



Regularity of transportation for cohesive bank-collapsed materials

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10 Abstract: The transportation of bank-collapsed materials is a key issue among river

11 evolution processes. In this study, a series of flume experiments were conducted to 12 monitor riverbank collapse processes and to explore the regularity of transportation for 13 cohesive collapsed materials. The collapsed materials, both the bed and suspended 14 loads, that transformed from collapsed materials were intensively evaluated under 15 experimental conditions. The results showed that the collapsed materials contributed to 16 $12 \sim 20\%$ sedimentation in situ, $8 \sim 14\%$ suspended loads and $70 \sim 80\%$ bed loads. In addition, the bed load motion efficiency coefficient (e_b) , suspended load motion 17 efficiency coefficient (e_s) and sediment carrying capacity factor ($U^3/gR\omega$) were 18 19 introduced to describe the transportation of collapsed materials in terms of energy 20 dissipation. This research provides theoretical and practical benefits for predicting

21 channel evolution processes.

22 Keywords: riverbank, collapsed materials, transformation, cohesive

23 1. Introduction

24 Riverbank collapse, which occurs in alluvial streams worldwide, has caused a 25 series of social, economic and environmental problems (Simon et al., 2009, Rinaldi and Nardi, 2013, Hackney et al., 2015). Moreover, collapsed materials are also a major 26 27 stream sediment source, directly influencing sediment concentration and riverbed 28 evolution processes in both local and downstream areas (Motta et al., 2014). Thus, more 29 research has concentrated on the mechanisms and channel evolution processes 30 associated with riverbank collapse in recent years (Patsinghasanee et al., 2017; Arai et 31 al., 2018; Deng et al., 2019; Lopez & Lanzoni, 2019; Masoodi et al., 2019; Yu et al., 32 2020; Zhao et al., 2020).





33 Riverbank collapse processes are usually decomposed into two steps: bank toe 34 erosion and upper riverbank failure (Thorne & Tovey, 1981; Lawler et al., 1997; Simon 35 et al., 2000). For cohesive riverbanks, bank toe erosion occurred through entrainment 36 of aggregates because of the electrochemical forces existing among the fine particles 37 (Wood et al., 2001; Langendoen & Simon, 2008). The collapse patterns can be classified 38 as plane, arch and cantilever collapse based on the shape of the collapse plane (Darby 39 et al., 2000). The roles of various influencing factors, mainly vegetation (Simon & 40 Collison., 2002; Yu et al., 2020), soil properties (Parker et al., 2008; Masoodi et al., 41 2017), bank shape (Baker, 1981; Simon & Rinaldi, 2006), hydraulic conditions 42 (Visconti et al., 2010; Chiang et al., 2011; Chen et al., 2017) and underground water 43 level (Casagli et al., 1999; Dapporto et al., 2001; Rinaldi et al., 2004), were also 44 evaluated in detail in riverbank collapse processes. Based on these achievements, 45 several bank erosion models were set up to predict cohesive riverbank collapse, of 46 which the bank toe erosion rate was obtained by the difference between flow shear 47 stress and soil shear strength, while riverbank stability was estimated by a stability 48 coefficient (Fs) of the ratio of driving force to resistance (Hook, 1980; Osman & Thorne, 49 1988; Simon et al., 2009; Clark & Wynn, 2007). As the models took into accounting 50 the influencing factors, they were widely used to quantify riverbank collapse and 51 simulate the channel evolution process in collapsed reaches.

52 Many researchers have combined bank erosion models with water-sediment 53 mathematical models to simulate channel evolution processes (Nagata et al., 2000; 54 Darby et al., 2002; Chen & Duan, 2006; Rinaldi et al., 2008; 2013; Xu et al., 2011; 55 Motta et al., 2012). It is known that some collapsed materials were transported by flow 56 current instantaneously after the riverbank collapsed, while others accumulated at the 57 bank toe. Evaluating the deposition and further movement of the accumulated materials 58 remains a key problem to be solved. In previous simulations, various assumptions were 59 established: (1) collapsed materials were transported immediately by the water current 60 (Darby et al., 2007; Rinaldi et al., 2008); (2) 50% of the collapsed materials 61 accumulated at bank toe and then participated in riverbed evolution process (Xia et al., 62 2016; Deng et al., 2019); (3) collapsed material particles that are coarser than 0.062 mm 63 would distribute uniformly across the bed area between bank toe and the boundary of 64 the near-bank sediment routing segment, from a distance equal to two bank heights 65 (Rijn and Leo, 1985); (4) the volume of collapsed materials accumulated at bank toe 66 was decided by sediment carrying capacity which equals the maximal sediment





67 concentration for nonequilibrium transportation of the suspended load (Jia et al., 2010; 68 Duan et al., 2018; Shu et al., 2019). Although a number of relatively accurate simulation 69 results were obtained based on these assumptions, there has been no direct evidence to 70 expound the distribution and transportation of collapsed materials in detail. Certain 71 advantages have been provided through water flume experiments, such as the 72 distribution of cohesive collapsed materials along noncohesive riverbeds, the mixture 73 of collapsed and bed sediments, and the relationship between sediment distribution and 74 velocities (Yu et al., 2013; 2014; 2016). These results mainly focused on qualitatively 75 describing the phenomenon. However, the quantity of sediment transportation was not 76 involved, especially in collapsed materials. Thus, one object of this study is to quantify 77 the transportation of collapsed materials, which is a key issue when predicting the 78 riverbed evolution process.

79 In addition, collapsed materials that accumulate at the bank toe will initiate first 80 and then transform into bed and suspended load in the following river evolution 81 processes. From the point of energy dissipation, the energy of bed load motion comes 82 from water potential energy, while sediment suspension energy comes from the 83 turbulent kinetic energy of water flow (Huang et al., 2005). The transportation of 84 accumulated sediments depends not only on the relationship between sediment gravity 85 and current shear stress but also on the ratio between the energy expended in motion 86 and the water potential energy available (Qian & Wan, 1983). To describe the 87 transportation of collapsed materials in detail, the energy dissipation of sediment 88 transportation was investigated in this study.

Overall, a series of flume experiments were conducted to simulate cohesive riverbank collapse processes and characterize the transportation of collapsed materials. The major objectives of this study are as follows: (1) to quantify the sediment transformation due to riverbank collapse, especially the collapsed materials transforming into bed and suspended loads, and (2) to analyze the transportation of collapsed materials in terms of energy dissipation.

95 **2. Experimental Methods**

96 2.1 Experimental setup and materials

Experiments were performed in a 25 m long rectangular flume with a width and
depth of 0.8 m (Figure 1), located at the Key Laboratory of Water and Sediment Science





99 of MOE (Ministry of Education), Beijing Normal University, China. The experiments 100 consisted of four groups with different bank slopes (45°, 60°, 75°, 90°). For each group, 101 a 2.4 m long, 0.15 m deep symmetric trapezoidal channel with a 0.4 m bottom width 102 was built within the flume, while the width of the channel top was determined by bank 103 slopes, as listed in Table 1. Riverbanks of both sides were made of the selected materials 104 collected from a natural bank at the Dengkou reach of the Yellow River (Shu et al., 105 2019). The gravel was laid up and downstream of the recreated banks to enable constant 106 boundary conditions (Figure 1). Five typical sections (S1-S5) were also set up at 40 cm 107 intervals to monitor the relevant parameters, and three measuring lines were set in each 108 section to monitor the velocities (Figure 1). Multiple locations within measuring lines 109 of typical sections were selected to ensure the accuracy of velocities by using a 110 propeller (Figure 2). Figure 3 shows the top view of the actual experimental setup, with 111 water level gauges and pore-water pressure gauges fitted in the flume to monitor the 112 water level and pore water pressure, respectively.





115 116 117

Figure 2. Example of one cross section and corresponding monitoring positions for the velocity.

Before each experiment, the particle size distribution (Figure 4) and physical properties of experimental materials taken from typical sections were tested. At the preparatory stage, the tailgate was kept closed, and the water level rose slowly to the designed level. Then, the initiation of experiment began by adjusting the designed flow conditions (Table 1). Water samples containing materials were taken every three minutes at Sections S1, S3, S5 and at the tailgate to measure the sediment concentration.





- 124 The experiment was considered completed when no more riverbank materials were
- 125 removed or eroded.





129 130





		Bank morphology (left and right)				Watar	
Group	Slope degree (°)	Bank top width (cm)	Bank height (cm)	Bank toe width (cm)	Flux (L/s)	discharge time (min)	Water level (cm)
No 1	45	5	15	20	38	30	11.5
10.1	45	3	15	20	44	30	12.7
No 2	60	11.35	15	20	29.4	30	11
10.2					40.9	30	12.75
No 2	75	75 16	15	15 20	27.8	30	10
N0.5					36.5	30	13.15
No.4	90	20	15	20	26	30	9.25
					33.8	30	12





132 3. Results and Discussion

133 3.1 Results

134 **3.1.1** Quantity of sediment transportation due to riverbank collapse

After collapsed materials entered the channel, the incipient sediments were then further activated and transported as bed and suspended loads, while the remaining sediments accumulated at the toe of the bank. In summary, collapsed materials will be transported in three patterns: accumulated sand, bed loads and suspended loads.

139 (1) Quantity of collapsed materials

140	The amount of collapsed materials was obtained by comparing the topography of
141	the riverbank before and after the experiment. Ten sections (C1, C2, \cdots , C10) among
142	riverbanks with 20 cm intervals along the flow direction were selected to measure the
143	riverbank shape by using a glass plate with gridlines (Figure 5). Figure 8 shows the
144	collapsed areas of the selected sections with a riverbank slope of 45° . Based on the unit
145	weight of materials listed in Table 2, the quantities of the collapsed materials can be
146	obtained in Table 3.

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 Table 2. Physical properties of the material tested for each configuration.

Group	Soil position	Moisture content (%)	Unit weight (g/cm ³)	Cohesion (kPa)	Friction angle (°)
No.1	Left bank	16.11	1.63	14.77	19.46
	Right bank	16.51	1.85	14.45	19.19
No.2	Left bank	16.01	1.81	14.77	19.46
	Right bank	16.18	1.77	14.45	19.19
No.3	Left bank	16.86	1.81	13.66	18.54
	Right bank	16.39	1.83	12.93	17.92
No.4	Left bank	17.42	1.81	13.77	18.63
	Right bank	18.04	1.68	15.37	19.96







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Figure 5. The measurement of riverbank shape by using a glass plate with gridlines.



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Table 3. Quantity of collapsed materials.

Group	Slope gradient (°)	Collapse amount (kg)
No.1	45	87.16
No.2	60	41.58
No.3	75	62.45
No.4	90	82.43

153 (2) Quantity of collapsed sediments accumulated at the toe of the bank

154 It is generally believed that the collapsed materials entering the channel can be 155 treated as single-particle sediments, and the incipient motion particle size was 156 calculated by the following equation (Qian and Wan, 1983):

157
$$\frac{U_i}{\sqrt{gD}} = \sqrt{\frac{\gamma_s - \gamma}{\gamma}} \left(6.25 + 41.6 \frac{h}{Ha} \right) + \left(111 + 740 \frac{h}{Ha} \right) \frac{Ha\delta_0}{D^2}$$
(1)

where *Ha* is the atmospheric pressure expressed in terms of water column height, *Ha* = 10 m; δ_0 is the thickness of a water molecule, $\delta_0 = 3.0 \times 10^{-8}$ cm; γ_s is the unit weight of sediment, $\gamma_s = 17542$ Nm⁻³; γ is the unit weight of water, $\gamma = 9800$ Nm⁻³; *g* is the gravitational acceleration, g = 9.8 ms⁻²; *D* is the sediment particle size, m; U_i is the





- 162 velocity for incipient sediment motion, ms⁻¹; U is the velocity, ms⁻¹ (for this study $U_i =$
- 163 U), and h is the water depth, m.
- 164 Table 4 presents the percentage of accumulated sediments under different
- 165 experimental conditions. It should be noted that particles between the lower and upper
- 166 limits of incipient motion particle size could be incipient, whereas others were regarded
- 167 as the accumulated sediments.

Group	Slope gradient (°)	Flow discharge (L/s)	Average water level (cm)	Average flow rate (m/s)	Incipience motion particle size (lower limits) (µm)	Incipience motion particle size (upper limits) (mm)	Incipience motion percentage (%)	Accumulated sediment percentage (%)
N7 1	45	38.00	11.50	0.61	9.48	3.40	88.06	11.94
NO.1		44.00	12.70	0.71	9.28	3.46	91.06	8.94
N- 2	60	29.40	11.00	0.51	15.00	2.36	79.81	20.19
No.2		40.90	12.75	0.59	10.40	3.12	82.91	17.09
No.3	75	27.80	10.00	0.57	11.00	3.03	85.56	14.44
		36.50	11.75	0.65	8.30	3.89	86.96	13.04
No.4	90	26.00	9.25	0.54	12.00	2.73	84.48	15.52
		33.80	12.00	0.59	10.19	3.17	87.24	12.76

168 Table 4. Percentage of accumulated sediments under different experimental conditions.

169 (3) Quantity of bed and suspended loads transformed from collapsed materials

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Table 5. The critical particle size of the collapsed riverbank.

Group	Bank slope (°)	Flow rate (L/s)	Critical particle size (mm)
N7 1	15	38	0.018
NO.1	45	44	0.016
N- 0	60	29.4	0.020
NO.2		40.9	0.018
No.3	75	27.8	0.018
		36.5	0.016
NT 4	00	26	0.018
N0.4	90	33.8	0.016

In sediment-laden flow, coarse particles are usually transported as bed loads, while fine particles are transported as suspended loads. Although there were mutual transformations between these two in the transport processes, the quantities of bed and suspended loads transported by the water flow remained roughly the same under certain flow conditions. Thus, a critical particle size was introduced to divide the bed and suspended loads, with particles larger than the critical particle size were arranged as bed loads; otherwise, they were arranged as suspended loads. The method described in





- 178 detail in the literature (Shu et al., 2019) was adopted to obtain the critical particle size,
- as shown in Table 5.
- 180 Based on the bank material particle size distribution in Figure 4, the percentage of
- 181 bed and suspended loads for each group can be obtained (Table 6).

1	01
1	δ2

Table 6. Mass percentage of sediment fractions.

Group	Bank slope (°)	Flow rate (L/s)	Incipient motion percentage (%)	Suspended load percentage (%)	Bed load percentage (%)
No.1	45	38	11.94	13.56	74.50
		44	8.94	15.26	75.80
No.2	60	29.4	20.19	7.88	71.93
		40.9	17.09	13.25	69.66
No.3	75	27.8	14.44	10.56	75
		36.5	13.04	11.3	75.66
No.4	90	26	15.52	9.39	75.09
		33.8	12.76	7.94	79.30

183 3.1.2 The transportation of collapsed materials in terms of energy dissipation

In this study, the bed load motion efficiency coefficient (e_b) and suspended load motion efficiency coefficient (e_s) were applied to describe the transportation of collapsed materials. Based on previous studies, e_b represents the transformation efficiency from the water potential energy into bedload motion (Bagnold, 1966), while e_s represents the transformation efficiency from turbulent kinetic energy into suspended load motion (Shu et al., 2007). The sediment carrying capacity equation can be expressed as the following (Qian & Wan, 1983):

191 $S_* = k \left(U^3 / g R \omega \right)^m,$

where S_* is the sediment carrying capacity, m^3s^{-1} ; k and m are parameters; U is the 192 velocity, ms^{-1} ; g is the gravitational acceleration, ms^{-2} ; R is the hydraulic radius, m; and 193 ω is the sediment settling velocity, m/s. The sediment carrying capacity factor $(U^3 g^{-1} R^{-1})^{-1}$ 194 ${}^{I}\omega^{-I}$) can be regarded as the ratio of $U^{2}g^{-I}R^{-I}$ to ωU^{-I} , which represents the turbulence 195 intensity and action of effective gravity, respectively. For these three parameters 196 containing all kinds of energy factors, it is reasonable to study the transportation of the 197 198 collapsed materials by building the relationship between e_b and $U^3 g^{-1} R^{-1} \omega^{-1}$ and between e_s and $U^3 g^{-1} R^{-1} \omega^{-1}$. The experimental data used were collected at two-minute intervals 199 200 in Section S3 after riverbank collapse occurred.





201 (1) The relationship between e_b and $U^3 g^{-1} R^{-1} \omega^{-1}$

The bedload motion efficiency coefficient (e_b) can be obtained by the following equations (Bagnold, 1966):

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$$e_{b} = \left(u_{*} - u_{*c}\right) \left\{ 1 - \left[5.75u_{*} \lg\left(0.4h/mD\right) + \omega\right] / U_{L} \right\} / u_{*}$$
(2)

205
$$m = K \left(\frac{u_*}{u_{*c}}\right)^{33}$$
(3)

206
$$\omega = \left[(13.95\nu/D)^2 + 1.09(\gamma_s - \gamma)gD/\gamma \right]^{1/2} - 13.95\nu/D$$
(4)

$$u_* = \sqrt{gRJ} \tag{5}$$

208
$$u_{*c} = (\tau_c / \rho)^{1/2}$$
 (6)

209 where u_* is frictional velocity, ms⁻¹; U_L is mean vertical velocity at the location of 0.4 210 h, m/s; h is the water depth, m; *D* is grain diameter, m; *K* is a constant coefficient 211 (*K*=1.4 ~ 2.8); u_{*c} is critical shear velocity, m/s; γ_s is sediment unit weight, Nm⁻³; γ is 212 water unit weight, Nm⁻³; ν is motion viscous coefficient, m²s⁻¹, and $\nu = 1.31 \times 10^{-6}$ m²s⁻¹ 213 ¹; *J* is hydraulic gradient; τ_c is flow shear stress, Nm⁻²; and ρ is water density, kgm⁻³.

- 214 The relationships between e_b and $U^3g^{-1}R^{-1}\omega^{-1}$ for different groups are shown in
- 215 Figure 7.



The range of e_b was 0.11 ~ 0.25, which was similar to Bagnold's result of 0.11 ~ 0.15, and e_b had a noticeable positive correlation relationship with $U^3g^{-1}R^{-1}\omega^{-1}$ (Bagnold, 1966). For each curve, e_b first quickly increased and then stabilized because after the





riverbank collapsed, the collapsed materials first accumulated at the toe of the bank andthen transformed into bed loads. With increasing sediment carrying capacity, the energy

of bed load motion increases. While the river gradually transferred from the nonequilibrium state to the equilibrium state, e_b tended to be stable.

In each group, the e_b value of the lower flow was larger than that of the higher flow, because as the flow increased, a portion of the bed load would transform into suspended load. Additionally, part of the energy for the bed load motion would convert into the particles' potential energy with the change of particles' position.

229 (2) The relationship between e^s and $U^3g^{-1}R^{-1}\omega^{-1}$

The suspended load motion efficiency coefficient (e_s) can be obtained by the following (Shu et al., 2008):

232
$$e_{s} = p \cdot \frac{\left[\lg\left(\mu_{r}+0.1\right)\right]^{N}}{\kappa^{2}k_{t}} \left(\frac{f_{m}}{8}\right)^{\frac{1}{2}} \cdot \left[\frac{1}{\kappa^{2}} \left(\frac{f_{m}}{8}\right)^{\frac{3}{2}} \frac{\gamma_{m}}{\gamma_{s}-\gamma_{m}} \frac{U^{3}}{gR\omega}\right]^{N-1}$$
(7)

$$k_t = 1 - 2\mu_r k_d U / \tau_c h \tag{8}$$

$$f_m = 0.11a \left(\frac{k_s}{4R} + \frac{68}{R_{em}} \right)^{0.23}$$
(9)

234

235

$$R_{em} = \frac{u_*D}{\upsilon} = \frac{\sqrt{ghJ}D}{\upsilon}$$
(10)

where μ_r is the relative dynamic viscosity coefficient, $\mu_r = 1+2.5S_v$; S_v is the volume sediment content; κ is the Karman constant, $\kappa=0.4$, p=0.3551, N=0.72, k_t is the turbulent kinetic energy conversion efficiency; k_dU is the vertical maximum velocity, m/s; f_m is the drag coefficient of sediment laden flow; α is the reduced drag coefficient, which is smaller than 1; k_s is the riverbed roughness coefficient, $k_s=2D$; and R_{em} is the muddy water Reynolds number.









Figure 8. Relationship between e^s and $U^3/gR\omega$.

The range of e_s is 0.0129 ~ 0.0235, which was slightly different from Bagnold's 244 result of 0.023 ~ 0.046 (Bagnold, 1966), but the values were still in the range of 245 0.00004-0.20 presented by Qian & Wan (1965). For each curve, es had a noticeably 246 negative correlation relationship with $U^{3}g^{-1}R^{-1}\omega^{-1}$. After the riverbank collapsed, the 247 248 river would transfer from a nonequilibrium state to equilibrium, and the suspended load concentration would increase compared with that of the noncollapse. However, 249 250 sediment suspension energy decreased because of the drag reduction of suspended 251 sediments provided by Zhang (1963). Moreover, in each group, e_s of the lower flow 252 charge is larger than that of the higher flow, as when the flow charge increased, more 253 bed loads would transform into suspended loads, with the drag reduction of suspended 254 sediments (es decreased).

255 **3.2 Discussion**

In this study, riverbanks were built on both sides of the water flume, which was different from previous correlated studies (Yu et al., 2013; Shu et al., 2019; Zhao et al., 2020). The similar channel shape and on-site materials made this study more scientific for monitoring riverbank collapse processes. The quantities of the collapsed materials, bed and suspended loads obtained by the critical particle size method presented a good reference to predict the channel evolution process. The bed load motion efficiency coefficient (e_b), suspended load motion efficiency coefficient (e_s) and sediment carrying





capacity factor $(U^3 g^{-1} R^{-1} \omega^{-1})$ were used to describe the transportation of collapsed 263 264 materials, which differed from previous literature. Thus, this study can be considered a 265 valuable attempt to scientifically describe the transportation of collapsed materials. There are still limitations that need to be addressed within future research. First, 266 the quantity of the collapsed materials, bed and suspended loads in this study were 267 268 obtained under specific flow conditions. For the complicacy of natural rivers, more 269 bank shapes, angles and flow conditions should be considered. Second, although the 270 law of energy dissipation is a promising approach to describe the transportation of 271 collapsed materials, studies of sediment transportation in terms of energy dissipation 272 are usually qualitative. More accurate measurement tools need to be explored and 273 applied to obtain the energy consumed by the bed and suspended loads. Finally, both

quantities and energy dissipation should be studied comprehensively to analyze thetransportation of collapsed materials and benefit channel evolution prediction.

4. Conclusions

A series of experiments with a constructed riverbank on both sides were conducted to quantify the transportation of the collapsed materials. Transportation was also studied from the point of energy dissipation. The findings can be concluded as follows: (1) After the riverbank collapsed, the three main processes of the collapsed materials were deposited on-site and transported as bed and suspended load. In terms of the quantities, the percentages of these three were 12 ~ 20%, 70 ~ 80% and 8 ~ 14%, respectively.

(2) In the transportation of the collapsed materials, the ranges of e_b and e_s were 0.11 ~ 0.25 and 0.0129 ~ 0.0235, respectively. The drag reduction of the suspended loads was verified by the relationships between e_b , e_s and $U^3 g^{-1} R^{-1} \omega^{-1}$.

(3) In terms of energy dissipation, the transportation of collapsed materials follows the law of river transition from a nonequilibrium to an equilibrium state. After the riverbank collapsed, the collapsed materials first transformed into bed loads. With the increase in the sediment carrying factor $(U^3g^{-1}R^{-1}\omega^{-1})$ toward the river equilibrium state, more bed load sediment transformed into suspended loads. At the same time, part of the energy for bed load motion would convert into the particles' potential energy. The results can help reveal the mechanisms of channel bend evolution and provide

valuable theoretical and practical benefits to river channel embankments.





295 Data availability

All raw data can be provided by the corresponding authors upon request.

297 Author contributions

- 298 GD performed the measurements and wrote the manuscript draft; HL reviewed
- and edited the manuscript.

300 **Competing interests**

301 The authors declare that they have no conflict of interest.

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