (top-right), Last Glacial Maximum (bottom-left), and mid-Pliocene (bottom-right) (unit: °C). These are calculated from GCM simulation output of Mutz et al. (2018) and Mutz and Ehlers (2019).

Table 2. MAT and Ta (for ground surface temperature) for Pre-Industrial, Mid-Holocene, Last Glacial Maximum and Pliocene simulations.

Time-slices	MAT (°C)		Ta (°C)	
(Paleoclimate Simulations)	Minimum	Maximum	Minimum	Maximum
Pre-Industrial (~ 1850)	-58	34	0	39
Mid-Holocene (~ 6 ka)	-58	35	0	40
Last Glacial Maximum (~21 ka)	-67	39	0	42
Pliocene (~3 Ma)	-56	48	0	43

The calculation of temporally varying sub-surface temperatures follows the approach of Hales and Roering (2007) and uses the analytical solution for the one-dimensional heat conduction equation (Turcotte and Schubert, 2014) forced with daily temperatures following sinusoidal variations. While daily paleo-temperatures can be obtained from Mutz et al. (2018), the daily variations produced by the GCM cannot be validated as well as seasonal or annual means. To avoid overinterpretation of the GCM simulations, we refrained from using daily paleo-

temperatures from Mutz et al. (2018) and instead use sinusoidal daily temperatures. Temperature variations with depth and time were calculated at each GCM grid point as:

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$$T(z,t) = MAT + Ta \cdot e^{-z\sqrt{\frac{\pi}{\alpha P_y}}} \cdot sin\left(\frac{2\pi t}{P_y} - z\sqrt{\frac{\pi}{\alpha P_y}}\right)$$
 (1)

where, T represents daily subsurface temperature at depth z (m) and time t (days in a year), MAT and Ta represent mean annual surface temperature and half amplitude of annual temperature variation respectively,  $P_y$  is the period of the sinusoidal cycle (1 year), and  $\alpha$  is the thermal diffusivity. Thermal diffusivity values near the Earth's surface can range from  $1-2\times 10^{-6}m^2s^{-1}$  for most rocks (Anderson, 1998) and range between  $7-10\times 10^{-7}m^2s^{-1}$  for other Earth materials comprising the overlying sediment layer (Eppelbaum et al., 2014). In this study, we used a thermal diffusivity of  $1.5\times 10^{-6}m^2s^{-1}$  for bedrock and  $8\times 10^{-7}m^2s^{-1}$  for the overlying sediment layer. The maximum depth investigated here is 20 m, as it is slightly deeper than the maximum frost penetration depth of ~14 m reported by (Hales and Roering, 2007).

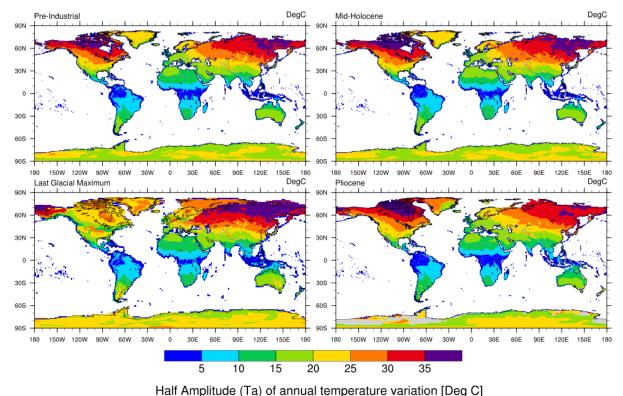


Figure 3. Half Amplitude of Annual Temperature Variation (15-year average) for the Pre-Industrial (top-left), Mid-Holocene (top-right), Last Glacial Maximum (bottom-left), and Pliocene (bottom-right) (unit: °C). These are calculated from GCM simulation output of Mutz et al., (2018) and Mutz and Ehlers (2019).

The calculation of subsurface temperatures was discretized into 200 depth intervals from the surface to the maximum depth of 20 m. Smaller depth intervals (~1 cm) were used near the surface and large intervals (~20 cm) at greater depths, because the FCI is expected to change most dramatically near the surface and dampen with depth due to thermal diffusion (Andersen et al., 2015).

#### 3.2. **Estimation of Frost Cracking Intensity**

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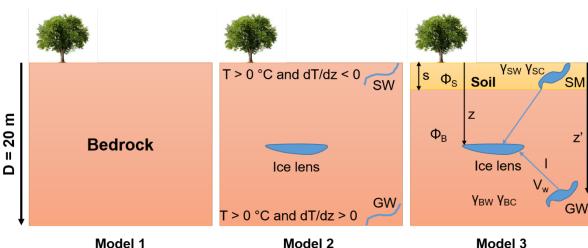
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We applied three different approaches (models) with different levels of complexity to estimate global variations in frost cracking during different past climates (Fig 4; Andersen et al., 2015; Anderson, 1998; Hales and Roering, 2007). The models use predicted ground surface temperatures from each grid cell in the GCM to calculate subsurface temperatures and FCI. We then calculate differences between the FCI from the PI reference simulation and the FCI predicted for the PLIO, LGM and MH time-slices to assess relative change in FCI over the Late Cenozoic. The conceptual diagram (Fig. 4) illustrates differences in the models used in our study, which are discussed in detail in sections 3.2.1 - 3.2.3. Models 1-3 successively increase in complexity and consider more factors. The approach of Andersen et al., (2015), referred to here as Model 3, is the most recent and complex in its consideration of the processes (e.g. effect of soil-cover on FCI) that are relevant for frost cracking. Given this, we focus our presentation of results in the main text here on Model 3, but for completeness describe below differences of Model 3 from earlier Models (1-2). For brevity, results from the earlier models are presented in the supplementary material. A flowchart illustrating our methods is presented in Fig. 5. Similar to previous studies, the hydrogeological properties of the bedrock (i.e. infiltration, water saturation, porosity and permeability) are ignored in this study. This approach provides a simplified means for estimating the FCI for underlying bedrock at a global scale.



FCI as a function of number of days bedrock spent in frost cracking window (-8 °C to -3 °C) [Anderson, 1998]

FCI as a function of thermal gradient (dT/dz) and availability of water (blue line) at either boundary for segregation ice growth (if T in FCW) [Hales and Roering, 2007] SW: surface water; GW: ground

water

FCI as a function of thermal gradient and volume of water available for segregation ice growth (Vw) along path 'l' (from z' to z) if T in FCW, including effect of overlying soil cover [Andersen et al., 2015]

y: flow restriction; SM: soil moisture

Figure 4. Conceptual diagram of the models (1, 2, and 3) used for estimating FCI (T: temperature; dT/dz: thermal gradient; SW: surface water; GW: groundwater; SM: soil moisture; s: sediment thickness; \( \phi \)S: soil porosity (0.02); \( \phi \)B: bedrock porosity (0.3)).

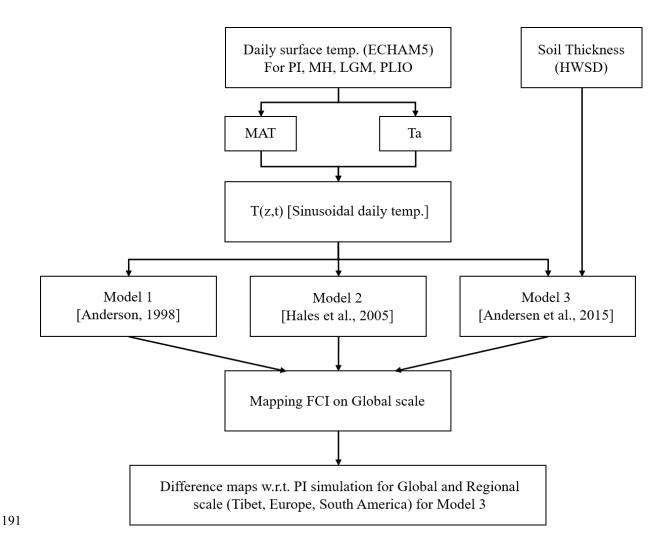


Figure 5. Flowchart describing the methods used in the study based on daily surface temperature simulated by the ECHAM GCM. and soil thickness data from HWSD v1.2. Abbreviations include: MAT - mean annual temperature; Ta - half amplitude of annual temperature variation; T (z, t) - subsurface temperature at depth z and time t; FCI - frost cracking intensity.

#### 3.2.1. Model 1: Frost cracking intensity as a function of time spent in the frost cracking window (FCW)

Model 1 represents the simplest approach and applies the method of Anderson (1998). In our application of this model, we use a more representative thermal diffusivity value for rocks of  $1.5 \times 10^{-7} m^2 s^{-1}$ . The previous study applied a diffusivity specific to granitic bedrock. Furthermore, the boundary conditions of a low rock surface albedo ( $\leq 0.1$ ) and presence of a high atmospheric transmissivity ( $\geq 0.9$ ) on the surface were relaxed, as surface temperatures were used in our study instead of near-surface air temperatures.

For our implementation of model 1, we applied equation 1 for sinusoidal varying daily temperatures at the surface, and calculated temperatures up to 20 m depth. The number of days spent in the FCW (-8 °C to - 3 °C) for each

and calculated temperatures up to 20 m depth. The number of days spent in the FCW (-8 °C to - 3 °C) for each of the first of the first

depth interval were calculated over a period of 1 year for all time slices (PI, MH, LGM and PLIO):

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$$FCI(z) = \begin{cases} N(z), if - 8^{\circ}C < T(z,t) < -3^{\circ}C \\ 0, else \end{cases}$$
 (2)

- where FCI (z) is referred to the frost cracking intensity at depth z. N (z) indicates the number of days the bedrock
- 207 (at depth z) spends in the FCW over a period of 1 year.
- 208 Estimation of frost cracking intensity for each location included depth averaging of the FCI such that:

$$209 FCI = \frac{1}{D} \int_0^D FCI(z) dz (3)$$

- where FCI is the integrated frost cracking intensity to a depth of D = 20 m. The unit of integrated frost cracking
- 211 intensity in this model is Days. The FCI values are calculated for all model years separately and then averaged
- over the total time (15 years) for each paleoclimate time-slice.

#### 213 3.2.2. Model 2: Frost cracking intensity as a function of subsurface thermal gradients

- 214 Model 2 applies the approach of Hales and Roering (2007) to estimate FCI using climate change driven variations
- 215 in subsurface thermal gradients. This approach extends the work of Anderson (1998) with the additional
- 216 consideration of segregation ice growth. Segregated ice growth is attributed to the migration of liquid water to
- 217 colder regions in shallow bedrock, accumulating in localized zones to form ice lenses inducing weathering
- 218 (Walder and Hallet, 1985).
- 219 To facilitate ice segregation growth, the model assumes the availability of liquid water (T > 0 °C) at either
- boundary (z = 0 m or z = 20 m), with a negative thermal gradient for a positive surface temperature, and a positive
- 221 thermal gradient for the positive lower boundary (z = 20 m) temperature. This implementation supports frost
- cracking in the bedrock with temperatures between -8 °C and -3 °C (Hallet et al., 1991). In the case of permafrost
- 223 areas, MAT is always negative, but as sinusoidal T(z, t) is calculated based on MAT and Ta, a positive T (> 0 °C)
- 224 may occur during warmer days of the year. In addition, Ta is higher for higher latitudes (Fig. 3), which are more
- prone to frost cracking. The model is described as follows:

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$$FCI(z,t) = \begin{cases} \left| \frac{dT}{dz} \right|(z,t), & if - 8^{\circ}C < T(z,t) < -3^{\circ}C \\ 0, & else \end{cases}$$
 (4)

$$227 FCI = \int_0^D \int_0^{Py} FCI(z,t) dtdz (5)$$

- where FCI(z, t) is the frost cracking intensity at depth z and time t. It is an index for the absolute value of the
- thermal gradient at that particular depth and time that fulfills the conditions defined above.
- In equation 5, FCI represents the integrated FCI for a geographic location. More specifically, the FCI is integrated
- over one year at each depth and then integrated for all depth elements. D represents depth (20 m), Py is a period
- of the sinusoid (1 year), dt is the time interval (1 day) and dz is the depth interval, as described in section 3.1. The
- 233 unit of integrated frost cracking intensity, in this case, is °C.

#### 234 3.2.3. Model 3: Frost cracking intensity as a function of thermal gradients and sediment thickness

- 235 In the final (most complex) approach used in this study, the effect of an overlying soil layer (Fig. 1) is considered
- in addition to the subsurface thermal gradient variations with depth. This model applies the approach of Andersen

et al. (2015), which extends the work of Hales and Roering (2007) and Anderson et al. (2013). The model assumptions are similar to the previous approaches. For segregation ice growth, it additionally considers the influence of the volume of water available in the proximity of an ice lens. The parameters used in Model 3 are listed below (Table 3).

Table 3. Input parameters for Model 3 (Andersen et al., 2015)

Symbol	Description	Value
$\Phi_{\mathrm{S}}$	Porosity of soil	0.3
$\Phi_{\mathrm{B}}$	Porosity of bedrock	0.02
γsw	Flow restriction in warm soil	1.0 m <sup>-1</sup>
γsc	Flow restriction in cold soil	2.0 m <sup>-1</sup>
$\gamma_{\rm BW}$	Flow restriction in warm bedrock	2.0 m <sup>-1</sup>
γвс	Flow restriction in cold bedrock	4.0 m <sup>-1</sup>
$V_{CW}$	Critical water volume	0.04 m

In Model 3, frost cracking intensity is estimated as a product of the thermal gradient and volume of water available (Vw) for segregation ice growth at each depth element, such that:

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$$FCI(z,t) = \begin{cases} \left| \frac{dT}{dz}(z,t) \right| V_W(z), & if - 8^{\circ} \text{C} < T(z,t) < -3^{\circ} \text{C} \\ 0, & else \end{cases}$$
 (6)

where, FCI(z, t) is the frost cracking intensity in bedrock at depth z and time t, and  $V_W(z)$  is the volume of water available for segregation ice growth.  $V_W(z)$  is estimated at each depth (z) by integrating the occurrence of unfrozen water along a path l, starting at depth z and following a positive thermal gradient towards the ice lens. The volume of available water ( $V_W(z)$ ) and total flow restriction ( $\Gamma(z')$ ), between the depth of occurrence of water (z') and the location of segregation ice growth (z), are calculated using equations 7 and 8 respectively (Andersen et al., 2015):

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$$V_W(z) = \int_l \phi(z') w_f(z') e^{-\Gamma(z')} dz'$$
 (7)

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$$\Gamma(z') = \int_{z}^{z'} \gamma(z'') dz''$$
 (8)

where, l is the distance from depth z to the surface, lower boundary, or an interface where the thermal gradient changes sign (from positive to negative or vice versa). The penalty function  $e^{-\Gamma(z')}$  (Anderson et al., 2013) is a function of the total flow restriction ( $\Gamma(z')$ ) at the depth z'. Since segregation ice growth is exhibited at sub-zero temperatures (below  $-3^{\circ}$ C) and liquid water is available at positive temperatures ( $T > 0^{\circ}$ C), water must migrate through a mixture of frozen and unfrozen soil or the bedrock. The variables  $\gamma_{SW}$ ,  $\gamma_{SC}$ ,  $\gamma_{BW}$ ,  $\gamma_{BC}$  (defined in Table 3) represent the flow restriction parameters and were used in the model to approximate a range of permeabilities (Andersen et al., 2015), but do not explicitly simulate water transport. However, it is unclear if the inclusion of the penalty function leads to a better representation of frost cracking processes. Therefore, we conducted two sets

- 261 experiments for Model 3 that were conducted with, and without, the penalty function and are presented in section
- 4.1 and 4.2, respectively.
- The soil porosity ( $\phi_S = 0.3$ ) is assumed to be higher than that of bedrock ( $\phi_B = 0.02$ ).  $V_W(z)$  is expected to be high
- due to the presence of unfrozen soil in the proximity of a frozen bedrock. Since Model 3 limits the positive effects
- of  $V_W$  to a critical water volume  $V_{CW}$  (Table. 2, i.e., if  $V_W > V_{CW}$ , then  $V_W = V_{CW}$ ), the expected high (>  $V_{CW}$ )
- values for Vw will not affect frost cracking any further.
- Lastly, the integrated frost cracking intensity FCI across Earth's terrestrial surface was calculated by depth
- integration of the FCI averaged over a period of 1 year (Anderson et al., 2013):

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$$FCI = \frac{1}{PV} \int_0^{PV} \int_0^D FCI(z, t) dz dt$$
 (9)

- where, Py is 1 year and D is the maximum depth investigated (20 m). The unit of integrated FCI in this model is
- 271 °Cm. Integrated FCI is calculated for each of the GCM simulation's model years and then averaged over the
- total number of years (15 years).

#### 273 **4. Results**

- In the following, we document the general trends in the estimated FCI from Model 3 (Andersen et al., 2015) for
- all the paleoclimate time-slices (PI, MH, LGM, PLIO) based on the coupling of the above models to GCM output
- for these time slices. We present the results for the experiments conducted with and without the penalty function
- separately in sections 4.1 and 4.2, respectively. The FCI distribution is masked for the glaciated regions during
- 278 specific paleoclimate time-slices, as the surface covered under ice-sheets is disconnected from atmospheric
- 279 processes (Grämiger et. al. 2018). In the PLIO results, the regions that experienced Pleistocene glaciation are
- 280 masked with the LGM glacier cover, as the assumption of comparable soil depths in these regions is heavily
- violated. Since spatial and temporal variations in frost cracking do not vary much between the three approaches,
- for brevity we focus our presentation of results on the most recent (Model 3 Andersen et al., 2015) approach.
- 283 The results of simpler approaches (Model 1, 2; Anderson 1998 and Hales and Roering, 2007) are presented in the
- 284 supplementary material.

### 285 4.1. Model 3 - Scenario 1: FCI as a function of thermal gradient and soil thickness (with penalty

#### 286 **function**)

- 287 In this scenario, we estimate the global FCI distribution using Model 3 (Andersen et al., 2015) with the penalty
- 288 function, which makes FCI dependent on the distance to water. The predicted global sum of FCI is greatest for
- 289 the LGM (~1025 °C m), followed by the MH (~940 °C m), and PI (~835 °C m) simulations. The correlation
- between FCI values and Ta is high (Pearson r. between 0.8 and 0.89) and statistically significant (using the 95%
- 291 level as a threshold to determine significance). On the other hand, the correlation between FCI and MATs is good
- in the LGM (Pearson r: -0.68), moderate in the PI and MH (Pearson r: -0.3 -0.4), and poor in the PLIO (Pearson
- 293 r: -0.04).
- For all paleoclimate time slice experiments, the FCI predicted by Model 3 is in the range of 0 0.22 °C m at
- higher latitudes (30 °N 80 °N and 45 °S 60 °S) (Fig. 6). The maximum FCI values are observed in the higher
- 296 latitudes (50 °N 80 °N) and show the same pattern as variations in Ta when Ta exceeds 30 °C. In the PI and MH

simulations, the highest FCI is observed in North America ( $40^{\circ}N - 55^{\circ}N$  and  $70^{\circ}N - 80^{\circ}N$ ) and Eurasia ( $35^{\circ}N - 50^{\circ}N$ ,  $55^{\circ}E - 80^{\circ}E$  and  $55^{\circ}N - 80^{\circ}N$ ,  $80^{\circ}E - 180^{\circ}E$ ), with values ranging from  $\sim$ 0.08 °C m to  $\sim$ 0.2 °C m. Low FCI can be observed in South America, with values between 0.02 °C m and 0.05 °C m. This is consistent with results from models 1 and 2 (see supplement). In the LGM simulation, the highest FCI values are observed in Alaska, Turkmenistan, Uzbekistan, Eastern China and north-eastern latitudes in Eurasia ( $70^{\circ}N - 80^{\circ}N$ ,  $105^{\circ}E - 180^{\circ}E$ ) with values ranging from  $\sim$ 0.08 °C m to  $\sim$ 0.2 °C m. In the Andes of South America, the frost cracking activity is restricted to the geographical range of  $12^{\circ}S - 55^{\circ}S$ . The highest South American FCI values ( $\sim$ 0.15 °C m to  $\sim$ 0.22 °C m) are predicted for the southern part of the continent ( $40^{\circ}S - 50^{\circ}S$ ).

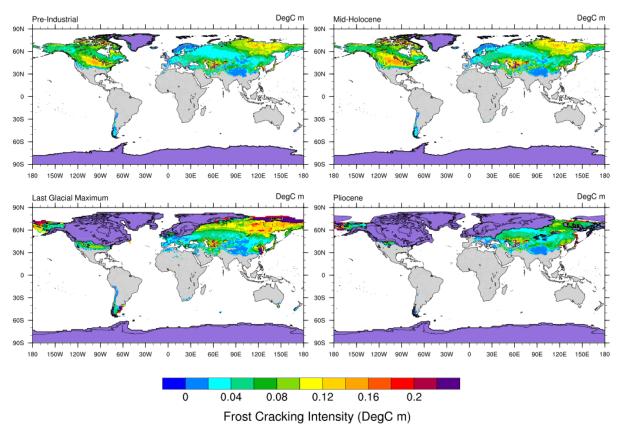


Figure 6. Model 3 (Scenario 1) predicted integrated FCI as a function of thermal gradient and sediment thickness (with the penalty function) for Pre-Industrial (top-left), Mid-Holocene (top-right), Last Glacial Maximum (bottom-left), and mid-Pliocene (bottom-right) times (unit: °C m). The grey areas in plots indicates the absence of frost cracking. For all time slices, the regions covered by ice were removed from the calculation and are highlighted in violet color (Bracannot et al., 2012). For the PLIO results, the maximum Quaternary ice extent (Batchelor et al., 2019) is used, since the assumption of modern soil depth is heavily violated in these regions.

In the mid-Pliocene, the maximum FCI values are predicted in the higher latitudes i.e., Alaska ( $\sim 0.15$  °C  $m \sim 0.22$  °C m). Moderately high values are predicted for the northern latitudes of Eurasia (0.05 °C m - 0.16 °C m). Overall, the magnitude of mid-Pliocene FCI is lower than that of all other investigated time slices. The only exceptions are some high-latitude regions (e.g. Alaska) that exhibit locally higher FCI values in the mid-Pliocene relative to the PI. Negligible frost cracking is predicted for South America, which is consistent with the results of Model 1 (Anderson, 1998).

- For all the time-slices, regions with positive MATs (0 °C to 15 °C) exhibit higher values of FCI where the sediment
- 319 cover is thinner (e.g. Middle East Asia). In contrast, predictions of FCI in regions with negative MATs (-5 °C to
- -20 °C) and high Ta (30 °C to 40 °C) tend to be higher where sediment cover is thicker (e.g. North East Eurasia).

## 321 4.2. Model 3 - Scenario 2: FCI as a function of thermal gradient and soil thickness (without penalty function)

- In this scenario, we estimate global FCI distribution using Model 3 (Andersen et al., 2015) without applying the
- penalty function (Fig. 7). The highest magnitude of frost cracking intensity is simulated for the PLIO (~53 °C m),
- followed by the MH (~47 °C m), PI (~45 °C m), and LGM (~43 °C m). However, the maximum global sum of
- FCI is observed in the MH (~314k °C m), followed by the PI (~303k °C m), and LGM (~238k °C m) simulations.
- 327 Similar to the observations in Model 2 (see Supplement S.2), the FCI distribution is negatively correlated with
- 328 MATs (Pearson r: between -0.4 and -0.5) and Ta (Pearson r: between 0.9 and 0.95). These correlations are
- 329 significant (using the 95% threshold to determine significance).
- 330 In the PI simulations, the maximum FCI values are predicted for the mid-high latitudes (i.e., FCI: 21 44 °C m
- in 40 °N 70 °N) of North America and Eurasia. Low to moderate frost cracking is predicted for South America
- 332 (i.e., FCI: 6 18 °C m in 20 °S 55 °S). The MH simulations predict a similar FCI pattern and FCI values that
- are slightly higher than in the PI (e.g., FCI: 21 47 °C m in 40 °N 70 °N).
- 334 In the LGM simulation, major portions of North America and Europe are covered by ice-sheets and thus excluded
- from our frost cracking models. The simulations yield maximum FCI values for Alaska (i.e. 21 44 °C m) and
- 336 the mid-high latitudes in Asia (i.e. FCI: 14-42 °C m in 35 °N -65 °N), moderate FCI values in the peri-glacial
- regions in North America (i.e. FCI: 18 33 °C m in 35 °N 42 °N), and low FCI values in South America (i.e.
- 338 FCI: 4 18 °C m in 15 °S 55 °S). In the PLIO simulation, major frost cracking activity is predicted for Alaska
- 339 (i.e. 21-48 °C m) and the northern latitudes of Asia (i.e. FCI: 18-48 °C m in 30 °N -80 °N). We do not observe
- 340 any significant frost cracking in Europe, North America and South America in the PLIO simulations.

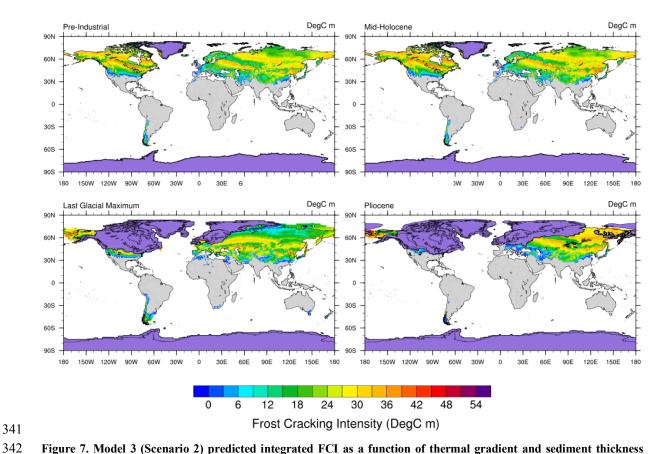


Figure 7. Model 3 (Scenario 2) predicted integrated FCI as a function of thermal gradient and sediment thickness (without the penalty function) for Pre-Industrial (top-left), Mid-Holocene (top-right), Last Glacial Maximum (bottom-left), and mid-Pliocene (bottom-right) times (unit: °C m). The grey areas in plots indicate the absence of frost cracking. For all time slices, the regions covered by ice were removed from the calculation and are highlighted in violet color (Bracannot et al., 2012). For the PLIO results, the maximum Quaternary ice extent (Batchelor et al., 2019) is used, since the assumption of modern soil depth is heavily violated in these regions.

#### 5. Discussion

In this section, we synthesize and interpret the global results of all the models, including scenarios with and without the penalty function in Model 3. For brevity, we limit our discussion of regional variations to Tibet, Europe and South America. For other regional areas of interest to readers, the data used in the following figures is available for download (see acknowledgements). Our presentation of selected regional areas is followed by the comparison of modeled FCI with published field observations. We also compare the model outcomes of all the three models used in the study. Finally, we discuss the study's limitations.

#### 5.1. Synthesis and Interpretation

This section comprises the synthesis and interpretation of the global trends in FCI values predicted by Models 1-3 for the investigated paleoclimate simulations (PI, MH, LGM and PLIO). In all the paleoclimate simulations, high values of FCI in northern latitudes ( $60 \,^{\circ}\text{N} - 80 \,^{\circ}\text{N}$ ) in Eurasia and North America coincide with lower MATs in the range of -25 °C to -5 °C and very high Ta's in the range of 30 °C to 40 °C. FCI in areas with negative MATs is mainly controlled by the Ta values, as higher Ta and high thermal gradients are predicted in the subsurface and

361 facilitate ice segregation growth (Hales and Roering, 2007; Hallet et al., 1991; Murton et al., 2006; Walder and 362 Hallet, 1985). 363 We also calculated the global sum of FCI for all paleoclimate time-slices to determine which Cenozoic timescale 364 is most important for frost cracking in each model. Furthermore, we compare the global sum of FCI in MH and 365 LGM to that of PI simulations. We do not compare the global sum of FCI in PLIO simulations, as it might be 366 heavily affected by masking the glaciated regions. Model 1 predicts a maximum FCI for the PI. These are 3.8% 367 and 27% higher than the FCI values in the MH and LGM simulations, respectively. In Model 2, MH experiences 368 maximum FCI, which is 2.4% higher than in the PI, while FCIs in the LGM simulation is 15% lower than in the 369 PI. In Model 3 (scenario 1), the LGM and MH experience FCI values that are 22% and 12% higher than in the PI 370 simulation. In Model 3 (scenario 2), MH experiences the maximum FCI, which is 3.5% higher than in the PI, 371 while FCI in LGM simulation is 21% lower than in the PI. The global sum of FCI estimates are consistent between 372 Model 1, 2, and 3 (scenario 2) and suggest that maximum frost cracking (weathering) occurred during inter-glacial 373 periods (i.e. MH and PI), while the glacial period (LGM) experienced comparatively less frost cracking. The 374 above predictions for frost cracking (e.g. in Model 1, 2 and 3 (scenario 2)) are inconsistent with studies of global 375 weathering fluxes during glacial and inter-glacial periods, which reported an increase in weathering of ~20% in 376 the LGM (compared to the present) (Gibbs and Kump, 1994; Ludwig et al., 1999). This pattern is, however, 377 predicted by Model 3 (scenario 1) where the maximum in global frost cracking is predicted for the glacial period 378 (LGM). More specifically, Model 3 (scenario 1) predicts an increase of 22% in global sum of FCI during LGM 379 from PI values. This observation is also consistent with the findings of a similar work by Marshall et al. (2015), 380 which suggested that frost weathering was higher during the LGM than today in unglaciated regions. These results 381 highlight the importance of the penalty function (i.e. dependency of FCI on distance to water) in first order (global)

#### 5.2. Influence of past climate on FCI on a global scale

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estimations of FCI.

384 We have investigated the influence of climate change on frost cracking on different spatial scales and through 385 geologic time using 3 different frost cracking models (Anderson, 1998; Hales and Roering 2007; Andersen et al., 2015) and paleoclimate GCM simulations (Mutz et al., 2018). Our results for Model 3 are presented as maps 386 387 showing time-slice specific FCI anomalies relative to the PI climate simulation on a global scale (Fig. 8a, 9a, 10a), 388 in Europe (Fig. 8b, 9b, 10b), Tibet (Fig. 8c, 9c, 10c) and South America (Fig. 8d, 9d, 10d). Furthermore, we 389 highlighted where continental ice was located for all time-slices (PI, MH, LGM) or where Pleistocene ice cover 390 could result in a violation of our assumption of modern soil thickness (PLIO) (Fig. 8-10). This was done to prevent 391 unmerited regional comparisons of simulated FCI.

#### 5.2.1. Differences in FCI between PI and MH climate simulations

The differences in FCI between the PI and MH climate simulations are in the range of – 0.04 °C m to 0.02 °C m on a global scale (Fig. 8a). The MH simulation yields higher FCI values for most regions except for parts of northern Asia, mid-western Europe, mid North America, the Andes Mountains and parts of Alaska and Tibet.

These differences may be attributed to the slight changes in MATs in these regions. The PI – MH comparisons for Europe (Fig. 8b) reveal very small deviations in MH-FCI from PI conditions (ΔFCI ≈ -0.02 °C m to 0.02 °C m). These changes are negative in Western Europe (including areas near the cities of Paris, Berlin and Rome),

and positive in Eastern Europe (including Budapest, Kiev and Moscow). Tibet exhibits only small ( $\sim 0.02$  °C m), predominantly positive MH-FCI deviations from PI conditions (Fig. 8c). The magnitude of PI-MH FCI differences in southwestern South America (Fig. 8d) is similar to that in other regions ( $\Delta$ FCI  $\approx -0.02$  °C m to 0.02 °C m).

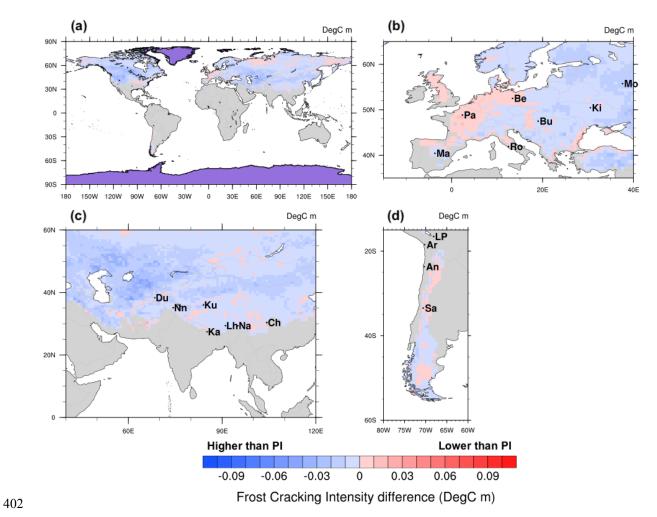


Figure 8. Differences between (Model 3) predictions of Pre-Industrial and Mid-Holocene long-term FCI means (unit: °C m) for (a) the entire Earth surface, (b) Europe, (c) South Asia, and (d) South America. Glacial cover is highlighted in violet. City abbreviations: Tibet:- Du – Dushambe, Nn – Srinagar, Ku – Xinjiang, Ka – Kathmandu, Lh – Lhasa, Na – Namcha Barwa, Ch – Chenshangou; Europe:- Pa – Paris, Be – Berlin, Mo – Moscow, Ki – Kiev, Ro – Rome, Bu – Budapest, Ma – Madrid; South America:- LP – La Paz, Ar – Arica, An – Antofagasta, Sa – Santiago. The regions covered by ice were removed from the calculation and are highlighted in violet color (Bracannot et al., 2012).

#### 5.2.2. Differences in FCI between PI and LGM climate simulations

The differences in FCI between PI and LGM on global scale (Fig. 9a) are highest in the mid-high latitudes (~42 °N) in North America ( $\Delta$ FCI  $\approx$  0.08 °C m) and northern Asia (~75 °N) ( $\Delta$ FCI  $\approx$  0.07 °C m). The close proximity of these regions to the glacier cover in the LGM highlights the possibility of the presence of periglacial environments that support frost cracking (Marshall et al., 2015) during the PI. This is also observed in the mid-high latitudes in Asia (30 °N – 50 °N) ( $\Delta$ FCI  $\approx$  0.04 °C m), which may be attributed to the positive MATs in this region during the PI simulation.

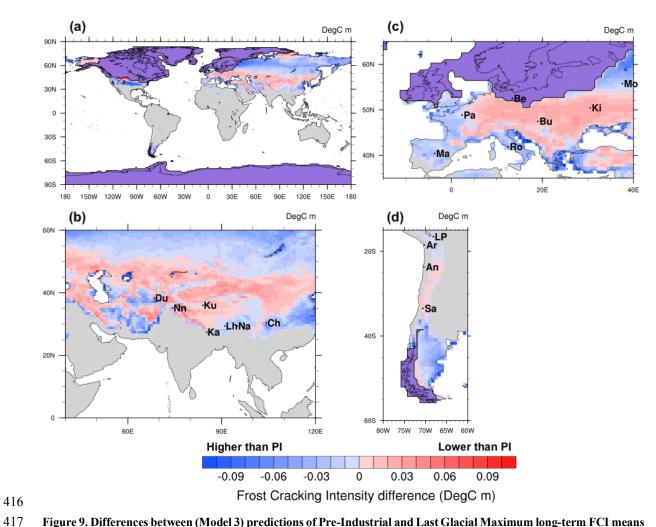


Figure 9. Differences between (Model 3) predictions of Pre-Industrial and Last Glacial Maximum long-term FCI means (unit: °C m) for (a) the entire Earth surface, (b) Europe, (c) South Asia, and (d) South America. Glacial cover is highlighted in violet. City abbreviations: Tibet:- Du – Dushambe, Nn – Srinagar, Ku – Xinjiang, Ka – Kathmandu, Lh – Lhasa, Na – Namcha Barwa, Ch – Chenshangou; Europe:- Pa – Paris, Be – Berlin, Mo – Moscow, Ki – Kiev, Ro – Rome, Bu – Budapest, Ma – Madrid; South America:- LP – La Paz, Ar – Arica, An – Antofagasta, Sa – Santiago. The regions covered by ice were removed from the calculation and are highlighted in violet color (Bracannot et al., 2012).

However, in the higher latitudes of Asia ( $\sim 50$  °N to 70 °N) and South America ( $\sim 40$  °S to 50 °S), the LGM experiences more frost cracking than the PI ( $\Delta$ FCI  $\approx -0.03$  - -0.06 °C m). This can be attributed to higher Ta values (Fig. 3) in these regions during the LGM. In central Europe (Fig. 9b), including Paris, Budapest and Kiev, the PI shows higher FCI ( $\Delta$ FCI  $\approx 0.02$  – 0.06 °C m) than the LGM. On the other hand, the LGM simulations predict higher FCI ( $\Delta$ FCI  $\approx -0.02$  - -0.06 °C m) in southern Europe (including Madrid and Rome). Overall, the Tibetan Plateau experiences higher FCI values ( $\Delta$ FCI  $\approx 0.06$  °C m) during the PI (Fig. 9c). Only in the eastern part of Tibet, near Lhasa, LGM FCI values are higher ( $\Delta$ FCI  $\approx 0.04$  °C m). In South America (Fig. 9d), the LGM yields lower FCI values ( $\Delta$ FCI  $\leq 0.06$  °C m) in the Andes Mountains, and the PI simulation yields lower FCI values ( $\Delta$ FCI  $\leq -0.06$  °C m) in the east of the Andes Mountains in the southern part of the region ( $\Delta$  °C  $\Delta$  °C or °

#### 5.2.3. Differences in FCI between PI and PLIO climate simulations

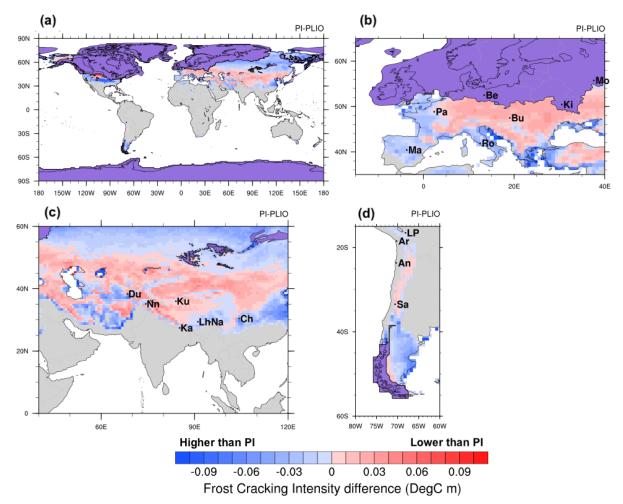


Figure 10. Differences between (Model 3) predictions of Pre-Industrial and Pliocene long-term FCI means (unit: °C m) for (a) the entire Earth surface, (b) Europe, (c) South Asia, and (d) South America. Maximum Pleistocene glacial cover is highlighted in violet. City abbreviations: Tibet:- Du – Dushambe, Nn – Srinagar, Ku – Xinjiang, Ka – Kathmandu, Lh – Lhasa, Na – Namcha Barwa, Ch – Chenshangou; Europe:- Pa – Paris, Be – Berlin, Mo – Moscow, Ki – Kiev, Ro – Rome, Bu – Budapest, Ma – Madrid; South America:- LP – La Paz, Ar – Arica, An – Antofagasta, Sa – Santiago. The regions covered by ice were removed from the calculation and are highlighted in violet color (Bracannot et al., 2012).

Frost cracking is higher in the PI than in the PLIO (Fig. 10a) ( $\Delta$ FCI  $\approx 0.04 - 0.08$  °C m) in the mid-to-high latitudes of Europe and North America (35 °N – 55 °N), and in higher latitudes in Asia (50 °N – 80 °N). This can be attributed to the warmer climate during PLIO and high Ta (Fig. 3) in the PI simulation. However, the PLIO exhibits marginally higher frost cracking in some regions of Asia and Alaska, where MATs are in the range of 0 – 5 °C.

In central to southern Europe, including Madrid, Paris, Rome, Budapest and Kiev, PI-FCI values are moderate  $(\Delta FCI \approx 0.02~^{\circ}C~m - 0.06~^{\circ}C~m)$ . On the Tibetan Plateau (Fig. 10c), PI-FCI values are higher  $(\Delta FCI \approx 0.04~^{\circ}C~m)$  over most of the region, except for the eastern slopes of Himalayas, where PLIO-FCI values are higher than European region ( $\Delta FCI \approx -0.04~^{\circ}C~m$ ). South America experienced largest differences in FCI ( $\Delta FCI \approx 0.02~^{\circ}C~m$  to  $0.08~^{\circ}C~m$ ) (Fig. 10d). This is likely caused by high temperatures in the Pliocene (Mutz et al., 2018), which prevented the bedrock in the mid-latitude regions of South America to reach the FCW.

In summary, the comparison of differences between paleo-FCI and PI-FCI indicate a low impact of changing

454 surface temperatures between the PI and MH simulations on frost cracking. This is not surprising given the 455 relatively small climatological differences between the simulations. The differences in FCI between the PLIO and 456 PI are more varied, but generally greater. The LGM simulation produced the greatest differences in FCI with 457 respect to the PI simulation. These differences can be attributed to increased glaciation and a much colder climate 458 in higher latitudes, including North America and Europe. High LGM-FCI values were exhibited east of the Andes 459 Mountains in the southern part of South America, possibly due to lower MATs (Fig. 2) and high Ta values (~ 20 °C – 25 °C) (Fig. 3) during the LGM. The above interpretations are in agreement with Mutz et al. (2018) and 460 461 Mutz and Ehlers (2019) who suggested minor deviation of MH MATs from PI values for these regions, and higher 462 deviations in the LGM and PLIO simulations.

#### 5.3. Comparison to previous related studies

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In this section, we discuss the broad trends of modeled FCI in the context of variations in MAT, Ta, and water 464 465 availability. We do this to document how these changes compare to findings of previous studies. We found that 466 FCI and Ta are highly (and significantly) correlated in our models. For example, Model 3 (scenario 1) results 467 yield significant Pearson r values in the range of 0.8 - 0.9. This is consistent with findings by Rempel et al. (2016), 468 which suggested that for the same MAT and rock properties, FCI is expected to be higher for regions with higher 469 Ta, as steeper temperature gradients supports more liquid transport. Walder and Hallet (1985) suggested that FCI 470 is higher for moderately low, negative MATs and that frost cracking in cold regions could persist due to water 471 transport in cold bedrock. The assumption of positive temperatures (and availability of liquid water) at either 472 boundary (i.e. at surface and 20 m depth) in Models 1, 2 and 3 is inconsistent with the above statement. The 473 inclusion of a penalty function, which represents the dependency of FCI on distance to water, leads to higher 474 global sums of FCI during colder climates. More specifically, the inclusion of the penalty function predicts LGM-475 FCI values to be 20% higher than in the PI. This is in line with studies of global chemical weathering fluxes 476 (Gibbs and Kump, 1994; Ludwig et al., 1999). Finally, recent work (Marshall et al., 2015, 2017) for Western 477 Oregon, USA, suggested that periglacial processes were vigorous during the LGM, which is supported by our 478 model showing increased FCI values in the LGM (see Fig. 9a) for periglacial regions (42 °N - 44 °N; 115 °W -479 125 °W) in North America. Taken together, previous studies are consistent with the broad trends in FCI predicted 480 by our global analysis.

### 481 5.4. Inter-comparison of Models 1-3

482 A comparison of the FCI predicted by the three models for the different time slices highlights some key differences 483 (Fig. 6, and supplement Figs. 1, 2). The pattern of global sums in FCI values in specific time-slices is different in 484 all the three models, which can be accredited to different inputs considered in each model. These inputs include 485 the availability of water for frost cracking by segregation ice growth, and the volume of available water (with and 486 without consideration of distance to water). For example, Model 1, Model 2, Model 3 (scenario 1: with penalty 487 function), and Model 3 (scenario 2: without penalty function) predict the global sum of FCI to be greatest in the 488 PI, MH, LGM and MH, respectively. 489 Model 1 predicts the maximum FCI values in the regions with MATs in the range of -10 °C to -5 °C, relatively

Model 1 predicts the maximum FCI values in the regions with MATs in the range of -10 °C to -5 °C, relatively low FCI values in regions with MATs of -5 °C – 0 °C, and very low values in regions characterized by high MATs above 0 °C. In contrast, Model 2 (Supplement Fig. 2) and Model 3 yield maximum FCI values for positive MATs with high Ta, as observed in previous studies (Andersen et al., 2015; Anderson et al., 2013; Hales and Roering,

493 2007; Marshall et al., 2015). In Model 3, the soil thickness plays an important role in the estimation of the FCI. 494 The model predicts high FCI values for areas with low soil thickness, such as < 5 cm in Eurasia (55 °E – 80 °E, 35 °N – 50 °N) and 10 cm to 20 cm for North America (50 °N – 63 °N; 70 °N – 80 °N). This result is in close 495 agreement with Andersen et al. (2015). Due to the lower penetration depths of the freezing front, the FCI is 496 497 considerably dampened in the presence of the soil cover, thereby limiting the bedrock from reaching FCW in 498 cases of positive MATs (Andersen et al., 2015). 499 The spatial pattern of frost cracking in Model 3 is influenced by consideration of segregation ice growth, in which 500 the available volume of water (Vw) in the vicinity of an ice lens is critical. Segregation ice growth and sediment 501 cover are responsible for the observed patterns in FCI. The other models considered (see supplement Fig. 1, 2) 502 do not explicitly account for both these processes and therefore produce different predictions of the FCI in some 503 regions.

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#### 5.5. Model Limitations

Here we discuss the limitations of the 3 frost cracking models and uncertainties stemming from the application of the ECHAM5 simulations as input to these models. One of the most important limitations in this study is the use of the same soil thickness for each of our paleoclimate time-slices (Wieder, 2014). In reality, the soil thickness may be different for PI, MH, LGM, and PLIO due to erosion and sedimentation, and temporal variations in soil production. However, there are currently no other global estimates of paleo soil thickness available. Therefore, using present-day thickness remains the best-informed and feasible approach. Nevertheless, we stress that our modelled FCI values should be regarded as the predicted FCI response to climate change without consideration of weathering – soil thickness dynamics. Furthermore, uniform thermal diffusivity and porosity were used for bedrock and sediment cover over the globe for simplification, even though thermal diffusivity and porosity vary for different Earth materials. The application of different thermal diffusivities for individual lithologies was not considered, although typical thermoconductivity variations of rocks can vary by a factor of 2-3 at the most (Ehlers, 2005). In addition, our models neglect the hydrogeological properties of bedrock, including moisture content and permeability for the calculation of subsurface temperature variations, which may influence water availability for frost cracking. To the best of our knowledge, there are no global inventories of these properties that are suited for studies such as ours. In our approach, we assume that these material properties are spatially and temporally constant. As a result, our predictions are only suited as adequate representations of regional trends in FCI, and the reader is advised that local deviations from our values are likely and will depend on near surface geologic and hydrologic variations. Although the GCM simulations presented are at a high-resolution (from the perspective of the climate modeling community) they are nevertheless coarse from the perspective of local geomorphic processes. The coarse spatial resolution of our models raises several issues for more detailed geomorphic analyses. More specifically, in regions with bare bedrock, the model assumes the presence of a soil layer with 30% porosity, which compromises our model results. Furthermore, the coarse spatial resolutions of the paleoclimate simulations (a ~ 80 x 80 km horizontal grid) and low soil thickness spatial resolution (5 km) complicates the consideration of subgrid variations in regions characterized by complex and high topography (e.g. European Alps, Himalayas or Andes). For future studies in such terrain, this problem may be addressed by regional climate downscaling (e.g. Fiddes and Gruber, 2014 and Wang et al., 2021) and the use of high resolution lithologic, and soil distribution

- data (when available). A further source of uncertainties stems from possible inaccuracies in paleoclimate estimates
- 533 that drive the frost cracking models. The reader is referred to Mutz et al. (2018) for further discussion of the
- 534 GCM's limitations. Given the above limitations, we cautiously highlight that the results presented here are
- essentially maps of FCI sensitivity to climate change forcing. Although broad agreement is found between our
- 536 predictions and previous work (Section 5.5), we caution that geologic and hydrologic complexities in the 'real
- 537 world' may produce variations in FCI driven by hydrologic and geologic heterogeneities we are unable to account
- 538 for.

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- 539 Finally, it is worth noting that only selected time slices were evaluated here. Although the LGM was a significant
- 540 global glacial event, previous (and more extreme) ice ages occurred in the Quaternary. Therefore, the spatial
- patterns of FCI predicted here may not match observations in all areas, particularly where they have a 'periglacial
- hangover' of frost cracking from previous glaciations.

#### 6. Conclusions

- We presented three approaches to quantify the frost cracking intensity (FCI) for different times in the Late
- 545 Cenozoic, namely pre-industrial (PI, ~1850 CE), Mid-Holocene (MH, ~6 ka), Las Glacial Maximum (LGM, ~21
- 546 ka) and mid-Pliocene (PLIO, ~3 Ma). These approaches are based on process-informed frost cracking models and
- 547 their coupling to paleoclimate simulations (Mutz et al., 2018). A simple one-dimensional heat conduction model
- 548 (Hales and Roering, 2007) was applied along with FCI estimation approaches from Anderson (1998) and
- Andersen et al. (2015). Our analysis and presentation of results focused on the most recent and more thoroughly
- 550 parameterized approach of Andersen et al., (2015; Model 3). Specifically, we quantified the change in direction
- and magnitude of FCI in the above-mentioned climate states with respect to the PI control simulation. The major
- 552 findings of our study include:
- 553 1. The latitudinal extent of frost cracking in the PI and MH are very similar, in Eurasia (28 °N 80 °N),
- North America (40 °N 80 °N) and South America (20 °S 55 °S). During the LGM, the FCI extent is
- reduced in Eurasia (28 °N 78 °N) and North America (35 °N 75 °N), and increased in South America
- $(15 \degree S 55 \degree S)$ . This can be attributed to extensive glaciation in the northern parts of Canada, Greenland
- and Northern Europe not favoring the frost cracking process due to more persistently cold conditions in
- 558 these regions. In the PLIO, the FCI extent is similar to that of PI in Eurasia (30 °N 80 °N) and North
- America (40 °N 85 °N). PLIO-FCI values are higher in Canada ( $\sim 0.16$  °C m to 0.18 °C m) and
- Greenland ( $\sim 0.08 \, ^{\circ}C \, m$ ), but significantly reduced in South America (21  $^{\circ}S 55 \, ^{\circ}S$ ) with values of FCI
- 561 below 0.02 °C m.
- 562 2. MH climatic conditions induce only small deviations of FCI from PI values, whereas the colder (LGM)
- and warmer (PLIO) climates produce larger FCI anomalies, which are consistent with the findings of
- 564 Mutz and Ehlers, (2019).
- 3. Global sums of the FCI predicted by Model 3 scenario 1, which is based on Andersen et al., (2015)
- which makes FCI dependent on distance to water, are highest for the LGM. Our models predict a global
- FCI increase of 22% (relative to PI) in non-glaciated regions for this time period.
- The predicted changes in FCI presented here do not entirely confirm our hypothesis that: Late Cenozoic global
- 569 climate change resulted in varying intensity in FCI such that more intense frost cracking occurs at lower latitudes
- 570 during colder climates. Of particular interest is that although we document latitudinally influenced spatial and

- temporal changes in FCI, these changes are not uniform at the same latitude. The largest changes in FCI between
- 572 time slices occur in different geographic regions at different time periods meaning that a more simplified approach
- 573 of assuming only latitudinal shifts in FCI between cold and warm periods is not sufficient and that spatial changes
- in global climate need to be considered.
- 575 Finally, we suggest that Model 3 can be adapted in future work to regional conditions, using field geological and
- 576 hydrogeological parameters for better accuracy (Andersen et al., 2015). The results of this study can further be
- 577 used in modelling the erosion and denudation processes related to frost cracking, or for the interpretation of
- 578 catchment average erosion rates from cosmogenic radionuclide data. Predictions for potential future sites that are
- 579 prone to hazards related to frost cracking, such as rockfall, can be generated by coupling these models to climate
- 580 simulations forced with different greenhouse gas concentration scenarios representing different possible climate
- 581 conditions of the future.

#### Code availability

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The code and data used in this study are freely available on the GFZ data services (https://bit.ly/3RxI9hF).

#### **Author contributions**

- 585 HS, SM and TAE designed the initial model setup and simulation programs and conducted model modifications,
- 586 simulation runs and analysis. HS and TAE prepared the manuscript with contributions from SM.

#### 587 Competing interests

The authors declare that they have no competing interests.

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