

1 **Introducing standardized field methods for fracture-focused surface**  
2 **processes research**

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17 **Abstract.** Rock fractures are a key contributor to a broad array of Earth surface processes due to their direct control on rock  
18 strength as well as rock porosity and permeability. However, to date, there has been no standardization for the quantification of  
19 rock fractures in surface processes research. In this work, the case is made for standardization within fracture-focused research,  
20 and prior work is reviewed to identify various key datasets and methodologies. Then, a suite of standardized methods is presented  
21 as a starting ‘baseline’ for fracture-based research in surface processes studies. These methods have been shown in preexisting  
22 work from structural geology, geotechnical engineering, and surface processes disciplines to comprise best practices for the  
23 characterization for fractures in clasts and outcrops. This practical, accessible, and detailed guide can be readily employed across  
24 all fracture-focused weathering and geomorphology applications. The wide adoption of a baseline of data collected using the same  
25 methods will enable comparison and compilation of datasets among studies globally and will ultimately lead to a better  
26 understanding of the links and feedbacks between rock fracture and landscape evolution.

27  
28 **Short Summary.** All rocks have fractures (cracks) that can influence virtually every process acting on Earth's surface where  
29 humans live. Yet, scientists have not standardized their methods for collecting fracture data. Here we draw on past work across  
30 geo-disciplines and propose a list of baseline data for fracture-focused surface processes research. We detail its rationale and the  
31 methods for collecting it. We hope its wide adoption will improve future methods and knowledge of rock fracture overall.

## 32 1 Introduction

33 Rock fracture in surface and near-surface environments plays a key role in virtually all Earth surface processes. Fractures comprise  
34 faults and opening-mode fractures; both coming in a wide range of sizes. The focus here, however, is on opening-mode fractures.  
35 The propagation of opening-mode fractures universally occurs at or near the surface of Earth (e.g., within ~500 m - Moon et al.,  
36 2020), on other terrestrial bodies (Molaro et al., 2020), and at depth in the crust (e.g., Laubach et al., 2019). It epitomizes mechanical  
37 weathering and the development of ‘critical zone architecture’, i.e., the evolving porosity, permeability, and strength of near-  
38 surface rock (e.g., Riebe et al., 2021). For clarity and consistency herein, the use of the term fracture is limited to refer to any *open*,  
39 high-length-to-aperture-ratio discontinuity in rock, regardless of its origin, scale, or location (e.g. within a clast, or within shallow  
40 or deep bedrock), acknowledging that veins (partly to completely mineral filled fractures) or dikes (filled with secondary minerals)  
41 are also termed ‘fractures’ in many contexts. The term ‘crack’ is avoided because the wide-ranging semantics of that term can  
42 cause confusion when employed in interdisciplinary work across rock mechanics, structural geology, and geomorphology.

43  
44 Fracture characteristics (e.g., size, number, connectivity, orientation) exert enormous influence on both rock mechanical properties  
45 (e.g., Ayatollahi and Akbaridoost, 2014) and rock hydrological properties (e.g., Leone et al., 2020; Snowdon et al., 2021). Fractures  
46 therefore influence a wide array of natural and anthropogenic landscape features and processes including channel incision (e.g.,  
47 Shobe et al., 2017), sediment size and production (Sousa, 2010; Sklar et al., 2017), hillslope erosion (e.g., DiBiase et al., 2018;  
48 Neely et al., 2019), built environment degradation (e.g., Hatir, 2020), landslide and rockfall hazards (e.g., Collins and Stock, 2016),  
49 groundwater and surface water processes (e.g., Maffucci et al., 2015; Wohl, 2008), and vegetation distribution (e.g., Aich and  
50 Gross, 2008). Additionally, the resultant physical properties of fracture-produced sediment (i.e., clast size distribution, mass,  
51 porosity, etc.) control both hillslope and stream processes (e.g., Chilton and Spotila, 2020; Glade et al., 2019).

52

53 With fractures clearly central to so many surface processes, as well as to non-academic concerns ~~of such as~~ hazard and  
 54 infrastructure degradation, it is crucial to understand the factors that control surface and near-surface rock fracture attributes, and  
 55 rock fracturing rates and processes. To fully do so requires a large body of data quantifying fracture-related characteristics and  
 56 phenomena in a variety of subaerial environments; however, to date, no standard field methods have been widely adopted to  
 57 quantify fractures in the modern surface processes realm. Consequently, data collected across studies cannot be readily compared  
 58 or coalesced. The purpose of this paper is to define an initial set of such standards with the anticipation that It is necessary and  
 59 expected that the methods will evolve as new understanding, needs and applications arise—they can and should change over time  
 60 as understanding evolves. We develop these proposed standards by combining prior fracture methodologies from other geoscience  
 61 disciplines with those that have been developed, tested and refined through more than 20 years of field-based fracture observations  
 62 for surface processes-related research (e.g., Aldred et al., 2015; Eppes and Griffing, 2010; Eppes et al., 2018; Eppes et al., 2010;  
 63 Mcfadden et al., 2005; Moser, 2017; Shobe et al., 2017; Weiserbs, 2017).

64  
 65 Building on past work, this paper defines the benefits of establishing a standard procedure for fracture-focused surface processes  
 66 field research, describing how ~~the authors' chosen~~ presented methods outperform other approaches. We then present ~~provide~~ a short  
 67 review of motivating existing approaches derived primarily from engineering and structural geology disciplines. Finally, we  
 68 ~~define~~ describe a set of methods that is proposed as a starting point for surface processes researchers so that a larger community of  
 69 teams can begin to cross-pollinate their observations. When no other standard practice is evident in existing literature, we have  
 70 suggested rules of thumb that are based on our experience during fieldwork for past published works (e.g. Eppes and McFadden,  
 71 2010; Aldred et al., 2016; Ortega et al., 2006). We explicitly note when such practices are presented, and our rationale thereof. It  
 72 is necessary and expected that the methods will evolve as new needs and applications arise. The overall scope herein is limited to  
 73 in-person field observations on sub-aerially exposed rock, i.e., fractures that can be observed with the naked eye or basic hand lens.  
 74 Measurements of smaller fractures (e.g., those visible with microscopy) or of buried fractures (e.g., those visualized in boreholes  
 75 or with indirect geophysical methods) are not directly described here.

76  
 77 We also note that methods for fracture detection using automated analyses of remote data such as LiDAR, drone photography,  
 78 structure-from-motion, or 3D modeling are not described herein, but provide motivation for this work (Sect. 1.2). ~~These~~  
 79 ~~technologies, which enable the production of fracture maps whose properties can then be quantified and characterized digitally~~  
 80 ~~using freely available software packages such as FracPaQ (Healy et al., 2017), are rapidly evolving and hold great promise for~~  
 81 ~~expanding the scope of fracture measurements overall (Betlem et al., 2022; Zeng et al., 2023). To date, however, mapping fractures~~  
 82 ~~using these techniques holds limitations such as difficulty distinguishing between fractures and edges. Thus, the methods outlined~~  
 83 ~~herein represent a consistent set of methods that could be employed for validation across all such remotely sensed data collection.~~  
 84 ~~Furthermore, many of the field methods described herein, such as site and observation area selection, are required for any fracture~~  
 85 ~~mapping effort regardless of technique. Thus, many of the methods we present can be applied to most studies using these rapidly~~  
 86 ~~evolving remote sensing technologies, and should aide in accelerating their development.~~

87  
 88 ~~The~~ In sum, the overall aim of this paper is to build: 1) a motivation for standardization based on existing published work across  
 89 disciplines 2) a set of guiding principles applicable to all surface processes research involving rock fractures; 2) a list of fracture  
 90 and rock data measurements that constitute “basic” field-based metrics; and 3) practical methods that comprise best practices for

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91 collection of these data. Unless otherwise specified, all methods may be applied to loose clasts or to outcrops. Also provided are  
92 some suggestions for data analyses and a demonstration of a real case example of how the proposed methods lead to reproducible  
93 results across users. By providing this compendium of fracture-focused field methods, the hope is to accelerate understanding of  
94 how a most basic feature of all rock – its open fractures – contributes to the processes and evolution of Earth’s surface and critical  
95 zone.

### 96 1.1 The value of a standardized approach

97 Particularly within the fields of geomorphology and weathering sciences, no common suite of data, methods, or terminology has  
98 been defined or described that comprises an analysis of fractures. Although fracture characterization field methods exist in the  
99 context of structural geology and aquifer and reservoir characterization (e.g., Watkins et al., 2015; Wu and Pollard, 1995; Zeeb et  
100 al., 2013; Laubach et al., 2018), they diverge significantly in their approaches because they were largely developed for the specific  
101 application of each unique study or field of study. Furthermore, the terminology and ~~methodology~~ methodologies used to describe  
102 natural fractures across this existing research tend to ~~be applicable to~~ what ~~are~~ is typically envisioned as deep-seated  
103 processes including tectonic loading and pore pressure elevation (e.g., Schultz, 2019). Numerous published works fail to provide  
104 clear criteria for categorizing fractures, or even for choosing which fractures to measure. The choices, of course, depend on the  
105 objectives of the study. This lack of consistency severely limits the ability of the geomorphic community to reproduce methods,  
106 or to combine, compare, or interpret different fracture datasets.

107  
108 The development of consistent methods undergirds most quantitative Earth sciences. For example, the fields of sedimentology and  
109 soil science have clear, standardized methods to acquire what constitutes the “basic” data for their observations. Sedimentologists  
110 have long shared common metrics and methods for quantifying grain size, sorting, rounding, and stratigraphic records (e.g.,  
111 Krumbein, 1943). Similarly, soil scientists share common methods, metrics, and nomenclature for describing soil profiles and  
112 horizons (e.g., Birkeland, 1999 Appendix A; Soil Survey Staff, 1999). The realization of the need for standard methods has also  
113 remained constant in laboratory-based rock mechanics over the last several decades, driving the American Society for Testing and  
114 Materials (ASTM) and International Society for Rock Mechanics (ISMR) to publish ongoing standards and methods papers (e.g.,  
115 Ulusay and Hudson, 2007; Ulusay, 2015).

116  
117 Standards like those mentioned above exist because workers have long recognized and reaped their benefits. Standardized methods  
118 can frequently lead to major step-change innovations when data are combined. For example, standardized soil methods allowed  
119 for 100 m scale mapping across the United States, enabling detailed human–landscape models that can aid in preserving vital soil  
120 resources (Ramcharan et al., 2018). In the field of rock mechanics prior to the 1950s, theoretical developments of rock failure and  
121 plasticity lagged other branches of geophysics and engineering. ~~It is likely that progress was limited~~ ~~both not only~~ by technology  
122 but, arguably more so, by lack of consistent methods. Methods for repeatable failure testing were then developed, largely in the  
123 groups led by Knoppf, Griggs, and Turner in the United States and Australia (Wenk, 1979). This standardization culminated in the  
124 landmark series of papers that comprised the observations driving 50 subsequent years of experimental rock mechanics (e.g., Borg  
125 and Handin, 1966; Handin et al., 1963; Handin and Hager, 1957, 1958; Heard, 1963; Mogi, 1967, 1971; Turner et al., 1954).

126

## 1.2 ~~Development of the standardized fracture measurement approach~~ Existing fracture measurement approaches across disciplines

For the specific case of fracture-focused research, outside of geomorphology applications, the need for standardized rule-based methods has already been established. Within this prior body of research, engineering and structural geology applications have dominated the development of various approaches.

Engineering geology and geotechnical engineering share common practices in mapping different standards of rock quality and rock mass classification, of which fracture characterization is an important component. The rock quality designation (RQD) was developed in the early 1960s to predict rock mass suitability for building, foundations, tunneling, and other geotechnical issues (Deere, 1964 in Bell, 2007). Within that work, the primary concern is the integrity of the rock, which is governed by its discontinuities, primarily fractures. By providing a standard approach to defining rock quality - albeit qualitative or semi-quantitative - the development of a globally accepted basis of rock mass classification built from RQD and discontinuity surveys has provided a common language for engineering geologists and geotechnical engineers to discuss site suitability and to design critical infrastructure to the point that slope stability parameters, hydrologic suitability and intact strength can be broadly predicted (Bell, 2007; Hencher, 2012; Hencher, 2015). Thus, ~~these-such~~ rock quality metrics may be appropriate for surface processes applications, and they provide a rationale and a basis for the use of the semi-quantitative methods presented herein.

The rock quality index consists of qualitative classifications from very poor (RQD 0 to 25%) to excellent (RQD 90 to 100%) based on the linear fracture frequency in core or outcrop line surveys, laboratory velocity measurements, or the ratio of the deformability of the rock mass to that of intact rock (Bell, 2007). Specifically for fractures, rock quality designations are derived only from counts of the number of fractures per foot or core or outcrop. More quantitative estimates of outcrop rock mass quality – commonly used to estimate slope stability quantities – involve measuring multiple lines on an outcrop with estimates of fracture aperture width, hydrologic state (closed, cemented, partially open, open and flowing), fracture orientation, strength of intact rock estimated with a rock hammer, degree of weathering, and fracture ‘roughness’ or relief along a line of a fixed length, commonly 20 m to 30 m (Bell, 2007). These surveys are then repeated periodically with a spacing of ~100 m, depending on the application (Bell, 2007). Similar methods are used with core and image logging tools (Hencher, 2012; Hencher 2015). The fracture parameters are then used in a variety of index models that predict the bulk strength, hydraulic conductivity, and stability of the rock mass. Thus, the extensiveness of the list of measured rock- and fracture- characteristics [in the geotechnical engineering literature](#) reflects the variety of impacts that they have both on each other and on the behavior of the rock mass. Here a similar comprehensive list is proposed, but more with surface processes applications in mind, [and thus applicable to a larger range of scale of fractures](#).

Measurements of the length and aperture of fractures that intersect a line (scanlines), similar to those used for engineering rock quality applications, are widely used and effective in structural geology applications (Marrett et al., 2018; Hooker et al., 2009), and may be valuable where exposures approximate a 1D sample. Selection bias can be avoided by randomly picking scanline directions or by measuring multiple scanlines. To capture all fracture orientations geometric corrections are needed (e.g., Terzaghi, 1965; Wang et al., 2023). When fractures are oblique to scanlines, these corrections are generally more effective if scanlines are long relative to fracture occurrence. Calculations of fracture number density and fracture intensity (Section 6.1) require corrections

165 for comparison with 2D data. Depending on the heterogeneity and anisotropy of host rocks, long 1D measures may complicate  
166 comparison of fracture patterns to rock properties. Although they are well suited for capturing the most reproducible and unbiased  
167 measure for fracture size, namely fracture aperture distributions (e.g., Marrett et al., 2018) as a 1D measure, without extra  
168 measurement steps, scanlines are not well suited for characterizing representative 2D or 3D rock characteristics or for measuring  
169 fracture lengths, heights, or connectivity, all important to surface processes. Thus, in the proposed methods herein, the focus is on  
170 2D ‘windows’, and an expansion of fracture length measurements – like that proposed by Weiss (2008) – is also detailed so that  
171 long fractures are not underrepresented ([see Section 5.4.1 for length methods](#)).

172  
173 For 2D characterizations, Zeeb et al. (2013) sought to determine how different sampling approaches lead to censoring bias of  
174 different fracture sizes from outcrop data by applying different sampling methods to artificially generated fracture networks that  
175 had known parameters. Analysis of data collected using scanline, window, and circular estimator methods revealed that the window  
176 approach resulted in the lowest uncertainty for most parameters and required the fewest measurements to provide representative  
177 datasets. For areas with large outcrop exposures, circular scanlines combined with a window approach have proven effective  
178 (Watkins et al., 2015). Scanlines are also helpful in characterizing simple fracture spatial arrangement attributes. Here, a ‘window’  
179 approach is outlined that can be employed regardless of outcrop size or fracture number density, [both of which could vary](#)  
180 [considerably in any given surface process field area](#).

181  
182 Another consideration that arises in both structural geology and the engineering applications is that the methods of fracture (and  
183 rock) characterization must include accommodation for rock variations, and discipline-specific considerations for specific sites  
184 (Hencher, 2012). In particular, the total area(s) of observation and numbers of fractures examined must always be normalized for  
185 the specific rock and/or location within the ‘fracture stratigraphy’ of a study (e.g., Laubach et al., 2009). For example, it is common  
186 for sandstone and shaly sandstone to both occur over short distances, and that their fracture abundance will vary by rock type (for  
187 example, clay-poor sandstones tend to be more brittle and fracture prone). In this circumstance, the lithologic control on abundance  
188 is identified first (this can be qualitative), then the abundance measures are normalized to area of the specific rock type. For  
189 example, Hooker et al. (2013) employs a reverse procedure, whereby multivariate measures are used to isolate the rock type to  
190 which normalization should be confined (if any). A further caution is that all fracture populations in the same rock may not reflect  
191 the attributes of the host rock in the same way (all parts of the fracture population may not even be present in all rock types). This  
192 variance may arise if fractures are not [all-of-a-kind](#) the same age; because differences in loading paths, exposure histories, and rock  
193 properties may vary. Engineering geology applications often map fracture populations in a similar way (Hencher, 2012; [and](#)  
194 [Hencher-2015](#)) but without the geologic context. [Instead](#), zones are identified and cross-cutting relationships of fractures are  
195 commonly used to identify primary vs. secondary planes of weakness. The methods presented herein include instructions for how  
196 to make these overall judgements of necessary accommodations and normalizations.

197  
198 Just as fracture characterization methods must be developed to accommodate variance between and across rock types, they must  
199 also be developed so that they are reproducible across users. Above all, it has been established that reproducibility requires clear,  
200 rule-based criteria for all decision-making (Forstner and Laubach, 2022). Forstner and Laubach (2022) and Ortega and Marrett  
201 (2000) detail issues that arise, particularly from a lack of specificity with respect to identifying features to be measured. In another  
202 case example (Andrews et al., 2019), study participants were asked to measure fractures with no particular instructions given for

203 how to collect the data other than where to collect it. The wide variance in resulting datasets collected by different users led to the  
 204 conclusion that, without common and clearly established measurement and selection criteria, fracture characterization is rife with  
 205 subjective bias that severely impacts interpretations of results. Then, based on post-data collection interviews and workshops,  
 206 Andrews et al. (2019) scrutinized the source of the variance and provided a list of suggested best-practices that would serve to best  
 207 eliminate the subjectivity of data collection that was leading to the bias. In engineering contexts, it is more common to handle such  
 208 possibility of bias by having for-fracture mapping during site investigations ~~to~~ be performed by a single engineering geologist or  
 209 by a single, small team of trained engineers or geologists (Hencher, 2012), ~~).~~ Ideally, either~~which ideally~~ would be carefully  
 210 reviewed by a senior engineering geology professional. These fracture maps are incorporated into the site model, which is updated  
 211 – preferably by the same engineering geologist – during construction. In case studies, it is common for poor quality or inconsistent  
 212 fracture mapping to lead to incorrectly designed structures, which may fail (Hencher, 2012). Despite these often-dramatic failures,  
 213 the site-specific nature of fracture networks during rock mass characterization and the balance for a financially successful project  
 214 may lead to poor review and oversight practices while developing a site model (Hencher, 2012). Here, so that users from different  
 215 groups may consistently employ this field guide, clear, rules-based criteria are provided that may be used for all measurements  
 216 described and justify the criteria based on past work and experience.

217  
 218 Including that described above, incorporated in this work are suggested best practices from existing published methods research.  
 219 For example, field measurement ‘crack comparators’ are effective for measuring opening displacements particularly for sub-  
 220 millimeter widths (e.g., Ortega et al., 2006). Other measurements such as length and connectivity may have low reproducibility  
 221 (Andrews et al., 2019) owing to various observational and conceptual problems, including dependence on scale of observation  
 222 (e.g., Ortega and Marrett, 2000).

223  
 224 These~~In addition to existing field based fracture research, remote sensing technologies, such as lidar, drone photogrammetry, and~~  
 225 structure from motion, are becoming increasingly common to ~~which enable the production of fracture maps whose properties can~~  
 226 then be quantified and characterized digitally using freely available software packages such as FracPaQ (Healy et al., 2017); These  
 227 technologies are rapidly evolving and hold great promise for expanding the scope of fracture measurements overall (e.g. Betlem  
 228 et al., 2022; Zeng et al., 2023). To date, however, mapping fractures using these techniques holds limitations such as difficulty  
 229 distinguishing between fractures and edges, and are not readily accessible to all field scientists. ~~The~~We believe that it would be  
 230 premature, and is also beyond the scope of our goals, to try to distill those methods into best practices. Instead, we assert that, the  
 231 methods outlined herein represent a consistent set of methods that could be employed for validation across all such remotely sensed  
 232 data collection. Furthermore, many of the field methods described herein, such as site and observation area selection, are required  
 233 for any fracture mapping effort regardless of technique. Thus, many of the methods we present can be applied to most studies using  
 234 these rapidly evolving remote sensing technologies, and should aide in accelerating their development.

235  
 236 ~~In~~ Finally, in all cases, the chosen standardized methods presented are optimized for collecting outcrop- and elast-fracture data  
 237 relevant to geomorphology and other surface process-based disciplines (e.g. critical zone sciences, building stone preservation,  
 238 hydrogeology). The methods described herein are germane to surface and near-surface (< 0.5 km) studies such as validating  
 239 geophysical measurements, testing factors that influence fracture formation, or documenting links between fracture characteristics  
 240 and topography or sediment production. Due to a lack of explicit knowledge suggesting otherwise, we present these methods based

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on an assumption that fractures of all scales (um to km) contribute to all surface processes. Thus, these methods may possibly differ from those of studies with other goals, such as using outcrops as guides (analogs) for deep (km scale) subsurface fractures. Such studies aim to distinguish mechanical and fracture stratigraphy, corroborate fracture patterns related to features (i.e., folds or faults), obtain fracture statistics for discrete fracture models (Sect. 1.3), or test efficacy of forward geomechanical fracture models. For these studies examining applied towards understanding deeper deformation, mineral filled fractures may be more useful or appropriate than focusing solely on open fractures. Also, for deep-Earth applications, near-surface and geomorphology-related fractures are considered “noise” and need to be omitted (e.g., Sanderson, 2016; Ukar et al., 2019). Yet, fractures that are noise to those interested in the deep subsurface are essential features in the context of geomorphology and critical zone sciences. A major outstanding question is how this differentiation might be reasonably and accurately accomplished given the relatively sparse number of studies of fractures in the context of geomorphology. We hope future workers using this guide may find the answers.

### 1.3 Existing fracture modeling and statistics methods

For the fractures themselves, once fracture field data is collected, the type of the metrics of its distribution for the fracture data can be determined and can provide important insights into fracture processes (e.g. Ortega et al., 2006). For example, power law distributions can be employed as a conservative rule of thumb for determining if enough fractures have been measured (Sect. 4.2). Importantly, however, not all observations of fracture characteristics will be power-law distributed, with other heavy-tailed distributions possibly indicating other, less random controls on fracture properties; this is quite technical, and the reader is referred to Clauset et al (2009). If the data set is power-law distributed, however, then the power law exponent – the slope of the distribution in log-log plots—is the key parameter that determines the distribution of different fracture geometries. While it is tempting to just plot the data on a log-log plot and fit a line, this approach has proven to produce incorrect, strongly biased estimates. Again, without performing correct, unbiased statistical analysis, it is not possible to compare the power-law behavior and other statistics between different, carefully, and time-intensively collected data sets, limiting how generalizable the results are. It is an interesting and largely unaddressed question the extent to which they may be applicable in surface process-based fractures studies. Thus, for convenience, we outline the details of two straightforward, alternative approaches that have been developed for other, deeper-Earth applications that surface processes workers may test on their own data are described below.

To understand fracture length and fracture width data, it is key to first recognize that, with the exception of studies such as in rocks with fractures with uniform spacing and bedding-controlled widths (Ortega et al., 2006), the data will commonly have a heavy-tailed distribution, such as lognormal, gamma, or power law. As mentioned above, of these, strong observational and theoretical evidence suggests that fracture size is most commonly power law distributed (e.g., Bonnet et al., 2001; Davy et al., 2010; Hooker et al., 2014; Ortega et al., 2006; Zeeb et al., 2013), i.e.,

$$n(b) = Ab^{-\alpha} \quad (1)$$

where  $b$  is the fracture dimension (length or width) of interest,  $n$  is the number of fractures with dimension  $d$ , and  $A$  and  $\alpha$  are constants. When log-transformed, Eq. (1) becomes

$$\log(n(b)) = \log(A) - \alpha \log(b) \quad (2)$$

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which has led many practitioners to fit Eq. (2) by linearly binning the data in  $n$ , then log-transforming the data and fitting the resulting data with a linear regression. This has proven to lead to significant bias in estimates,  $\hat{\alpha}$ , of the power law exponent (Bonnet et al., 2001; Clauset et al., 2009; Hooker et al., 2014) and is not recommended despite its common usage.

Two straight-forward approaches have been shown not to have biases, or misestimates of the exponent  $\alpha$ . 1) The following is based on Clauset et al. (2009). First, the exponent can be found from the cumulative distribution of the dimensions,  $C(b)$ , or number of fractures with dimension greater than  $b$ , i.e.,

$$C(b) = \int_b^{b_{\max}} n(b) db \quad (3)$$

Where  $b_{\max}$  is the maximum size of the fracture dimension (e.g., maximum length or width). The cumulative power law distribution has the form

$$C(b) \propto b^{1-\alpha} \quad (4)$$

It is common to denote  $1-\alpha$  as  $c$ . To find  $\alpha$  (or  $c$ ), the dimension data is logarithmically binned. In other words, the dimension data is binned on a logarithmic (1, 10, 100, ...) frequency scale, and then log-transformed. At this point, linear regression techniques can be applied to estimate  $\alpha$  and assess uncertainty. However, in all cases, uncertainty estimates such as  $R^2$  will overestimate the certainty for such log-transformed data; but at least the estimate of  $\alpha$  is unbiased.

2) Another method to find  $\alpha$  from a data set of fracture dimensions is to use the maximum likelihood estimator (MLE) given by

$$\hat{\alpha} = 1 + N \left[ \sum_{i=1}^N \ln \left( \frac{b_i}{b_{\min}} \right) \right]^{-1} \quad (5)$$

where  $\hat{\alpha}$  is the estimate of the exponent in (1),  $b_i$  is the dimension of the  $i$ th fracture,  $b_{\min}$  is the minimum valid fracture dimension (see below) and  $N$  is the total number of samples (Clauset et al., 2009; Hooker et al., 2014). The MLE estimate has the advantage of an accurate estimate of standard error,  $\sigma$ , given by

$$\sigma = \frac{\hat{\alpha}-1}{N} + O\left(\frac{1}{N}\right) \quad (6)$$

Clauset et al. (2009) showed that both the logarithmically-binned cumulative distribution and the MLE estimator produce unbiased estimates of the exponent. For all empirical power law distributions, there is a scale; in this case  $b_{\min}$ , below which power law behavior is not valid. This can be visually assessed by plotting Eq. 2 with logarithmically binned  $n$ . The interval between  $b_{\min}$  and  $b_{\max}$  where the slope is linear is where the power law is valid (Clauset et al., 2009; Ortega et al., 2006), and Clauset et al. (2009) presents a formal method to find  $b_{\min}$  and  $b_{\max}$ . Hooker et al. (2014) use a  $\chi^2$  test to evaluate the goodness of fit, which is simpler than the p-tests of the Kolmogorov-Smirnov statistic proposed by Clauset et al. (2009).

## 2 Guiding Principles Standardized methods: Guiding principles

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## 303 2.1 Natural rock fracturing background

304 The design of any fracture-related study in the context of surface processes must arise from consideration of the variables that may  
305 influence the rates of fracturing and the characteristics of the fractures that form. When rock is proximal to Earth's surface, those  
306 variables include factors related to Earth's topography, atmosphere, biosphere, cryosphere, and/or hydrosphere. Here, a very brief  
307 overview is provided of some key rock fracture mechanics concepts behind these factors. Eppes and Keanini (2017) and Eppes  
308 (2022) provide more detailed reviews of rock fracture [and fracturing](#) processes in the context of surface processes.

309  
310 Rocks fracture at and near Earth's surface in response to the complex sum of all tectonic (e.g., Martel, 2006), topographic (e.g.,  
311 St. Clair et al., 2015; Moon et al., 2020; Molnar, 2004), biological (e.g., Brantley et al., 2017; Hasenmueller et al., 2017), and  
312 environment-related (e.g., Matsuoka and Murton, 2008; Gischig et al., 2011) stresses they experience. Fracturing can occur when  
313 stresses exceed the failure criteria (i.e., short-term material strength). More commonly, however, because critical stresses are rarely  
314 reached in nature, fractures can also propagate *subcritically* at stresses as low or lower than 10% of the rock's strength (see  
315 textbooks such as Schultz, 2019; Atkinson, 1987).

316  
317 Overall, subcritical fracture propagation rates and processes are strongly dependent on stress magnitude, but they are *also* strongly  
318 influenced by the size of the fracture that is under stress (see fracture mechanics textbooks such as Anderson, 2005; or reviews  
319 such as Laubach et al., 2019). For single isolated fractures, stresses applied to the rock body are concentrated at fracture tips  
320 proportional to the length of the fracture (a concept embodied by the term 'stress intensity'), effectively increasing the stresses  
321 experienced by that fracture. Simultaneously, as the entire group of fractures within the rock body grows, the rock can become  
322 'tougher' – more resistant to further brittle failure under the same magnitudes of stresses, as the total rock mass becomes more  
323 compliant (Brantut et al., 2012). Overall, the time-dependency of these interacting and contrasting behaviors is not well  
324 characterized in natural settings - [deep, shallow or surface](#).

325  
326 In addition to fracture geometry, environmental conditions also strongly impact fracture tip bond breaking during subcritical  
327 fracture. The environmental factors known to impact subcritical rock cracking - separate from their influence on stresses - include  
328 vapor pressure, temperature, and pore-water chemistry (Eppes and Keanini, 2017; Eppes et al., 2020; Brantut et al., 2013; Laubach  
329 et al., 2019). Therefore, in the context of surface processes, climate matters twice for rock fracturing: 1) as it contributes to the  
330 stresses that the rock experiences, and 2) as it contributes to the chemo-physical processes that break bonds at fracture tips as they  
331 propagate subcritically.

332  
333 Just as other common physical properties like tensile strength can be measured, rocks can be tested for their propensity to fracture  
334 subcritically by the measurement of subcritical cracking parameters such as the subcritical cracking index (e.g., Paris and Erdogan,  
335 1963; Chen et al., 2017; Holder et al., 2001; Nara et al., 2012; Nara et al., 2017). These parameters influence both the rate of  
336 subcritical cracking in rock and the fracture characteristics (e.g., amount of fracture per area or fracture length as in Olson, 2004).

337  
338 In sum, natural rock fracturing is not necessarily the singular, catastrophic event, as it frequently portrayed in surface processes  
339 research. Instead, it is likely dominantly a slowly evolving process progressing over geologic time as has been recognized from  
340 fracture patterns in bedrock (e.g. Engelder, 2004; Rysak et al., 2022), and more recently in the context of surface processes

(Shaanan et al., 2023). Importantly, however, there is currently little field-based data elucidating these complex, experimentally observed phenomena in surface processes contexts. It is [therefore](#) our hope that this guide will enable more workers to document the complex feedbacks between rock and fracture properties, as well as environmental, topographic, and tectonic factors, that likely influences all fracturing at and near Earth's surface.

## 2.2 ~~Site selection and~~ Study design [and site selection](#) using a “State Factor” approach

Due to their influence on rock fracturing as described above, all potential driving stresses and variations in fracture environments must be considered in ~~site selection and~~ study design [and site selection](#) for any fracture-related research. Parent rock, topography (and other loads), climate, biota, and time all potentially impact initiation and propagation of surficial fractures in rocks. Though this idea might generally exist in other fracture-focused research, in the field of soil geomorphology it has long been explicitly described as a ‘State Factor’ approach (e.g., Jenny, 1941; Phillips, 1989) to understanding progressive chemical and physical alteration processes. Thus, we propose that this well-vetted conceptual paradigm may be employed in fracture-focused surface processes research as a standard.

Here, it is asserted that applying a State Factor approach to fracture research is relevant because fracturing processes are influenced by each of these factors, just as all other chemical processes acting on rock and soil. This is particularly true when the subcritical nature of rock fracture is considered (Sect. 2.1). Thus, all State Factors that could contribute to fracture propagation styles, and rates should be explicitly considered and controlled for as much as possible within the aims and scope of the research for any given site. These ‘State Factors’ - long categorized as they relate to overall soil development, of which physical weathering is a component (e.g., Jenny, 1941) - are equally applicable to fractures alone, and include climate (cl, both regional climate and microclimate), organisms (o, flora and fauna), relief (r, topography at all scales), parent material (p, rock properties) and time (t, exposure age or exhumation rate). For rock fracture, tectonics (T) should be added to this list, making cl,o,r,p,t,T.

Hereafter, the term ‘site’ refers to a single location of either a group of rock clasts or a group of outcrops, whereby all clasts or outcrops within the ‘site’ could be reasonably assumed to have experienced similar State Factors over their exposure history. For example, a site might comprise a single boulder bar on an alluvial fan surface or a single ridgeline with several outcrops. Once the specific State Factors (including the internal variability of each site) are identified for all the sites within a given field area, a series of sites can be selected whose State Factors are known and controlled for as much as possible. This enables a study of the influence of individual factors across the sites, i.e., fracture chronosequences, climosequences, toposequences, or lithosequences.

For rock fracture, it is important to understand how each cl,o,r,p,t,T factor may contribute both to stresses that give rise to fracturing, and/or to the molecular-scale processes that serve to subcritically break bonds at fracture tips (Sect. 2.1). ~~Each~~ [Based on existing experimental data and weathering research, and without evidence to show otherwise, we infer that each](#) has the potential to independently impact fracturing rates, styles, and processes [in surface processes contexts](#). The following descriptions provide only brief examples ~~of from that literature as to~~ how each of the State Factors may influence rock fracture. To fully describe each of their influences on rock fracturing [generally](#) would comprise a textbook. ~~Assuredly, to date, there are insufficient data to propose a hierarchy of their influence on fracture characteristics in surface processes contexts.~~ The factors are [therefore](#) listed in the

cl,or,r,p,t,T order by traditional convention only. ~~Assuredly, to date, there are insufficient data to propose a hierarchy of their influence on fracture characteristics in surface processes contexts.~~

### 2.2.1 Climate (cl)

*Climate (cl)* as a State Factor refers not just to regional mean annual precipitation or temperature, but also the local microclimate of a site, which may be influenced by site characteristics, such as runoff or aspect. The presence of liquid water increases the efficacy of water-related stress-loading processes like those related to freezing (Girard et al., 2013) or chemical precipitation of salts or oxides (e.g., Buss et al., 2008; Ponti et al., 2021). Moisture – particularly vapor pressure – can also serve to accelerate rock fracturing rates independent of any stress-loading (e.g., Eppes et al., 2020; Nara et al., 2017). Temperature cycling can produce thermal stresses (through differential expansion and contraction of both adjacent minerals as well as different portions of the rock mass, e.g., Ravaji et al., 2019), and can also influence rates and processes of fracture-tip bond breaking (e.g., Dove, 1995).

### 2.2.2 Organisms (o)

*Organisms (o)* refers to both flora and fauna - everything from overlying vegetation and large animals to roots and microorganisms, all of which may provide a source of rock stress and/or may influence water availability or chemistry. These relationships can be complex and unexpected. For example, tree motion during wind and root swelling during water uptake both exert stresses on rock directly (Marshall et al., 2021a). Organism density and type can impact rock water and air chemistry (Burghlea et al., 2015), both of which may impact the rates and processes of subcritical cracking (e.g., review in Brantut et al., 2013).

### 2.2.3 Relief (r)

In the context of State Factors, *relief (r)* refers generically to all metrics related to topography including aspect, slope, and convexity. Topography impacts the manifestation of both gravitational stresses, as well as tectonic stresses within the rock body (Molnar, 2004; Moon et al., 2020; Martel, 2006). The directional aspect of a particular outcrop or boulder face may also influence insolation and water retention, translating into differences in microclimate and vegetation and, thus, weathering overall (e.g., Burnett et al., 2008; West et al., 2014; Meauliffe et al., 2022), including fracturing (e.g., West et al., 2014).

### 2.2.4 Parent material (p)

The *parent material (p)* factor in the context of a fracture study refers to the specific rock type(s) containing fractures (and potentially undergoing fracture) in the geomorphic environment. Rock varies in the types and dimensions of material present (e.g., sandstone, siltstone, shale, basalt, granite etc.) and the types and spatial arrangements of interfaces within the material (e.g., grain size, porosity, bedding, foliation). These properties directly influence the rates and styles of fracture propagation (Atkinson, 1987) due to both how they respond to stresses but also due to how they allow stresses to arise (e.g. through their compliance, thermal conductivity, etc.). Thus, ~~different they rock properties can all influence differently influence~~ the rates and characteristics of fracture growth and susceptibility to topographic and environmental stresses. For example, different minerals are characterized by different coefficients of thermal expansion. As a result, rocks with different mineral constituents will be more or less sensitive to thermal stresses than others depending on the contrasts between adjacent grains. Rock mineralogy will also impact chemical processes acting at crack tips during subcritical cracking, as well as the overall susceptibility of the rock to chemical weathering.

412 Many (perhaps most) rocks contain fractures that formed prior to exposure, either due to deep seated tectonics and fluid pressure  
 413 loads or to thermal and mechanical effect due to uplift towards the surface (English and Laubach, 2017; Engelder, 1993). In  
 414 sedimentary rocks, fracture patterns (and, in some cases, fracture stratigraphy) vary with mechanical stratigraphy (e.g., Laubach et  
 415 al., 2009) that can also influence near-surface fracture. In many instances, mechanical properties may be reflected in fracture  
 416 stratigraphy, and vice versa. Schmidt hammer measurements are a useful, fast, and inexpensive field approach to documenting  
 417 mechanical property variability (Aydin and Basu, 2005), however such measurements are impacted by weathering exposure age  
 418 (e.g. Matthews and Winkler, 2022). The influence of fracture characteristics of the parent rock that may have formed in the deep  
 419 subsurface are described in Sect. 2.2.6 “Tectonics”.

420

421 Additionally, [here in the context of surface processes studies, we propose that](#) parent material also refers to the size and shape of  
 422 the clast or outcrop. ~~For-Because,~~ for example, angular corners generally concentrate stresses more than rounded edges (Anderson,  
 423 2005). Also, clasts or outcrops of different sizes experience different magnitudes of thermal stresses related to diurnal heating and  
 424 cooling (Molaro et al., 2017).

#### 425 2.2.5 Time (t)

426 *Time (t)* likely plays a role in rock fracturing rates just as it does in chemical weathering, whereby outcrops found in slowly-eroding  
 427 environments or clasts on old surfaces may be subject to different fracturing rates and processes (e.g., Rasmussen et al., in review;  
 428 Mushkin et al., 2014). Over time, rock mechanical properties can also change as weathering occurs (e.g., Cuccuru et al., 2012).  
 429 Although the time factor has not been well-studied in the context of natural rock fracture, preliminary data suggest that it should  
 430 be considered (Berberich, 2020; Rasmussen et al., 2021). Published surficial geologic maps or datasets of rock exposure ages or  
 431 erosion rates (e.g., Balco, 2020) can provide ‘time’ information.

#### 432 2.2.6 Tectonics (T)

433 Finally, in a fracture-related study, *tectonic (T)* setting must also be considered as a State Factor. Fractures that have formed in the  
 434 deep to near subsurface in response to tectonic forces such as plate-scale stress fields, folding, and faulting (and attendant pore  
 435 pressure variations) may continue to propagate at or near the surface, and they inevitably become exhumed. Overall, [fractures](#)  
 436 [formed/fractures formed](#) by these processes have traditionally been studied within the structural geology discipline, and that  
 437 literature is extensive (e.g., reviews in Laubach et al., 2019; Laubach et al., 2018; Atkinson, 1987, Chapter 2). The tectonic history  
 438 of rock can be recorded or manifest in its brittle structures that ~~then~~ are [then](#) maintained over a wide range of past tectonic events,  
 439 including its most recent exhumation and cooling. The attributes of resulting open or filled fractures depend on how deeply the  
 440 material was buried, how rapidly uplifted, and the material properties (e.g., English and Laubach, 2017). Finally, the fact that the  
 441 current tectonic setting can drive ongoing deformation has long been recognized (e.g., Hooke, 1972), and more recent work has  
 442 highlighted that very low magnitude tectonic stresses can translate to fracture propagation in very near-surface bedrock, especially  
 443 when interacting with local topography (e.g., Martel, 2011; Moon et al., 2020).

444

445 It is likely, though perhaps not widely appreciated, however, that fractures originally opened due to tectonic stresses further  
 446 propagate, not only due to ongoing tectonic stresses as they approach the surface, but also due to topographic and environmental  
 447 stresses that the rocks increasingly encounter as they are exhumed to shallower depths. Simultaneously, these ‘new’ stresses may

448 increase the overall number density (total number of fractures per area) and fracture intensity (defined here as total fracture length  
 449 per area). These changes in fracture characteristics may manifest abruptly with depth or more gradually and those changes may  
 450 manifest differently under different topographic portions of the landscape (e.g., ridges versus valleys). There is a growing body  
 451 of data pointing to such surface interactions (e.g., Marshall et al., 2021b; Moon et al., 2019; Moon et al., 2020; St. Clair et al.,  
 452 2015), but overall, these differentiations are a topic ripe for further study.

453  
 454 Pre-existing fractures may not always be easily separable from those formed or further propagated under geomorphological  
 455 influence. Environmental stresses also produce parallel fractures (e.g., Aldred et al., 2015; Eppes et al., 2010; Mcfadden et al.,  
 456 2005), as do those related to the morphology of the eroding landscape (Leith et al., 2014). Thus, for outcrops, and particularly for  
 457 clasts where correlations or comparison with regional tectonic structures are not possible, fracture orientations may not uniquely  
 458 represent a tectonic regime. The non-geomorphic origin (or otherwise) of such fractures may be evident from microstructure  
 459 analyses that examines fractures for diagenetic cements, inconspicuous mineral deposits, fluid inclusions, or other similar features  
 460 (e.g., Ukar et al., 2019).

461 Thus, in choosing study sites, consideration should be made of rock age, tectonic history and current tectonic setting (e.g., World  
 462 Stress Map, Heidbach et al., 2018), as well as unambiguously tectonically-related structures such as dipping bedding planes,  
 463 evidence of mineral deposits in the fractures, stylolites, or ductile structures such as folds (Hancock, 1985; Laubach et al., 2019).

### 464 2.3 Bedrock outcrops versus deposited clasts

465 The fracture characteristics of outcrops have long been employed as proxies for subsurface fracture networks, and there is a  
 466 reasonably large body of literature addressing these relationships and their potential pitfalls (e.g., Ukar et al., 2019; Al-Fahmi et  
 467 al., 2020; Sharifigaliuk et al., 2021). However, ~~as mentioned above,~~ based on the growing body of research mentioned above,  
 468 topographic and environmental stresses both have likely contributed to any sub-aerially observed fracture network unless otherwise  
 469 ruled out. Thus, for studies that aim to isolate fractures associated with environmental stresses, measurements from clasts may be  
 470 more useful than outcrops.

471  
 472 Clasts that have been transported by fluvial, glacial, or mass-wasting processes have experienced abrasion, and therefore, it is  
 473 highly likely that pre-existing superficial fractures have been removed. Thus, clasts may be more reasonably considered ‘fresh’  
 474 than an outcrop with an unknown exhumation history, allowing clearer linkages between environmental exposure and observed  
 475 fractures. This idea of “resetting” fractures within clasts through transport is supported by data showing clasts of identical rock  
 476 type that have experienced more transport (i.e., rounded river rocks) having higher strength than those found in, for example, recent  
 477 talus slopes (Olsen et al., 2020). Nevertheless, clasts may carry with them an invisible (to the unaided eye) population of pre-  
 478 existing fractures— or sealed microfractures—that do in some instances impart a strength anisotropy that can manifest in later  
 479 surface-related fractures, even in clasts. Thus, for such rocks, the ‘reset’ may be imperfect (e.g. Anders et al. (2014). In-depth  
 480 petrographic analysis to identify residual microstructures (e.g. ala Forstner and Laubach, 2022) may not be feasible in most  
 481 instances, but a simple uniaxial point load test, or field Schmidt-hammering of clasts found in active channels, may reveal if an  
 482 inherited anisotropy is present.

### 483 3 Selecting the clasts, outcrops, or rock surface locations that will comprise the fracture observation area

484 Carefully selecting the rock surface area(s) on which fractures will be observed and measured within a site is equally as important  
485 as selecting the site or the fractures themselves. Hereafter, the term 'observation area' refers to the specific portion(s) of rock  
486 surface(s) for which fractures are being measured. Observation areas may comprise the entire exposed surface of individual clasts,  
487 outcrops, or portions of either (Fig. 1). In the following sections, instructions for selecting these observation areas in the field are  
488 provided.

### 489 3.1 Establishing outcrop or clast selection criteria

490 Before observation areas can be identified, outcrops or clasts must be selected. The first step of that selection process is to establish  
491 criteria for determining which outcrops or surface clasts within the site are acceptable for measurement. ~~Similar Without evidence~~  
492 ~~to proceed otherwise, similar~~ to site selection, variability in c,l,o,r,p,t,T factors that may influence fracturing (temperature, moisture  
493 availability, rock shape, and rock type) should be controlled for as much as possible.

494  
495 In general, characteristics of the clasts or outcrops that might impact mechanical properties, moisture, or thermal stress-loading  
496 should be most heavily considered. The rock type properties that should be considered when developing selection criteria include  
497 not only heterogeneities like bedding or foliation, but also grain size and mineralogy, all of which can influence fracture rates and  
498 style characteristics. For example, perhaps only outcrops with no visible veins or dikes will be employed; or only outcrops greater  
499 than 1 m in height; or only north facing outcrop faces. Past work, for example, has focused on upward facing surfaces of outcrops  
500 or large clasts (e.g., Berberich, 2020; Eppes et al., 2018).

501  
502 For loose clasts, only clasts of a particular size or rock type might be employed for measurement. For example, past work found  
503 that below approximately 5 cm diameter in semi-arid and arid environments (Eppes et al., 2010), and 15 cm in more temperate  
504 environments with vegetation (Aldred et al., 2015), clasts are more likely to have been moved or disturbed. Thus, these sizes were  
505 employed as a threshold for selection.

### 506 3.2 Non-biased selection of clasts or outcrops for measurement

507 Once criteria are defined, clasts or outcrops meeting those criteria must be randomly chosen for the fracture measurements. A  
508 procedure similar to the well-vetted Wolman Pebble Count style transect (Wolman, 1954) should be employed to avoid sampling  
509 bias. For landforms with other geometries, a grid may be used instead of a transect line.

510  
511 In either case, a tape transect or net grid is laid out on the ground at each site, and the clast or outcrop closest to specified intervals  
512 on the tape (or at the points of the grid meeting the criteria) is selected (Fig. 1a). The interval or grid spacing should be adjusted to  
513 the overall size and abundance of clasts or outcrops found on the surface. If there are relatively few meeting the criteria at a site,  
514 all within the site meeting the criteria can be measured.

515  
516 A similar technique can and should be applied for selecting outcrops. For example, care should be taken to not be limited to the  
517 'best' outcrops (cleanest and/or largest), since they likely are the least fractured. However, such large, clean outcrops may be the  
518 best places to observe any pre-existing subsurface-related fractures. For locations where outcrops are within a few meters or tens  
519 of meters of each other and vegetation relatively sparse, a grid of a set dimension (e.g., 100 m) is overlain on aerial imagery, and

520 the closest outcrop to each grid intersection meeting the outcrop criteria are selected (Watkins et al., 2015). For areas where  
 521 outcrops are not visible in aerial imagery, a measured or paced transect can be employed where the user walks along a bearing and  
 522 chooses the closest outcrop meeting the selection criteria at each interval, e.g., 30 paces.

523  
 524 In all of the above, transect locations and orientations should be selected following consistent criteria and being mindful of the  
 525 State Factors cl,o,r,p,t,T. For example, all transects or grids might be placed uniformly along backslopes with a certain upslope  
 526 distance from the crest; or along the latitudinal center or crest of a landform. Alternatively, the transect might be orientated  
 527 perpendicular or oblique to a paleo-flow direction so that it is not constrained only to bars or swales. The coordinates and bearing  
 528 of all transects or grids should be recorded, enabling tracking and avoiding repetition.

### 529 3.3 Observation areas comprising the entire clast or outcrop surface

530 ~~Fractures are three-dimensional objects, and ideally observations should encompass volumes; but, this is precluded by the opacity~~  
 531 ~~of rock, so one- or two-dimensional observation areas must be used. Fracture arrays may also encompass a wide range of sizes, so~~  
 532 ~~the selection of observations area(s) needs to consider truncation and censoring biases, and inevitably decisions must be made~~  
 533 ~~about size cutoffs. Some part of the smallest size fraction of fractures may not be readily visible, and the finite size of exposures~~  
 534 ~~may mean that some large fractures are missed.~~

535  
 536 The observation area for small clasts and outcrops can be their entire exposed surface. ~~When~~ In our experience, when clasts or  
 537 outcrops selected for measurements are less than ~50 cm in maximum dimension, measurements can typically be readily made for  
 538 all fractures visible on the clast or outcrop exposed surface for most rock types.

539  
 540 ~~No~~ We strongly suggest that rocks should not be moved during measurement. This non-disturbance practice is particularly crucial  
 541 for maintaining Earth's geodiversity (Brilha et al., 2018) and preserving sites for future workers to revisit. Further, research  
 542 examining acoustic emission localization of rocks naturally fracturing found that the large majority of fracture 'foci' were located  
 543 in the upper hemispheres of boulders (Eppes et al., 2016). Thus, we infer that the potential insight gained by moving clasts does  
 544 not warrant the impact to geoheritage.

### 545 3.4 Establishing 'windows' as the observation area for larger clasts and outcrops

546 ~~Fractures are three-dimensional objects, and ideally observations should encompass volumes, but this is precluded by the opacity~~  
 547 ~~of rock, so one- or two-dimensional observation areas must be used. Fracture arrays may also encompass a wide range of sizes, so~~  
 548 ~~observations need to consider truncation and censoring biases, and inevitably decisions must be made about size cutoffs. Some~~  
 549 ~~part of the smallest size fraction of fractures may not be readily visible, and the finite size of exposures may mean that some large~~  
 550 ~~fractures are missed.~~

551  
 552 ~~When~~ Particularly for larger exposures, it is not feasible to measure every fracture on an outcrop or clast, ~~in these cases,~~ the  
 553 observation area may comprise predetermined 'windows' of representative decimeter- to meter-scale areas of the rock surface (Fig.

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554 1b). This window selection method results in an accurate representation of fractures on an entire outcrop (e.g., Zeeb et al., 2013)  
555 and is least affected by some subjective biases (Andrews et al., 2019).

556  
557 Importantly, the number and size of windows observed on each outcrop or at each site should depend on the typical number and  
558 size of fractures present on the surface of the rock (Sect. 4.2). [Inevitably it is our experience that logistical constraints will dictate](#)  
559 [that decisions must be made about size cutoffs. Some part of the smallest size fraction of fractures may not be readily visible, and](#)  
560 [the finite size of exposures may mean that some large fractures are missed.](#) Overall, it is preferable to strike a balance between  
561 window size and number so that during data analysis, variance can be quantified by comparing data collected between windows  
562 on the same outcrops and at the same site. More total observation area (e.g. more and/or larger windows) is required when fractures  
563 are fewer per area. The size of the area required for a representative quantification of fractures depends both on fracture average  
564 length and number density (e.g., Zhang, 2016). Here, an iterative approach is outlined for determining if sufficient area has been  
565 examined (Sect. 4.2), but other rules of thumb exist, particularly in the Rock Quality Designation Index literature (e.g., Zhang,  
566 2016).

567  
568 Choosing the placement of windows on the outcrop should entail a stratified random sampling approach. Just as for clast- or  
569 outcrop-selection, cl,o,r,p,t,T factors like aspect should be taken into consideration and controlled for as much as possible in the  
570 window placement strategy by, for example, only using upward facing surfaces. Then, window placement determination is made  
571 to avoid sampling bias and edge effects. For example, if upward facing outcrop surfaces are to be characterized, then the total  
572 length and width of the face could be employed to align sufficient numbers of windows along even intervals of those measurements  
573 (e.g., three windows whose centers are located along the center axis of the rock with even spacing between the edges and each  
574 box; Fig. 1b).

575  
576 For the placement of each window, [it is our experience that](#) a simple cardboard template of the appropriate window size with a  
577 center hole can be employed to trace with chalk the window directly on the clast or outcrop. Then, all fracture measurements are  
578 made in the window(s). Each window should be numbered and photographed in the context of each outcrop or clast. Also  
579 recommended is detailed photo-documentation of each outcrop and transect, along with sufficiently detailed coordinates to  
580 reoccupy the precise site (e.g. in meters or 0.00000 dd that are *always* referenced to the projection or datum used).

### 581 3.5 How many observation areas?

582 The number of clasts, outcrops, or windows required to measure sufficient fractures will vary with the study goals, site complexity,  
583 and the variables for which the data are being tested or controlled. Importantly, for each study, the required number of observation  
584 areas must be established based on the amount that is necessary to gain a statistically sufficient number of fracture observations to  
585 represent the rocks in question for that setting (Sect. 4.2). Concepts of ‘stationarity’ have been applied in the context of 2D analyses  
586 (e.g. Shakiba et al., 2023), but no rule-of-thumb in the context of surface processes is described herein because, as yet, there has  
587 not been sufficient standard fracture data collected to establish such a rule. Establishing such a rule of thumb is an illustration of  
588 the motivation of this paper, as well as an example of how the methods presented herein can and should evolve over time.

589

590 Rocks or outcrops with lower fracture number density (fewer overall fractures per area) will require that larger areas of their surface  
 591 be examined to measure sufficient fractures for statistical significance (Sects. 3.4 and 4.2). Rocks or outcrops with significant  
 592 variation in fracture patterns require sufficient observation to capture that variability. Thus, as an example only, in past work, when  
 593 State Factors were carefully controlled for, relationships between rock material properties and rock fracture properties were evident  
 594 from about three to ten meter-scale outcrops per rock type on ridge-forming quartz rich rocks (Eppes et al., 2018). However, until  
 595 sufficient magnitude of datasets have been collected for a particular site, the amount of observation area must be established based  
 596 on the number of fractures available uniquely at each study site.

#### 597 4 Selecting fractures for measurement

##### 598 4.1 Rules-based criteria for selecting fractures in surface processes research

599 The term ‘fracture’ is employed with a wide variety of meaning across the geosciences, potentially resulting in large variations in  
 600 the range of features that two individuals might study on a single outcrop (Long et al., 2019). Therefore, it is crucial to employ  
 601 clear and repeatable rules-based criteria (e.g., Table 1) for what constitute measurable ‘fractures’ within any fracture-related  
 602 research. Failing to do so consistently results in a high variance of subjective bias that is more reflective of worker personality than  
 603 of the variance in fracture of the outcrop (Andrews et al., 2019). Thus, consistency and documentation are required for deriving  
 604 interpretable and repeatable results.

605  
 606 The proposed rules (Table 1) for determining which fractures to measure at any given field site were developed [by us](#) in the context  
 607 of surface processes research and through iterations with numerous non-expert users (undergraduate students) to arrive at criteria  
 608 that provided consistency in observations across users. Because surface processes are frequently and largely dependent both on  
 609 rock erodibility and water within a rock body, the recommended criteria are applicable only to open voids, which are known to  
 610 greatly impact both. Also, because other types of open voids like vesicles are common in rock, additional criteria includes that the  
 611 open void must be planar in shape, bounded by parallel or sub-parallel sides (hereafter ~~fracture or~~ fracture ‘walls’), with a visible  
 612 opening that is deeper than it is wide. Fracture walls ~~will commonly~~ pinch together at fracture terminations.

613  
 614 Voids that fit the shape criteria that are filled with lichens, dust, or other permeable material that can be readily brushed out with a  
 615 fingernail or prodded with a needle should be included in the dataset. However, it is common for high [aspect-length-to-aperture](#)  
 616 ratio voids in rock to have been filled with cemented mineral solids during intrusion and metamorphism, diagenesis, or weathering.  
 617 Fractures, or portions of fractures containing these hardened cements, may become the hydrologic and mechanical equivalent of  
 618 solid rock. ~~Fractures-Although such filled and partly filled fractures may be key to describing fractures formed in the deeper~~  
 619 ~~subsurface, we assert that fully cemented fractures that are fully cemented~~ do not meet the defined ‘open’ criteria [relevant to surface](#)  
 620 [processes studies](#), and in principle should not be included in the fracture dataset. Where partly cement-filled fractures are present,  
 621 specific rules may need to be adapted to account for the pattern of cement such as counting segments of fractures that are separated  
 622 by continuous mineral deposits as separate features. If such a solid secondary mineral cement forms a discontinuous ‘bridge’ fully  
 623 connecting the two walls of an otherwise open, planar void, the open length of the fractures on either side of the bridge would be  
 624 treated as individual fractures. This partial ‘bridge’ or complete interruption of continuous fracture pore space is common in  
 625 fractures that have existed at elevated temperatures such as at depth or near hydrothermal features (see review in Laubach et al.,  
 626 2019), so a yes/no indication of their presence may be added to the dataset. A useful starting point for building such rules is to

627 compare outcrops with expectations for how mineral deposits are typically configured in partly cemented fractures (e.g., Lander  
628 and Laubach, 2015).

629

630 Finally, additional proposed criteria ~~include as well as fracture mechanics theory~~ - is that the planar void  
631 must be continuously open (no 'bridges' of cemented mineral material or of rock) for a distance longer than 10 times the  
632 characteristic grain size dimension or 2 cm, whichever is greater. In most rock types, this translates to a 2 cm minimum cutoff for  
633 countable fractures (Fig. 2a; see Sect. 5.4.1 for measuring lengths). This proposed length threshold is based on three features. First,  
634 past work has demonstrated that deriving precise (repeatable) detailed information - other than length - for fractures <2 cm in  
635 length is challenging (e.g., Eppes et al., 2010). Second, temperature-dependent acoustic emission measurements (Wang et al.,  
636 1989; Griffiths et al., 2017) and theoretical arguments suggest that on single year time scales, fractures on single grain and smaller  
637 length scales exist in thermodynamic equilibrium, randomly opening and closing under constant redistribution of ubiquitous diurnal  
638 to seasonal thermal stresses within surface rocks. The approximate statistical mechanical 'rule-of-ten' states that well-defined  
639 equilibrium and nonequilibrium, continuum-scale properties, e.g., viscosity, density, stress and strain, each determined by myriad  
640 microscale random processes, are obtained on length scales approximately 10 times an appropriate molecular length scale, e.g.,  
641 average atomic size or mean free path length between colliding (gas) molecules. This interpretation is consistent with  
642 recommendations for the number of grains the minimum diameter of a sample is for repeatable testing of continuous rock properties  
643 such as rock strength and elastic moduli (e.g., ASTM, 2017).

644

645 Last, and practically, the high abundance of fractures below this cutoff significantly increases the time required for fracture  
646 measurement. If these smaller fractures are of interest, they can be characterized with photographic analysis (not covered herein)  
647 or subjected to semi-quantification via an index (Sect. 5.2).

648

649 Importantly, in some applications, it may be appropriate that a larger minimum threshold in fracture length is chosen. However, in  
650 that case, fracture abundances in the rock will possibly dictate that significantly larger observation areas of the rock exposure need  
651 to be employed in order to obtain sufficient numbers of fractures to provide representative data (Sect. 4.2).

652

653 Regardless of the threshold length chosen for the study, two adjacent fractures separated by intact rock or bridges of cement are  
654 considered two fractures, even if at a distance they appear to be continuous (Fig. 2b). This practice results in repeatable  
655 measurement between multiple workers and provides the most accurate representation of past fracture growth and fracture  
656 connectivity in the rock body.

#### 657 4.2 Determining how many fractures to measure

658 Most published fracture-focused studies provide no justification for the number of fractures they measure, begging the question -  
659 is the dataset representative of the rock body? Studies of fracture statistics suggest a minimum of ~200 fractures (Baecher, 1983)  
660 per site (as defined herein). For workers and situations that require more nuance or for which there is not ample rock surface to  
661 examine, we recommend an iterative approach. It is a long-recognized concept in fracture and rock mechanics that fracture size  
662 distributions are highly skewed and can be characterized by scale-independent power law distributions (e.g., Davy et al., 2010;  
663 Hooker et al., 2014). Power law distributions cross multiple orders of magnitude in frequency and scale, requiring up to an order

664 of magnitude more observations to significantly define than the other, more tightly defined distributions. Thus, the best practices  
665 to understand the commonly observed power-law distribution of fracture size can be leveraged in most cases to ensure that a  
666 representative fracture population has been measured in any given dataset (Ortega et al., 2006).

667  
668 Here, it is recommended that to fully characterize the fractures for any site(s), outcrop(s), or feature(s) of interest, sufficient  
669 numbers of fractures should be measured such that, if the fracture parameters are power-law distributed, a statistically robust  
670 power-law distribution (p-values <0.01) in fracture length or aperture can be estimated from the data. While other log-normal,  
671 exponential, and Weibull distributions have been proposed for various fracture datasets (e.g., Baecher, 1983), employing these  
672 distributions depends on preexisting knowledge of the expected dataset, the very data set in the process of being collected. Thus,  
673 unless there is prior documentation of fracture distributions at a particular site, the power law distribution should suffice, and, in  
674 any case, power law distributions require the most samples for significance compared to the other distributions.

675  
676 Thus, in practice, it will be an iterative process to determine the number of fractures required for any given dataset; but generally,  
677 on the order of  $10^2$  fractures are required (e.g., Zeeb et al., 2013) to reach a representative distribution (Fig. 3). When sufficient  
678 numbers of fractures have been measured to result in such a distribution, then it can be assumed that the population of measured  
679 fractures is representative of all fractures on the rock, outcrop, or group of rocks/outcrops with certain features. For example, if the  
680 goal of a study is to test the influence of rock type on fracture density, enough fractures must be measured to allow for a power-  
681 law distribution of fracture size for *each* of the rock types. That population of fractures can then be considered representative of  
682 the given rock type, and statistics on other fracture properties like width can also be reasonably interpreted as representative.

683  
684 If after ~200 fractures are measured the power law distribution is not met, then it is likely the dataset does not follow a power-law  
685 distribution and the number of measurements can be considered sufficient (Baecher, 1983). Some fracture arrays – particularly  
686 those formed at depth - have narrow (or ‘characteristic’) size distributions that are not well approximated by power laws (e.g.  
687 Hooker et al., 2013). Another exception to the scale independent power law rule of thumb may be if there are abundant fracture  
688 terminations in infilling material. In this case, the size of the fracture (as defined by Table 1) is dictated by the spacing of the filled  
689 material bridges. Thus, fracture sets in rocks that contain abundant varnish or secondary precipitates like calcium carbonate may  
690 not follow the power-law rule, and a threshold number of ~200 fractures per site should be employed.

691  
692 An example of what the iterative process might look like is found in Fig. 3. In this example, all fractures were measured on the  
693 surface of 15-50 cm diameter granitic clasts selected along transects across both a modern wash bar (with few overall fractures per  
694 clast) and a ~6 ka alluvial fan bar (with many fractures per clast). For the modern wash, after 5, 30, or 50 clasts, a statistically  
695 significant power law distribution is not evident (Fig. 3). However, after 130 clasts, the fit of the power law falls below a p-value  
696 threshold of 0.01 [with 111 fractures measured](#). Thus, measurements from around 130 clasts ([~100 fractures](#)) were necessary to  
697 fully characterize fractures for that particular site. In contrast, the threshold p-value is reached after only 5 clasts ([64 fractures](#)) for  
698 clasts with high fracture number density on the mid-Holocene age site; however, with more clasts examined, more variables per  
699 clast can be analyzed in the data. Thus, in order to evaluate different variables (like clast size or shape), the iterative process would  
700 repeat, but limiting the analysis to fractures found on clasts meeting the criteria of interest. In this example, a total of 130 clasts  
701 per surface were measured, enabling several subsets of data to be examined in order to test the influence on a range of clast

702 properties on fracture characteristics. This iterative approach will give a reasonable assurance of when enough samples have been  
703 collected, but determining the type of distribution and estimating the distribution parameters, i.e., the exponent of the power-law,  
704 require more careful analysis that is covered below in section 6.  
705

## 706 5 Proposed baseline field data for fracture-focused surface processes research

707 Here, a basic suite of field data (Table 2) is [described-proposed](#) for all observation areas and all fractures. Table 3 contains a list of  
708 recommended field equipment to make the measurements. The list of data in Table 2 was developed with the goal of allowing the  
709 worker to fully analyze their fracture data in the context of variables known from the literature to influence or reflect fracture in  
710 exposed rocks. Workers may choose to measure only some of these data if, for example, they have controlled for a particular metric  
711 through site or clast selection. As overall knowledge of fractures in surface environments grows, the suggested set of measured  
712 variables should also change, just as, for example, the components of the simple stream power equation have evolved in fluvial  
713 geomorphology literature. The proposed fracture field methods list is also focused on direct ‘observables’ – without interpretation  
714 – that should apply universally across field areas. We readily acknowledge that additional items can and should be added to  
715 accommodate the needs of any specific study.  
716

717 The metrics listed in Table 2, and the associated methods described below, are designed to be applicable and translatable to both  
718 natural outcrops and individual clasts. While they may also be applicable to fractures found in quarries and road-cuts, such outcrops  
719 are prone to fracturing that has been anthropogenically induced by blasting, exhumation, and new environmental exposure (e.g.,  
720 Ramulu et al., 2009; He et al., 2012).

### 721 5.1 The ‘Fracture Sheet’

722 A data collection template is provided that comprises all the proposed standard data, allowing efficient, complete, and detailed  
723 recording of all parameters while in the field (e.g., a “fracture sheet”, Fig. 4 with digital version provided in supplemental data).  
724 The fracture sheet can and should be modified to include additional parameters relative to any study. The template provided here  
725 is structured, [based on our past experience](#), so that each observation area’s information (e.g., that of each clast, outcrop, or window)  
726 shares a row with the first fracture measured. Then, subsequent rows are employed for additional measured fractures on the same  
727 observation area. Each observation area and fracture are assigned unique identifiers to enable unambiguous reference in subsequent  
728 data analysis. Employing a ‘window’ rather than an entire clast or outcrop as the observation area necessitates slightly different  
729 data collection, so two separate fracture sheets can be found in the supplement.  
730

731 The fracture sheet provides a header space for site meta-data. Any observations that could elucidate the possible contributions of  
732 any State Factor (c,l,o,r,p,t,T) acting at the site should be recorded (e.g., the vegetation or topography of the site). This header area  
733 should also be employed to note any and all criteria or conventions used throughout the study. For example, the use of any  
734 convention, such as right-hand rule for strike and dip measurements, should be noted in the header. The criteria employed to select  
735 clasts or outcrops (e.g., their size, composition, etc.) and the nature of the observation areas (e.g., only the north face of all clasts;  
736 or entire exposed clast surface for all outcrops) should also be noted.

### 737 5.2 The use of semi-quantitative indices

738 It is recommended that indices be employed for many observations following similar existing semi-quantitative methods  
 739 commonly employed in both soil sciences (e.g., Soil Survey Staff, 1999) and sedimentology (e.g., rounding and sorting). [The-We](#)  
 740 [have found in our experience that the](#) use of indices, rather than precise measurements, is especially appropriate for fractures and  
 741 fracture characteristics given the natural variation between different rocks. Also, high numbers of small or discontinuous features  
 742 on rock surfaces frequently precludes their accurate counting within a reasonable amount of time; for example, counting all  
 743 fractures <2 cm in length.

744  
 745 Two particularly useful generic ‘abundance’ indices are defined here that are ~~similar to~~ [derived from](#) those employed for quantifying  
 746 the abundance of roots and pores in soils (Schoeneberger et al., 2012), whereby the quantity or coverage of specific elements or  
 747 features is estimated within a specified area. For both, a ‘frame’ is employed whose size is dependent on the size of the feature  
 748 being observed (Fig. 5). Features that are  $\leq 0.5$  cm are observed in 1 cm<sup>2</sup> frames; features >0.5 to <2 cm are observed in a 10 cm<sup>2</sup>  
 749 frame; and features  $\geq 2$  cm are observed in a m<sup>2</sup> frame. Cut-out stencils of these sizes may be constructed and employed. The  
 750 observer imagines randomly placing the ‘frame’ several times on any given portion of the observation area, noting the abundance  
 751 of the feature of interest within the frame. The indices are based on the average value of abundance observed in any given such  
 752 ‘frame’ across the entire area of observation (e.g., the entire clast, the entire outcrop, or the outcrop window).

753  
 754 The first index scales from 0 to 4 and is applicable for ‘countable’ features of interest in the research like small fractures, fossils,  
 755 or large phenocrysts. The index is: none – 0 (no visible features in any frame), few -- 1 (<1 feature on average), common -- 2 ( $\geq 1$   
 756 and <5 features on average), very common -- 3 ( $\geq 5$  and <10 features on average), and many -- 4 ( $\geq 10$  features on average).

757  
 758 The second index scales from 0 to 5 and is employed for features that are not readily counted nor consistent in size (like lichen,  
 759 varnish, fine grained mafic, or felsic minerals). In these cases, the index is based on the percentage of the rock surface covered by  
 760 the feature: none – 0; very little – 1 (<10%); little – 2 ( $\geq 10$  and <30%); common – 3 ( $\geq 30$  and <60%); very common – ( $\geq 60$  and  
 761 <90%); and dominant – 5 ( $\geq 90\%$ ). A percentage estimator (Fig. 6) should always be employed to assign the index categories –  
 762 even experienced field workers are subject to ‘quantity bias’.

### 763 5.3 Measuring rock characteristics

764 The following rock characteristics [should be](#) measured for each observation area – each clast, outcrop, and/or window – that is  
 765 employed in a study. Some fracture characteristics not captured in individual fracture measurements are also included. In particular,  
 766 fracture connectivity and fracture spacing should be measured after all individual fractures within the observation area have been  
 767 identified and measured.

#### 768 5.3.1 Clast, outcrop, or window dimensions

769 Rock – or outcrop – size, aspect, and slope can impact stress-loading through, for example, thermal stress distribution (e.g., Molaro  
 770 et al., 2017; Shi, 2011). Or, for instance, natural outcrop height has been linked to its exposure age and/or erosion rates (e.g.,  
 771 Hancock and Kirwan, 2007; [Anderson, 2002](#)). The dimensions of the clast, outcrop, or window employed for fracture observations  
 772 are also required for calculations of fracture number density and intensity (i.e., the number/length of fractures per unit area; see  
 773 Sect. 6.1).

774

775 The length and width of planar ‘windows’ are measured directly. If a window ‘bends’ across multiple faces of the rock surface,  
776 then separate length and width measurements should be made for each face with a distinct aspect. These areas are then added  
777 together for fracture number density and intensity calculations.

778

779 The vast majority of rock clasts and outcrops found in nature have ‘cuboid’ forms (Domokos et al., 2020). Thus, length, width,  
780 and height of individual clasts or outcrops may be reasonably employed to calculate the exposed surface area (see Sect. 6.1 for  
781 calculations). If clasts or outcrops are well-rounded, spherical or half-spherical surface areas can be employed, depending on burial.

782

783 For all dimension measurements regardless of rock shape, metrics are measured as point-to-point orthogonal measurements. [Length](#)  
784 [By convention, length](#) is measured parallel to the longest axis. Width is measured on the widest extent that is perpendicular to  
785 length, and height is measured vertically from the uppermost surface of the rock down to the ground surface. ~~¶~~[In past surface](#)  
786 [processes work \(e.g. Aldred et al., 2016; Eppes et al., 2010; McFadden et al., 2005\), we have developed the rule of thumb that if a](#)  
787 [through-going fracture splits the rock into two pieces that remain \*in situ\*, it should still be considered one rock and measured](#)  
788 [accordingly. \[Such fractures formed in place, and provide information about the fracturing history of the rock \\(e.g. D’arcy et al.,\]\(#\)](#)  
789 [2014\).](#) If a clast or outcrop is spheroidal in shape, that should be noted for future surface area calculations.

790

791 For site preservation, and to minimize geoheritage and environmental impacts, [we believe that](#) rocks should not be moved from  
792 their natural state; therefore, the height measurement of a highly embedded rock will only represent the height of the exposed rock  
793 surface above the ground. A metric derived to estimate the degree to which clasts are exposed versus embedded is provided in  
794 Sect. 5.3.8.

### 795 5.3.2 Sphericity and roundness

796 Sphericity and roundness from standard sedimentology practices (e.g., Krumbein and Sloss, 1951) provide metrics for rock shape.  
797 Shape can influence stress distribution in a mass and, therefore, rock fracture. For example, generally, corners tend to concentrate  
798 stresses, and ‘corner fractures’ are a recognized phenomenon in fracture mechanics (e.g., Kobayashi and Enetanya, 1976). Thus,  
799 this metric has been included as one to be measured both for outcrops and for clasts.

800

801 Sphericity refers to the length by width ratio, or elongation, of the clast or outcrop, whereas roundness is a measure of angularity  
802 (Fig. 7). The roundness and sphericity designation for the square on the chart in Fig. 7 most closely matching the dominant shape  
803 of the entire clast or outcrop should be noted (ex. r-SR; s-SE). If a more precise rock shape analysis is needed, a modified Kirkbride  
804 device can be used to quantitatively measure rock roundness (see Cox et al., 2018 for device modifications and methodology).

### 805 5.3.3 Grain size

806 Mean grain size can impact numerous fracture and stress characteristics including the proclivity for granular disintegration  
807 (Gomez-Heras et al., 2006), fracture toughness (Zhang et al., 2018), initial fracture length, thermal stress disequilibrium (Janio De  
808 Castro Lima and Paraguassú, 2004), and bulk elastic properties (Vazquez et al., 2015). The mean grain size ~~should be~~ visually  
809 estimated by comparing the dominant size of individual grains or mineral crystals to a standard grain size card. This size can be

810 reported as one average value for all minerals, or different values for different suites of minerals (e.g., felsic vs. mafic), depending  
 811 on the lithological assemblage(s) of the observation area(s) [and the goals of the study](#).

#### 812 5.3.4 Fabric and fracture filling

813 Here, the term ‘fabric’ is employed to refer to any preexisting (prior to weathering) primary or diagenetic planar, linear, or randomly  
 814 oriented anisotropies within the rock comprising the outcrop or clast of interest. Fabric is most commonly observed as fossils or  
 815 lithological bedding planes in sedimentary rocks and as crystal horizons or foliation structures in igneous or metamorphic rocks.  
 816 Also, all rocks can have diagenetic mineral deposits within parts of otherwise open fractures or contain fully filled veins and dikes.  
 817 Finding mineral deposits in open fractures points to a deeper origin. Rock fabric can impart anisotropy that influences rock strength,  
 818 fluid flow, and fracturing clustering, rates, and orientations (e.g., Nara and Kaneko, 2006; Zhou et al., 2022). Thus, any visible  
 819 fabric type, as well as the strike(s) and dip(s) (or trend(s) and plunge(s)) of each parallel or subparallel set ~~should be~~ noted in the  
 820 fracture sheet for each observation area. ~~By collecting these data, it can be determined by~~ [Through comparison of orientations,](#)  
 821 ~~comparing orientations they can be determined the~~ extent [to which](#) fractures in the dataset are influenced by these fabrics.

#### 822 5.3.5 Fractures <2 cm in length

823 Fractures <2 cm in length can comprise a significant portion of all fractures on a given rock exposure, particularly in coarse  
 824 crystalline rock types (e.g., Alneasan and Behnia, 2021). Thus, it is recommended that an index is recorded ([Sect. 5.2](#)), using an  
 825 observation ‘frame’ (~~see Sect. 5.2~~) that quantifies the abundance of fractures less than 2 cm in length (hereafter ‘small fractures’).  
 826 [In our experience, this data can help to explain, for example, fracture densities that are lower than expected when derived from the](#)  
 827 [>2 cm fracture length dataset alone](#).

828  
 829 The approximate number of small fractures visible each time the ‘frame’ is moved should be observed. A rough average of all  
 830 theoretical frames should be taken, and the categories in Fig. 5 should be used to assign an abundance. For example, if there are  
 831 generally either zero or one small fracture in any given 10 x 10 cm frame, the abundance would be “1” – i.e., few, <1 per unit area.

#### 832 5.3.6 Granular disintegration

833 Granular disintegration refers to evidence of *active* loss of individual crystals or grains due to fracturing along grain boundaries  
 834 (i.e., sedimentary particles or igneous or metamorphic crystals). This feature is observed on the rock surface as individual grains  
 835 or small clusters of grains of the rock that can be brushed away by hand. Granular disintegration is commonly observed in coarse  
 836 igneous, metamorphic, and sedimentary rocks, and over the long-term leads to the accumulation of ‘grus’ - sediment comprised of  
 837 individual crystals or small clusters of a few crystals on the ground surface (Eppes and Griffing, 2010; Isherwood and Street, 1976;  
 838 Gomez-Heras et al., 2006).

839  
 840 ~~This~~ [By necessity, this](#) disintegration comprises the complete separation of intergranular fractures, [and similar to fractures <2 cm,](#)  
 841 [we have experienced that it can provide information about smaller scale fracturing of the rock \(e.g. Eppes et al., 2018\)](#). Because  
 842 the fractures that comprise granular disintegration are typically too small to be readily measured in the field, however, its presence  
 843 is assumed when loose grains are present on the rock surface. The worker ~~should mark~~ [marks](#) affirmatively (circling the ‘G’ on the



844 Fracture Sheet) if there is evidence of granular disintegration on the rock surface of observation. If more detail is desired, an  
845 abundance index (e.g., Fig. 5) may be employed to quantify what percentage of the surface of observation contains loose grains.

#### 846 5.3.7 Pitting

847 Pitting is the occurrence of small holes or fissures that form on the rock surface due to granular disintegration or to preferential  
848 chemical weathering of certain mineral types, typically feldspars and micas in silicate rocks. Pitting is distinct from granular  
849 disintegration as it is not necessarily 'actively' occurring – i.e., pitting can exist without loose grains on the rock surface. It is  
850 included here as a rock property because of its possible linkage to intergranular fracturing. Furthermore, measuring the extent and  
851 depth of pitting due to chemical weathering has long been employed as a relative age dating tool in Quaternary geology applications  
852 (Burke and Birkeland, 1979).

853

854 Pitted surfaces form as individual grains become weathered and fall out or are dissolved; or, for soluble rocks like carbonates, as  
855 entire rock regions are dissolved. Pitting ~~can either be~~ quantified either as present/absent (circling P on the fracture sheet) or as  
856 a quantity index (Figs. 4 and 5).

#### 857 5.3.8 Clast exposure

858 This metric is used to record to what degree individual clasts appear to be exposed above the ground surface. Individual clasts are  
859 known to weather and erode from the upper rock surface down until they become 'flat' rocks at the ground surface (e.g. Ollier,  
860 1984), and the degree of embeddedness can impact preservation of fracture orientations (e.g. Aldred et al., 2015). ~~Surface-~~We have  
861 found in our experience that surface exposure can be estimated as the amount and shape of a boulder's exposed surface that is  
862 currently not covered by loose sediment, vegetation, or other material, and also relates to erosion rate in some settings. This  
863 exposure is grouped into four categories: 0 - the clast is sitting above the ground, and its sides curve downward toward the ground  
864 surface almost meeting; 1 - the clast is partially covered, with sides curving downward toward the ground surface but not meeting;  
865 2 - the clast is "half" covered, with sides projecting roughly vertically into the ground surface; 3 - the clast has only one upward  
866 facing side visible at the ground surface. In a field study, a correlation test on data from 300 boulders revealed a positive correlation  
867 of 0.66 between the indices and the fraction of boulder embeddedness (in vertical length~~height~~) (Shaanan et al., 2022).

#### 868 5.3.9 Lichen and varnish

869 Lichens and other plant life can act to push rocks apart during growth (Scarciglia et al., 2012), but have also been shown to  
870 strengthen rocks through infilling of voids or shielding from stress-inducing sunlight (Coombes et al., 2018). It is noted that lichen  
871 are living organisms that would be killed by removal. ~~In-~~We have found that in order to determine if a lichen-coated lineation is in  
872 fact a measurable fracture (see Sect. 4.1), a large needle or straight pin may be employed to poke through the lichen into the  
873 possible void of the fracture.

874

875 Rock varnish (oxide staining that can appear as a dark gray/black or orange coating on rock and typically contains Fe or Mn oxides)  
876 is well-documented to evolve over time. The extent of varnish cover has been employed frequently as a relative-age indicator,  
877 particularly in arid environments (e.g., Mcfadden and Hendricks, 1985; Macholdt et al., 2018). Thus, we infer that variations in  
878 varnish across the rock face can provide evidence of loss of surface material through *in situ* fracturing.

879

880 Lichen and varnish can come in many forms and be difficult to distinguish from each other and from primary rock minerals, hiding  
881 in fractures, pitting holes, and atop mafic crystals. So, careful consideration of the types of lichen and varnish that may be found  
882 in field sites and close inspection with a hand lens is recommended. A fresher exposure of the rock surface can help in the  
883 identification of lichen and varnish relative to the natural rock composition and color. Due to the geodiversity impact, however,  
884 such exposures should not be made with force.

885

886 The quantity of lichen and varnish (secondary chemical precipitates deposited on the subaerial rock surface) visible on the rock  
887 observation surface are separately estimated using a visual percentage estimator (Fig. 6) and a quantity index is assigned (Fig. 5;  
888 Sect. 5.2).

889

#### 890 5.3.10 Collecting samples for microfracture analyses

891 Rock microfractures (those not visible with hand lens in the field) play a central role in contributing to rock strength, anisotropy,  
892 and subsequent macrofracturing processes (Kranz, 1983; Anders et al., 2014). It is beyond the scope of the field-based methods  
893 presented herein to describe microfracture measurement and analysis, which continues to evolve (e.g., Griffiths et al., 2017; Healy  
894 et al., 2017). Instead, suggestions for rock sampling and placement of thin-section billets are provided.

895

896 Thin-section analysis of microfractures can be a time-consuming process, particularly when considering the per-capita rock volume  
897 examined. It is therefore extremely important to select rock or portions of rock that are precisely the rock type of interest, and to  
898 carefully orient the sample. For loose clasts, an entire clast can be sampled and a thin-section billet processed in the lab. For larger  
899 clasts and bedrock, a smaller portion must be extracted. By sampling pieces that are already naturally detached, or nearly detached,  
900 fracturing that arises due to chiseling or hammering is avoided. Epoxying samples prior to thin section preparation helps preserve  
901 delicate features and avoids introducing artifacts. Extra-thick sections are recommended for microfracture work, since conventional  
902 sections are prone to develop fractures during grinding. For population sampling, continuous sections can be created of any length  
903 (Gomez and Laubach, 2006).

904

905 For both clasts and outcrops, the natural orientation of the sampled rock (its horizontal and azimuthal directions) ~~should~~ *is* always  
906 *be* marked on the specimen. The sample should be photographed prior to removing from its location. It is essential to ensure all  
907 permitting is in place prior to sampling.

908

909 Similar to clast or outcrop selection, care must be taken when considering the location within the rock that the thin-section billet  
910 will be cut. Because microfracture strike and dip can be influenced by environmental, gravitational, and tectonic forces, both the  
911 depth and orientation of the billet should be noted and controlled for as appropriate for all samples compared within a single study.

#### 912 5.3.11 Fracture connectivity

913 Fracture connectivity refers to the arrangement of fractures relative to each other and has long been recognized as being key to  
914 rock strength and fluid flow (e.g., Rossen et al., 2000; Long and Witherspoon, 1985; Manzocchi, 2002; Viswanathan et al., 2022),  
915 and presumably contributes to rock erodibility, given that fractures must intersect for rock to erode. There is a large body of

916 literature that addresses fracture connectivity and how to measure it (e.g., Berkowitz, 2002; Barton et al., 1993; Healy et al., 2017;  
 917 Sanderson and Nixon, 2018), especially in the context of reservoirs and rock quality index studies. As yet, fracture connectivity  
 918 has been little studied in the context of surface processes, but likely holds high potential given its relationship to water access and  
 919 to erodibility. Here, the focus is on a simple, rules-based observation of fracture intersection ‘nodes’ (e.g., Barton and Hsieh, 1989;  
 920 Manzocchi, 2002; Forstner and Laubach, 2022; Sanderson and Nixon, 2018) that comprise the basis for fracture network  
 921 connectivity assessment (e.g., Andresen et al., 2013).

922

923 After all fractures within each observation area have been identified and measured (Sect. 5.4), all fracture links within the  
 924 observation area should be counted and recorded by noting their relationship to other fractures (Fig. 8): dead end (I-node),  
 925 crossing (X-node), and/or abutting without crossing (Y-node). Numbers of nodes per area can then be used as a proxy for  
 926 fracture connectivity. If fracture connectivity is of particular interest for the research, rules-based ‘contingent mode’ (C-node)  
 927 intersections may also be added (Forstner and Laubach, 2022). An example of a C-node rule might be if fractures >100 mm in  
 928 length terminate within 10 mm of another fracture, its termination would be a c-node. Another C-node definition could comprise  
 929 intersection relations where visible connected traces are sealed with secondary minerals. These c-nodes may be important when  
 930 there are ambiguous at-depth relationships between fracture terminations (e.g., Fig. 2b).

931

### 932 5.3.12 Fracture spatial arrangement

933 In addition to overall fracture density, intensity and connectivity, the arrangement of fractures in space (e.g., evenly spaced,  
 934 random, clustered in space) can impact loci of rock mass weakness, fluid flow, and landscape morphology. Laubach et al. (2018)  
 935 comprises a special issue of the Journal of Structural Geology devoted to spatial arrangement of fractures, and much work has been  
 936 published since. The mathematical analysis of spatial arrangement and rigorous identification of clustering is beyond the scope of  
 937 this field guide. Freely available software is available for analyzing one-dimensional fracture arrangement along scan lines (Marrett  
 938 et al., 2018) and for analysis of trace patterns in two dimensions (Corrêa et al., 2022; Shakiba et al., 2022).

939

940 For scanline-based methods, following similar methods as those used for locating windows (Sect. 3.4), lines should be  
 941 established across representative parts, or the center, of each an-observation area. For 1D analysis, good practice is to establish at  
 942 least two perpendicular lines to capture different orientations of fractures, but the optimal number and configuration depends on  
 943 the pattern under investigation. A tape or other linear measuring tool is then arranged along the lines, and, beginning with the  
 944 edge of the observation area as distance 0, the distance along the tape of each fracture is noted (in other words, the sequence of  
 945 spacing between fractures is recorded), with each measurement linked to the “Crack ID” already established for that fracture on  
 946 the Fracture Sheet. If fractures are already marked with chalk, we find that this is an easy process. In that way, the size of each  
 947 fracture and its adjacent distances are noted (analysis procedures allow weighting by fracture height, length, or aperture). As with  
 948 any measure of fracture aggregate properties such as intensity or connectivity, for fractures having a wide range of sizes,  
 949 arrangement results depend on the size range of fractures included in the analysis (scale dependent) (e.g. Ortega et al., 2006).  
 950 These spatial arrangement data can go on the back of the Fracture Sheet.

### 951 5.4 Individual fracture characteristics

952 The following properties are measured for each fracture found within the observation area that meets all the fracture selection  
 953 criteria listed in Table 1. In order to keep track, [we have found from experience that](#) it is useful to mark fractures with chalk  
 954 within the observation area after you have made their appropriate measurements.

#### 955 5.4.1 Length

956 Fracture length is measured for the entire surface exposure length of the fracture; i.e., around corners and up and down rock  
 957 topography (Fig. 2a). [We have found these surface exposure distances to be the most repeatable and representative for the amount](#)  
 958 [of fracture exposed on the rock surface \(Aldred et al., 2016\).](#) Measurements can be made with flexible seamstress tape to follow  
 959 the curve of a fracture's exposure on the rock surface. Length is only measured where there is an open void (Fig. 2b; Sect. 4.1),  
 960 because to measure across bridges of secondary cemented material or rock would be to infer future fracture propagation that has  
 961 not yet occurred. By only measuring the open portion of voids, the user avoids arbitrary interpretation of possible behavior. Thus,  
 962 if a seemingly continuous fracture (Fig. 2b, left inset) is in fact separated by bridges of solid rock (Fig. 2b, right inset), then these  
 963 should be measured as two different fractures and their lengths should terminate at the rock bridges ([Sect. 4.1](#)). The inset in Fig.  
 964 2b reveals four fractures possibly meeting all Table 1 criteria. If two fractures intersect in x- or y-nodes (Fig. 8), each fracture is  
 965 defined by its own distinct strike, and the full length of the full open fracture with that strike is measured (e.g., the length of  
 966 segments ab and cd in Fig. 8).

967  
 968 Importantly, when using a 'window' approach to rock observation area, both the total length of the fracture extending beyond the  
 969 window, as well as the total length within the window, should both be recorded. The latter is employed in fracture intensity  
 970 calculations (Sect. 6.1); the former provides representative information about all fracture lengths on the rock being measured.

#### 971 5.4.2 Width

972 Fracture aperture widths (hereafter, 'widths') can impact both the strength and permeability of rock. Generally, they scale with  
 973 fracture length and, thus, can possibly reflect the innate subcritical cracking parameters of the rock (Olson, 2004). Fracture widths  
 974 typically vary along their exposure and pinch out at fracture tips. Determining an average or representative width within a single  
 975 fracture can thus be somewhat arbitrary and subject to bias. Locating the widest aperture is less subject to bias and can also provide  
 976 information about fracturing processes (for example, the widest aperture in a series of mechanically interacting en echelon fractures  
 977 should be in the center fracture; [Anderson, 2005](#)). Also, [we find that](#) the center of the open fracture is an objectively repeatable  
 978 location, and also where the fracture might be expected mechanistically to be the widest. However, given that this relationship can  
 979 become complicated as fractures fill or branch, [unless there is reason to do otherwise, it is recommended here we recommend the](#)  
 980 [rule of thumb](#) to record fracture width both at the midpoint of the measured length of the exposed fracture as well as at its maximum  
 981 width along its exposure.

982  
 983 ~~Both~~ [We assert that in order to delineate the fracture – as opposed to measuring subsequent weathering or erosion - width](#)  
 984 measurements should only be made in regions of the fracture where fracture walls are parallel or sub-parallel (e.g., green arrows  
 985 in Fig. 9), avoiding locations where fracture edges have been obviously rounded by erosion or chemical weathering, or where large  
 986 pieces have been chipped off or are missing (e.g., red arrows in Fig. 9). If it is unclear if a portion of the fracture has chipped off  
 987 (e.g., orange arrow in Fig. 9), a notation can be made and employed later to eliminate potential outliers in the dataset. Fractures

988 greater than about 3 mm in width can be easily measured by inserting the back-blades of digital calipers into the widest opening  
 989 of the fracture. For narrower fractures, a logarithmically binned ‘crack comparator’ (Fig. 7) is recommended (Ortega et al., 2006),  
 990 whereby the line on the comparator most closely matching the fracture aperture is chosen.

### 991 5.4.3 Strike and dip

992 Fracture orientation (i.e., strike and dip) is a function of the orientation of existing anisotropy within the rock and the orientation  
 993 of the ~~principle~~principal stresses that drove its propagation (e.g., Anderson, 2005). Fracture orientations are commonly related to  
 994 tectonic forces; however, both gravitational and environmental stresses can also be directional (e.g., St. Clair et al., 2015; Mcfadden  
 995 et al., 2005). When fractures are growing at subcritical rates, they can lengthen through a series of ‘jumps’ that link parallel or  
 996 subparallel smaller fractures (e.g., Ma et al., 2023). The following suggestions are for research aimed, not at characterizing these  
 997 small mm-cm scale heterogeneities, but rather identifying major stresses and heterogeneity in the entire rock body.

998  
 999 Fracture orientation is measured with a geological compass or similar tool that has both azimuthal direction and inclinometer  
 1000 functionality. When measuring strike and dip of fractures, ~~it is important to~~ find it is helpful to visualize how the fracture plane  
 1001 intersects the rock surface, as if slipping a sheet of paper into the ‘file folder’ of the fracture. For larger fractures, weathering and  
 1002 erosion may have resulted in loss of rock along the upper edge of the fracture, so it is imperative to measure the angle at the interior  
 1003 of the fracture where its walls are parallel (Fig. 9) to avoid measuring instead the angle of the eroded face.

1004  
 1005 Fractures grow until they intersect other fractures and/or branch segment and link. If fractures appear to intersect, branch or link  
 1006 (i.e., two connected planar voids with noticeably different orientations joined by a sharp angle), their lengths should be measured  
 1007 separately as well as their orientations (e.g., two strikes and dips) as previously mentioned. This phenomenon is in some cases  
 1008 evident in 2D spatial analysis that takes length scales into account (e.g., Corrêa et al., 2022). For fractures that meander around  
 1009 mm-cm scale heterogeneities like phenocrysts or fossils, the overall trend is measured. A 1 to 10 rule of thumb (Sect. 4.1) can be  
 1010 used whereby, as long as the ‘jog’ in the fracture orientation is <1/10 of the fracture length, it is not measured.

1011  
 1012 Fracture tip propagation direction may also slowly change as the orientation of external stresses or internal stress concentrations  
 1013 change withing the rock mass. For curvilinear fractures, the average orientation can be measured, as the orientation of the non-  
 1014 curved plane whose ends are defined by the ends of the fracture. Alternatively, the fracture curvilinear plane may be subdivided  
 1015 into roughly linear planes and each orientation measured. If this latter approach is taken, the intersection should be marked as a  
 1016 node, and two lengths recorded. It is important to note which method was employed and to remain consistent for all measurements,  
 1017 ~~as no widely acknowledged rule of thumb exists to our knowledge for this measurement.-~~

1018  
 1019 There are numerous commonly-employed conventions for measurements of strike and dip. If the worker is consistent and clear in  
 1020 the use of their preferred convention and in the presentation of their data, any are acceptable. If the worker has no such prior habits,  
 1021 ~~we recommend, from our experience, that to~~ record strikes as an azimuthal orientation from 0-359 degrees, and dip angle as an  
 1022 angle deviation from horizontal of 0-90 degrees ~~makes data analysis easier than recording, for example, direction by quadrant.~~ For  
 1023 dip direction, ~~we recommend~~ a convention such as the “right-hand rule” ~~should~~ be employed whereby the dip direction is always  
 1024 known from the orientation of the strike alone. For example, the right-hand rule states that the down-dip direction is always to the

1025 “right” of the measured and recorded strike when the observer is facing the same direction of the strike. Therefore, the strike that  
 1026 is recorded is the one whereby the dip direction is always +90 degrees clockwise (to the right) from the strike direction.

#### 1027 5.4.4 Fracture parallelism

1028 Noting the parallelism of the fractures can help to better understand the origins of the population of fractures at a site. Parallelism  
 1029 is common because fractures often follow rock heterogeneities or anisotropies such as bedding, foliation, veins, or even the rock  
 1030 surface (e.g. [McFadden et al., 2005](#)). Fractures in a single bedrock outcrop or clast are also commonly parallel because they have  
 1031 formed due to external stress-loading with a consistent orientation (e.g., those influenced by regional tectonics or directional  
 1032 insolation). Thus, noting parallelism may help to distinguish the origins of fractures, though not always. For example, ‘surface  
 1033 parallel fractures’ (e.g., Fig. 2a) - commonly referred to as exfoliation, sheeting joints (e.g., Martel, 2017), or spalling – vary  
 1034 dramatically in scale and can have origins related to several different factors including tectonic-topographic interactions (Martel,  
 1035 2006), chemical weathering and volumetric expansion (Røyne et al., 2008), and thermal stresses related to insolation (e.g., Lamp  
 1036 et al., 2017; Collins and Stock, 2016) and fire (e.g., Buckman et al., 2021). Likewise, fractures having a strong preferred orientation  
 1037 parallel to topographic features like escarpments or stream channels may predate the topography and have localized the geomorphic  
 1038 feature, or they may postdate the feature and themselves be a response to topographic loads (e.g. [Molnar, 2004](#)). For this reason,  
 1039 fracture pattern sampling that seeks to avoid or characterize these effects should include exposures distant from such ambiguous  
 1040 situations (i.e., close to and distant from topographic features).

1041  
 1042 In the fracture sheet, features to which the fracture is parallel should be documented. ~~A-We find that a~~ visual inspection will suffice  
 1043 for most applications, but for applications where more precision is needed, the fracture may be considered parallel if the strike and  
 1044 dip of a fracture is within +/-10° of the orientation of the feature (the rock’s long axis, its fabric, or its outer surface). ~~We base this~~  
 1045 ~~cutoff on the +/-4-7° strike and dip orientation precision of a typical Brunton compass under ideal measuring conditions (e.g.~~  
 1046 ~~Whitmeyer et al., 2019).~~ A fracture may be parallel to more than one feature in the rock. Categories may be added as necessary for  
 1047 rocks with other repeating features unique to the field site (fossils; veins, etc.). Assertions of parallelism (or similar) are a potential  
 1048 source of ambiguity, so careful consistency in the quantification of the basis of the claim is needed.

#### 1049 5.4.5 Sheet height

1050 Surface parallel fractures naturally detach ‘sheets’ of rock between the fracture and the rock surface (‘h’ in Fig. 2a). ~~Sheet height~~  
 1051 ~~is thus only measured for surface parallel fractures. The-We infer that the~~ thickness of these sheets may be of interest for  
 1052 understanding the size of sediment produced from the fracture or for understanding the stresses that produced the fracture. ~~Sheet~~  
 1053 ~~We provide the rule of thumb that sheet~~ height is measured using calipers at the location of the maximum height of the sheet ~~and,~~  
 1054 ~~because thin edges often break off and vary. is only used for surface parallel fractures.~~To limit these measurements to those that  
 1055 have likely formed in situ as related to the current morphology of the rock, ~~another~~ rule of thumb ~~is-we have employed is~~ to only  
 1056 measure those ‘sheets’ that would result in removal of <10% from the outer surface of the rock downward into the dimension(s)  
 1057 of the rock face(s) to which they are perpendicular.

#### 1058 5.4.6 Weathering index

Rock fracture is ultimately a molecular scale bond-breaking process; so, when fractures propagate, they initially form a razor-sharp lip or edge where their two planes intersect the rock surface. Over time, these edges naturally round through subsequent chemical and physical weathering, erosion, and abrasion (e.g., regions of the red arrows in Fig. 9). Crack tips may also blunt through time, but that observation may be complicated by the presence of mineral deposits. Following similar research that has demonstrated time-dependent changes in rock surface morphology due to such weathering processes (e.g., Shobe et al., 2017; Gómez-Pujol et al., 2006; McCarroll, 1991), we established an index of relative degree of such rounding along a fracture edge (rather than crack tip) to be noted in the fracture sheet:

- 1: fresh with evidence of recent rupture (flakes/pieces still present, but not attached)
- 2: sharp, no rounded edges anywhere
- 3: mostly sharp with occasional rounded edges
- 4: mostly rounded edges with occasional sharp edges
- 5: all rounded edges

## 6 Suggestions for data analyses

When the data collection has been completed, it is necessary to provide statistics. For initial data exploration, general properties may be calculated for rock and fracture data like the mean, median, variance, skewness, kurtosis, and overall ‘appearance’ of distributions. Data can be compared using normal cross-plots, or quantile-quantile plots, as well as standard correlation analysis. For categorical data, normal analytical techniques (histograms, discrete correlation analysis, etc.) can be applied. As with all heavy-tailed data, the median is preferred over the mean value to understand a characteristic value—though power distributed data generally does not have a characteristic dimension. [Distribution characterization is discussed in section 1.3.](#) 2D spatial analysis methods can also be applied to entire outcrops or clasts, or to subdivisions of these features (Corrêa, et al., 2022; Shakiba et al., 2023). These methods are well suited to large outcrops and well exposed fracture arrays.

~~For the fractures themselves, the type of distribution for the fracture data can be determined and provide important insights. Not all observations of fracture characteristics will be power-law distributed, with other heavy-tailed distributions possibly indicating other, less random controls on fracture properties; this is quite technical, and the reader is referred to Clauset et al (2009). If the data set is power-law distributed, then the power-law exponent—the slope of the distribution in log-log plots—is the key parameter that determines the distribution of different fracture geometries. While it is tempting to just plot the data on a log-log plot and fit a line, this approach has proven to produce incorrect, strongly biased estimates. Again, without performing correct, unbiased statistical analysis, it is not possible to compare the power-law behavior and other statistics between different, carefully, and time-intensively collected data sets, limiting how generalizable the results are. Two straightforward, alternative approaches are described below.~~

~~To understand fracture length and fracture width data, it is key to first recognize that, with the exception of studies such as in rocks with fractures with uniform spacing and bedding-controlled widths (Ortega et al., 2006), the data will commonly have a heavy-tailed distribution, such as lognormal, gamma, or power law. As mentioned above, of these, strong observational and theoretical evidence suggests that fracture size is most commonly power-law distributed (e.g., Bonnet et al., 2001; Davy et al., 2010; Hooker et al., 2014; Ortega et al., 2006; Zeeb et al., 2013), i.e.,~~

~~$$n(b) = Ab^{-\alpha} \quad (+)$$~~

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where  $b$  is the fracture dimension (length or width) of interest,  $n$  is the number of fractures with dimension  $d$ , and  $A$  and  $\alpha$  are constants. When log-transformed, Eq. (1) becomes

$$\log(n(b)) = \log(A) - \alpha \log(b) \quad (2)$$

which has led many practitioners to fit Eq. (2) by linearly binning the data in  $n$ , then log-transforming the data and fitting the resulting data with a linear regression. This has proven to lead to significant bias in estimates,  $\hat{\alpha}$ , of the power law exponent (Bonnet et al., 2001; Clauset et al., 2009; Hooker et al., 2014) and is not recommended despite its common usage.

Two straight forward approaches have been shown not to have biases, or misestimates of the exponent  $\alpha$ . 1) The following is based on Clauset et al. (2009). First, the exponent can be found from the cumulative distribution of the dimensions,  $C(b)$ , or number of fractures with dimension greater than  $b$ , i.e.,

$$C(b) = \int_b^{b_{\max}} n(b) db \quad (3)$$

Where  $b_{\max}$  is the maximum size of the fracture dimension (e.g., maximum length or width). The cumulative power law distribution has the form

$$C(b) \propto b^{1-\alpha} \quad (4)$$

It is common to denote  $1 - \alpha$  as  $c$ . To find  $\alpha$  (or  $c$ ), the dimension data is logarithmically binned. In other words, the dimension data is binned on a logarithmic (1, 10, 100, ...) frequency scale, and then log-transformed. At this point, linear regression techniques can be applied to estimate  $\alpha$  and assess uncertainty. However, in all cases, uncertainty estimates such as  $R^2$  will overestimate the certainty for such log-transformed data, but at least the estimate of  $\alpha$  is unbiased.

2) Another method to find  $\alpha$  from a data set of fracture dimensions is to use the maximum likelihood estimator (MLE) given by

$$\hat{\alpha} = 1 + N \left[ \sum_{i=1}^N \ln \left( \frac{b_i}{b_{\min}} \right) \right]^{-1} \quad (5)$$

where  $\hat{\alpha}$  is the estimate of the exponent in (1),  $b_i$  is the dimension of the  $i$ th fracture,  $b_{\min}$  is the minimum valid fracture dimension (see below) and  $N$  is the total number of samples (Clauset et al., 2009; Hooker et al., 2014). The MLE estimate has the advantage of an accurate estimate of standard error,  $\sigma$ , given by

$$\sigma = \frac{\hat{\alpha}-1}{N} + O\left(\frac{1}{N}\right) \quad (6)$$

Clauset et al. (2009) showed that both the logarithmically binned cumulative distribution and the MLE estimator produce unbiased estimates of the exponent. For all empirical power law distributions, there is a scale, in this case  $b_{\min}$ , below which power law behavior is not valid. This can be visually assessed by plotting Eq. 2 with logarithmically binned  $n$ . The interval between  $b_{\min}$  and  $b_{\max}$  where the slope is linear is where the power law is valid (Clauset et al., 2009; Ortega et al., 2006), and Clauset et al. (2009) presents a formal method to find  $b_{\min}$  and  $b_{\max}$ . Hooker et al. (2014) use a  $\chi^2$  test to evaluate the goodness of fit, which is simpler than the  $p$ -tests of the Kolmogorov-Smirnov statistic proposed by Clauset et al. (2009).

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## 1125 6.1 Fracture number density and fracture intensity

1126 Here, following large portion of fracture mechanics literature and for clarity, the term ‘fracture number density’ is employed to  
 1127 refer to the number of fractures per unit area (e.g., # fractures/m<sup>2</sup>), and the term ‘fracture intensity’ to the sum length of all fractures  
 1128 per unit area (e.g., cm/m<sup>2</sup>). However, it is crucial to note that these terms are frequently defined differently and in inconsistent  
 1129 ways across disciplines and even within disciplines (e.g., Barthélémy et al., 2009; Narr and Lerche, 1984; Ortega et al., 2006;  
 1130 Dershowitz and Herda, 1992). ~~It is~~To avoid confusion, it is imperative that workers clearly define their usage in each work. In  
 1131 particular, fracture intensity is scale dependent. If the outcrops or clasts on which fractures are measured vary greatly in size,  
 1132 intensity calculations that account for the fracture distribution may be appropriate (e.g. Ortega et al., 2006).

1133  
 1134 In the suggested simple use herein, the ‘area’ refers to the surface area of observation area. For fractures measured in ‘windows’  
 1135 (Sect. 3.4), the length of fractures only *within* the window is used, and the area of the window (e.g., 10 cm x 10 cm) for the  
 1136 calculations. For loose clasts and outcrops, the appropriate calculation of surface area will depend on the shape and angularity of  
 1137 the rock. For most rocks, calculations for the surface area of the exposed sides of a rectangular cuboid ( $L*W + 2*(L*H) +$   
 1138  $2*(W*H)$ ) are appropriate.

## 1139 6.2 Circular data

1140 Standard ‘linear’ statistics cannot be employed for circular data. Instead, circular statistical and plotting software [can-be-is](#) used for  
 1141 the visualization and analysis of strike and dip data. The statistics employed by such software is typically based on established  
 1142 circular statistical research methods (e.g., Mardia and Jupp, 1972; Fisher, 1993). The following statistics [are from that work and](#)  
 1143 are useful in reporting strike and dip data.

1144  
 1145 The Mean Resultant Direction (a.k.a. vector mean, mean vector) is analogous to the slope in a linear regression. Circular variance  
 1146 can be quantified using either a Rayleigh Uniformity Test (for single mode datasets) or a Rao Spacing Test (for datasets with  
 1147 multiple modes), whereby p-values <0.05 indicate non-random orientations. If p-values for these tests are below a threshold (e.g.,  
 1148 <0.05), then data are considered non-uniform or non-random.

1149  
 1150 The Rayleigh statistic is based on a von Mises distribution (i.e., a normal distribution for circular data) of data about a single mean  
 1151 (i.e., unimodal data). Therefore, for multi-modal data, the variance might be high, but nevertheless, the data might be non-uniform.  
 1152 The Rayleigh Uniformity Test calculates the probability of the null hypothesis that the data are distributed in a uniform manner.  
 1153 Again, this test is based on statistical parameters that assume that the data are clustered about a single mean.

1154  
 1155 Rao's Spacing Test is also a test for the null hypothesis that the data are uniformly distributed; however, the Rao statistic examines  
 1156 the spacing between adjacent points to see if they are roughly equal (random with a spacing of 360/n) around the circle. Thus,  
 1157 Rao's Spacing Test is appropriate for multi-modal data and may find statistical significance where other tests do not.

## 1158 8 Case example

1159 Here we present a simple, brief example of how the presented methods promote consistency of results across users in fracture  
 1160 measurements; to provide a full case study is beyond the scope of this [workpaper](#). We provided minimal training (one

demonstration with some minor oversight of initial work) to four groups of two students each. The fifth pair of workers included a scientist who had logged over 500+ hours of experience using the standardized methods. Each of the five groups followed the methods to measure the length and abundance of fractures on boulders (15-50 cm max diameter) on the same geomorphic surface (a 6000-year-old alluvial fan in Owens Valley California, comprised of primarily granitic rock types). Each group followed the methods described herein for rock and fracture selection and measurements. As such, the results from each group (Fig. 10; Data Supplement) could be compared not only for fracture selection and measurements, but also for observation area selection – a key component of collecting data that is representative of a particular site.

We find that the data collected by each of the groups for fracture length, number of fractures per rock, and rock size are statistically indistinguishable by student t-test (all pairs of p-values > 0.1; Fig. 10; Data Supplement). Also, there is no consistent difference between measurements made by the novice groups and that of the trained group. The mean fracture lengths from the four novice groups (37±23 mm to 59±51 mm) span across that of the mean collected by the well-trained group (42±22 mm; Supplement), as do the number of fractures per rock (2±2 to 6±8 for novice groups compared to 3±3 for trained group). With only one exception (fracture length for Group 1), variance between groups does not range by more than a factor of 3 in any of the data – a common rule of thumb for the threshold of ‘similar’ variance between small datasets. Overall, especially given the relatively small size of the datasets (~10-20 rocks and ~40-60 fractures each), this comparison suggests that the results using the standardized methods are reproducible, even with novice workers with minimal training. A full case study and analysis would be required to fully and quantitatively evaluate all of the procedures presented herein.

## 9 Conclusions

The methods proposed herein comprise a ‘first stab’ at standardization of field data collected in rock fracture research surrounding surface processes and weathering-based geologic problems. The outlined methods comprise best practices derived in large part from existing work in the context of structural geology and geotechnical engineering. They also comprise general guidance and nuances developed from experiences (and mistakes) over the last two decades of fracture-focused field research applied to geomorphology and soil science. We readily acknowledge that additional, fewer, or altered methods may be appropriate for some applications. Nevertheless, it is our hope that providing these rules-based, detailed, accessible, standardized procedures for gathering and reporting field-based fracture data will open the door to rapidly building a rigorous galaxy of new datasets as these guidelines and methods become more widely adopted. In turn, they may enable future workers to better compare and merge fracture data across a wide range of studies. Doing so will permit future refinements not only of the methods themselves, but most importantly of our understanding of rock fracture. Compiling such a standardized global dataset is the best hope for fully characterizing the role and nature of fractures in Earth surface systems and processes.

## 10 Author Contributions

MCE spearheaded the evolution of the development of the guiding principles and methods described herein as well as writing of the manuscript. AR and SL contributed significantly to the editing of the manuscript’s content and expanding the breadth and depth of its applicability and approaches. JA, SB, MD, SE, FM, SP, MR, and US all participated extensively in field campaigns during which the methods were developed and refined, and they contributed to editing of manuscript and editing and development of

1196 figures. MM, AR and RK contributed to the development of theoretical statistical analyses practices that are outlined in the  
1197 document and the editing of the manuscript.

#### 1198 **11 Competing interests**

1199 The authors declare that they have no conflict of interest.

1200

#### 1201 **12 Data Availability**

1202

1203 All data presented in the manuscript are available in the Supplement.

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1211 Carolina at Charlotte.

1212

1213

1214

## Figure Captions

1215  
1216

1217 Fig. 1. Images illustrating the selection of observation areas for clasts and outcrops. A. Photograph of a transect established for  
 1218 clast selection. Black dot: predefined transect interval location on the tape. Red dot: clast that does not fit the predefined clast  
 1219 selection criteria (e.g., it is too big). Green dot with red circle: clast that fits criteria but is further away from the interval point  
 1220 that the clast with the green dot. Green dot: closest clast to the transect interval that meets the selection criteria. B. Annotated  
 1221 photograph showing an idealized placement of 'windows' (dashed black squares) on a bedrock outcrop. Outcrop dimensions are  
 1222 measured and the windows are placed using predetermined selection criteria. In this example, the windows are equally spaced  
 1223 along the centerline of the long-dimension of the upward-facing side of the outcrop.  
 1224

1225 Fig. 2. A. Example of the measurement of a surface exposure length ( $L$ ; yellow line) of a fracture meeting the criteria in Table 1.  
 1226 The 'h' refers to the location where sheet height would be measured for this surface parallel fracture. B. Example of fractures that  
 1227 may appear to be a single fracture (left), but upon close examination are in fact multiple fractures intersecting and/or separated  
 1228 by rock (right inset). Arrow points to the location of the inset image on the main image. Compass in the foreground for scale.  
 1229

1230 Fig. 3. Example histograms and statistics of fracture length data measured on the exposed surfaces of clasts 15-50 cm max  
 1231 diameter. Upper row are data for clasts found on a modern ephemeral stream boulder bar. Clasts overall have very low fracture  
 1232 number density. Lower row are data for clasts on an ~6 ka surface where fracture number density is much higher. Note that it  
 1233 takes about 100 clasts to arrive at a statistically significant power law distribution for the Modern Wash clasts, but only 5 rocks  
 1234 for the rocks with higher fracture densities. Producing histograms interactively as data is collected can help establish how many  
 1235 observation areas are necessary for a given site.  
 1236

1237 Fig. 4. Reduced size image of an 8.5" x 11" 'fracture sheet' to be employed in the field to increase efficiency and to reduce  
 1238 'missing' data. Sheet templates for both clasts and outcrops that can be modified are provided in Data Supplement as well as a  
 1239 data-entry template.  
 1240

1241 Fig. 5. Visual aid for estimating the abundance of "countable" rock features – including fractures. An index of 0-4 is assigned  
 1242 depending on the abundance of features within an average of any given observation area (ex: 10 x 10 cm) on the clast or window  
 1243 being examined. The area of observation is defined by the size of the features being measured. A 10 cm x 10 cm square is used  
 1244 for estimating the abundance of 'fractures < 2 cm' defined as fractures with lengths of >0.5 cm but < 2 cm (see section 5.2 for  
 1245 details of how to use the index). For features  $\leq 0.5$  cm, a 1 cm x 1 cm area would be employed and for features  $\geq 2$  cm, a 1 x 1 m  
 1246 area. Ensure the image is printed to scale prior to use in the field.  
 1247

1248 Fig. 6. A visual percent estimator (modified from Terry and Chilingar, 1955). Estimator should be employed in every estimate of  
 1249 percentages. See section 5.2 for using the estimator to assign a percent coverage index to features that are not countable or vary  
 1250 in size (e.g., lichen coverage, fine mafic minerals, etc.).  
 1251

1252 Fig. 7. **Inset:** Roundness and sphericity chart – modified from Krumbein and Sloss (1951) to add the roundness and sphericity  
 1253 lettering. **Roundness:** A = angular; SA = subangular; SR = subrounded; R = rounded; WR = well-rounded. **Sphericity:** S =  
 1254 spherical; SS = subspherical; SE = sub-elongate; E = elongate. **Edges:** fracture comparator whereby the width most closely  
 1255 matching the fracture aperture is noted. Note: a to-scale pdf is available in the Data Supplement, however, owing to printing and  
 1256 publication scaling, it is highly recommended to calibrate the comparator prior to using it in the field.  
 1257

1258 Fig. 8 Depiction of types of fracture intersection nodes. I-nodes comprise fracture terminations with no connections. Y-nodes are  
 1259 abutting fractures that do not cross. X-nodes are fractures that cross. C-nodes are 'contingent nodes' defined by the user. In this  
 1260 example the rule is related to the distance between I-nodes. For #1, the distance is wider than the criteria, so the terminations are  
 1261 designated as I-nodes. For #2, the distance is within the limits, and the 'connection' is designated as a C-node.  
 1262

1263 Fig. 9. Examples of aperture transects that are appropriate for measurement of fracture aperture widths (green) and transects where  
 1264 there is evidence that the fracture walls have been eroded or chipped and therefore should not be employed for a width

1265 measurement (red). In cases where it is not clear if erosion or chipping has occurred (orange), a note can be made for the fracture  
1266 width to possibly eliminate outliers during data analysis.

1267

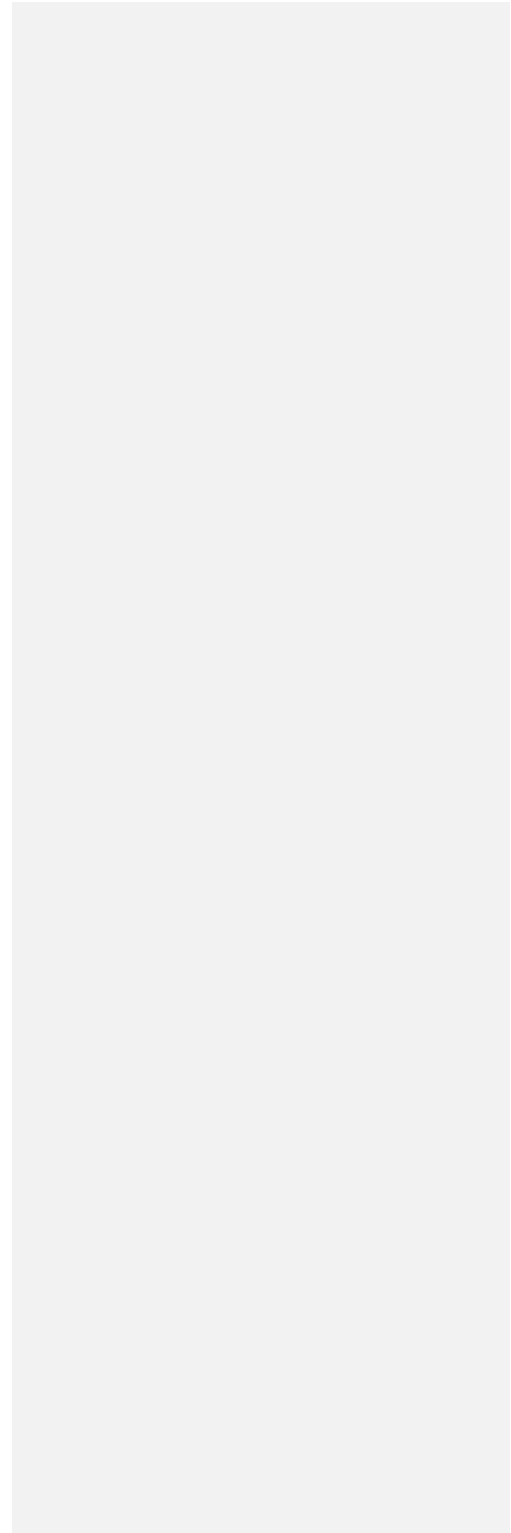
1268

1269 Fig. 10. Box and whisker plots of case example data collected by five different pairs of workers on the same geomorphic surface.

1270 "x"s mark the means. Groups 1-4 were novice workers. Group 5 comprised one experienced worker. A. Fracture lengths B.

1271 Fractures per rock C. Clast length

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Table 1. List of proposed rule-based criteria for defining measurable fractures

The answer to the following questions must be 'yes' for all measured fractures. Measure all fractures meeting these criteria within the observation area.	NOTES
<ul style="list-style-type: none"> <li>• Is the feature a lineament longer than it is wide?</li> <li>• Does the lineament contain open space bounded by walls?</li> <li>• If the lineament is not open, can the infilling material (ex: dust and lichens) be readily scraped out?</li> <li>• If the lineament is open or after the material has been scraped out, is the opening deeper than it is wide <u>and</u> bounded by ~parallel walls?</li> <li>• Is the open portion of the lineament <math>\geq 2</math> cm (<math>&gt;10</math> grains) in length (without interrupting bridges of rock or cemented infilling material)?</li> </ul>	Do not measure: <ul style="list-style-type: none"> <li>• Spherical pores/vesicles.</li> <li>• Lineaments, or portions of lineaments, with solid mineral infilling/cement.</li> <li>• Ledge edges or linear etchings.</li> <li>• rock bridges between fractures</li> </ul>

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Table 2. List of proposed data to collect for the rock observation area and for all fractures  $\geq 2$  cm in length

Rock Observations	Individual Fracture Observations
<ul style="list-style-type: none"> <li>• Dimensions of the observation area (e.g. clast, outcrop, and/or window length, width, height)</li> <li>• Rock type</li> <li>• Grain size</li> <li>• Mineralogy % (minimally felsic vs. mafic)</li> <li>• Sphericity of exposure</li> <li>• Roundness of exposure</li> <li>• Fabric description, strike, and dip (e.g. vein, foliation, bedding)</li> <li>• Granular Disintegration</li> <li>• Pitting</li> <li>• Lichen and Varnish</li> <li>• Fracture Connectivity</li> <li>• Fracture Spacing</li> </ul>	<ul style="list-style-type: none"> <li>• Length (surface exposure length measured with a flexible tape)</li> <li>• Aperture width: center and maximum widths measured with calipers and/or comparator</li> <li>• Strike 0-360° (right-hand rule preferred)</li> <li>• Dip 0-90°</li> <li>• Parallelism (note features parallel to the fracture such as fabric, rock faces)</li> <li>• Sheet height (the thickness of what would be the detached spall or sheet of rock above a surface parallel fracture)</li> <li>• Weathering Index</li> </ul>

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Table 3. List of field equipment

Required	Recommended
<ul style="list-style-type: none"> <li>• Hand lens (large, 10x)</li> <li>• Grain size card</li> <li>• Fracture comparator (for fracture widths)</li> <li>• Flexible seamstress tape measure (with mm)</li> <li>• Calipers (mm 0.0 to 150)</li> <li>• Brunton or similar compass</li> <li>• Roundness and sphericity chart</li> <li>• Visual percentage estimator</li> <li>• Fracture sheets</li> </ul>	<ul style="list-style-type: none"> <li>• Camera with macro lens</li> <li>• Chalk for marking measured fractures and windows</li> <li>• Safety pin or needle for fracture exploration</li> <li>• Cardboard cutout frames for windows</li> <li>• Small white board or chalk board for including observation area ID in photos</li> </ul>

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