# **Short Communication:** Evaluating the accuracy of binary classifiers for geomorphic applications

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Abstract. Airborne lidarIncreased access to high resolution topography has revolutionized our ability to map out fine-scale (-1-m) topographic features at watershed- to landscape-scales. As our 'vision' of land surface has improved, so has ourthe need for more robust quantification of the accuracy of the geomorphic maps we derive from these data. One broad class of mapping challenges is that of binary classification where remote sensing data are used to identify the presence or absence of a given feature. Fortunately, there are a large suite of metrics developed in the data sciences that are well suited to quantifying pixel-level accuracy of binary classifiers. In this paper, I focus on the challenge of identifying bedrock from lidar topography, though the insights gleaned from this analysis apply to any task where This analysis focuses on how these metrics perform when there is a need to quantify how the number and extent of landforms are expected to vary as a function of the environmental forcing. Using (e.g., due to climate, ecology, material property, erosion rate). Results from a suite of synthetic maps, Isurfaces show how the most widely used pixel-level accuracy metric, *F1-score*, is particularly poorly suited to quantifying accuracy

- for this kind of application. Well-known biases to imbalanced data are exacerbated by methodological strategies that attempt to-calibrate and validate classifiers across a range of geomorphic settings where feature abundances vary. *Matthews Correlation Coefficient* largely removes this bias <u>over a wide range of feature abundances</u>, such that the sensitivity of accuracy
- 20 scores to geomorphic setting instead embeds information about the error structure of the classification. To this end, I examine how the seale size and shape of features (e.g., the typical sizes of bedrock outcrops) and the type of error. If error (e.g., is random versus systematic) manifest in pixel-level scores. The normalized version of <u>Matthews CorrelationsCorrelation</u> *Coefficient* is relatively insensitive to feature scale if error is random and if large enough areas are mapped. In contrast, asize and shape, though preferential modification of the dominant class can limit the domain over which scores can be compared. If
- 25 the error is systematic (e.g., due to co-registration error between remote sensing datasets), this metric shows strong sensitivity to feature size and shape emerges when classifier error is systematic. My findings highlight the importance of choosing appropriate pixel-levelsuch that smaller features with more complex boundaries induce more classification error. Future studies should build on this analysis by interrogating how pixel-level accuracy metrics when evaluating topographic surfaces where respond to different kinds of feature abundances strongly vary. It is necessary to understand how pixel-level metrics are
- 30 expected to perform as a function of scene-level properties before interpreting empirical observations. <u>distributions indicative</u> of different types of surface processes.

## **1** Motivation

The increasing acquisition and access to lidar topography has revolutionizedHigh resolution topographic datasets are
transforming our ability to characterize the fine-scale structure of the Earth's surface (Roering et al., 2013; Passalacqua et al., 2015). Because lidar can 'see' through the forest canopy, this technical advance enables quantification of Airborne lidar especially, has changed how geomorphic fieldwork is conducted by enabling scientists to quantify the form and extent of meter-scale features over large areas when mounted on an airborne platform. Detailed mapping of such features is invaluable to(Roering et al., 2013). Because lidar 'sees' through vegetation, lidar has accelerated progress in both discovery science and testing hypotheses where the prevalence of features is expected to vary as a function of the environmental forcing (e.g., in response to ehangesdifferences in climate, ecosystem, rock properties, uplift rates). For example, airborne lidar <u>ecology</u>, material property, erosion rate). Airborne lidar has now been used to map termite mounds (Levick et al., 2010), mima mounds (Reed & Amundson, 2012), termite mounds (Levick et al., 2010; Davies et al., 2014), tree throw pits and mounds (Roering et al., 2020), channel network and channel head locations networks (Pirotti & Tarolli, 2010; Clubb et al., 2014), exposed bedrock (Di Pirota et al., 2012). Marshell and Bearing 2014. Milledenvels (Vertering et al., 2015), and 2018) had a de attentes or enderse.

(DiBiase et al., 2012; Marshall and Roering, 2014; MilodowskiKorzeniowska et al., 2015), and2018), bedrock structure and faulting (Cunningham et al., 2006; Pavlis and Bruhn, 2011; Morell et al., 2017). and bedrock exposure (DiBiase et al., 2012; Milodowski et al., 2015; Rossi et al., 2020).



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**Figure 1:** (A) Mima mounds near Merced, CA, USA, (B) bedrock outcrops along Boulder Creek, CO, USA, and (C) gully erosion on Santa Cruz Island, CA, USA as observed from 1-m shaded relief maps. Note that even though the areal extent is the same among these scenes (200 x 200 m), topographic relief is drastically different (total relief in A is 7 m, in B is 146 m, and in C is 76 m). 100-m elevation transects from A to A' for each site are shown to illustrate how different features manifest as roughness elements in the topography. Airborne lidar for the

- 55 mima mound and rocky slope sites was flown by the National Center for Airborne Laser Mapping (NCALM). Airborne lidar for the gully erosion site was flown by the United States Geological Survey (USGS). All lidar datasets were downloaded from OpenTopography (Reed, 2006; Anderson et al., 2011; 2010 Channel Islands Lidar Collection, 2012). Interpretations of features classified from lidar data can be found in Reed & Amundson (2011), Rossi et al. (2020), and Korzeniowska et al. (2018) for the mima mound, rocky slope, and gully sites, respectively.
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Figure 1 shows three examples of features that can be mapped using 1-m airborne lidar data. The utility of lidar topography to binary classification of feature locations for each of these geomorphic applications is unquestioned. These clear. However, examples also highlight that one of the most common uses for lidar topography is for large how the number, size, shape, amplitude, and pattern of features can vary. Regular, repeating morphologies with a characteristic spatial scale, binary

- 65 elassification of finer scale features. While (e.g., mima mounds in Fig. 1A; Reed and Amundson, 2011) pose different challenges to classification than irregular, heterogeneous morphologies that occur at many scales (e.g., bedrock exposure in Fig. 1B; Rossi et al., 2020). Furthermore, the importance of flowing water on surface processes means that many geomorphic features form directional networks with substantial anisotropy (e.g., gully erosion in Fig. 1C; Korzeniowska et al., 2018). Perhaps unsurprisingly then, accuracy assessment in the geomorphic literature has varied a lot even as formal methods for
- 70 evaluating pixel-level accuracy of binary classifiers is are now becoming standard practice in the remote sensing and machine learning literature (e.g., Wang et al., 2019; Prakesh et al., 2020; Agren et al, 2021), Slow adoption of these standard methods in accuracy assessment in the geomorphic literature is quite variable. This is likely due to may arise from two tendencies of geomorphic studies that employ lidar classifiers: 1. Process-based studies are typically more interested in the *properties* and *densities* of features rather than their contingent locations; 2. Classifiers are expected to work across *large gradients* in the
- 75 prevalence of features to test our understanding of the relevant transport laws at play. The former tendency arises from the fact that predicting the actual locations of features (e.g., mounds, outcrops, mounds, channels) is not typicallyusually a viable target for numerical models of landscapes where uncertainty in initial conditions and the stochastic nature of processes preclude a deterministic forecasting of surface evolution.finer-scale locations of features (e.g., Barnhart et al., 2020). The latter tendency arises from the need to use classified data to constrain natural experiments where geomorphic transport laws (Dietrich et al.,
- 80 2003) can be tested against governing variables (e.g., across climo-, eco-, litho-, or tectono-sequences). I show<u>As shown</u> below that, these tendencies can be at odds with pixel-level accuracy metrics that are designed to assess positional accuracy for similarly balanced data (i.e., data where the frequency of positive and negative values does not dramatically vary from case to case are similar).
- 85 ThereNevertheless, there are several important benefits to adopting pixel-level accuracy metrics when reporting the success of geomorphic classifiers. First, these metrics provide common standards for evaluating classifier accuracy across studies, including direct comparison between proxy-based classifiers withand those developed using machine learning. Second, trends in pixel-level accuracy scores may reveal distinct patterns in the spatial structure of error. Third, pixel-level measures are easy to apply to new objectives as long as their limitations are properly considered. To this end, I focus This paper focuses on how

- 90 two widely used metrics, *F-measures* (van Rijsbergen, 19791974; Chinchor, 1992) and *Matthews Correlation Coefficient* (Matthews, 1975; Baldi et al., 2000), perform when the research design intentionally calibrates and tests binary classifiers across large gradients in how balanced the data are. Interactions among feature size, feature shape, and error structure can produce diagnostic trends in accuracy scores as a function of feature prevalence. As such, I argue here that pixel-level accuracy scores should be evaluated alongside performance at other scales, particularly the scene level scale where the statistical
- 95 attributes of features can be quantified for a given environmental forcing. The general approach is to synthetically generate 'model' and 'truth' data that have a known error structure. Pixel-level accuracy scores are then calculated as a function of feature abundance. Despite the simplicity of the scenarios considered, this analysis helps constrain the range over which pixellevel metrics can be reliably compared across gradients in feature abundance. Synthetic scenarios also reveal how the shape and scale of individual objects can strongly influence pixel-level scores when there are small co-registration errors between
- 100 model and truth data.



Figure 1: Example bedrock mapping from Rossi et al. (2020) showing (A) a classified scene. Zoom boxes illustrate different kinds of error due to (B) mapping 'truth' from air photos and (C) using coarser resolution lidar data to 'model' bedrock. In A, scene-level patterns in actual bedrock exposure were mapped using 3 inch Pictometry® air photos and a topographic proxy derived from 1 m airborne lidar (Anderson et al., 2012) as the classifier. The bedrock fraction mapped from air photos is 0.24. The bedrock fraction mapped from lidar data using a regionally based, slope-threshold of 38° is 0.35. The zoom area used for B and C is shown in A as a black box. In B, the truth map for this site is overlaid on associated air photos at 75% transparency to show the two principal sources of error in air photo mapping. In C, the bedrock classifier is overlaid on the same truth map to show the three principal sources of error in using the lidar data for classification.

2 Example application: Bedrock mapping

The task of mapping bedrock outcrops is useful to show how pixel-level accuracy metrics can be applied to geomorphic studies for a few reasons. First, the transition from fully soil-mantled to bedrock-dominated hillsides reflects an important continuum

in process dominance and rates (Heimsath et al., 2012). Whether and where bedrock is observed records the local (im)balance

- 115 between soil production and denudation rates (Gilbert, 1909), providing important tests to hypothesized soil production functions (e.g., exponential versus 'humped'; Heimsath et al., 1997; Anderson, 2002) and sediment transport laws (e.g., linear versus nonlinear creep; Culling, 1963, Andrews & Bucknam, 1987). Second, the challenge of mapping bedrock using airborne lidar data is an application that has received a fair bit of recent attention (DiBiase et al., 2012; Marshall & Roering, 2014; Milodowski et al., 2015; Rossi et al., 2020). This is, in part, because individual bedrock features can be resolved in lidar
- 120 topography using physically interpretable slope and roughness thresholds. Airborne lidar balances trade offs between data resolution (~1-m) and data coverage (100's of km<sup>2</sup>) and thus is well-suited to exploring how feature density and properties vary across environmental gradients. Third, identifying bedrock typifies the more general challenge of understanding the related, but distinct, scaling properties among data, features, and processes (Sofia, 2020). Bedrock tors and eliffs occur at many scales (sub-meter to tens of meters) that reside on hillsides (hundreds of meters in length) which are, in turn, responding to
- 125 base level signals propagating through river networks (tens to thousands of km<sup>2</sup>).

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In this paper, I specifically consider the approach taken by DiBiase et al. (2012) and adopted by Rossi et al. (2020). These studies calibrated lidar proxies for bedrock in the San Gabriel Mountains, CA, USA (SGM) and the Colorado Front Range, CO, USA (CFR), respectively. The general approach in both was to map bedrock using photographic imagery for 50 x 50 m to 200 x 200 m patches where the ground surface is visible due to limited forest cover and/or recent clearing due to wildfire.

- By selecting scenes representative of a large range of bedrock fractions, the main goal of these studies was to identify a single slope threshold that could be applied across the landscape. Both studies found strongest correlations using slope thresholds somewhat above the angle of repose for granular materials (45° in the SGM and 43° in CFR). However, the threshold that most closely reproduced the scene level bedrock fraction without rescaling is closer to expected values for the angle of repose
- 135 (e.g., a slope threshold of 38° produced a regression slope of one in the CFR; Rossi et al., 2020). Regressions in the CFR were overall weaker, likely due to differences in air photo mapping (1-10 cm surface normal field photos in the SGM versus ~ 8 cm air photos in the CFR) and the increased prevalence of bedrock tors, or isolated bedrock outcrops within a lower relief soil mantle. Bedrock tors tend to produce dome shaped features with steep slopes on their sides and low sloped tops that may be better resolved using roughness based topographic proxies (Milodowski et al., 2015). While scene level success of slope based

140 proxies for bedrock in the SGM (peak  $r^2$  of 0.99) and CFR (peak  $r^2$  of 0.85) are promising, neither study assessed pixel level accuracy.

Figure 1 shows two general challenges associated with using air photos to calibrate and validate lidar based proxies for bedrock exposure. The first general source of error is introduced in the generation of 'truth' data from air photos (Fig. 1B). Even under
145 the best circumstances, visibility of the ground surface is obstructed in places by the vegetation canopy. This can be partially addressed by restricting mapping tasks to areas where obstructions are minimal and ground truthing air photo mapping with field observations. While using high resolution air photos aids interpretation, it is difficult to fully eliminate human error in

mapping due to shadows or weakly contrasting visible properties between bedrock and soil. Similarly, distinguishing in-place bedrock from detached coarse sediment is difficult unless coarse sediment collects into macro-scale features, like talus slopes,

- 150 whose properties are distinct. The second general source of error arises in the classification process itself (Fig. 1C). Relating higher resolution air photos to lidar proxies requires better understanding of uncertainty in the sealing properties of features. Scaling challenges arise from both the feature shape itself and how gridded representations of features change as a function of data resolution. Because the classifier is often built from data acquired at different times and using different data sources, error in classification can also arise due to co-registration of truth and model datasets. Precise mapping of control points for
- 155 georeferencing and smart use of stable surfaces in post-processing can help minimize the misfit between truth and model data (Bertin et al, 2022). The binary classifier itself, whether using physical thresholds or statistical models, will also be imperfect. New algorithms attempt to make this **model** error as small as possible. Each of these five sources of error lies on a continuum between random and systematic, where random error is independent of feature locations or properties and systematic error refers to any error structure that is spatially correlated with feature locations or properties. For example, we might expect co-
- 160 registration error between two remote sensing datasets to be more systematic than the others due to translation, rotation, and distortion of aligned datasets. Can pixel-level accuracy scores diagnose different error structures when calibration of binary classifiers is attempted against scenes that span large gradients in bedrock exposure? How does feature shape, feature scale, and mapping coverage interact with this error structure?

#### **3 Approach**

- 165 Two of the most widely used accuracy metrics are *F1 score* and *Matthews Correlation Coefficient (MCC)*. Adopting such pixel level metrics helps link studies that classify features using physical intuition (e.g., using slope thresholds for bedrock exposure is based on the notion that only bedrock is stable above the angle of repose) with those developed using statistical methods (e.g., machine learning). These measures also provide a common language to assess results from studies that span different landscapes with different research goals. However, I emphasize here that while these metrics can robustly characterize pixel level accuracy, it is important to consider their limitations in characterizing scene level accuracy and how they might
- perform across gradients in environmental forcing. To this end, I consider a suite of synthetic land surfaces that show the sensitivity of *F1 score* and a normalized version of *MCC* to: feature scale, the error structure in the data, and how balanced the data are. In Section 3, I describe methods common to all scenarios. Specifically, I describe the general process of generating grids and calculating accuracy scores. Methods unique to each different scenario are then described in Sections 4 and 5 so that their rationale can be articulated in the context of results.

# 32 Approach

One common use of binary classifiers is to build an inventory of feature boundaries and abundances using remotely sensed data. This typically entails using scenes where 'truth' is known through detailed field or air photo mapping. An algorithm built from an independent data source (e.g., lidar) is then used to 'model' the locations of features. Models are commonly trained

- 180 and tested so that the classifier can be used for larger scale geomorphic mapping. If the density, size distribution, and form of features varies from scene to scene, then it is important to understand how pixel-level accuracy metrics will perform as a function of scene-level properties (e.g., feature fraction). To mimic this task, this paper examines how two widely used accuracy metrics, *F1-score* and *Matthews Correlation Coefficient (MCC)*, behave on synthetic truth and model data. Synthetic truth data is generated by randomly placing features in a scene at a given abundance. Model data is either independent from
- 185 <u>truth data or derived from the truth data using an assumed error structure. Pixel-level accuracy scores are then calculated for each scene.</u>

## 2.1 Grid generation

To generate 'truth' grids of bedrock and soil, I first use features within a matrix, the pseudo-random number generator in NumPy is used to create a scene of size m x n cells. Continuous values are converted into binary classes ( $0 = \frac{1}{100}$  solution) solution is the second state of t 190 bedrock feature) based on a user-specified value for the overall feature fraction of bedrock ( $f_{\overline{f}}$ ).  $f_f$ ), which is simply the fraction of the surface covered by features. The simplest scenario is for bedrock torsfeatures with a size of one pixel. While synthetic surfaces are scale free, I report results are reported assuming a grid spacing of 1-m to represent a typical case using airborne lidar. To simulate features that have a scale greater than one square meter, Luse the pseudo-random numbers to-instead specify a first guess at the locations of the centres of incipient torsfeatures. The first guess at the number of torsfeatures is calculated 195 by finding the integer number of torsfeatures of length, l, that most closely matches  $f_{f}f_f$ . However, as the number of torfeature centres increases, so does the probability that two neighbouring 'tors'objects overlap and coalesce into a larger featureobject. As such, the first guess generally produces an actual bedrockfeature fraction lower than the user-specified value. The ratio between the specified  $f_{\overline{F}}f_f$  and this underestimate is then used to proportionally increase the number of incipient tors features in the model domain. Literate this The process is iterated until either the synthetic fraction is within 0.5% of the specified value 200 or fifty iterations, whichever comes first. It is worth pointing out here that while I will continue to use the term 'tor density' to refer to the The number of tor centres per scene area, the resultant incipient objects is always higher than the actual number of tors isobjects in the scene because smaller due to the coalescing of incipient features (see section 6.2 and Appendix B2 for further elaboration).increasingly coalesce into larger objects at higher feature fractions.

All scenarios presented in this study rely on comparing simulated 'truth' and 'model' grids, across the full range of feature fractions ( $0 \le f_f \le 1$ ). Where the truth and model data are independent of each other, the two grids are generated using different pseudo-random seed numbers in NumPy (section 43). In scenarios where the model grid is dependent on the truth grid, the

model grid is a copy of the truth data using the specified error structure. Details for how random error (section 54.1), systematic error (section 54.2), and random plus systematic error (section 54.3) are implemented are described in context below. For each scenario, the truth and model grids are evaluated by building the confusion matrix and calculating accuracy metrics at each bedrockfeature fraction (section 32.2).

## **32**.2 Pixel-level accuracy metrics

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While there are many metrics used to quantify the accuracy of binary classifiers, <u>Ithe</u> focus <u>hereof this paper is</u> on two <u>of the</u> <u>most</u> widely used ones: the *F1-score* and *Matthews Correlation Coefficient (MCC)*. These metrics <u>can beare frequently</u> used to evaluate pixel-level performance of classified maps with respect to ground truth data and are often used when employinggenerated from machine learning techniques ((e.g., Wang et al., 2019; Prakesh et al., 2020; Agren et al, 2021). Application of these metrics need not be limited to the training and testing of machine learning algorithms. They are broadly useful to any binary classification task where positional accuracy is important. Both *F1-score* and *MCC* can be calculated directly from the confusion matrix. The confusion matrix for binary classification is a 2x2 table where the column headers are the true classes and the row headers are the model classes, thereby summarizing the occurrence of the four possible classification outcomes: True Negatives (TN), True Positives (TP), False Positives (FP), and False Negatives (FN).



**Figure 2:** (A) Pixel classes for Fig. <u>1B</u> and (B) the corresponding confusion matrix (inset) and correlation plot (main). In A, the four outcomes of the binary classification are shown in colour [TN = True Negatives; FP = False Positives; FN = False Negatives; TP = True Positives]. The areas in white were obscured by the vegetation canopy in air photos (24% of area) and thus excluded from accuracy assessment. In B, the colours of each cell in the confusion matrix and each point in the plot are the same as in A. The number of observations for each class is shown in the confusion matrix and point sizes on the plot are scaled to the relative frequency of each value. This classified map is site P01 from Rossi et al. (2020), where more details on mapping methods are described.

230 For example, the <u>example</u> scene in Figure <u>1A can be<u>1B is</u> readily reclassified into these four outcomes (Fig. <u>2A</u>) which<u>2A</u>) using the feature mapping from Rossi et al. (2020). The frequency of these outcomes is summarized by using the confusion matrix shown in the inset of Figure(Fig. 2B- inset). The simplest assessment of accuracy metric is the overall accuracy (OA), and its complement the error rate (ER), where:</u>

$$OA = \frac{TP + TN}{TP + TN + FP + FN} \tag{1}$$

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$$ER = \frac{FP + FN}{TP + TN + FP + FN} \tag{2}$$

While *OA* and *ER* are straightforward to calculate, they provide little insight into the relative frequencies of FP and FN. To address this limitation, there are a large family of accuracy metrics that better characterize different types of error. For example, *precision* and *recall* characterize the relative frequencies of FP and FN explicitly. *Precision*, also known as the positive predictive value, is the ratio of true positives to all positives predicted by the model (accounts for FP) and *recall*):

$$\underline{\qquad Precision} = \frac{TP}{TP + FP}$$
(3)

<u>Recall</u>, also known as the true positive rate, is the ratio of true positives to all positives (accounts for FN), whereby:):

$$\frac{Precision}{TP+FP} = \frac{TP}{(3)}$$

$$Recall = \frac{TP}{TP+FN} \tag{4}$$

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Figure 2 is an example where the *precision* is low (0.36), but the *recall* is reasonably good (0.58) (Table 1). *F-measures* were designed to summarize *precision* and *recall* into a single metric (van Rijsbergen, <u>19791974</u>; Chinchor, 1992). The case where both are equally weighted is referred to as the *F1-score*, where:

$$F1\text{-}score = \frac{2 \times TP}{(2 \times TP) + FP + FN}$$
(5)

By representing the harmonic mean of *precision* and *recall*, this metric accounts for both errors of omission and commission.
However, *F1-scores* only characterize the success at identifying the target class, and low values can occur even if the overall accuracy is high because it excludes True Negatives. ConsequentlyAs such, this metric is quite sensitive to the prevalence of positive values whereby higher. Higher *F1-scores* are favoured when the positive class is more abundant (e.g., Chicco and Jurman, 2020). Related to this sensitivity to imbalanced data is the property of asymmetry. Asymmetric metrics are those where the accuracy score differs when the target classes are switched. Table 1 shows that the *F1-score* for Figure 2 would be 72% higher if the target classificature was soil instead of bedrock. Asymmetry arises because there is more soil than bedrock in the scene and TN are not included in calculations of *precision, recall*, or *F1-score*. These well-known limitations of *F-measures* are better handled by metrics that incorporate all four classes of the confusion matrix. One such metric is *Matthews Correlation Coefficient (MCC)*, where:

$$MCC = \frac{(TP \times TN) - (FP \times FN)}{\sqrt{(TP + FP) \times (TP + FN) \times (TN + FP) \times (TN + FN)}}$$
(6)

MCC is equivalent to a Pearson's correlation coefficient where the model classes are regressed against the true classes in a

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binary classification task (Fig. 2B). Values of *MCC* can be similarly interpreted where -1.0 indicates perfect anti-correlation, 0 is a random model, and 1.0 indicates perfect correlation. And while *MCC* is just one of several metrics that include all four quadrants of the confusion matrix (e.g., Balanced Accuracy, Markedness, Cohen's Kappa), recent work suggests that *MCC* appears to beis the most robust to imbalanced data (Chicco and Jurman, 2020; Chicco et al., 2021a; Chicco et al., 2021b). In this analysis, I report the normalized version of *MCC* as:

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$$nMCC = \frac{MCC+1}{2} \tag{7}$$

By re-scaling *MCC* from zero to one, *nMCC* facilitates comparison with *F1-score* on plots and in discussion. It is worth noting here though that interpretations of low values of *nMCC* differ from interpretations of low values of *F1-score*. The former implies anti-correlation between model and truth data while the latter does not. For example, the scene in Figure 2 indicates a weak positive correlation (i.e., *nMCC* greater than 0.5) even though the *F1-score* is lower than 0.5 (Table 1). As such, direct comparison of these metrics should be done with caution.

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 Table 1: Accuracy metrics for Figure 2 using the alternative target classes of bedrock and soil.

Target Class	<b>0</b> A*	ER*	Precision	Recall F1-score		MCC*	nMCC*
BedrockFeature							
(bedrock)	0.67	0.33	0.36	0.58	0.44	0.24	0.62
SoilFeature (soil)	0.67	0.33	0.85	0.69	0.76	0.24	0.62

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\* Metrics that do not vary as a function of the target class in binary classification.

## 275 <u>3 Independence between truth and model data</u>



Figure 2: (A) Pixel classes for Fig. 1A and (B) the corresponding confusion matrix (inset) and correlation plot (main). In A, the four outcomes of the binary classification are shown in colour [TN = True Negatives; FP = False Positives; FN = False Negatives; TP = True 280
 Positives]. The areas in white were obscured by the vegetation canopy in air photos (24% of area) and thus excluded from accuracy assessment. In B, the colours of each cell in the confusion matrix and each point in the plot are the same as in A. The number of observations for each class is shown in the confusion matrix and point sizes on the plot are sealed to the relative frequency of each value.

#### 4 Pixel-level versus scene-level accuracy

Throughout this analysis, I distinguish between pixel-level and scene-level measures of accuracy.accuracy, in part, motivates
the approach taken to examine how accuracy metrics handle imbalanced data in this study. Pixel-level accuracy requires that the precise locations of features are honoured where the, with a lower bound to feature detection is set by the spatial resolution of the data used. Scene-level accuracy characterizes the mismatch between model and truth data at some coarser scale and typically assesses statistical properties of the target feature class (e.g., bedrock fraction, mound densities, drainage densities). While high pixel-level accuracy ensures high scene-level accuracy, the converse need not be true. This distinction is motivated by studies where calibration of lidar classifiers was undertaken only at the scene level (DiBiase et al., 2012; Rossi et al., 2020) and whose rationale was summarized in section 2. Scene level assessment alone may lead to different findings than pixel-level assessment. For example, a related effort by Milodowksi et al. (2015) showed that lidar based, roughness thresholds provide an alternative topographic proxy that can be more successful than slope based ones in some landscapes. While their overall objective of finding a classifier that worked across a range of bedrock fractions was similar to DiBiase et al. (2012), these

- 295 authors used pixel-level assessment to select thresholds and evaluate classifier success. As such, there is a need to understand how pixel-level assessments behave when classifying data where seenes are intentionally selected across gradients in how balanced the data are. Given the importance of developing binary classifiers that work across a range of feature densities and sizes, there is a need to better understand how pixel-level accuracy metrics perform across a range of scene-level properties like feature fraction. One mark of a good accuracy metric is its ability to diagnose the case of independence. In this context,
- 300 independence means that the locations of features in the model contain no information about the true locations of features. If accuracy metrics produce similar scores when the model and truth data are independent from each other, then it means the metric can be reliably compared for different feature fractions. A perhaps trivial example is the case where feature fractions are assumed to be constant (e.g., total feature coverage) regardless of the true feature fraction. A more interesting example is the case where scene-level fractions are the same in the truth and model data (i.e., high scene-level accuracy) but where the actual locations of features are unrelated (i.e., low pixel-level accuracy).



**Figure 3:** Classified 100 x 100 m maps of (A) 1-m and (B) 10-m long incipient features showing the four classification outcomes (*TN*: True Negatives, *FN*: False Negatives, *FP*: False Positives, *TP*: True Positives). How accuracy scores vary as a function of feature fraction are also shown for (C) 1-m and (D) 10-m long incipient features, respectively. The 'all feature' scenario is where the model assumes the entire surface is feature with no matrix, regardless of scene-level properties. The 'match scene' scenario is where the model data matches the actual feature fraction, but whose feature locations are independent of each other. In A-B, example maps are shown for the case where fifty percent of the

surface is covered by features. In C-D, normalized Matthews Correlation Coefficient (nMCC) is only shown for the 'match scene' scenario because it is undefined in the 'all feature' scenario.

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- Figure 3 shows the sensitivity of F1-score and nMCC to a research design that tests binary classifiers across gradients in bedrock fraction for a typical scene imbalanced data when the model and truth data are independent from each other (m = n =100). Two scenarios are considered. Each assume bedrock outcropsscenario assumes features are randomly distributed throughout the scene for any given bedrock feature fraction. In the first scenario, the bedrock classifier predicts that bedrock the feature is found everywhere regardless of the truth data (dashed lines). Because this 'all rock'feature' model produces neither 320 False Negatives nor True Negatives, *nMCC* is undefined in this scenario (see eqs. 6-7). *F1-score* nonlinearly improves with increasing bedrockfeature fraction and approaches unity as the actual bedrock-fraction nears the 'all-rock'feature' model. In the second scenario, the bedrock-classifier is forced to match the bedrock feature fraction in the truth grid, though the locations of bedrock outcropsfeatures in the model are independent from the truth data (solid lines). This represents a worst-case scenario 325 for a classifier that successfully models the scene-level fraction of bedrock-while also providing zero predictive value at the pixel level. The values of *nMCC* rightly diagnose independence between the model and truth data by showing zero correlation across the full range of <u>bedrockfeature</u> fractions ( $nMCC \sim 0.5$ ). In contrast, F1-score increases as a linear function of bedrockfeature fraction. As this and subsequent examples show, F1-score embeds a spurious correlation with bedrockfeature fraction, all other things being equal, because the number of True Negatives is ignored. In contrast, *nMCC* provides a robust 330 metric to evaluate positional error for classifiers that have been calibrated to scene-level properties like bedrock fraction. While these relationships do not depend on torincipient feature size, larger mapping areas are needed to adequately sample the statistics of feature locations when incipient torsfeatures are large with respect to the area of the scene (Fig. 3D). The noisy relationships in Figure 3D largely reflect the inability to match the specified bedrockfeature fraction using a discrete number of random torsfeatures whose locations are set by the specific pseudo-random seed used. In fact, 49% of the grids generated for Figure 3D failed to meet the 0.5% tolerance of specified bedroekfeature fractions after fifty iterations. For subsequent 335 analyses, I use larger scenes of 1000 x 1000 m scenes are used to mitigate the effect of domain size on accuracy scores. For thisthe larger domain, nearly all (>99%) the subsequent grid pairs meet the tolerance criterion before fifty iterations, which manifest as smoother curves in plots.



Figure 3: Classified 100 x 100 m maps of (A) 1 m and (B) 10 m long square tors showing the four classification outcomes (TN: True Negatives, FN: False Negatives, FP: False Positives, TP: True Positives). How accuracy scores vary as a function of bedrock fraction are also shown for (C) 1 m and (D) 10 m long tors, respectively. The 'all rock' scenario is where the model data assumes the entire surface is bedrock regardless of the actual bedrock fraction. The 'match scene' scenario is where the model data matches the actual bedrock fraction, but whose locations are independent. In A B, example maps are shown for the case of 0.5 bedrock. In C D, normalized Matthews Correlation 345

## 54 Error structure and accuracy

In the <u>The</u> previous section, I showed how *F1-score* and *nMCC* vary as a function of <del>bedrock fraction for very poor pixel level</del> feature prevalence for classifiers. A that only honoured scene-level attributes (i.e., feature fraction) with no predictive skill at identifying feature locations. While a useful baseline scenario, a good classifier though, whether statistically or physically

350 based, should be successful in most cases identify both the locations of features and reproduce scene-level attributes, albeit with some residual error. To illustrate these more realistic conditions, I consider three different error scenarios are presented where the error structure is either random (section 54.1), systematic (section 54.2), or both (section 54.3). While actual sources of error arein geomorphic studies are typically more complex (e.g., Fig. 1), these endmembers imple scenarios are intended to facilitate interpretation and provide a heuristic understanding for insight into how pixel-level accuracy scores perform when

the research design explicitly samples across a gradient in feature prevalence.

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#### 54.1 Random error

The first error scenario <u>I considerconsidercd</u> is the situation where the binary classifier successfully identifies <u>bedrockfeature</u> <u>locations</u> with a fixed rate of random error ( $\bar{e}_r$ ). To create synthetic surfaces of this type, a truth grid is first generated (for Fig. 4 m = n = 1,000) for a given <u>bedrockfeature</u> fraction. <u>Bedrock torsFeatures</u> are assumed to occupy a single pixel, though results are robust to different sizes of incipient <u>torsfeatures</u> because <u>thewhere</u> error <u>locationoccurs</u> is independent of feature <u>locationlocations</u>. To produce the associated model grid, <u>Ian error grid is</u> first generated <u>an error grid</u> using a different pseudo-random seed than that used to generate the <u>bedrock gridtruth data</u>. The <u>grid of</u> continuous values <u>isof the error grid are</u> converted to binary classes (0 = no error; 1 = error) using the specified error rate as the threshold. The error grid is then used to construct the model grid from the truth grid by flipping <u>bedrockfeature</u> classifications wherever the error grid value equals one. Note that the maximum error rate shown in Figure 4 is <u>0.5.fifty percent</u>. This is the scenario where the truth and model data are least correlated. Increasing the error rate further will produce increasingly stronger negative correlations between the model and truth data. Once both truth and model grids are generated, *F1-score* and *nMCC* are calculated. This analysis is done for <u>bedrockfeature</u> fractions that range from 0.01 to 0.99 and error rates from <u>0.055</u> to <u>0.550</u> percent.





Figure 4: (A) Model feature fractions and (B) associated accuracy scores as a function of the true feature fraction in the random error scenario (1000 x 1000-m map area). In both plots, the minimum and maximum error rates are highlighted, and 5% increments of error rate are shown as dotted lines. In A, matching the model fraction to the actual fraction of bedrock is not enforced like in other scenarios (Figs. 3, 5). However, the two fractions are linearly related, and the slope of the relationship is directly related to the error rate show- (Appendix A).
 In B, lower rates of random error amplify the nonlinearity between *F1-score* and feature fraction while *nMCC* more uniformly improves across a broad range of feature fractions.

Figure 4 shows the results of this analysis for ten differentnumerically simulated error rates in Figure 4. These results. Results
can be derived analytically from eqs. 5-7 and the imposed random error rate (Appendix A). I-useHowever, presenting the results from synthetic landseapesnumerical surfaces: 1. To ensureEnsures that synthetic scenes adequately sample population statistics; and 2. FacilitateFacilitates integration with scenarios that include non-random error (section 54.3). As should be expected, Figure 4 shows that accuracy scores increase with loweras error rates go down. However, the sensitivity of these scores is not uniform with respect to bedroekfeature fraction. Much like in the previous examplescenario (Fig. 3), F1-scores always monotonically improve with increasing bedroekfeature fraction. Note here though that the worst random error case (Fig. 4 dashed black line; 50% error rate) is not equivalent to the case where the model is independent from the truth data (i.e., the solid black line in Fig. 3). In the random error scenario, model data are correlated with, but not equal to, actual bedroekfeature fractions (Fig. 4A). The fixed error rate preferentially modifies the larger frequency class when near the endmember cases of all-bedroekzero and all-soil.full coverage of the surface by features. This behaviour-is most easily envisioned for the case whereat the error rate is 50%-limits of feature abundance. If the actual surface is all bedroekfeatures, surface is all bedroekfeatures.

then the model produces 50% soil on average, and visa versarandom error model will produce matrix pixels in proportion to the error rate. Similarly, if the actual surface is all soil. Inmatrix, then the random error model will produce feature pixels in proportion to the error rate. For this error scenario, the slope of the relationship between modelled and actual bedrockfeature fractions equals  $1 - 2\bar{e}_r$  (Appendix A). The symmetry of the sensitivity of *nMCC* to a constant<u>uniform, random</u> error rate allows for comparison of map accuracies across a wide range of differentially balanced datafeature abundances, specifically over the domain over which *nMCC* is approximately invariant (Fig. 4B). In contrast, disentangling the spurious correlation between *F1-score* and bedrockfeature fraction interacts with the preferential modification of surface-classes in a complex way, leading to increasing nonlinearity in response tofor better classifiers with lower error rates.





400 Figure 4: (A) Model bedrock fractions and associated (B) accuracy scores as a function of true bedrock fraction for the random error scenario (1000 x 1000 m map area). In both plots, the minimum and maximum error rates are highlighted, and 5% increments of error rate are shown as dotted lines. In A, matching the model fraction to the actual fraction of bedrock is not enforced like in other scenarios (Figs. 3, 5). However, the two fractions are linearly related, and the slope of the relationship is directly related to the error rate. In B, lower error rates amplify the nonlinearity between *F1-score* and bedrock fraction while *nMCC* more uniformly improves across a broad range of bedrock 405

## 54.2 Systematic error

The second error scenario <u>L</u> consider<u>considered</u> is the situation where the binary classifier successfully identifies <u>bedrockfeatures</u> with <u>some imposed</u> systematic error. <u>This scenario is motivated by the common challenge of aligning two</u> <u>datasets collected using different sensors or collected at different times (e.g., Bertin et al., 2022)</u>. To create synthetic surfaces of this type, a truth grid is first generated (for Fig. 5 m = n = 1,000) for a given <u>bedrockfeature</u> fraction and <u>torincipient feature</u> size. Incipient <u>torsfeatures</u> are randomly distributed throughout the model domain. To <u>generateproduce</u> the associated model grid, a copy of the truth grid is linearly offset by one pixel to the right in the x-direction, though results are insensitive to the direction of the shift. By using wrap-around boundaries, synthetic truth and model grids always have an identical bedrock fraction.feature fractions. Note that the systematic error rate ( $\bar{e}_s$ ) is not constant and is instead a function of the bedrockfeature fraction, the magnitude of the systematic offset, and the shape and size of features. Once both truth and model grids are generated, *F1-score* and *nMCC* are calculated. This analysis is done for bedrockfeature fractions that range from 0.01 to 0.99 and for torincipient feature sizes that range from 1x1 m to 10x10 m squares (i.e., areas of 1 to 100 pixels).



Figure 5: (A) Variable error rates and (B) associated accuracy scores as a function of the true feature fraction for the systematic
error scenario (1000 x 1000 m map areas). In both plots, the minimum and maximum incipient feature lengths are highlighted, and 1-m increments are shown as dotted lines. In A, the error rate (eq. 2) is non-uniform with lower rates at both low and high feature fractions. As incipient feature size gets larger, the error rate function becomes increasingly asymmetrical with peak values at 0.5 and 0.66 bedrock for 1- and 10-m long seeds, respectively. In B, the non-uniform error rates lead to more linear relationships between *F1-score* and feature fraction than in the case of random error (Fig. 4B). In contrast, *nMCC* shows modest negative relationships with

I show feature fraction for all incipient feature sizes.

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<u>Figure 5 shows</u> the results of this analysis for ten different tor sizes in Figure 5-incipient seeds that span from 1 to 10 m in length (1 to 100 m<sup>2</sup>). While <u>I discuss</u>-results throughout this paper are discussed in terms of a scale typical to airborne lidar (i.e., 1-m spatial resolution), the relationships shown here are better cast as the ratio of the incipient feature scale (tori.e., seed length in pixels) to the error scale (1 pixel length) where the feature detection limit is one pixel. When the systematic error is

of order feature length, systematic error mimics the case where the truth and model data are independent (e.g., compare long dashed lines in Fig.  $\frac{55B}{5}$  to solid lines in Fig.  $\frac{33C-D}{2}$ ). As the systematic error gets <u>smallersmall</u> with respect to the <u>torincipient</u> <u>feature</u> size, both *F1-score* and *nMCC* improve. The largest improvements occur for small <u>torincipient feature</u> sizes and at low <u>bedrockfeature</u> fractions (Fig. 5B). When <u>bedrockfeature</u> fractions are low, the error is largely due to the geometric effect of

- 435 the shift of individual square torsobjects surrounded by soil such that  $TP = \frac{t^2 t}{t^2}$  and  $FP = FN = \frac{t}{t^2}$  (Appendix B).matrix. As bedrockfeature fraction increases, incipient torsobjects increasingly coalesce into a smaller number of featuresobjects, and the error is set by these more complex geometries (see discussion in section 65.2). Figure 5A shows how<u>that</u> increasing tor sizes<u>the</u> incipient feature size leads to lower error rates and increasing asymmetry in <u>the</u> error as a<u>rate</u> function of bedrock fraction. Error, where the highest error is biased towards higher feature abundances. These error rate functions skew towards higher
- 440 bedrock fractions leading to a manifest as a modest negative relationship between *nMCC* and bedrock feature fraction regardless of incipient feature size (Fig. 5B). The asymmetric error structure also impacts *F1-score*, albeit in a way that is much harder to diagnose due to the spurious correlation between *F1-score* and bedrock feature fraction (Figs. 3-4). The notion of systematic error in scene-level mapping was envisioned for situations where co-registration error between the remote sensing data used to map 'truth' and the remote sensing data used to build the classifier produce a systematic, translational
- 445 offset. Strictly speaking then, this synthetic scenario represents the case where a translational offset is the same for all scenelevel patchesscenes, a plausible situation if the truth and model data for different scenes were acquired at the same time and in the same way. However, even under the less stringent condition where co-registration errors are oriented differently in different scenes (i.e., due to different acquisition parameters and times), the relationships shown in Figure 5 will still hold as long as the magnitude of the systematic error is similar across sitesscenes and thethere is no preferred orientation of features is isotropieto 450 feature objects.



Figure 5: (A) Error rate response to tor size and associated (B) accuracy scores as a function of the true bedrock fraction for the systematic error scenario (1000 x 1000 m map areas). In both plots, the minimum and maximum tor lengths are highlighted, and 1-m increments are shown as dotted lines. In A, the error rate (eq. 2) is non-uniform with lower rates at both low and high-bedrock fractions. As tors get larger, the error rate function becomes increasingly asymmetrical with peak values at 0.5 and 0.66 bedrock for 1- and 10 m long tors, respectively. In B, the non-uniform error rates lead to more linear relationships between *F1-score* and bedrock fraction than in the case of random error (Fig. 4B). In contrast, *mMCC* shows modest negative relationships with bedrock fraction for all incipient tor sizes.

## 460 **<u>54</u>.3 Random plus systematic error**

The third error scenario I considerconsidered is the situation where the binary classifier is systematically offset from the truth grid with an additional random error term. To create synthetic surfaces of this type, a truth grid is first generated (for Fig. 6 m = n = 1,000) for a given bedroekfeature fraction (*f<sub>b</sub>*)-and torincipient feature size. TorsIncipient features are randomly distributed throughout the model domain. To generateproduce the associated model grid, a copy of the truth grid is first linearly offset by one pixel to the right in the x-direction, using a wrap-around boundary condition. I then generate aA random error grid is then generated using a different pseudo-random seed than that used to generate the bedroek gridtruth data. The grid of continuous values isof the error grid are converted to binary classes (0 = no error; 1 = error) using a random error rate of 0.05 as a threshold. The random error grid is then-used to flip bedroek-classifications in the offset feature grid wherever the error grid value equals one. Note that model bedroekfeature fraction, the magnitude of the systematic offset, the size and shape and size of bedroek features, and the random error rate. Once both truth and model grids are generated, *F1-score* and *nMCC* are calculated. This analysis is done for bedroekfeature fractions that range from 0.01 to 0.99 and torincipient feature sizes that range from 1x1 m to 10x10 m squares (e.g., areas of 1 to 100 pixels).



**Figure 6:** (A) Variable error rates and (B) associated accuracy scores as a function of the true feature fraction for the systematic plus random error scenario (1000 x 1000-m map areas). These panels are analogous to Figure 5A and 5B but now include a 5% random error term. Differences in (C) error rates and (D) accuracy scores between this scenario and systematic error alone (Fig. 5) are shown to enable comparison. In C, the additional 5% random error term is linearly added to the systematic error term at the endmember cases of zero and total feature coverage. The random error translates into something less than 5% for intermediate cases with minima near zero for 1-m seeds

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Figure 6 is analogous to Figure 5 with error rates (Fig. 6A) and accuracy scores (Fig. 6B) plotted as a function of bedroekfeature fraction for different torincipient features sizes. The random error rate sets the minimum observed error and contributes to the total error in a nonlinearnonuniform way. This is because the random error term can flip values where systematic error occurshas occurred (i.e., both sources of error can combine to produce True Positives). Figure 6C and 6D shows the 490 differences in error rates and accuracy scores, respectively, between systematic error alone (Fig. 5A B) and the systematic error plus random error scenario shown here (Fig. 6A-B). and systematic error alone (Fig. 5A-B). The addition of random error is relatively more influential in cases where the classifier is more accurate (i.e., larger torsincipient features) and near endmember bedrock fractions (i.e., all soilzero and all rocktotal coverage of features). For a given incipient torfeature size, the minimum error added by the random error rate of 0.05 occurs at intermediate bedrock fractions and ranges from near zero for 495 1-m torslong seeds to 0.043 for 10-m tors. The results shown inlong seeds. Figure 6B show6 shows that relationships between pixel level accuracy scores and scene level bedrock fraction for this scenario include elements the relative importance of both the random error and versus systematic error scenarios.changes as a function of feature fraction. Because random error is the dominant term of the total error rate near the endmember cases of zero and all bedrocktotal feature coverage, it leads to correspondingly large reductions in *nMCC* (Fig. 6D). In contrast, at intermediate bedrock fractions there is slight negative 500 slope to *nMCC* like observed in the systematic error scenario (Fig. 5B). This is because reductions in *nMCC* induced by random error at intermediate bedrockfeature fractions are: relatively smaller, approximately invariant across a broad range of fractions, and symmetrical with respect to bedrockfeature fraction (Fig. 6D). While I-only show-one random error rate is shown, this example showsillustrates how the complex interactions between random and systematic error earneed to be readily simulated. to understand their implications on pixel-level accuracy scores.

# 505 <u>5 Discussion</u>

Whether mapping orographic gradients in bedrock exposure (Rossi et al., 2020), characterizing precipitation controls on termite mound density (Davies et al., 2014), or inferring how wind extremes induce tree throw frequencies (Doane et al., 2023), lidar topography has revolutionized our ability to map differences in the density of fine-scale features. None of these examples used pixel-level accuracy scores in their analyses. In fact, it is not immediately apparent how well such methods would perform
 *even if* the authors had adopted pixel-level accuracy assessment. For those geomorphic studies that have used pixel-level accuracy scores on lidar-based classifiers (e.g., Bunn et al., 2019; Clubb et al, 2014; Milodowski et al., 2015), it is not obvious how accuracy scores are *expected to* vary as a function of feature prevalence. To help address this challenge, this paper presented a suite of synthetic scenarios that show how *F1-score* and *Matthews Correlation Coefficient (MCC)* perform across

gradients in feature prevalence when the error structure between model and truth data are known. While the scenarios are

515 simple, they provide insight into how well suited, and under what conditions, two of the most widely used accuracy metrics are when data is imbalanced (5.1). The systematic error scenarios further revealed a strong sensitivity of accuracy metrics to the shape and size of feature objects (5.2). Finally, the results from synthetic scenarios are used to provide a tentative set of best practices for using pixel-level metrics in geomorphic studies (5.3).

## 5.1 Accuracy assessment for imbalanced mapping tasks

- 520 One main goal of this study was to understand the sensitivity of *F1-score* and *MCC* to feature prevalence. It is useful for accuracy scores to be invariant with respect to feature fraction under a given error structure so that classified scenes can be calibrated and validated using a wide range of geomorphic settings. For example, Matthews Correlation Coefficient (*MCC*), and its normalized equivalent (*nMCC*), readily diagnosed the case of independence between truth and model data across the full range of feature abundances (red lines in Fig. 3). In contrast, a spurious correlation between feature abundance and *F1*-
- 525 score was only exacerbated by adding scene-level constraints to this case (black lines in Fig. 3). Because F1-score only considers True Positives, False Positive, and False Negatives, it is an asymmetric accuracy metric (Table 1). Asymmetry refers to the fact that the score is dependent on the choice of target class. All pixel-level assessments that do not consider all four components of the confusion matrix (e.g., precision, recall, F-measures, receiver operating characteristic curves) are asymmetric. Asymmetric metrics may not be problematic if one outcome is much more important than its alternative due to
- 530 <u>its consequences (e.g., a medical diagnosis)</u>. However, for many of the geomorphic mapping applications posed here, the relative importance of one class over the other is unclear (e.g., bedrock versus soil; mound versus inter-mound; incised versus <u>un-incised</u>). Successfully identifying both the occurrence and non-occurrence of features is important. In multi-class accuracy assessment, it is common to calculate a 'macro' *F1-score*, which is the arithmetic mean of *F1-scores* for all classes. This macro averaging can also be applied to binary tasks by calculating the *F1-score* for the alternative case when target classes are
- 535 swapped (Sokolova and Lapalme, 2009). While a macro *F1-score* for binary classification is symmetrical and easy to calculate, adoption of this approach is still relatively rare (Chicco and Jurman, 2020).



Figure 7: (A) Relationship between *nMCC* and macro *F1-score* for all the error scenarios posed in this study. (B) Ratio of accuracy scores (*nMCC* / macro *F1-score*) as a function of feature area ratios (model area / true area). In A, the macro score is the arithmetic mean of the two *F1-scores* calculated when classes are swapped. In B, the ratio of scores is plotted as a function of the ratio of feature areas to show that when the model and truth data exhibit different scene-level properties (e.g., feature areas or fraction), the macro *F1-score* produces lower values. The systematic error scenario enforced the property that model and truth data match scene-level fractions which is why they all plot at the coordinates [1,1]. The other error scenarios often produced mismatches between scene-level feature fractions. In these cases, the accuracy metrics are only equivalent when the scene-level fractions match.

- Figure 7 shows how macro *F1-scores* compare to *nMCC* for each of the error scenarios considered in this paper. This modified version of *F1-score* addresses the problem of asymmetry and produces similar values to *nMCC* when the error is small. In the systematic error scenario, the scene-level fraction of bedrock in the model data is identical to the truth data. This leads to a direct correspondence between *nMCC* and macro *F1-score* (red symbols in Fig. 7). However, for the scenarios that include a fixed rate of random error, the macro *F1-scores* generally plot below the 1:1 relationship (Fig. 7A). In these scenarios, accuracy metrics are only equivalent in cases where the scene-level fractions are the same between the model and truth data (Fig. 7B), isNotably, the systematic plus random error scenario produces accuracy metric ratios (Fig. 7B) closer to unity than random error alone for feature area ratios greater than one (low feature fractions). When feature area ratios are less than one (high feature fractions), accuracy ratios instead follow the trend defined by random error alone. Two important insights can be gleaned from Figure 7: (1) Even though macro *F1-score* and *nMCC* is encoding disparities between scene-level and pixel-level measures of accuracy, albeit in a highly nonlinear way. Given that macro *F1-score* produces stronger sensitivity than
  - <u>*nMCC*</u> to the random error scenarios (i.e., accuracy ratios < 1), *nMCC* should still be favoured as a more stable metric when
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calibrating and validating feature classifiers across gradients in feature prevalence. However, and despite its relative success, caution is still warranted in comparing *nMCC* across gradients in feature fraction. Uniform, random error preferentially

560 modifies the dominant class, leading to strong reductions in accuracy near endmember cases (Fig. 4; Appendix A). Even for relatively accurate classifiers, random error limits the domain over which *nMCC* is comparable (e.g., accuracy scores for 5% random error stabilize between ~20 to 80% feature abundances; Fig. 4).

The synthetic scenarios posed in this study were motivated by tasks where differences in scene-level feature abundances are
driven by differences in geomorphic setting (e.g., due to climate, ecology, material property, erosion rate). As such, the synthetic surfaces generated for this analysis assumed that feature properties were homogeneously distributed *within* each scene (like the mima mounds in Fig. 1A). The key difference *across* scenes was feature prevalence, which was used to identify how sensitive accuracy metrics are to imbalanced data. However, the sensitivity of accuracy metrics to feature fraction also provides insight into how metrics might behave when features are heterogeneously distributed within a scene (like the bedrock and gully erosion maps in Fig. 1B-C). While it is beyond the scope of this analysis to systematically explore this, a simple thought experiment using the scenes generated from this study show why within-scene heterogeneity might be important to

- pixel-level accuracy assessment. There are many combinations of scenes with different feature fractions that can merge into a larger one with the same feature fraction. Table 2 shows a suite of examples that each produce 50 percent feature coverage.
- 575 **Table 2:** Merged scenes that produce fifty percent feature area\* [scene 1 percent / scene 2 percent].

	<u>5/95</u>	<u>10/90</u>	<u>15/85</u>	<u>20/80</u>	<u>25 / 75</u>	<u>30 / 70</u>	<u>35/65</u>	<u>40/60</u>	<u>45 / 55</u>	<u>50/50</u>
<u>Random</u>	<u>0.95</u>									
<u>(5%)</u>	<u>0.83 / 0.83</u>	<u>0.89 / 0.89</u>	<u>0.91 / 0.91</u>	<u>0.93 / 0.93</u>	<u>0.94 / 0.94</u>	<u>0.94 / 0.94</u>	<u>0.95 / 0.95</u>	<u>0.95 / 0.95</u>	<u>0.95 / 0.95</u>	<u>0.95 / 0.95</u>
<u>Systematic</u>	<u>0.98</u>	<u>0.97</u>	<u>0.96</u>	<u>0.95</u>	<u>0.95</u>	<u>0.94</u>	<u>0.94</u>	<u>0.93</u>	<u>0.93</u>	<u>0.93</u>
<u>(10 m)</u>	<u>0.95 / 0.86</u>	<u>0.95 / 0.89</u>	<u>0.95 / 0.90</u>	<u>0.94 / 0.91</u>	<u>0.94 / 0.91</u>	0.94 / 0.92	<u>0.94 / 0.92</u>	0.94 / 0.93	0.94 / 0.93	0.93 / 0.93
<u>Sys + Rand</u>	<u>0.93</u>	<u>0.92</u>	<u>0.91</u>	<u>0.91</u>	<u>0.90</u>	<u>0.90</u>	<u>0.89</u>	<u>0.89</u>	<u>0.89</u>	<u>0.89</u>
<u>(10 m, 5%)</u>	0.80 / 0.75	0.85 / 0.80	0.87 / 0.83	0.88 / 0.85	0.89 / 0.86	0.89 / 0.87	0.89 / 0.88	0.89 / 0.88	0.89 / 0.89	0.89 / 0.89

\* The top row is the nMCC of merged scenes. The bottom row is the nMCC of each individual scene that was merged.

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The merging of scenes in Table 2 helps illustrate how heterogeneous feature distributions may impact *nMCC*. For the random error scenario, the strong sensitivity to endmember cases is erased, and *nMCC* is uniform across all ten scene mixtures. For the systematic error scenario, accuracy improves for the higher feature fraction portion of the scene while accuracy marginally decreases for the lower feature fraction portions of the scene. For the systematic plus random error scenario, accuracy improves for the scene. In all cases, *nMCC* is higher for the merged scenes than

for their constituent components, until they converge on each other when fully homogenous. While systematic error clearly induces non-uniform mixing (i.e., merged *nMCC* varies with different constituent feature fractions), all three cases suggest

585 that heterogeneity generally favours more stable estimates of accuracy by sampling portions of the scene with both more and less abundant features. More thorough examination of this claim is needed. Taken at face value though, these results argue that it is better to train a model on all the data at once than on individual scenes with different feature fractions, if the source of classification error is expected to be similar. However, scene-level comparisons may provide more insight into variations in the error structure of the classification model itself, which is often poorly constrained.

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Taken as whole, *nMCC* should be strongly preferred over *F1-score* when building and testing classifiers across gradients in feature abundance, with heterogeneous scenes and pooling of data perhaps favouring more stable assessment. Despite this result, the two scenarios that include systematic error also suggest that asymmetry in accuracy scores can still arise is arising in response to the geometries and genesis of more features. In these cases, asymmetry is not due to limitations of the accuracy metric itself, but instead a result of how features are simulated in synthetic examples. Whether mythe synthetic generative process (i.e., randomly distributed square torsfeatures of constant size) is representative of real transitions from soil-mantled low to bedrock dominated hillsideshigh feature fractions is an open question. However that likely depends on the feature of interest. Nevertheless, these synthetic examples provide an opportunity to probe how the evolution of feature geometries influence accuracy scores, a topic that is explored in much more depth below-and in Appendix B.



Figure 6: (A) Error rate response to tor size and associated (B) accuracy scores as a function of the bedrock fraction for the systematic plus random error scenario (1000 x 1000-m map areas). 5.2 Size and shape of features

The These panels are analogous to Figure 5A and 5B but now include a 5% random error term. Differences in (C) error rate and (D) accuracy scores between this figure and Figure 5 are shown to enable comparison. In C, the additional 5% random error term is linearly added to the systematic error term at the end-member cases of zero and all bedrock. The random error translates into something less than the 5% additional

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error at intermediate cases with minima near zero for 1-m tors and 0.043 for 10-m tors. In D, *nMCC* exhibits strong reductions from systematic error alone near endmember cases (high negative values) and a muted, more uniform reduction for intermediate values.

#### 6 Discussion

#### 610 6.1 Accuracy assessment for imbalanced mapping tasks

Mapping patchy bedrock exposure is a good use case for binary classification on imbalanced data. Many studies have now had success doing scene level mapping of bedrock exposure using lidar topography (DiBiase et al., 2012; Heimsath et al., 2012; Marshall et al., 2014; Milodwoski et al., 2015; Rossi et al., 2020). By calibrating lidar classifiers at the hillslope scale, there are enough observations to characterize the statistics and properties of bedrock features while also minimizing intra-scene
615 variations in climate, ecosystem, rock properties, and base level controls on soil production and denudation rates. Of this prior work, the only one to use pixel level accuracy scores to calibrate and validate their bedrock classifier was Milodowski et al. (2015). In their analysis, lidar classifiers were assessed at multiple roughness thresholds applied over different spatial neighbourhoods. Recognizing the challenges of imbalanced data, these authors subsampled the more frequent class in each scene to match the number of observations of the smaller class. My analysis shows that *Matthews Correlation Coefficient*620 (*MCC*) provides an alternative approach to handling the challenge of comparing scenes with different bedrock fractions that also addresses the problem of asymmetry embedded in other pixel level metrics (Table 1). While the limitations of metrics like *F1 score* are already well known (Chicco and Jurman, 2020), Figure 3 emphasizes an important implication of using this metric when the research design intentionally samples across scenes with differentially balanced data. Adding scene level

625 adding scene level information in the calibration process actually reduces *F1 score*. This vulnerability is true for all pixellevel assessments that do not consider all four components of the confusion matrix (e.g., precision, recall, F measures, receiver operating characteristic curves).

constraints to a random classifier leads to lower F1 scores than simply assuming the entire surface is bedrock. In other words,

The results presented here corroborate arguments that *MCC* is generally a more robust pixel level accuracy metric than *F1 score* (Chicco & Jurman, 2020), specifically within the context of calibrating and validating bedrock mapping algorithms. Despite the improvements afforded by *MCC*, caution is still warranted in directly interpreting how pixel level metrics will vary as a function of feature prevalence. Uniform, random error preferentially modifies the dominant class, leading to strong reductions in accuracy near endmember cases, all other things being equal (Fig. 4; Appendix B1). Even for accurate classifiers, random error limits the domain over which *MCC*, and thus *nMCC*, can be confidently compared at the scene level (e.g., accuracy scores for 5% random error stabilize between ~20 to 80% bedrock; Fig. 4). Furthermore, linear regressions of observed and classified bedrock fractions (e.g., DiBiase et al., 2012; Rossi et al., 2020) can provide clues as to how error varies across scenes. Under the narrow conditions of uniform and spatially random error, the y intercepts of regressions should equal

the error rate and the regression slope should be less than one (Appendix A). The linear regressions presented in Rossi et al. (2020) were forced through the origin. Had they not been, the y-intercepts of those fits would have been negative, suggesting

- 640 that the classified lidar data tended to produce more error at lower bedrock fractions. While the number of scenes analysed was small (8 scenes), this tendency towards higher error at lower bedrock fractions makes sense with respect to how bedrock emerges in the Colorado Front Range. Lower relief hillsides with less bedrock are dominated by tors as opposed to bands of bedrock cliffs that begin to emerge on higher relief hillsides. The myriad sources of error in real landscapes (Fig. 1) will lead to much more complex intra scene error than either the random or systematic error scenarios posed here. Nevertheless, these
- 645 simple scenarios provide a useful baseline for interrogating how spatially correlated error can be diagnosed from inter-scene differences in *nMCC*. With respect to systematic error, I only considered the case where truth and model data are offset by one pixel. Despite its simplicity, this exercise revealed that the scale of individual features matters when error is correlated to feature location. Systematic error scenarios produced an asymmetrical sensitivity of *nMCC* to the fraction of bedrock, a result that was not an artifact of ignoring components of the confusion matrix. This result begs the question as to what other properties of features are changing as a function of bedrock fraction that can explain the observed asymmetry (Figs. 5-6), a question

#### **6.2 Size and shape of features**

which I explore in more depth below.

Up to now, the focus of this paper has largely been on what to expect from pixel-level accuracy scores when a binary classifier for bedrock-is applied across a gradientgradients in bedrock fractionfeature prevalence. Embedded in this analysis are
assumptions for how outcrops emerge at higher bedrock fractions. Specifically, I assumed that the spatial distribution of incipient features is random. This treatment allowed me to probe how scene level and pixel level accuracy relate when sampling across large gradients in bedrock exposure. Afeatures emerge at higher abundances. Intriguingly, a negative correlation between *nMCC* and bedrock fractionfeature prevalence emerged in scenarios with systematic error, regardless of the incipient torfeature size (Fig. 5B; 6B). Given that *nMCC* addresses the problem of asymmetry with respect to target class
(Fig. 3; Fig. 4B), what causes this asymmetrical sensitivity of *nMCC* to systematic error?

In all the scenarios I have presented, the minimum tor size is set by the tor length. Because incipient tors are placed on the surface by randomly placing their centres in the scene, more complex features are generated where incipient tors overlap by chance. To illustrate the implications of this approach, Figure 7 examines how feature size\_One likely candidate is that the

- 665 <u>simulated changes in feature prevalence entailed a corresponding change in the size and shape: 1. Impact the error caused by a 1 pixel shift, and 2. Change as a function of bedrock fraction for the scenarios considered in this study. In general, error is expected to go down with increasing feature size because area increases faster than the length of the edge of the <u>of</u> feature being offset. Due to the symmetry of the error induced by a <u>objects. A</u> feature being offset by one pixel, *recall, precision*, and *F1 score* are equivalent for this kind of systematic error (Fig. object is defined here as a spatially isolated occurrence of the</u>
- 670 target class (i.e., the ones in a binary classification) enveloped by pixels of non-occurrence (i.e., the zeros in a binary

classification). As features become more abundant, small objects coalesce into larger ones. This section probes the role of object size and shape on error by examining how the incipient feature shape interacts with translational error 7A). I focus on these values as a measure of error induced by feature shape alone that is independent of the scene level bedrock fraction. As

bedrock fractions increase in my synthetic surfaces, the average size of individual features gets larger. Whether this increase

- 675 in feature size is due to changing the incipient tor size or the coalescing of many incipient tors into a single feature, *F1 scores* always monotonically improve (Fig. 7B).-However, Figure 7A nicely contrasts the differences in the error induced by a 1-pixel shift of simple features like square tors versus the more complex ones generated by the coalescing of incipient tors. For the same feature area, simple feature boundaries produce less error than sinuous, convexo-concave boundaries because the area to edge ratio is higher, thereby minimizing the impact of translational offsets (see more examples in Fig. B1). Most shapes
- 680 produce less error as they get larger, though it is possible to create shapes that produce more error as they get larger (see 'star' shape in Fig. B1). In the synthetic scenarios where there is systematic error, both the average feature area and *F1 scores* increase with increasing bedrock fraction. The error looks like that of isolated square tors only at the lowest bedrock fractions. As features get larger, *F1 score* substantially improves but at a lower rate than if bedrock was modelled as a single square tor (black line in Fig. 7B), reflecting the lower area to edge ratios produced by these complex feature shapes. Interpreting *F1*-
- 685 score is limited by the fact that is does not account for True Negatives, which necessarily go down as bedrock fraction goes up. As such, increasing bedrock fraction in my synthetic scenarios should record the trade-offs between increasing feature sizes leading to less error and increasing feature complexity leading to more error. The negative trends in *nMCC* shown in Figures 5B and 6B suggest that the net result of these competing effects is that increased complexity is the dominant term. The asymmetrical sensitivity of *nMCC* to systematic error also highlights the importance of how feature abundances are being simulated. Are the subsequent bedrock maps produced in this study representative of the actual transition from soil-mantled to bedrock dominated hillsides? I cast this question more broadly in the section below where I can consider how the genesis and growth of features is embedded in pixel level scores.

#### 5.2.1 Shape and scale of incipient features

All the synthetic scenarios presented above used incipient features with square shapes and whose scale was varied using a single parameter, the incipient feature length. The square geometry was useful because squares are oriented in the same way as the regular grids being used, thus imposing a rotational symmetry to translational offsets. However, other rotationally symmetrical shapes could have been used. Figure 8 shows four alternative shapes whose rotational symmetry makes them insensitive to the direction of translational offset between truth and model data. Because these shapes are constrained by their raster representation, it is hard to create different shapes with the same area when objects are small. For the shapes 'square', 'rounded', 'plus', and 'star', all four shapes have approximately equivalent areas (< 3% difference) for shape diameters of 6, 7, 10, and 11 pixels, respectively (Fig. 8A). The number of False Positives and False Negatives to a 1-pixel offset is a function of both the object size and shape (Fig. 8B). As feature objects get larger, the relative error induced by a 1-pixel offset typically</li>

goes down. For a given object area, the relative frequency of error induced by a 1-pixel offset appears to be sensitive to the complexity of object boundaries.

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To help interpret the relative trade-off between object size and shape, Figure 8C plots the *F1-scores* of the example feature objects in Figure 8A as a function of object area. Due to the symmetry of translational offset, *recall, precision*, and *F1-score* are equivalent for this kind of systematic error. Each of these metrics provides a measure of accuracy induced by feature shape alone, independent of the scene-level abundance of features. The error induced by a one-pixel shift between truth and model classification can be directly derived for the square case because of its simple geometry. The number of True Positives is equal

710 classification can be directly derived for the square case because of its simple geometry. The number of True Positives is equal to *l*<sup>2</sup>- *l* and the number of False Positives and False Negatives are each equal to *l*, where *l* is the length of the square in integer units of pixels. Substituting these terms into equation 5 and simplifying yields an equation for *F1-score* specific to square features:

$$\underline{F1-score_{sq}} = 1 - \frac{l}{l^2}$$
(8)

715 The last term in equation 8 explains why accuracy improves as a function of feature area. The area of a square increases faster than its length, thus leading to lower sensitivity to the 1-pixel offset. This ratio is equivalent to the number of pixel edges divided by the total number of pixels for a rasterized shape, which is referred to here as the edge-to-area ratio. The edge-to-area ratio can be calculated for any raster shape and sets how sensitive *F1-score* is to a translational offset. Each kind of shape differs in how the edge-to-area ratio changes as they get larger, thus defining different scaling relationships between accuracy and feature size (Fig. 8C). In general, concave shapes (i.e., 'square' and 'rounded') are more conducive to higher *F1-scores*. Concavo-convex shapes have more complex boundaries, with some shapes even showing a reduction in accuracy with increasing size (e.g., 'star' shape). Even though the synthetic scenarios used in this study assumed square seeds for their incipient features, the coalescing of these incipient shapes into larger objects means that complex boundaries, and thus increasing edge-to-area ratios emerge as feature prevalence increases.



Figure 8: (A) The shape and scale of incipient feature objects directly affects (B) the subsequent frequencies of False Negatives (yellow) and False Positives (blue) to a 1-pixel, translational offset in model classification, (C) which also results in different scaling relationships between object areas and *F1-score*. In A, four different objects are shown that have either convex (i.e., square, rounded) or concavo-convex (i.e., plus, star) boundaries with respect to the matrix. The object area is reported below each shape in pixels. Note that the smallest 'rounded' example is not actually round, but a rotated square. In B, error classes are shown for a 1-pixel shift to the right. Because shapes are all rotationally symmetric with respect to the four cardinal directions, error rates do not depend on the direction of the shift. Only true negatives that share an edge with the other classes



**Figure 7:** The frequency of False Positives and False Negatives (A) is a function of the incipient tor shape and average tor size, which can be quantified for (B) the systematic error scenario using *F1-score*. In A, six permissible tor In C, the *F1-score* for each of the sixteen shapes are plotted as a function of the object area. The function describing how object area and *F1-score* varies for square features (eq. 8) is also plotted as a dashed line for reference.

# 740 <u>5.2.2 Shape and scale of emergent features</u>

In the synthetic scenarios presented above, the minimum feature size is set by the incipient feature length (i.e., 1 to 10 pixels). Because incipient features are placed on the surface randomly, more complex objects are produced where incipient features overlap by chance. To illustrate the implications of this, Figure 9A shows examples of individual objects that can be generated using square seeds. Examples are organized by incipient feature size (rows) and object areas (columns). Adjacent to each object is the error induced by a 1-pixel shift to the right, with its corresponding *F1-score* reported above it. Note that individual objects are not necessarily rotationally symmetric. If an object has a preferred orientation, then error will be enhanced for objects where the long axis is parallel to the translational offset and reduced for objects where the long axis is perpendicular

to the translational offset. In practice, the sensitivity of error to object orientation is not realized in the synthetic scenarios

above because the random placement of features results in objects without a preferred orientation.

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Fig. 9B) regardless of the incipient feature size. The scenarios above are not producing shapes like the 'stars' shown in Figure 8. Larger features do lead to high *F1-scores* (Fig. 9B). It was already shown that placing larger features in the landscape

- improves accuracy in response translational offset (Figs. 5-6). As such, the negative trends in *nMCC* shown in Figures 5B and 6B suggest that the net result of increasing the size of features, for a given incipient seed, is outweighed by the complexity of feature boundaries generated by coalescing them. This analysis suggests that it is paramount to understand the scaling properties of features as they become more prevalent to understand how accuracy scores may be affected by small corregistration errors. Finally, the sensitivity of accuracy metrics to the size and shape of individual features begs important questions as to how stable accuracy metrics are to increasing spatial resolution. As airborne remote sensing is supplemented
  - 30 questions as to how stable accuracy metrics are to increasing spatial resolution. As airborne remote sensing is supplemented and superseded by drone-based mapping, there is good reason to believe that the shapes and scales of better resolved features may change, and thus influence how binary classifiers perform.

# 6.3 Other geomorphic applications

## 5.3 Recommendations and future directions

785 Many geomorphic tasks share the need for binary classifiers that perform well across gradients in feature abundance. Whether constraining the density of landslide scars, river channels channel erosion, bedrock outcrops, or pit-mound features, geomorphic studies often rely on fine-scale mapping to determine how feature size, extent, and prevalence respond to differences in environmental forcing. As such, there is a general need for classifiers that successfully handle imbalanced data. In all the synthetic scenarios presented here, increased target class density was generated by randomly distributing the nuclei of incipient 790 features within the model domain. This is perhaps a reasonable analogue to the case where bedrock tors are exhumed from a spatially random distribution of somewhat more resistant bedrock (e.g., due to differences in chemical composition, fracture density, etc.) that underly thin soils near denudational thresholds. In contrast, many topographic features show striking evidence for self organization (Hallet, 1990; Phillips, 1999; Murray et al., 2009) where feature properties instead reflect interactions of local positive feedbacks and far-field negative feedbacks (e.g., Gabet et al., 2014). Unlike the synthetic surfaces 795 shown here, the emergence of patterned topography and the regular spacing of features will maintain isolation of features even at very high densities. In such cases, we might expect the shape and size of features to follow well defined scaling laws that respond quite differently to systematic error. There is a general need for classifiers that successfully handle imbalanced data. This paper set out to understand how two widely used pixel-level accuracy metrics perform across gradients in feature prevalence. By using synthetic examples where the error structure of the data is known, heuristics can be developed for best 800 practices when the research design specifically calibrates and validates binary classifiers across gradients in feature abundance. Four key recommendations emerged:

It is beyond the scope of this analysis to test the variety of scaling relationships that different topographic features exhibit in nature. That said, this analysis emphasizes the importance of understanding how feature size and shape covary with each other

- 805 as feature density increases. If sealing relationships do exist for a given type of feature and are known, then they provide the baseline for interpreting how and whether pixel-level accuracy scores are differentially sensitive to feature abundance. Even though pixel-level metrics like *MCC* and *nMCC* handle imbalanced data well and address the challenge of asymmetry with respect to target class, the examples shown here suggest that it should not be assumed that these pixel-level metrics will be invariant as a function of feature abundance. How pixel-level metrics vary under different error scenarios need to be modelled
- 810 explicitly so that trends in accuracy can be interpreted. The approach taken here was to use synthetic feature maps to yield insight into how pixel-level scores relate to scene level attributes (sp., bedrock fraction). Future work would benefit from using landscape evolution models to inform how pixel-level scores are expected to vary under different error scenarios for the relevant geomorphic processes at play. As numerical models of the land surface attempt to keep pace with increasingly higher resolution, process-scale observations (Tucker & Hancock, 2010), they have the potential to provide hypothesis-driven
- 815 statistical analysis for how pixel-level accuracy scores should vary with feature abundance for different types of error.

In many cases, we expect error to depend on the topographic proxy being used (e.g., slope, curvature, roughness) such that error may be higher in scenes closer to the feature detection limit (i.e., where fewer features are observed). As such, more careful consideration of spatial autocorrelation in error and the subsequent trends in accuracy scores that arise is needed. Further attention to this issue will undoubtedly reveal different relationships between pixel-level scores and scene-level

- 820 Further attention to this issue will undoubtedly reveal different relationships between pixel-level scores and scene-level attributes than those presented here. Nevertheless, the error scenarios considered reveal that the domain over which *nMCC* is expected to be comparable across scenes can be quite limited depending on the source of error, the error rate, and the size and shape of features being assessed.
- (1) Matthews Correlation Coefficient, and its normalized equivalent (nMCC), are much better suited than F1-score to
   comparing accuracy scores when feature abundances vary across classified scenes. Even after addressing the problem of asymmetry, macro F1-score tends to over-penalize random error.

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- (2) For random error, caution is warranted in interpreting *nMCC* near the endmember cases of zero and full feature coverage because random error preferentially modifies the dominant class. Though scores are relatively invariant only between ~20-80% feature coverage, this domain might be expanded for scenes with more heterogeneous feature distributions.
- (3) For systematic error, *nMCC* is strongly sensitive to the size and shape of individual objects. Larger objects with simpler boundaries are less sensitive to this kind of error because their edge-to-area ratios are small. As such, it is important to characterize both co-registration uncertainty and the attributes of the individual objects being mapped.

- (4) Before training and testing classifiers on imbalanced data, it is essential to establish baseline expectations for how pixel-level accuracy scores respond to potential sources of error over the range of feature abundances used. This can be accomplished through numerical simulation.
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Simulating a suite of simple scenarios with a known error structure and uniform incipient seeds provided some insight into how pixel-level accuracy metrics behave across gradients in feature prevalence. Real-world applications are decidedly more complex. In the scenarios presented here, increased feature density was simulated by randomly distributing the nuclei of incipient features within the model domain. Such a treatment may be relevant to some applications but is clearly limited. Figure

- 845 <u>1 anticipated three clear limitations of simulating features in this way. Many features show evidence for: a characteristic scale and spacing (e.g., mima mounds in Fig. 1A), size distributions spread across a wide range of scales (e.g., bedrock exposure in Fig. 1B), and anisotropy (e.g., gully erosion in Fig. 1C). As such, more work is needed to understand how pixel-level accuracy metrics perform on imbalanced data that exhibit these properties. To this end, three promising future research directions are:</u>
- 850 (1) As landscape evolution modelling attempts to keep pace with increasingly higher resolution observations (Tucker & Hancock, 2010), it also has wide potential for error analysis. Instead of randomly generating features, numerical models can produce more realistic feature distributions that are derived from the relevant geomorphic transport laws at play (Dietrich et al., 2003). A process-based approach towards error assessment could be used to identify under what conditions binary classifiers can be reliably compared across gradients in feature fraction.
  - (2) Pixel-level accuracy scores are built on the confusion matrix, which does not retain the spatial autocorrelation structure or the semantic content of feature objects. Given the importance of the size and shape of features to some error scenarios, the path forward may lie in multi-scale, object-based image analysis (e.g., Drăguț and Eisank, 2011). Object-based image analysis is on the cutting edge of feature extraction from remote sensing data (Hossain and Chen, 2019). How to reliably evaluate the accuracy of image segmentation algorithms though requires creative re-thinking and re-tooling of standard pixel-level accuracy scores (Cai et al., 2018).
- (3) Both opportunities above emphasize the over-arching challenge of the rapidly changing landscape of increasing spatial resolution data. Higher resolution data both impacts the practical challenge of co-registration error as well as highlights the more theoretical challenge of semantic vagueness, or the notion that feature boundaries may not be sharply defined (Sofia, 2020). As data resolution increases, traditional methods in image segmentation and binary classification may require new approaches (Zheng and Chen, 2023).

On the one hand, this paper is a call to action on adopting standard methods from the data sciences into surface processes research. On the other hand, geomorphic questions provide a diversity of real-world use-cases where these 'standard' methods

can be put to the test and new methods can be developed. As machine learning approaches towards geomorphic mapping proliferate, a better understanding is needed on how these methods will perform on the scientific tasks that are driving surface processes research forward.

## **6** Conclusions

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With increasing access to high resolution data and increasing focus on fine scale mapping of topographic features, pixelPixel-875 level accuracy assessment provides a powerful tool for understanding how well classifiers built from lidarhigh resolution topography are performing. To be most useful, the limitations of commonly used metrics like precision, recall, and F1-score need to be considered. Classification tasks that span large gradients in feature abundance are particularly vulnerable to biases in these metrics because data is strongly imbalanced and the choice of target class matters. More robust metrics like MCC and 880 *nMCC* largely address these methodological challenges. However, caution is still warranted in comparing pixel-level scores across gradients in feature density and extent (e.g., bedrock fraction). If error is random and uniform across scenes, then *nMCC* will dramatically worsen near endmember cases because the more prevalent class will beis preferentially modified, though this effect may be mediated by pooling data from many different scenes. If the model is systematically offset from the truth grid, then an asymmetrical sensitivity of nMCC can arise depending on the assumptions for the genesis and growth of 885 individual features. As the size of individual features increases with feature abundance, there will also be be lower sensitivity to systematic offset. However, if the shapes of features are also getting more complex, then the increased edge to area ratio of individual features can counteract and exceed improvements in accuracy associated with larger feature sizes. Though pixellevel metrics used in the machine learning and remote sensing community should be more widely adopted in geomorphic research, further work is needed to understand how different sources of error might decouple pixel-level from scene-level 890 measures of accuracy.

Appendix A: Random error and accuracy metrics

Section 54.1 reported how pixel-level accuracy scores vary as a function of bedrock fraction for a fixed rate of random error. While the synthetic surfaces were generated using Python, the results shown in Figure 4 can be directly derived from the mean random error rate ( $\bar{e}_r$ ) and true bedrock<u>feature</u> fraction ( $f_{\bar{b}}f_f$ ) analytically. Under this scenario, the probability of flipping either class is independent of the prevalence and location of bedrock<u>outcropsfeatures</u> such we can define the average frequencies for all four components of the confusion matrix. The relative frequencies of each outcome are the product of the average rate of error (or non-error) and the average abundance of the true class. For example, the True Positives reflect both the probability of bedrock<u>the feature</u> occurring ( $f_{\bar{b}}f_f$ ) and the probability of not being flipped in the model due to random error (i.e.,  $1 - \bar{e}_r$ ). The frequencies of all four classification outcomes are:

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$$f_{TP} = (1 - \bar{e}_r)f_{bf}$$
(A1)  

$$f_{FP} = \bar{e}_r f_{\overline{b}} f_f$$
(A2)  

$$f_{FN} = \bar{e}_r (1 - f_{\overline{b}})(1 - f_f)$$
(A3)  

$$f_{TN} = (1 - \bar{e}_r)(1 - f_{\overline{b}})(1 - f_f)$$
(A4)

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Because we also know that the <u>bedrockfeature</u> fraction in the model  $(f_{bm}f_{fm})$  must equal the sum of the fractions of True Positives and False Negatives, these equations yield the relationship:

$$f_{\overline{bm}}f_{fm} = (1 - \bar{e}_r)f_{\overline{b}}f_f + \bar{e}_r(1 - f_{\overline{b}})(1 - f_f)$$
(A5)

Equation A5 can be rearranged and simplified to describe how <u>the model bedrockfeature</u> fraction <u>andis related to the</u> true <u>bedrockfeature</u> fraction <u>vary as a linear function of the random error rate</u>:

$$f_{\overline{bm}}f_{fm} = (1 - 2\bar{e}_r)f_{\overline{b}}f_f + \bar{e}_f$$
(A6)

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The relationships shown in Figure 4A (main text) are equivalent to equation A6 for different error rates. That the Pythongenerated scenes match the analytical solution indicates that the domain used for these synthetic scenes is large enough to adequately sample population statistics. Note that equation A6 provides a prediction for the relationship between true and model bedrock fractions only if error is uniform and random across scenes. In such cases, the average error rate can be directly inferred from both the slope and y-intercept of the regression. If this reasoning is flipped, then empirical studies using scenelevel regressions (DiBiase et al., 2012; Rossi et al., 2020) provide *prima facie* evidence for whether elassification error is random and uniform across scenes. For example, while all regressions reported in Rossi et al. (2020) were forced through the origin, the best-fit linear regressions yielded negative y-intercepts suggesting that error rates were systematically higher at lower bedrock fractions.

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Because pixel-level accuracy scores can be derived directly from the confusion matrix, the simplified assumptions of random, uniform error also facilitate prediction for how F1-score and nMCC will vary with the true <u>bedrockfeature</u> fraction. Substituting the values from eqs. A1-A4 into equation 5 (main text) yields:

$$F1\text{-}score = \frac{\frac{2f_{F}(1-\bar{e}_{F})}{2f_{F}(1-\bar{e}_{F})+\bar{e}_{F}}}\frac{2f_{f}(1-\bar{e}_{r})}{2f_{f}(1-\bar{e}_{r})+\bar{e}_{r}}$$
(A7)

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which is equivalent to the numerically generated black curves in Figure 4B. Similarly, substituting eqs. A1-A4 into equation 6 (main text) yields:

$$MCC = \sqrt{f_{b}} \times \sqrt{1 - f_{b}} \times (1 - 2\bar{e}_{r})} \sqrt{f_{f}} \times \sqrt{1 - f_{f}} \times (1 - 2\bar{e}_{r})} \sqrt{f_{f}} \times \sqrt{1 - f_{f}} \times (1 - 2\bar{e}_{r})} \sqrt{f_{b} + \bar{e}_{r} - \bar{e}_{r}^{2} - f_{b}^{2} - 4\bar{e}_{r}f_{b}^{2} - 4\bar{e}_{r}f_{b}^{2} - 4\bar{e}_{r}f_{b}^{2} - 4\bar{e}_{r}f_{f}^{2} - 4\bar{e}_{r}f_{f$$

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which is equivalent to the numerically generated red curves in Figure 4B. Though the expression for *MCC* under random, uniform error is complex, it reveals why there is strong and symmetrical sensitivity near the endmember cases of zero and all bedrock. The numerator in eq. A8 decreases faster than the denominator near endmember cases regardless of the average error rate. Since  $f_{\overline{p}}f_f$  and  $1 - f_{\overline{p}}f_f$  are complementary and  $\overline{e}_r$  is assumed to be constant, this reduction in *MCC* is also symmetrical around an optimal bedrock fraction of 0.5.

#### Appendix B: Feature shape and systematic error

In this analysis, bedrock tors are treated as square features whose scale is varied with a single parameter, the 'tor' length. The square geometry is useful because it is oriented in the same way as the regular grid over which the synthetic landscapes are generated. The random placement of incipient tors on the surface ensures that bedrock features do not have a preferential 945 orientation and translational errors do not depend on the orientation of offset. While relaxing these assumptions are beyond the scope of this study, it is worth probing more deeply on how tors are simulated to help explain the asymmetrical sensitivity of nMCC to bedrock fraction when the model data is systematically offset from truth (Figs. 5-6). Specifically, I show in this appendix how the frequency of False Positives and False Negatives are linked to the shape and size of features. Both the incipient tor shape and the subsequent aggregation of these shapes into larger bedrock features are what set the overall error 950 rate. By incipient tor shape, I am referring to the seed shape used to generate bedrock from the random placement of tor centres. While I only used a square seed in the main analysis, Figure B1 shows the importance of seed shape to generating false positives and false negatives when the truth and model features are systematically offset by one pixel. In Figure B1A, I show four shapes at three different spatial scales. Because seed shapes are constrained by their raster representation, it is hard to create different shapes with the same area when the seed shape is small. For the shapes 'square', 'rounded', 'plus', and 'star', 955 the shape area is approximately equivalent for shape diameters of 6, 7, 10, and 11 pixels, respectively. For these radially symmetrical shapes, a 1-pixel shift produces the same number of false positives and false negatives regardless of the orientation

of the shift.



960 Positives (blue) to a translational offset in model classification. In A, four different feature shapes are shown that have either convex (i.e., square, rounded) or concavo convex (i.e., plus, star) boundaries with respect to the soil matrix. The feature area is reported below each shape in pixels. Note that the smallest 'rounded' example is not actually round, but a rotated square. As features get too small with respect to the data resolution, it becomes difficult to represent complex objects using a regular, square grid. In B, error classes are shown for a 1 pixel shift to the right. Because shapes are all rotationally symmetrie with respect to the four cardinal directions, error rates do not depend on the direction of the shift. Only true negatives that share an edge with the other classes are shown.

While much of the analysis has emphasized that MCC and nMCC are superior to F1 score for accuracy assessment when True Negatives matter, F1 score is well suited to the task of isolating how feature size and shape impact error independent of bedrock fraction. The geometry of an individual square tor is a useful starting point because the error induced by a one pixel shift between truth and model classification is readily derived from its simple geometry. The number of True Positives is equal
 970 to l<sup>2</sup>—l and the number of False Positives and False Negatives are each equal to l, where l is the length of the square. Substituting these terms into eq. 5 (main text) and simplifying yields an equation for F1-score specific to square features:

$$F1 \ score_{\frac{544}{2}} = 1 - \frac{l}{l^2}$$
(B1)

Because the area of a square increases faster than its length, the last term in equation B1 explains why accuracy improves as a function of feature area. To extend this further, I also compare how the different shapes shown in Figure B1 impact *F1-scores* 

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function of feature area. To extend this further, I also compare how the different shapes shown in Figure B1 impact *F1-scores* (Fig. B2B). The key property that is changing for these different shapes is the edge to area ratio, whereby concave shapes (i.e., 'square' and 'rounded') are generally more conducive to higher *F1-scores*. However, the size of features is also very important. In all but the 'star' example, *F1 scores* improve as features get larger. The 'star' does not follow the pattern because it is the only example here where the edge to area ratio increases with feature size.



980 Figure B2: (A) Example bedrock map like those produced in this analysis and (B) the relationship between feature area and F1-score. In A, nine 'tor' centres produce three individual tors. In B, the F1-score in A is plotted as a function of the average feature area alongside the seed shapes shown in Figure B1. For reference, I also plot the function describing how area and F1-score vary for square tors. Note that even though the bedrock map shown in A is generated using randomly distributed square outcrops, the F1-score is lower than the dashed blue line due to the more complex boundaries generated by coalescing incipient tors into a fewer number of features.

This feature level assessment provides further insight into why Figures 5-6 (main text) show positive trends in *F1-score* and negative trends in *nMCC* as a function of bedrock fraction. Increasing feature size favours lower error while increasing feature complexity favours higher error. Figure 7 (main text) demonstrates that the net result of these competing effects is monotonic increases in *F1-score* as a function of bedrock fraction, all other things being equal. This is because it is difficult to overcome the strong sensitivity of *F1-score* to how balanced the data are. Instead, *nMCC* reveals that the impact of increasing complexity of figure 5 and the strong sensitivity of the increase in feature size leading to a modest negative relationship to bedrock fraction (Fig. 5 main text).

## Data Availability

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Figures Figure 1- elevation data was downloaded from OpenTopography (2010 Channel Islands Lidar Collection, 2012; Anderson et al., 2012; Reed, 2006). Figure 2 and Table 1 are based on the bedrock mapping at site P4P01 from Rossi et al. (2020). Maps for 1-m truth and model data at this site can be accessed at <a href="https://github.com/mwrossi/cfr">https://github.com/mwrossi/cfr</a> extremes. These classified maps are based on 2018 Pictometry® orthomosaicked air photos purchased by Boulder County and airborne lidar data acquired by the National Center for Airborne Laser Mapping for the Boulder Creek Critical Zone Observatory (Anderson et al., 2012). Synthetic surfaces presented in Figures 3-79 were built in Python. Scripts can be accessed at <a href="https://github.com/mwrossi/bedrock-mapping-accuracy">https://github.com/mwrossi/feature-mapping-accuracy</a>. Once through review, the main code will continue to be hosted on Github, but scripts and files used for generating figureseach figure will be

1000

review, the main code will continue to be hosted on Github, but scripts and files used for generating figureseach figure will be archived on Figshare.

## **Competing interests**

The author declares that there is no conflict of interest.

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