# Toward a general calibration of the Swiss plate geophone system for fractional bedload transport

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14 Abstract. Substantial uncertainties in bedload transport predictions in steep streams have triggered-encouraged intensive 15 efforts to develop surrogate monitoring technologies. One such system, the Swiss plate geophone (SPG), has been deployed 16 and calibrated in numerous steep water courses, mainly in the Alps. Calibration relationships linking the signal recorded by 17 the SPG system to the transported bedload can vary substantially between different monitoring stations, likely due to site-18 specific factors such as the flow velocity and the bed roughness. Furthermore, recent controlled experiments on the SPG 19 system have shown that site-specific calibration relationships can be biased by elastic waves resulting from impacts 20 occurring outside the plate boundaries. Motivated by these findings, here we present here a hybrid calibration procedure 21 derived from flume experiments and an extensive dataset of 308 calibration measurements from four different SPG field 22 monitoring stations. Our main goal is to investigate the feasibility of a general, site-independent calibration procedure for 23 inferring fractional bedload transport from the SPG signal. First, we use flume experiments to show that sediment size 24 classes can be distinguished more accurately using a combination of vibrational frequency and amplitude information than 25 by using amplitude information alone. Second, we apply this amplitude-frequency method to field measurements to derive 26 general calibration coefficients for ten different grain-size fractions. The amplitude-frequency method results in more 27 homogeneous signal responses across all sites and significantly improves the accuracy of fractional sediment flux and grain-28 size estimates. We attribute the remaining site-to-site discrepancies to large differences in flow velocity, and discuss further 29 factors that may influence the accuracy of these bedload estimates.

#### 30 1 Introduction

31 Flood events across Europe in the summer of 2021 have illustrated the threat of flood-related hazards like bedload transport 32 to human life and infrastructure, especially in small and steep mountainous catchments (Badoux et al., 2014; Blöschl et al., 33 2020). Understanding sediment transport processes is also essential for efforts to return rivers to their near-natural state by 34 restoring their continuity and re-establishing balanced sediment budgets (e.g. Brouwer and Sheremet, 2017; Pauli et al., 35 2018; Logar et al., 2019; Rachelly et al., 2021). However, monitoring and predicting bedload transport still represents a 36 considerable challenge because of its large spatio-temporal variability (e.g. Mühlhofer, 1933; Einstein, 1937; Reid et al., 37 1985; Rickenmann, 2018; Ancey, 2020). This is especially true for steep streams, because they are poorly described by 38 traditional bedload transport equations, which have mainly been developed for lower-gradient channels (e.g. Schneider et al., Formatiert: Englisch (USA)

2016). Predicting sediment transport in steep channels is challenging, notably due to the presence of macro-roughness
elements affecting both the flow resistance and the flow energy (e.g. Manga and Kirchner, 2000; Yager et al., 2007, 2012;
Bathurst, 2007; Nitsche et al., 2011; Rickenmann and Recking, 2011; Prancevic and Lamb, 2015). It is further complicated
by a sediment supply that varies in both space and time, due in part to cycles of building and breaking of an armoring layer
at the riverbed (e.g. Church et al., 1998; Dhont and Ancey, 2018; Rickenmann, 2020; Piantini et al., 2021).

44 Bedload transport equations established for lower-gradient streams typically result in substantial-multiple order-of-45 magnitude errors when applied to steep streams, motivating the development of new indirect monitoring techniques for steep 46 mountain channels (e.g. Gray et al., 2010; Rickenmann, 2017). Indirect monitoring techniques provide large spatial coverage 47 of river transects at high temporal resolution, reduce personal risk related to in-stream sampling, and enable consistent data 48 collection at widely varying flow conditions, including during flooding eventse (e.g. Gray et al., 2010; Rickenmann, 2017; 49 Geay et al., 2020; Bakker et al., 2020; Choi et al., 2020; Le Guern et al., 2021). The drawback of these monitoring 50 technologies is that in order to provide quantitative measurements, they often require intensive calibration through direct 51 bedload sampling with retention basins (Rickenmann and McArdell, 2008), slot samplers (e.g. Habersack et al., 2017; Halfi 52 et al., 2020) or mobile bag samplers (e.g. Bunte et al., 2004; Dell'Agnese et al., 2014; Hilldale et al., 2015; Mao et al., 2016; 53 Kreisler et al., 2017; Nicollier et al., 2021a).

54 Among indirect monitoring techniques, the Swiss plate geophone (SPG) system has been deployed and tested in more 55 than 20 steep gravel-bed streams and rivers, mostly in the European Alps (Rickenmann, 2017). Typically, linear or power-56 law calibration relationships have been developed between measured signal properties and bedload transport characteristics 57 (Rickenmann et al., 2014; Wyss et al. 2016a; Kreisler et al., 2017; Kuhnle et al., 2017). Such calibration equations facilitate 58 permit absolute spatio temporal estimatesquantification of bedload fluxes, their variability in time and space (i.e. across a 59 river section), estimates of absolute estimates of bedload fluxes and bedload grain-size distributions, and the detection of the start and end of bedload transport. However, these equations have required require a calibration procedure against 60 61 independent bedload transport measurements from at each individual field site, because until now we have lacked generally 62 applicable signal-to-bedload calibration equations that are valid in multipleacross field settings. Although the similarities 63 between calibration relationships at various field sites are encouraging, it is not well understood why the linear calibration 64 coefficients for total mass flux can vary by about a factor of 20 among individual samples from different sites, or by about a factor of six among the mean values from different sites (Rickenmann et al., 2014; Rickenmann and Fritschi, 2017). Given 65 66 the substantial field effort required for calibration campaigns, a generally applicable calibration equation would represent a 67 significant advance.

68 Numerous studies have reported successful calibration of impact plate systems in laboratory flumes (e.g. Bogen and 69 Møen, 2003; Krein et al., 2008; Tsakiris et al., 2014; Mao et al., 2016; Wyss et al., 2016b,c; Kuhnle et al., 2017; Chen et al., 70 2022+), although transferring these flume-based calibrations to the field remains challenging. Nonetheless, controlled flume 71 experiments are valuable because they allow us to systematically explore relationships between the recorded signal, the 72 transport rates of different sediment size fractions, and the hydraulic conditions. For example, the experiments of Wyss et al. 73 (2016b) showed that higher flow velocities induce a weaker SPG signal response per unit of transported sediment. More 74 recent controlled experiments have highlighted another important site-dependent factor influencing the SPG signal response, 75 namely the grain-size distribution (GSD) of the transported bedload (Nicollier et al., 2021a), where coarser grain mixtures 76 were shown to yield a stronger signal response per unit bedload weight.

Subsequent impact tests and flume experiments showed that this grain-size dependence arises because the impacts
plates are insufficiently isolated from their surroundings (Antoniazza et al., 2020; Nicollier et al., 2021b2022). The elastic
wave generated by an impact on or near a plate was found to propagate over several plate lengths, contaminating the signals
recorded by neighboring sensors within a multiple plate array. Nicollier et al. (2021b2022) introduced the notion of

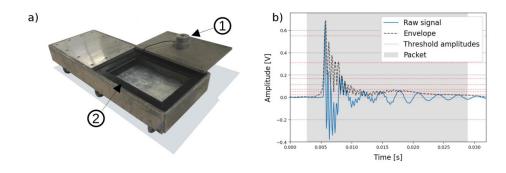
81 "apparent packets" (in opposition to "real" packets) to define the portions of the recorded signal that were generated by such
82 extraneous particle impacts.

83 The main goal of this contribution is to examine the feasibility of a general, site-independent signal conversion 84 procedure for fractional bedload flux estimates. We follow a comprehensive hybrid signal conversion approach that 85 encompasses a set of full-scale controlled flume experiments conducted at an outdoor flume facility, as well as 308 field 86 calibration measurements performed with direct sampling methods at four different bedload monitoring stations in 87 Switzerland between 2009 and 2020. We present the amplitude-frequency (AF) method, aiming to reduce the bias introduced 88 by apparent packets in the relationship between the signal characteristics and the particle size. Finally, we compare the 89 performance of this novel AF method against the purely-amplitude-histogram (AH) method developed by Wyss et al. 90 (2016a) for both fractional and total bedload flux estimates, as well as for characteristic grain size estimates.

#### 91 2 Methods

#### 92 2.1 The SPG system

93 The Swiss plate geophone (SPG) consists of a geophone sensor fixed under a steel plate of standard dimensions 492 mm x 94 358 mm x 15 mm (Fig. 1a; Rickenmann, 2017). The geophone (GS-20DX by Geospace technologies; www.geospace.com) 95 uses a magnet moving inside an inertial coil (floating on springs) as an inductive element. The voltage induced by the 96 moving magnet is directly proportional to its vertical velocity resulting from particle impacts on the plate. The SPG system 97 can detect bedload particles with a diameter down to 10 mm (Rickenmann et al., 2014, 2020; Wyss et al., 2016a). Typically, 98 a SPG array includes several plates next to each other, acoustically isolated by elastomer elements and covering the river 99 cross-section. The array is either embedded in a concrete sill or fixed at the downstream face of a check dam. A detailed 100 description of the SPG system can be found in Rickenmann et al. (2014). For all the calibration measurements and the 101 outdoor flume experiments analyzed in this study, ranging from a few seconds to one hour, the full raw signal 10 kHz geophone signal was recorded (Fig. 1b). In the normal operational recording mode with continuous data storage, Ddue to 102 103 data storage limitations, field stations usually do not continuously record the full raw 10 kHz geophone signal, .- Instead, it is 104 typically-but only preprocessed, and summary values (Rickenmann et al., 2014). - such as the maximum amplitude and the 105 number of impulses, are recorded at one minute intervals. However, for the relatively short duration of a single calibration 106 anging from a few seconds to one hour, the full raw signal is recorded (Fig. 1b).



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Figure 1: (a) Swiss plate geophone (SPG) system before installation (see Fig. 3). Each plate is equipped with a uniaxial geophone sensor fixed in a watertight aluminum box (1) attached to the underside of the plate. The plates are acoustically isolated from each other by elastomer elements (2). (b) Example of a packet (grey area) detected by the SPG system. A packet begins 20 time steps

(i.e., 2 ms) before the signal envelope crosses the lowest amplitude threshold of 0.0216 V and ends 20 time steps after the last
 crossing of the lowest amplitude threshold (see Sect. 2.4).

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#### 114 2.2 Field calibration measurements

115 To test the AF and AH methods, this study uses 308 calibration measurements from four Swiss bedload monitoring stations 116 equipped with the SPG systems (Fig. 2; Table 1). Field calibration samples were collected at the Albula, Navisence and 117 Avançon de Nant stations, and extensive calibration efforts have been undertaken at the fourth field station, the Erlenbach, 118 since 2009 (Rickenmann et al., 2012). The Erlenbach offers an interesting comparison with the other sites due to different 119 channel and flow characteristics upstream of the SPG plates. These are the only field sites equipped with an SPG system at 120 which the full raw geophone signal has been recorded during calibration measurements. Field calibrations carried out at each 121 of the four sites at the four sites consisted of the following steps: (i) direct bedload sampling downstream of an impact plate 122 using either crane-mounted net samplers adapted from Bunte traps (Bunte et al., 2004; Dell'Agnese et al., 2014; Nicollier et 123 al., 2019; Fig. 2a, b), automated basket samplers (Rickenmann et al., 2012; Fig. 2d) or manual basket samplers (Fig. 2c; 124 Antoniazza et al., 2022), (ii) synchronous recording of the raw geophone signal, (iii) sieving and weighing of bedload 125 samples using ten sieve classes (see Sect. 2.4 Table 3), and (iv) comparing the fractional bedload mass of each sample to the 126 <del>packet histogram datageophone signal</del> to derive the corresponding calibration coefficients.  $-k_{Br,F}$ . A more detailed 127 description of the sampling procedure is reported in Supporting Information S1, including the mesh sizes used for bedload 128 sampling. For the analysis, only particles larger than 9.5 mm were considered, being close to the SPG detection threshold. 129 Streamflow information was derived from various stage sensors (Table 1). Flow velocity Vw was introduced by Wyss et al. 130 (2016c) as a possible governing parameter affecting the number of particles detected by the SPG system. Unfortunately, due 131 to the lack of continuous flow velocity measurements at the Albula and Navisence sites, we were not able to account for the 132 effect of the flow velocity in the signal conversion procedure described in the present study.









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Figure 2: The four Swiss bedload monitoring stations at which raw Swiss plate geophone signals have been recorded during calibration measurements. The stations are installed at the following streams: a) Albula, b) Navisence, c) Avançon de Nant and d)

Erlenbach. Pictures a) and c) were taken during low-flow conditions. Pictures b) and d) show calibration measurements with the

137 crane-mounted net sampler and the automated basket sampler, respectively, at high flows.

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## 141 Table 1: Channel and flow characteristics based on *in situ* measurements during the calibration campaigns at the four field sites.

142 The year of the field calibration campaigns, the sampling technique and the number of collected samples are also indicated.

Field site	Location (canton)	Bed slope [%] <sup>a</sup>	Mean flow velocity $V_{\rm f}$ $[{\rm m s}^{-1}]^{\rm b}$	No. of plates	Year	Sampling technique	No. of samples
Albula <sup>c</sup>	Tiefencastel (Grisons)	0.7	2.6	30	2018	crane-mounted net sampler	51
Navisence <sup>c</sup>	Zinal (Valais)	3	3.2	12	2019	crane-mounted net sampler	80
Avançon de Nant <sup>d</sup>	Les Plans-sur- Bex (Vaud)	4	1.3	10	2019/2020	manual basket sampler	55
Erlenbach <sup>e</sup>	Alpthal (Schwyz)	16	5.0	2	Since 2009	automatic basket sampler	122

<sup>a</sup> Gradient measured upstream of the SPG plates. At the Erlenbach, this gradient is the slope of the artificial approach flow channel
 upstream of the SPG system.

<sup>b</sup> Depth-averaged mean flow velocities measured during the calibration measurements <u>using an magnetic-inductive flow meter OTT MF</u>

Pro (Albula and Navisence), a radar-based stage sensor Vegapuls WL 61 (Avançon de Nant), and a 2-D laser sensor TiM551 by SICK
 AG© (Erlenbach)-

148 <sup>c</sup> More information on the sites is available in Nicollier et al. (2021e).

149 <sup>d</sup> More information on the site is available in Antoniazza et al.  $(202\frac{24}{2})$ .

+5 More information on the site is available in *FunctionalLa* et al. (2022+).

150 <sup>e</sup> More information on the site is available in e.g. Rickenmann et al. (2012), Wyss et al. (2016c), Rickenmann et al. (2018).

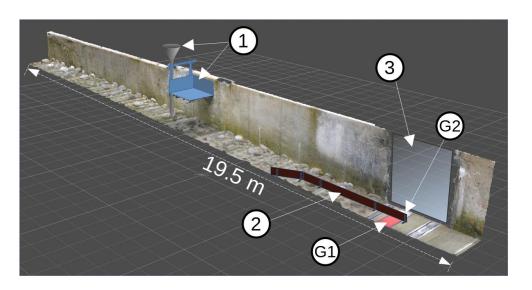
#### 151 2.3 Controlled flume experiments

152 The first part of the signal conversion procedure described in this study is based on controlled flume experiments conducted 153 at the outdoor flume facility of the Oskar von Miller institute of TU Munich in Obernach, Germany. At this facility, we 154 reconstructed the bed characteristics of the Albula, Navisence and Avançon de Nant field sites, one after another, in a flume 155 test reach with dimensions of 24 m x 1 m equipped with two impact plates (Fig. 3). For Eeach site reconstruction we tested 156 used-bedload material collected during field calibration measurements, and we adjusted the flow velocity, flow depth, and 157 bed roughness to match the respective field observations. A detailed description of the original flume setup and the 158 performed experiments can be found in Nicollier et al. (2020). In this paper, we primarily use the single-grain-size 159 experiments conducted in 2018 with the flume configured to match conditions at the Albula field site (Table 2). Single-160 grain-size experiments consisted of feeding the flume with a fixed number of grains for each of the ten particle-size classes 161 described in Sect. 2.2 above. While these particles were being transported over the SPG system, the full raw geophone signal 162 was recorded. Up to 33 repetitions were conducted until a representative range of amplitude and frequency values for each grain-size class were was obtained (Nicollier et al., 2021a). The same procedure was repeated for two different flow 163 velocities ( $V_{\rm f} = 1.6 \text{ m s}^{-1}$  and 2.4 m s<sup>-1</sup>). The obtained information was then used to derive empirical relationships between 164

165 the mean particle size  $D_{m,j}$  and the packet envelope's amplitude  $MaxAmp_{env}$  and the ratio  $MaxAmp_{env} / f_{centroid}$  properties 166 of the SPG signal, as described in Sect. 2.5.2 below.

To illustrate the AF and AH methods and their respective performance, we use flume experiments that mimic the Avançon de Nant field site, but with the addition of a 4 m wooden partition wall (Fig. 3) that shields one geophone plate from impacting particles (Nicollier et al.,  $\frac{2021b_2022}{2021}$ ). With this modified setup, single-grain-size experiments were run using grains from each of the 10 particle-size classes and originating from the Avançon de Nant channel, resulting in a total of 51 runs (Table 2). The flow velocity was set to 3 m s<sup>-1</sup> to facilitate particle transport through the narrower flume section and is therefore not representative for the Avançon de Nant site, where typical flow velocities were roughly 1.3 m s<sup>-1</sup>.

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175Figure 3: Oblique view of the Obernach flume test reach with total length of 24 m and width of 1 m. The bed surface is paved with176particles with diameters equaling the characteristic  $D_{67}$  and  $D_{84}$  sizes of the natural beds of the reconstructed sites. Grains were177fed into the channel 8 m upstream from the SPG system location (G1 and G2) using either a vertical feed pipe or a tiltable basket178(1). The sensor plate G1 (in red) was shielded from direct particle impacts by the 4 m long removable partition wall (2). The179partition wall and the impact plates were decoupled from each other by a 2 mm vertical gap to prevent disturbances of the180recorded signal. Plexiglas walls (3) on each side of the flume facilitated video recordings of the experiments.

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182 Table 2: Flume and hydraulic characteristics for the reconstruction of the Albula and the Avançon de Nant field sites.

		Reconstructed f	ield site setup
Parameter	Units	Albula (without partition wall)	Avaçon de Nant (with partition wall)
Flume width	m	1.02	1.02
Flume gradient of the natural bed	%	0.7	4.0
Bed surface $D_{67}^{a}$	mm	120	200
Bed surface $D_{84}$ <sup>a</sup>	mm	190	320
Number of $D_{67}$ -particles/m <sup>2</sup>	m <sup>-2</sup>	15.0	5.0
Number of $D_{84}$ -particles/m <sup>2</sup>	m <sup>-2</sup>	5.0	2.5
Min. water depth above SPG	m	0.79	0.35

Max. water depth above SPG	m	0.91	0.35
Min. flow velocity 10 cm above SPG <sup>b</sup>	m s <sup>-1</sup>	1.6	3.0
Max. flow velocity 10 cm above SPG <sup>b</sup>	m s <sup>-1</sup>	2.4	3.0
Min. unit discharge	$m^2 s^{-1}$	1.6	0.8
Max. unit discharge	$m^2 s^{-1}$	2.4	0.8
Number of different flow velocity settings	-	2	1
Total number of single-grain-size experiments	-	355	51
Total number of tested particles	-	10705	2485

<sup>a</sup> On the basis of line-by-number pebble counts at the natural site and a photo-sieving based granulometric analysis with BASEGRAIN software (Detert and Weitbrecht, 2013).

185 <sup>b</sup> Flow velocities measured with the OTT MF Pro magnetic-inductive flow meter.

#### 186 2.4 The amplitude-histogram method

187 Wyss et al. (2016a) introduced the packet-based amplitude-histogram (AH) method to derive grain-size information from 188 geophone signals. A packet is defined as a brief interval, typically lasting 5 to 30 milliseconds, reflecting a single\_particle 189 impact of a particle on a plate (Fig. 1b); it begins and ends when the signal envelope crosses a threshold amplitude of 0.0216 190 V. The signal envelope is computed in Python with the Hilbert transform (Jones et al., 2002), yielding the magnitude of the 191 analytic signala continuous time series reflecting, i.e. the total energy in the signal. Each packet's maximum amplitude is 192 then used to assign it to a predefined amplitude class j delimited by amplitude-histogram thresholds  $th_{ab,i}$  (Table 3), yielding 193 a packet-based amplitude histogram (e.g. Fig. 4 in Wyss et al., 2016a). Each amplitude class j is related to a corresponding 194 grain-size class through the following relationship between the mean amplitude  $A_{m,i}$  [V] and the mean particle size  $D_{m,i}$ 195 [mm]:

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$$A_{\mathrm{m},j} = 4.6 \cdot 10^{-4} \cdot D_{\mathrm{m},j}^{1.71} \,.$$

The coefficients in Eq. (1) were determined using 31 basket samples collected at the Erlenbach for which the maximum geophone amplitude was analyzed as a function of the B-axis of the largest particle found in the sample (Wyss et al., 2016a). The grain-size classes are delimited by the size of the meshes  $D_{sieve,j}$  used to sieve the bedload samples from field calibration measurements. H-For a given bedlaod sample, it is assumed that the number of packets between two amplitudehistogram thresholds\_thah, is related to good proxy for the fractional bedload mass between the respective sizes (Wyss et al., 2016a). In the present study, we have extended the seven size classes used by Wyss et al. (2016a) to ten classes, in order to assess the performance of the AH and AF methods for larger particles.

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Table 3: <u>Characteristics of the Ssize classes *j* according to Wyss et al. (2016a) derived from with the</u> sieve mesh sizes  $D_{sieve,j}$  (for elasses 1 to 7) according to Wyss et al. (2016a), and the mean particle diameter  $D_{m,j}$ , and the amplitude-histogram thresholds  $th_{ah,j}$ derived from Eq. (1), and <u>Additionally the lower and upper</u> amplitude-frequency thresholds  $th_{af,low,j}$  and  $th_{af,up,j}$ -derived from Eq. (4) and (5), respectively (see Sect. 2.5.2). Particles in classes 8 to 10 were manually sorted on the basis of linearly extrapolated  $D_{m,j}$  values. The value of  $D_{m,j}$  for the largest class (10) in brackets is an estimate, because this size class is open-ended and thus the mean varied somewhat from site to site.

Class <i>j</i> [-]	D <sub>sieve,j</sub> [mm]	D <sub>m,j</sub> [mm]	$th_{{ m ah},j}$ [V]	th <sub>af,low,j</sub> [V]	$th_{\mathrm{af,up},j}$ [V Hz <sup>-1</sup> ]
1	9.5	12.3	0.0216	0.0132	1.55 · 10-5
2	16.0	17.4	0.0527	0.0364	2.33 · 10-5
3	19.0	21.8	0.0707	0.0509	4.45 · 10-5
4	25.0	28.1	0.1130	0.0868	7.67 · 10-5

(1)

5	31.4	37.6	0.1670	0.1362	$1.78 \cdot 10-4$
6	45.0	53.2	0.3088	0.2725	3.93 · 10-4
7	63.0	71.3	0.5489	0.5244	7.05 · 10-4
8	80.7	95.5	0.8378	0.8489	1.56 · 10-3
9	113.0	127.9	1.4919	1.6342	2.79 · 10-3
10	144.7	(171.5)	2.2760	2.6438	-

#### 212 2.5 The amplitude-frequency method

213 In a recent study, Nicollier et al. (2021b2022) showed that the SPG system is sensitive to extraneous particle impacts despite 214 the isolating effect of the elastomer. Extraneous signals at individual geophone plates can arise from impacts occurring on 215 neighboring plates, or from impacts on the concrete sill surrounding the SPG array. While attenuated to some extent. tThe 216 elastic waves generated by such impacts can reach multiple geophone sensors with enough energy to be recorded as 217 "apparent" packets. Thus, packet histograms (i.e. counts of the number of packets per class j) are subject to a certain bias, 218 especially in the lower size classes. The degree of bias was found to depend mainly on two factors. First, coarser grain size s 219 of transported bedload were shown to generate more apparent packets. Second, more apparent packets were recorded, for a given bedload mass, at transects containing more SPG plates. Nicollier et al. (2021b2022) showed that packet characteristics 220 221 such as the start time, the amplitude and the frequency help in identifying apparent packets and filtering them out from the 222 final packet histograms. This filtering method was subsequently applied to all four field calibration datasets (Albula, 223 Navisence, Avançon de Nant and Erlenbach) and helped to reduce the differences between the site-specific mean calibration 224 relationships for the total bedload flux by about 30% (Nicollier et al., 2021b2022). Based on these observations, the present 225 study proposes an amplitude-frequency (AF) method as an adaptation of the amplitude-histogram (AH) method presented by Wyss et al. (2016a). By introducing two-dimensional (amplitude and centroid frequency) size class thresholds, the new 226 227 method aims to reduce the effect of apparent packets and improve the accuracy of fractional bedload flux estimates. Note 228 that the procedure does not allow to make the difference between particles impacting one plate simultaneously, but the high 229 (10 kHz) recording frequency of the SPG system minimizes its probability of occurrence

#### 230 2.5.1 Centroid frequency

According to the Hertz contact theory, the frequency at which a geophone plate vibrates is controlled by the size of the colliding particle (Johnson, 1985; Thorne, 1986; Bogen and Møen, 2003; Barrière et al., 2015; Rickenmann, 2017). In the present study, the frequency spectrum of a packet is characterized by the spectral centroid  $f_{centroid}$ . It represents the center of mass of the spectrum and is computed as

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$$f_{\text{centroid}} = \frac{\sum f_n \cdot A_{\text{FFT},n}}{\sum A_{\text{FFT},n}}$$
(2)

236 where AFFT,n [V·s] is the Fourier amplitude (computed with the Fast Fourier Transform FFT) corresponding to the frequency 237  $f_n$  [Hz]. Following Wyss et al. (2016b), before applying the FFT, each packet is preprocessed in two steps. First, a cosine 238 taper is applied at the edges of a max. 8 ms time window around the peak amplitude of each packet. Second, the signal contained in this time window is zero-padded on either side to reach an optimal number of sample points nFFT. The taper is 239 240 used to smooth the transition between the packet and the concatenated zeros, and to suppress spectral leakage, which results 241 in a more accurate amplitude spectrum. The value of nFFT was set to  $2^7$  in order to adequately resolve the amplitude 242 spectrum of the raw signal contained in the max. 8 ms time window. This time window focuses on the first arrival waveform 243 to obtain a more accurate evaluation of the high-frequency content of the packet (Nicollier et al., 2021b2022). The single244 sided Fourier transform of the processed packet is then computed in order to extract  $A_{\text{FFT}}$  and derive  $f_{\text{centroid}}$  (Eq. 2). A 245 decrease in f<sub>centroid</sub> with increasing particle size was observed for different bedload surrogate monitoring techniques (Belleudy et al., 2010; Uher and Benes, 2012; Barrière et al., 2015). Furthermore, f<sub>centroid</sub> has the advantage of showing 246 247 weaker dependency on the flow velocity and transport mode than the maximum registered packet amplitude (Wyss et al. 2016b; Chen et al., 20221). As shown by Nicollier et al. ( $\frac{2021b2022}{2022}$ ),  $f_{centroid}$  also contains information about the impact 248 249 location of a packet-triggering particle. Because high frequencies are more rapidly attenuated than low frequencies along the 250 travel path of a seismic wave, (apparent) packets triggered by impacts on a given plate typically have higher  $f_{centroid}$  values than packets triggered by impacts occurring beyond that plate's boundaries. 251

#### 252 2.5.2 Flume-based amplitude-frequency thresholds

253 The transported bedloadparticle mass associated with an individual signal packet is strongly dependent on the size of the 254 impacting particle. Inferring sediment transport rates from SPG signals thus requires assigning each packet to a 255 corresponding sediment size class using threshold values of packet characteristics (Table 3). -Wyss et al. (2016a) derived 256 size class thresholds (or AH thresholds) of packet peak amplitude from field measurements (Eq. 1). In the present study, we 257 class thresholds of packet amplitude and frequency-take advantage from the single-grain-size experiments conducted at the flume facility (without the partition wall)-using the Albula setup (Nicollier et al., 2021a) to derive size class 258 259 thresholds combining packet amplitude and frequency (or AF thresholds).- For eachEach packet is assigned to a given class j 260 <u>delimited by, the a</u> lower threshold  $th_{aflow,i}$  is based on the maximum amplitude of the packet's envelope  $MaxAmp_{env}$  [V]. 261 and the an upper threshold  $th_{af,up,j}$  is based on the ratio  $MaxAmp_{env}/f_{centroid}$  [V Hz<sup>-1</sup>]. Compared to the raw signal, the 262 envelope has the advantage of returning the magnitude of the analytical signal and thus better outlines the waveform by 263 omitting the harmonic structure of the signal (Fig. 2b). Similar combinations of amplitude and frequency have been used to infer particle sizes and improve the detectability of bedload particles in previous studies involving impact plates (Tsakiris et 264 265 al., 2014; Barrière et al., 2015; -Wyss et al., 2016b; Koshiba and Sumi, 2018) and pipe hydrophones (Choi et al., 2020).

266 The lower and upper amplitude-frequency (AF) thresholds\_are obtained as follows. First, all packets recorded during the single-grain-size experiments (without the partition wall) are filtered with respect to the following criterion adapted from 267 268 Nicollier et al. (<del>2021b<u>2022</u>):</del>

Criterion: 
$$f_{\text{centroid}} > a_{\text{c}} \cdot e^{(b_{\text{c}} \cdot MaxAmp_{\text{env}})}$$
,

# Kommentar [TN1]:

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with  $a_c = 1980$  Hz and  $b_c = -1.58$  V<sup>-1</sup>. The values for the linear coefficient  $a_c$  and the exponent  $b_c$  were obtained through an optimization process discussed below (Sect. 4.1), and were found to ideally set apparent packets apart from real ones. Packets that do not meet this criterion are considered as apparent packets and are ignored in the further analysis in order to obtain more accurate threshold values. Note that in the present study the criterion in Eq. 3 has not been applied to the data when implementing the AH method developed by Wyss et al. (2016a). The values for the linear coefficient  $a_e$  and the exponent b<sub>c</sub> were obtained through an optimization process discussed below.

The next step consists in fitting a power-law least-squares regression line through the 75th percentile amplitude-276 277  $MaxAmp_{env,75th,j}$  and amplitude-frequency ( $MaxAmp_{env}/f_{centroid}$ )<sub>75th,j</sub> values of the packets detected for a given grain-278 size class j fed into the flume that met the filtering criterion -each class j (Fig. 4), resulting in the following two equations: 279 Ма

$$uxAmp_{env,75th,j} = 1.66 \cdot 10^{-4} \cdot D_{m,j}^{1.95}$$
, and (4)

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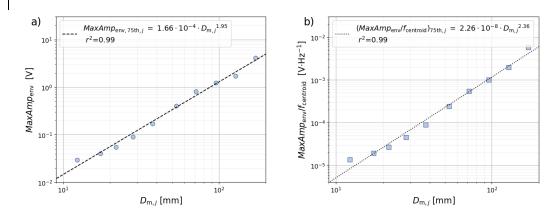
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$$\left(\frac{MaxAmp_{env}}{f_{centroid}}\right)_{75\text{th},j} = 2.26 \cdot 10^{-8} \cdot D_{\mathrm{m},j}^{2.36}.$$
 (5)

(3)

Finally, the lower and upper-threshold values  $th_{af,low,j}$  and  $th_{af,up,j}$  are obtained by replacing  $D_{m,j}$  in Eq. (4) and (5) with the lower  $(D_{stave,j})$  and upper  $(D_{stave,j+1})$ -sieve sizes  $D_{sieve,j}$ , while the -upper threshold values  $th_{af,up,j}$  are obtained by replacing  $D_{m,j}$  in Eq. (5) with the upper sieve sizes  $D_{sieve,j+1}$ -respectively (Table 3 and triangles in Fig. 5). The advantage in fitting functions such as Eq. (4) and (5) is that they allow the computation of thresholds for any classification of particle (sieve) sizes.

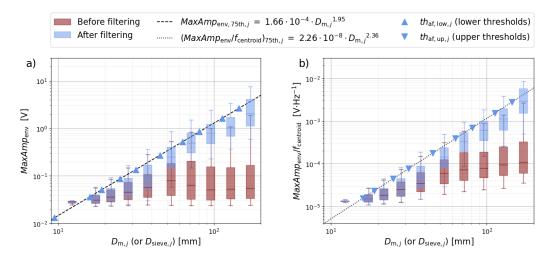
Particularly for the largest particles, apparent packets can greatly outnumber real packets. Due to their relatively small amplitudes, these apparent packets can substantially dilute the average signal response associated with the largest grain sizes (see the red boxplots in Fig. 5). However, filtering out apparent packets reveals a clear relationship, which would otherwise be obscured, between the mean particle size  $D_{m,j}$  and both the amplitude  $MaxAmp_{env}$  and the ratio  $MaxAmp_{env} / f_{centroid}$ (see the blue boxplots in Fig. 5). Overall, the filtering with criterion (Eq. 3) at the Obernach flume site eliminated about 61% of all the packets.



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Figure 4: Power-law least-squares regression relationships between the mean particle diameter  $D_{m,j}$  and the 75<sup>th</sup> percentile of the an amplitude  $MaxAmp_{env,75th,j}$  and (b) amplitude-frequency  $(MaxAmp_{env}/f_{centroid})_{75th,j}$  values obtained from the single-grain-size experiments after filtering out apparent packets using the filtering criterion in Eq. (3).

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298Figure 5: Range of signal responses obtained from the single-grain size experiments for each individual grain-size class fed into the299flume290flumebefore (red boxes) and after (blue boxes) filtering out apparent packets using the filtering criterion in Eq. (3), with (a) the300maximum amplitude of the envelope  $MaxAmp_{env}$  and (b) the ratio  $MaxAmp_{env} / f_{centroid}$  as functions of the mean particle diameter301 $D_{m,j}$ . In (a), the lower threshold values  $th_{af,low,j}$  are obtained by replacing  $D_{m,j}$  with the lower sieve sizes ( $D_{sieve,j}$ ) in the equation of302the dashed power-law regression line (Eq. 4). In (b), the upper threshold values  $th_{af,up,j}$  are obtained by replacing  $D_{m,j}$  with the303upper sieve sizes ( $D_{sieve,j+1}$ ) in the equation of the dotted power-law regression line (Eq. 5).

#### 304 2.5.3 Application to field calibration measurements

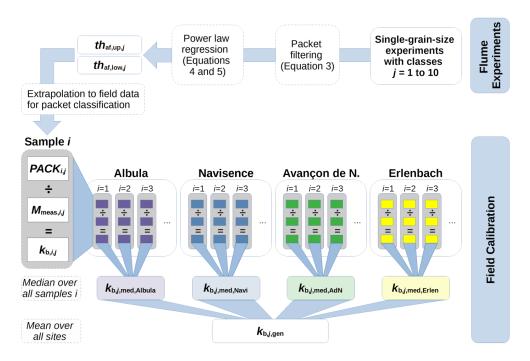
The lower and upper thresholds  $th_{af,low,j}$  and  $th_{af,up,j}$  obtained from the filtered flume experiments can also be used for<u>be</u> transferred to the field calibration datasets, if the SPG apparatus and the geophone data recording and preprocessing routines are identical in both cases. The following steps will now lead us to the final general calibration coefficients  $k_{b,j,gen}$  (Fig. 6). First, for each field measurement *i*, the thresholds  $th_{af,low,j}$  and  $th_{af,up,j}$  are used for counting the number of packets per class *j* from the recorded geophone signal. Second, a sample- and class-specific calibration coefficient  $k_{b,i,j}$  with units [kg<sup>-1</sup>] is obtained by dividing the number of recorded packets *PACK*<sub>*i*,*j*</sub> by the sampled fractional mass  $M_{meas,i,j}$  as follows:

$$k_{\mathrm{b},i,j} = \frac{PACK_{i,j}}{M_{\mathrm{meas},i,j}}.$$
(6)

**312** Finally, the general calibration coefficient  $k_{b,j,gen}$  is computed for each class *j* using

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$$k_{\mathrm{b},j,\mathrm{gen}} = \frac{1}{N_{\mathrm{stations}}} \sum_{\mathrm{stations}} k_{\mathrm{b},j,\mathrm{med},\mathrm{station}} , \qquad (7)$$

where  $k_{b,j,med,station}$  is the site-specific median calibration coefficient <u>computed over all samples *j*</u>, and *N*<sub>stations</sub> is the number of stations. Even though the number of calibration measurements differs from site to site, each coefficient  $k_{b,j,med,station}$  in Eq. (7) is equally weighted in order to give the same importance to site-specific factors possibly affecting the signal response at each site. Formatiert: Schriftart: Kursiv



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Figure 6: Workflow leading from the single-grain-size flume experiments with particles from ten size classes j (top right) to the final array of general calibration coefficients  $k_{b,j,gen}$ . Central elements are the lower and upper threshold values  $th_{af,low,j}$  and  $th_{af,up,j}$ , the number of recorded packets *PACK*<sub>ij</sub> per sample *i* and class *j*, the sampled fractional mass  $M_{meas,i,j}$ , the sample- and class- specific calibration coefficient  $k_{b,i,j}$ , and finally the site-specific median calibration coefficient  $k_{b,j,med,station}$ . To enable a comparison with the AH method developed by Wyss et al. (2016a), the "Field Calibration" part of the workflow was also carried out with the AH thresholds  $th_{ah,j}$  (see Table 3).

325 At this point, the single array of calibration coefficients 
$$k_{p,j,gen}$$
 is applied as follows to each field calibration  
326 measurement *i* in order to obtain fractional bedload mass estimates  $M_{est,i,j}$ .

$$M_{\text{est},i,j} = k_{\text{b},j,\text{gen}} \cdot PACK_{i,j} \,. \tag{8}$$

Rickenmann and Fritschi (2017) showed that bedload mass estimates derived from SPG measurements are more accurate at higher transport rates. The estimated fractional bedload mass  $M_{\text{est},i,j}$  can be converted to a unit fractional transport rate  $q_{\text{b},\text{est},i,j}$  [-kg m<sup>-1</sup>s<sup>-1</sup>] using:

$$q_{\mathrm{b,est},i,j} = \frac{1}{w_{\mathrm{p}} \cdot n_{\mathrm{p}}} \cdot \frac{M_{\mathrm{est},i,j}}{\Delta t_{i}}.$$
(9)

where  $w_p$  is the standard width of an impact plate (0.5 m),  $n_p$  is the number of plates (which may include the whole transect, or a section of particular interest), and  $\Delta t_i$  is the sampling duration in seconds. Finally, the estimated unit total bedload flux  $q_{b,tot,est,i}$  can be computed as follows:

$$q_{\mathbf{b},\mathsf{tot},\mathsf{est},i} = \sum_{j=1}^{10} q_{\mathbf{b},\mathsf{est},i,j} \tag{10}$$

336 Note that the exact same procedure was followed using the AH thresholds  $th_{ah,j}$  derived from Wyss et al. (2016a) (Eq. 1;

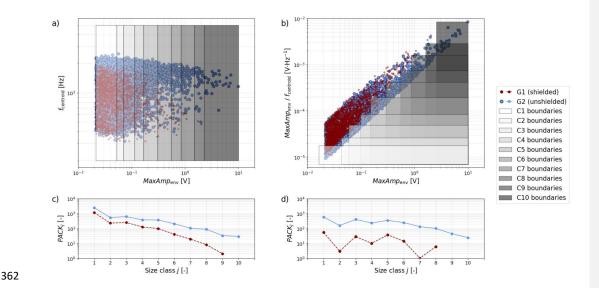
**337** Table 3) to compare the performance between the AH method and the new AF method.

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#### 338 3 Results

#### 339 3.1 Flume experiments

340 The flume experiments performed in the modified Avançon de Nant setup with the partition wall help to illustrate the two 341 calibration methods. Fig. 7a and 7b show the amplitude and frequency characteristics of all packets detected by the SPG system during these experiments. Packets detected by the shielded sensor G1 all originate from impacts that occurred either 342 on the concrete bed or on plate G2 (Nicollier et al., 2021b2022). Packets detected by the unshielded sensor G2 are 343 344 considered as apparent if they are located in the area of the amplitude-frequency graph (Fig. 7a) where G1 and G2 packets 345 overlap. Such packets are presumed to have been triggered by impacts on the concrete bed too. This overlapping area arises 346 from the fact that a seismic wave generated by an impact on the concrete bed follows a similar path towards both sensors, 347 resulting in the recording of two apparent packets with comparable characteristics. The remaining packets, detected by G2 348 and located in the non-overlapping area of the amplitude-frequency graph, are considered as real, rather than apparent. The 349 difference in  $f_{\text{centroid}}$  between real and apparent packets (Fig. 7a) reflects the faster attenuation of higher frequencies during 350 wave propagation, as mentioned earlier. Size class boundaries derived by the AH method of Wyss et al. (2016a) encompass all of the packets, both apparent and real (Fig. 7a). This is because the boundaries are defined solely by AH thresholds 351 352  $(th_{ah,j})$ . By contrast, in the AF method proposed here, the two-dimensional class boundaries given by  $th_{af,low,j}$  and  $th_{af,up,j}$ 353 cover only a fraction of all detected packets (Fig. 7b). Applying the step-like AF thresholds leads to a strong reduction of the 354 number of packets  $PACK_i$  within each size class *j* for plate G1 (shielded), particularly for the smaller classes. Meanwhile, the 355 AF thresholds had little effect on the number of detected packets for G2 (unshielded), except for a strong decrease for 356 classes j = 1 and 2, and a slight increase for classes j = 6 to 10 (Fig. 7c and 7d). The AH thresholds encompass in total 1945 357 packets for the shielded geophone G1, and 4823 packets for the unshielded geophone plate G2. In comparison, the AF 358 thresholds encompass in total 159 packets for the shielded geophone G1, and 2202 packets for the unshielded geophone plate 359 G2 (counting the packets in the overlapping class boundaries only once). Considering apparent packets as noise and real 360 packets as signal, applying the new AF method results in an increased signal to noise ratio, as shown by the larger vertical 361 separation between the blue (signal) and red (noise) lines in Fig. 7d compared to Fig. 7c.



363 Figure 7: Characteristics of the packets recorded during single-grain-size experiments conducted with the Avançon de Nant flume 364 setup using the partition wall, with the maximum amplitude of the envelope  $MaxAmp_{env}$  and the centroid frequency  $f_{centroid}$ . The 365 red and blue dots correspond to packets recorded by the shielded plate G1 and the unshielded plate G2, respectively. The grey 366 rectangles are the class boundaries delimited by the thresholds obtained for the AH method (a) and the AF method (b). The 367 number of packets\_PACK, located within the class boundaries delimited by the AH thresholds and the AF thresholds are indicated 368 in (c) and (d), respectively. In (a), f<sub>centroid</sub> is shown as function of MaxAmp<sub>env</sub> for information purposes only and is not 369 ted in the thresholds. (e) and (d) represent the number of packets PACK, located within the clas 370 rkers signify that no packet was detected within the cor ding AH or AF thresholds.

#### 371 3.2 Field calibration coefficients

372 As discussed in the previous section, the number of packets  $PACK_{i,j}$  detected for a given class j varies together with the 373 thresholds  $th_{ah,j}$ ,  $th_{af,low,j}$  and  $th_{af,up,j}$ . Because the measured fractional bedload mass  $M_{meas,i,j}$  remains constant, the 374 calibration coefficients  $k_{b,i,i}$  will depend on the number of packets detected, and thus on the thresholds that are used to 375 classify them. We can make the following observations regarding the calibration coefficients  $k_{b,i,j}$  obtained using the AF method (Fig. 8b) compared to the AH method (Fig. 8a). First, the  $k_{b,i,j}$  coefficients of the smaller size classes are 376 377 substantially lower, meaning that fewer packets per unit mass are detected. Second, for the larger size classes, slightly more 378 packets are detected per unit mass. Third, considering all sites and all size classes  $j_{i}$ , the overall scatter of the  $k_{b,i,j}$ 379 coefficients across all sites is smaller, in particular for the six smallest classes *j*. This is reflected in the decrease of the mean 380 coefficient of variation (CV) across all classes j and all sites from CV = 1.17 (in the AH method) to CV = 0.93 (in the AF 381 method). Fourth, the scatter of the site-specific  $k_{b,i,j}$  coefficients is usually smaller. This is supported by the change of the 382 mean CV across all classes from 0.89 to 0.54 for the Albula, from 0.83 to 0.75 for the Avançon de Nant and from 1.31 to 383 1.00 for the Erlenbach, between the AH and AF methods. The mean CV for the Navisence site however remains unchanged 384 at 0.85. The general coefficients  $k_{b,j,gen}$  obtained from the site-specific median coefficients  $k_{b,j,med}$  using Eq. (7) are listed 385 in Table 4.

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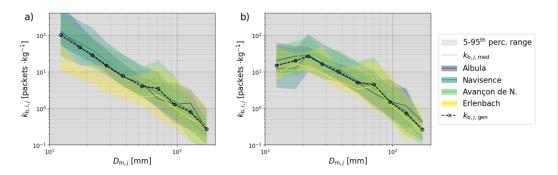


Figure 8: The  $k_{b,ij}$  calibration coefficients obtained with the AH method (a) and the AF method (b) for each field site. The colored areas indicate the range between the 5<sup>th</sup> and the 95<sup>th</sup> percentile  $k_{b,ij}$  values, the full lines indicate the site-specific median coefficients  $k_{b,j,med}$  and the black dashed lines indicate the final general calibration coefficients  $k_{b,j,gen}$  as a function of the mean particle diameter  $D_{m,j}$  of each grain-size class *j*.

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Table 4: General calibration coefficients  $k_{b,j,gen}$  obtained for each grain-size class *j* with the AH method and the AF method using Eq. (7).

	Method	Units	j = 1	j = 2	<i>j</i> = 3	j = 4	<i>j</i> = 5	<i>j</i> = 6	<i>j</i> = 7	j = 8	<i>j</i> = 9	<i>j</i> = 10
1.	AH	U	100.67		28.68					1.29	0.79	0.27
κ <sub>b,j,gen</sub>	AF	kg <sup>-1</sup>	14.97	20.15	26.65	16.15	10.06	5.05	4.49	1.50	0.74	0.27

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#### 395 3.3 Bedload flux estimates

396 We can now insert the general calibration coefficients  $k_{b,j,gen}$  in Eq. (8) to compute fractional bedload mass estimates  $M_{est,i,j}$ 397 and subsequently the unit fractional flux estimates  $q_{b,est,i,j}$  (Eq. 9) for every sample collected at the four field sites. Fig. 9 398 illustrates the accuracy of the bedload flux estimates obtained with the AF method for each sample across the grain-size 399 classes and the field sites. The results obtained with the AH method can be found in Supplementary Information S3, and 400 Table 5 provides further information on the performance of the two methods. The dashed colored power-law regression lines 401 shown in Fig. 9, described by the corresponding linear coefficient a and exponent b (Table 5), indicate possible trends in 402 over/under-estimation at each field site. The coefficient of determination  $R^2$  describes the accuracy of the estimates relative 403 to the 1:1 line. The root-mean-square error (*RMSE*) quantifies the expected error of the estimates and is expressed in [kg m<sup>-1</sup>] 404  $s^{-1}$ ]. When applied to the field calibration data, the AF method generally yields more accurate flux estimates than the AH 405 method does. This is most notably reflected by the  $R^2$  values and the percentages  $p_{factor_2}$  and  $p_{factor_3}$  of all detected 406 samples whose estimated bedload fluxes differ by less than a factor of 2 and 5, respectively, from the measured values 407 (Table 5). The five smallest grain-size classes were most strongly affected by these improvements, whereas the estimates for 408 the largest fractions (j = 7 to 10) were only slightly improved.

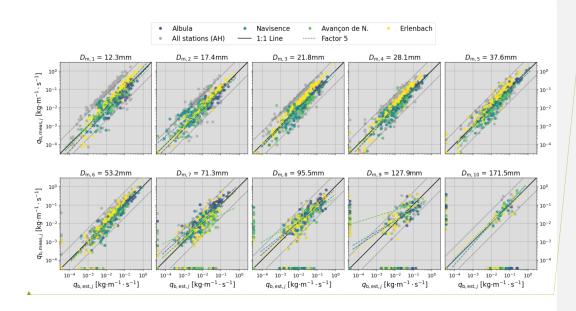
Aside from these comparative observations, it is also worth mentioning the following more general findings that are valid for both methods: (i) for most size fractions, the relative scatter of the estimates (on the log-log plots) decreases with increasing transport rates; (ii) at low transport rates, mass fluxes are generally overestimated, while at high transport rates they are generally underestimated; (iii) mass fluxes for the Erlenbach closely follow the 1:1 line but tend to be slightly underestimated; (iv) the number of measured ( $N_{samples,meas}$ ) and estimated ( $N_{samples,est}$ ) samples both decrease with 414 increasing particle size. Samples for which either the measured or the estimated flux equals 0 are indicated as dots along the

415 axes in Fig. 9. If the measured flux is zero but the estimated flux is positive, the sample can be regarded as false positive

416 (Fawcett, 2006). The difference between  $N_{\text{samples,meas}}$  and  $N_{\text{samples,est}}$  in Table 5 indicates that the occurrence of such false

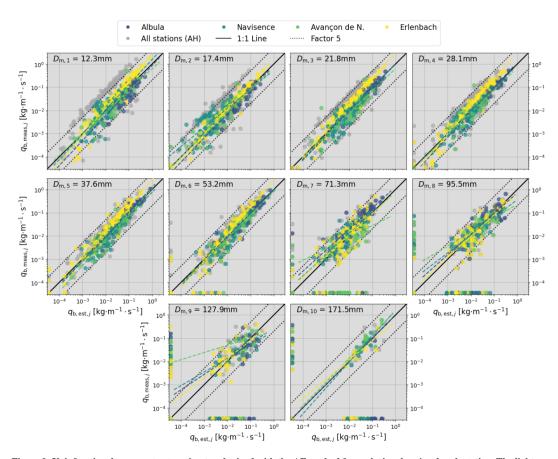
417 positive samples increases with increasing particle size. Further performance metrics derived from the confusion matrix can

418 be found in the Supporting Information (Table S2).



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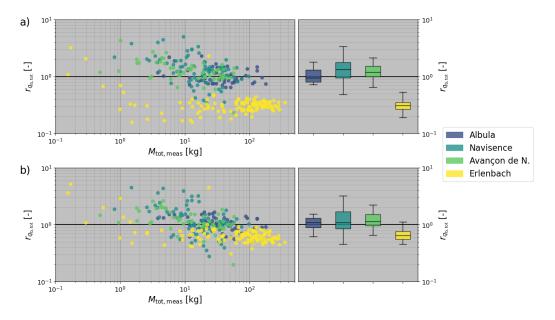
Figure 9: Unit fractional transport rate estimates obtained with the AF method for each size class *j* and each station. The light grey dots in the background indicate the estimates obtained with the AH method and are represented in more detail in the Supporting Information (Fig. S1). Each frame is annotated with the mean particle size  $D_{m,j}$  of the represented class. The solid black lines correspond to the reference 1:1 line while the dotted lines delimit factors of 5 above and below (from 0.2 to 5). The dashed colored lines are power-law regression lines; the mean coefficients over all four sites are listed in Table 5. The dots along the axes indicate samples for which either the measured or the estimated unit fractional flux equals 0. These samples are not considered for the computation of the trend lines.

Table 5: Performance of the AH method and the AF method regarding fractional flux estimates for each class *j* with following parameters: the linear coefficient *a*, the exponent *b* and the correlation coefficient *r* of the power-law regression lines visible in Fig. 9; the coefficient of determination  $R^2$ ; the root-mean-square error *RMSE*; and the percentage of all detected samples for which the estimated value differs from the measured value by less than a factor of 2 and 5  $p_{factor_2}$  and  $p_{factor_5}$ , respectively. These values were first computed for each site separately and then averaged over all four sites. The number of measured  $N_{samples,meas}$  and the number of estimated samples  $N_{samples,est}$  showing a positive unit fractional rate were summed over all four sites.

		Units	<i>j</i> = 1	<i>j</i> = 2	<i>j</i> = 3	j = 4	<i>j</i> = 5	<i>j</i> = 6	<i>j</i> = 7	j = 8	<i>j</i> = 9	<i>j</i> = 10
N <sub>sa</sub>	mples,meas	-	308	308	306	306	302	287	240	213	112	53
p	N <sub>samples,est</sub>	-	308	305	307	301	299	289	267	237	149	117
tho	r	-	0.77	0.83	0.87	0.88	0.91	0.89	0.73	0.75	0.53	0.46
method	а	-	3.6	2.02	1.95	2	1.39	1.54	0.85	0.53	0.42	0.58
H	b	-	0.94	0.95	1	1.05	1.01	1.05	0.83	0.83	0.64	0.6
4	$R^2$	-	0.4	0.51	0.64	0.70	0.78	0.81	0.36	0.57	-0.16	0.11

	RMSE	kg ⋅m <sup>-1</sup> ⋅s <sup>-1</sup>	0.094	0.031	0.044	0.036	0.052	0.048	0.038	0.037	0.04	0.06
	$p_{\text{factor}_2}$	%	50	54	54	58	64	72	50	58	37	57
	$p_{\text{factor}_5}$	%	72	84	92	93	96	95	86	81	68	73
	N <sub>samples,est</sub>	-	308	305	307	305	301	295	279	242	161	84
	r	-	0.79	0.82	0.89	0.91	0.93	0.93	0.81	0.78	0.52	0.61
po	а	-	1.46	0.96	1.44	1.54	1.41	1.3	0.73	0.49	0.3	1.16
Method	b	-	1.07	0.98	1.03	1.05	1.06	1.05	0.81	0.79	0.59	0.74
	$R^2$	-	0.71	0.72	0.8	0.84	0.85	0.83	0.42	0.55	-0.08	0.59
$\mathbf{AF}$	RMSE	kg ∙m <sup>-1</sup> ∙s <sup>-1</sup>	0.068	0.021	0.035	0.027	0.045	0.040	0.035	0.039	0.042	0.061
	$p_{\text{factor } 2}$	%	69	74	69	78	75	81	53	58	43	47
	p <sub>factor_5</sub>	%	96	93	98	98	97	97	91	83	68	56

436 As indicated by Eq. (10), the unit total flux estimates are computed as the sum of the unit fractional flux estimates over 437 all 10 classes. Fig. 10 shows the ratio  $r_{q_{b,tot}}$  between the estimated total flux  $q_{b,tot,est}$  and the measured total flux  $q_{b,tot,meas}$ 438 for all 308 calibration samples, as a function of the sampled total mass  $M_{tot,meas}$ . Here, the estimates for the Albula, the 439 Navisence and the Avançon de Nant sites are slightly more accurate with the AF method than with the AH method, whereas 440 the estimates for the Erlenbach improve substantially, with the median  $r_{q_{b,tot}}$  value increasing from 0.31 to 0.64. Note that 441 the observations (i) to (iii) made earlier regarding the fractional flux estimates are also valid here. Fig. 10 also provides an 442 interesting overview of the sampled masses at all four stations, reflecting the capacities of the different devices (automated 443 and manual basket samplers and crane-mounted net sampler) used to collect the calibration samples.



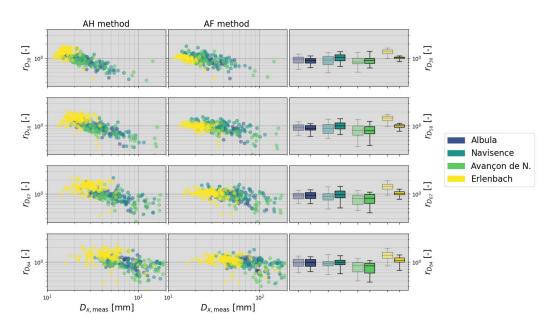
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Figure 10: Ratio  $r_{q_{b,tot}}$  between the estimated and the measured unit total mass flux as a function of the total sampled mass M<sub>tot,meas</sub>, for each collected sample *i* and each station, for the AH method (a) and the AF method (b). The boxplots on the right indicate the range of  $r_{q_{b,tot}}$  values obtained for each station.

#### 448 **3.4** Grain-size estimates

We can combine the SPG bedload flux estimates for all grain-size fractions and thus derive grain-size distributions, whichcan then be compared to the measured size distributions of each calibration sample. Fig. 11 compares the performance of the

451 AH and the AF methods in estimating the characteristic grain sizes  $D_{30}$ ,  $D_{50}$ ,  $D_{67}$  and  $D_{84}$  (where  $D_x$  is the grain diameter for 452 which x percent of the sampled bedload mass is finer). The accuracy of the estimates is indicated by the ratio  $r_{D_x}$  between the 453 estimated and the measured characteristic grain size  $D_x$ . Compared to the AH method, the AF method mainly improves the 454 estimates of the four characteristic grain sizes for the Navisence and the Erlenbach sites, but has little effect at the other two 455 sites. The largest improvement is achieved for the Erlenbach site, with the median  $T_{D_{30}}$  changing from 1.37 to 1.02, the 456 median  $r_{D_{50}}$  changing from 1.48 to 1.01, the median  $r_{D_{67}}$  changing from 1.46 to 1.05 and the median  $r_{D_{64}}$  changing from 457 1.39 to 1.10. The overall accuracy of the estimates decreases with increasing characteristic size  $D_x$  for both methods, and for 458 every characteristic size  $D_x$ , the  $D_x$  tends to be overestimated for finer grain mixtures and underestimated for coarser grain 459 mixtures.



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Figure 11: Ratio  $r_{D_x}$  between the estimated and the measured characteristic grain sizes  $D_{30}$ ,  $D_{50}$ ,  $D_{67}$  and  $D_{84}$  as a function of the measured grain diameter  $D_{x,meas}$  for each collected sample *i* and each station using the AH method (column 1) and the AF method (column 2).  $D_x$  is the grain diameter for which *x* percent of the sampled bedload is finer. The boxplots in column 3 indicate the range of  $r_{D_x}$  values obtained for each station. The boxes in faded colors show the results obtained with the AH method and the boxes in brighter colors show the results obtained with the AF method.

#### 466 4 Discussion

#### 467 4.1 The hybrid calibration procedure

Recent studies have pointed out the difficulty of transferring flume-based calibrations of the impact plate system to field applications (e.g. Mao et al., 2016; Wyss et al., 2016c; Kuhnle et al., 2017). In the hybrid calibration approach presented here, we took advantage of controlled flume experiments<del>, but only</del> to obtain amplitude and amplitude-frequency thresholds for each particle-size class, which were subsequently applied to field calibration datasets to derive the general calibration coefficients  $k_{b,j,gen}$ . 473 <del>reconstructed at the flume facility, only the experiments conducted in 2018 with the Albula setur</del> 474 e used for calibration purposes in the present study. Although the differences are small, the class thresholds derived from 475 experiments yielded slightly more accurate bedload flux estimates than the thresholds derived from the other site A possible explanation for this is the lower bed roughness used for the Albula site reconstruction as 476 477 compared to the other two setups, which facilitated the transport of larger particles. The Albula setup was also less affected 478 by lateral sorting of small particles (mainly classes j = 1 to 4) toward the flume walls, which resulted in a weaker signal 479 Additionally, the flow velocities used in this setup ( $V_{\rm f} = 1.6$  and 2.4 m s<sup>4</sup>) lie between the velocities measured 480 during the field calibration campaigns at the Navisence and Avançon de Nant sites.

481 The entire hybrid calibration procedure was run iteratively until the optimal linear coefficient and exponent of the 482 criterion (Eq. 3) used to filter out apparent packets were found (Fig. 6). As objective function we used an equally weighted combination of parameters describing the accuracy of bedload flux and grain-size estimates, i.e. r,  $R^2$ ,  $p_{factor 2}$ ,  $p_{factor 5}$ , and 483 RMSE as shown in Table 5,  $r_{D_x}$  as shown in Fig. 11, and the accuracy derived from the confusion matrix (Fawcett, 2006) as 484 485 shown in Table S2 in Supporting Information. We looked for two types of optimal calibrations. The first type is a general 486 calibration, for which we have presented the results in Sect. 3. This calibration combines all four stations in order to 487 investigate the feasibility of a general signal conversion procedure applicable to multiple sites equipped with SPG systems. 488 The second type is a site-specific calibration aiming to improve the accuracy of bedload transport rate estimates at a single 489 monitoring station, to be used for a more detailed analysis of bedload-related processes at a given site (details of these site-490 specific calibrations are available in Supporting Information Sect. S4 and S5).

The biases introduced by apparent packets can be removed by site-specific calibration of the coefficients  $k_{b,i,j}$ , so the AF and AH methods perform about equally well when calibrated separately to each individual site (see Supporting Information Sect. S4 and S5). This result supports the use of the AF method, considering the important number of packets left out by the AF thresholds. However, the abundance of apparent packets varies considerably from site to site, owing to differences in the channel geometry, the bedload grain-size distribution, and the construction details of the individual SPG installations. Because the AF method filters out a substantial fraction of these apparent packets, it yields substantially better general calibrations than the AH method does (see Table 5).

We also tested the performance of an adapted version of the AH method introduced by Rickenmann et al. (2018). This method was originally developed for the Erlenbach site and aimed to correct for the relationship between the signal response and the transport rate. In the present study, we applied this method to each field site. The only notable improvement introduced by the adapted AH method is the increased number of detected samples at the Erlenbach station, leading to more accurate estimates of the various characteristic grain sizes  $D_x$  at this site (Tables S8 and S9 in Supporting Information); the results for the other sites were not substantially improved.

504While the lack of accurate flow velocity measurements is certainly one of the critical points of the study, one could505argue that another lack is the low variability between the site-specific calibration relationships of the three natural sites506already before implementing the AF method (Fig. 8a). It would have been interesting to test the method on a larger number507(and variety) of sites. Unfortunately, these four chosen sites are the only ones at which a full geophone signal has been508recorded during calibration measurements.

#### 509 4.2 Two-dimensional size class thresholds

510 To understand the performance of the new AF method it is worth taking a closer look at the role of the size class thresholds.
511 As shown in Fig. 7, replacing the upper amplitude thresholds with amplitude-frequency values results in the following two
512 important changes. First, a dimension is added, which facilitates focusing on the narrow range of signal responses
513 characteristic for real packets, and filtering out many of the apparent packets. Second, the areas of the amplitude-frequency

514 domain covered by two adjacent classes can now overlap. Packets located in overlapping areas are assigned once to each 515 class and therefore counted twice. This explains why both the number of detected packets PACK<sub>i</sub> (Fig. 7c and 7d) and 516 subsequently the  $k_{b,j}$  values (Fig. 8) are slightly higher when the AF method (instead of the AH method) is applied to the 517 larger size classes. Counting such packets twice is not unreasonable, given that the ranges of signal responses recorded 518 during single-grain-size flume experiments for two contiguous grain-size classes significantly overlap, even after apparent 519 packets are filtered out (Fig. 5). Overlapping class boundaries therefore results in a less strict classification of the few packets that are on the edges of the classes. In Fig. 7b, out of 2256 packets recorded by G2 (blue), 144 packets have been 520 521 counted twice. But interestingly, not a single of the 153 packets recorded by G1 (red) encompassed by the class boundaries 522 has been counted twice. A further result supports the use of the two-dimensional size class thresholds. When applying the 523 AF method, the  $k_{b,i}$  coefficients obtained for the different sites (Fig. 8b) reach a maximum value at the third smallest size 524 class. A similar yet stronger decrease towards the two smallest classes was described by Wyss et al. (2016b) and was related 525 to the reduced detectability of the smallest particle sizes.

526 Through the reduced area covered by the new amplitude-frequency thresholds in Fig. 7b, a certain percentage of all the-527 packets recorded during the field calibration experiments is neglected for general calibration: 55% at the Albula site, 63% at 528 Navisence, 58% at Avançon de Nant and only 9% at Erlenbach. This suggests that the plates embedded at Erlenbach pick up 529 less noise from their surroundings. A similar trend was observed by Nicollier et al. (2021b2022) when comparing the 530 maximum amplitude registered by two adjacent plates for a given impact at the same location. This difference in noise 531 detection levels is possibly accentuated by the number of impacted plates during bedload transport events. The SPG array 532 embedded in the artificial U-shaped channel of the Erlenbach has the particularity that only 2 out of its 12 plates are usually 533 impacted by bedload particles during floods (and only sediment crossing these two plates is caught by the automatic basket 534 sampler), while at the other stations all 10 to 30 embedded plates are submerged by the flow and thus can potentially be 535 impacted.

#### 536 4.3 Sampling uncertainties

537 Even though the AF method improved the overall accuracy of flux estimates for most classes (Table 5), some trends 538 addressed in Sect. 3 suggest that factors other than the noise level also control the accuracy of the estimates. The dataset 539 presented in this study includes 308 calibration measurements and is in our knowledge the largest dataset gathered for an 540 impact plate system. Still, it appears that the number of collected samples is not sufficient to accurately assess the 541 performance of the two methods for the three largest particle-size classes (Fig. 9; Table 5). This is mainly due to the fact that 542 in typical sediment mixtures, large particles are rarer than fine particles (Rickenmann et al., 2014; Mao et al., 2016). Earlier 543 investigations have shown that a larger number of detected bedload particles reduces the scatter of total mass estimates by averaging over stochastic factors such as the impact location on a given impact plate, the particle transport mode (sliding, 544 545 rolling, saltating, etc.), and the impact velocity (Rickenmann and McArdell, 2008; Turowski et al., 2013). A further 546 uncertainty arises because these larger particles are transported at higher bed shear stresses (Einstein, 1950; Wilcock and 547 Crowe, 2003), which also mobilize more total material and thus pose a serious challenge regarding the sampling efficiency 548 of the calibration bedload samplers. Bunte and Abt (2005) and Bunte et al. (2019) have demonstrated that reducing the 549 sampling duration with a bedload trap from 60 to 2 minutes decreases both the sampled unit total bedload flux  $q_{b,tot}$  and the 550 sampled maximum particle size  $D_{\text{max}}$  by about half. In the present study, total bedload fluxes up to 4 kg m<sup>-1</sup> s<sup>-1</sup> were measured 551 with the net sampler, meaning that the measurement duration had to be minimized to avoid overloading the sampler. At the 552 Albula stream, for instance, only four samples contained particles of the largest class, and all four were sampled over a 553 duration ranging from 1 to 2 minutes. As a comparison, the longest sampling duration was reached at the Navisence site and 554 lasted 25 minutes. All this suggests that an optimal calibration of the SPG system requires balancing the sampling duration Formatiert: Abstand Nach: 0 Pt.

and the number of collected particles. <u>Note that uncertainties in the direct measurements have a direct impact on the</u> accuracy of fractional sediment flux and grain-size estimates. -Flume experiments could potentially be used to assess the sampling efficiency of the various calibration sampling methods, along with the detection efficiency of the SPG system.

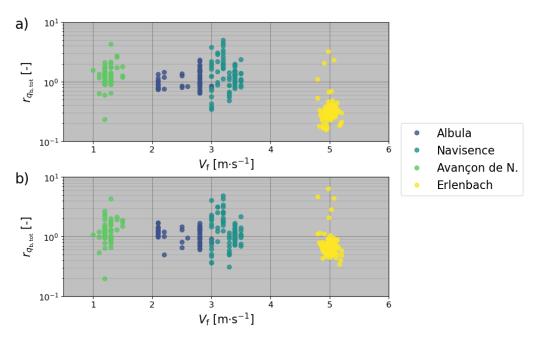
#### 558 4.4 Transport rate

559 Two further trends are evident in the unit fractional flux estimates obtained for the seven smallest classes, for which most 560 samples were detected ( $N_{\text{samples,est}} / N_{\text{samples,meas}} > 96\%$ ; Table 5). First, the relative scatter (on the log-log plots) of the 561 fractional flux estimates around the power-law regression lines in Fig. 9 is smaller at higher transport rates. Second, both 562 total and fractional fluxes are generally overestimated at low transport rates and underestimated at high transport rates (Fig. 9 563 and 10), which also correspond to the largest calibration samples. These findings agree with results from previous calibration 564 campaigns with the SPG system (Rickenmann and Fritschi, 2017; Rickenmann et al., 2018) but a comprehensive explanation 565 for these trends is still missing. The following hypotheses can be put forward to explain the relationship between the mass 566 flux estimates and the transport rate  $q_b$ : (i) The SPG system may suffer from signal saturation when the transport rate is too 567 high, as has been document in the Japanese pipe microphone system (Mizuyama et al., 2011; Choi, 2020). In our SPG data, 568 we have observed long packets containing multiple large peaks corresponding to several impacts occurring so quickly after 569 one another that they were not detected as separate packets. One can expect that the probability of occurrence of such 570 packets increases together with the transport rate, with the transport of large particles (which typically generate packets of longer durations), and with the occurrence of sliding and rolling particles (Chen et al., 20224). The long packets take the 571 572 place of multiple shorter packets that would otherwise be individually counted; thus, they lead to underestimated mass fluxes 573 for a given  $k_{b,i}$  value. The development of a procedure to identify such packets and attribute the therein contained peaks to 574 individual impacts could represent an interesting goal for future research. (ii) Field observations of bedload sheets being 575 transported over plates at high transport rates were made at the Vallon de Nant site. In the presence of bedload sheets, one 576 can expect that the detection rate of transported particles is hampered by multiple particle layers (Rickenmann et al. 1997; 577 Turowski and Rickenmann, 2009), kinetic sieving (e.g. Frey and Church, 2011) or percolation processes (e.g. Recking et al., 578 2009). Given these hypotheses, it would be reasonable to expect a stronger signal response at lower transport rates (Fig. 10)

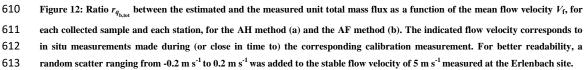
579 We are not able to give a clear explanation for the overestimates of the characteristic grain size  $D_x$  for finer grain 580 mixtures and underestimates for coarser grain mixtures (as shown in Fig. 11). A similar trend was also observed by 581 Rickenmann et al. (2018) for calibration measurements originating from the Erlenbach. We speculate that the decrease of the 582 detection rate along with increasing transport intensity, as mentioned above, may partly explain this phenomenon.

#### 583 4.5 Effect of the flow velocity

584 A recurrent feature in the results presented above is an offset between the estimates obtained for the Erlenbach and those 585 obtained for the three other stations. A similar offset was observed earlier for linear calibration relations for total bedload 586 mass between the Erlenbach and other field sites with more natural approach flow conditions (Rickenmann et al., 2014). 587 Although applying the new amplitude-frequency method has reduced the offset in the present study significantly, it remains 588 visible for both fractional and total bedload flux estimates (Fig. 9, 10, and 12). At the Erlenbach site, the last 35 meters upstream of the SPG system consist of an artificial bed with a steep channel slope of 16%, consisting-made of large flat 589 590 embedded boulders (Roth et al., 2016). This explains the supercritical flow regime with a Froude number around 5.1 (Wyss 591 et al., 2016c) and a flow velocity  $V_f$  around 5 m s<sup>-1</sup> at the check dam with the geophone sensors (Table S1). Bedload particle 592 velocity  $V_{\rm p}$  was introduced by Wyss et al. (2016<u>b.</u>c) as a possible governing parameter affecting the number of particles 593 detected by the SPG system, fast moving particles being less likely to collide against the Swiss plate geophone than slower 594 moving ones, which are more frequently in contact with the bed. For the present study, we used  $V_f$  as a proxy for  $V_p$ , even 595 though bedload particles generally travel more slowly than the fluid that surrounds them (Ancey et al., 2008; Chatanantavet 596 et al., 2013; Auel et al., 2017). Past flume experiments (Wyss et al., 2016a2016b; Kuhnle et al., 2017) have shown that the 597 calibration coefficient  $k_{b,i}$  can vary with the flow velocity  $V_{f}$ , such that a three-fold increase in  $V_{f}$  can lead to a two-fold decrease of  $k_{b,j}$ . The better detectability of particles that one could expect from the higher impact energy (Wyss et al. 2016b) 598 599 seems to be insufficient to compensate the strong reduction of the number of impacts on a plate with increasing flow 600 velocities. This possibly arises from the fact that larger flow velocities (without increased turbulence) may also lead to flatter 601 saltation trajectories, thus decreasing the vertical component of the impact force. Furthermore, bed morphology, bed 602 roughness and flow velocity play important roles in determining particle transport mode, i.e., sliding, rolling, or saltating 603 (e.g. Bagnold, 1973; Lajeunesse et al., 2010). Although high flow velocities generally favor the saltating mode (Ancey et al., 604 2002; Chen et al., 2022), the shallow flow depths measured at the Erlenbach (in average 10-0.1 em; Wyss et al. 2016b) may 605 limit the hop height of larger particles (Amir et al., 2017). Considering all these aspects, we hypothesize that the generally 606 underestimated transport rates observed for the Erlenbach site mainly arise from the exceptionally high flow velocity and the 607 related transport mode (Fig. 12). Continuous flow velocity measurements are lacking at the Albula and Navisence sites, 608 hampering a more detailed analysis of their relationships between flow velocities and detection rates.







#### 614 4.6 K-fold cross-validation

In a last stage, we tested the robustness of the AH and AF methods by splitting the dataset into calibration and validation data. Given that the number of calibration measurements is relatively small and varies between stations, we applied a 4-fold cross-validation technique (e.g. Khosravi et al., 2020). The field calibration measurements were distributed over four folds, 618 each containing an equal number of calibration measurements from each site (Supporting Information Fig. S4). One after 619 another, the folds were used as validation datasets while the remaining three folds were used for calibration. General 620 calibration coefficients  $k_{\mathrm{b,j,gen}}$  were obtained from the calibration dataset and subsequently applied to the validation data to 621 derive flux estimates. Even though each fold contains a total of only 48 samples (12 per site), the results obtained with the 4-622 fold cross-validation procedure support our conclusion that including frequency information in the packet classification 623 procedure improves the mean accuracy of the estimates over all sites, in particular for the smaller five to six size classes j (Supporting Information Table S10). Nicollier et al. (2021b2022) found that most apparent packets are detected as belonging 624 625 to smaller size classes than the particles that caused them, due to the attenuation of the vibrations as they propagate (see Fig. 7). It is therefore reasonable that the AF method mainly improves the flux estimates for these smaller classes. 626

#### 627 5 Conclusion

628 The Swiss plate geophone (SPG) is a bedload surrogate monitoring system that has been installed in several gravel-bed 629 streams and was calibrated using direct sampling techniques. While most site-specific calibration relationships for total mass 630 flux are robust across several-multiple orders of magnitude, the mean calibration coefficients can still vary by about a factor 631 of six between different sites. In this study, we derived a general procedure to convert SPG signals into fractional bedload 632 fluxes using an extensive dataset comprising controlled flume experiments as well as 308 field calibration measurements 633 from four field sites. The proposed hybrid approach is based on previous findings (Antoniazza et al., 2020; Nicollier et al., 634 2021b2022) that the SPG system is biased by elastic waves that propagate through the apparatus and generate noise in the 635 form of spurious "apparent" packets. We introduced the amplitude-frequency (AF) method as an alternative to the 636 amplitude-histogram (AH) method developed by Wyss et al. (2016a). Packets recorded during single-grain-size flume 637 experiments were first filtered to exclude apparent packets, and then used to derive grain-size class thresholds for packet 638 classification. We found that filtering out apparent packets results in more consistent relationships between particle diameter 639 and amplitude-frequency characteristics of the SPG signal. Furthermore, we showed that including frequency information in 640 size class thresholds helps in excluding apparent packets and thus improves the signal-to-noise ratio. In a second stage, we 641 applied these flume-based thresholds to field calibration measurements and derived general calibration coefficients 642 applicable at all four sites for ten different grain-size fractions. The AH method, by contrast, requires site-specific calibration 643 because it cannot account for the site-to-site differences in the abundance of apparent packets. Averaged over the ten grain-644 size fractions, the bedload masses of 69% and 96% of the samples were estimated within an offset of a factor of two and 645 five, respectively, relative to the measured sampled masses. The remaining discrepancies between the site-specific results are mainly attributed to large differences in flow (and probably particle) velocity. Finally, the sampled mass, the transport rate 646 647 and the sampling efficiency were identified as further factors possibly influencing the accuracy of mass flux and grain-size 648 estimates.

The presented results are highly encouraging regarding future applications of surrogate monitoring methods to investigate bedload transport processes. The findings also underline the valuable contribution of flume experiments to our understanding of the relationship between bedload transport and the recorded SPG signal. But above all, this study highlights the requirements for obtaining calibrations that are transferable across sites: accurate and numerous direct sampling measurements with long sampling durations and large sampled masses, sensors insulated from surrounding noise sources, and highly resolved temporal information about the stream flow, to identify and account for variations in the transport conditions.

656	Notation	
657	$a_{ m c}$	Linear coefficient of the criterion
658	$A_{\rm FFT}$	Fourier amplitude
659	A <sub>m,j</sub>	Mean amplitude registered for particle-size class j
660	b <sub>c</sub>	Linear coefficient of the criterion
661	$\Delta t_i$	Sampling duration
662	$D_{\mathrm{m},j}$	Mean particle diameter for particle-size class j
663	$D_{ ext{sieve},j}$	Lower sieve size retaining particle class <i>j</i>
664	$D_x$	Characteristic grain size
665	$f_{centroid}$	Centroid frequency
666	i	Sample index
667	j	Particle-size class index
668	$k_{\mathrm{b},i,j}$	Sample- and class-specific calibration coefficient
669	$k_{\mathrm{b},j,\mathrm{med},\mathrm{station}}$	Median calibration coefficient for particle-size class <i>j</i> and a given station
670	k <sub>b,j,gen</sub>	General calibration coefficient for particle-size class <i>j</i>
671	$M_{{\rm est},i,j}$	Estimated fractional mass per sample and per class
672	$M_{\mathrm{meas},i,j}$	Sampled fractional mass per sample and per class
673	<i>MaxAmp</i> <sub>env</sub>	Maximum registered amplitude within a packet
674	$N_{\rm samples,est}$	Number of detected samples
675	N <sub>stations</sub>	Number of stations
676	PACK <sub>i,j</sub>	Number of recorded packets per sample and per class
677	$p_{ m factor_x}$	Percentage of all detected samples for which the estimated and the measured values differ from each
678		other by less than a factor of x
679	$q_{\mathrm{b},\mathrm{est},i,j}$	Estimated unit fractional transport rate per sample and per class
680	$q_{\mathrm{b},\mathrm{meas},i,j}$	Measured unit fractional transport rate per sample and per class
681	$q_{\mathrm{b,tot,est},i}$	Estimated unit total bedload flux per sample
682	$q_{\mathrm{b,tot,meas},i}$	Measured unit total bedload flux per sample
683	$R^2$	Coefficient of determination
684	r	Correlation coefficient
685	$r_x$	Ratio between estimated and measured values x
686	$th_{{ m ah},j}$	Amplitude-histogram thresholds
687	$th_{\mathrm{af,low},j}$	Lower amplitude-frequency thresholds
688	$th_{\mathrm{af,up},j}$	Upper amplitude-frequency thresholds
689	$V_{ m f}$	Mean flow velocity
690	w <sub>p</sub>	Standard width of an impact plate

#### 691 Data availability

- 692 The dataset presented in this paper is available online on the EnviDat repository
- 693 https://www.envidat.ch/#/metadata/sediment-transport-observations-in-swiss-mountain-streams.

#### 694 Author contribution

G95 Tobias Nicollier designed and carried out the field and flume experiments, developed the presented workflow and prepared
G96 the manuscript with contributions from all co-authors. Gilles Antoniazza designed and carried out the field experiments at
G97 the Vallon de Nant site. Lorenz Ammann helped developing the methodology and contributed to the formal analysis. Dieter
G98 Rickenmann contributed to the conceptualization and the supervision of the presented work, contributed to the design of the
G99 methodology, and provided support during the field and flume experiments. James W. Kirchner contributed to the
G90 development of the methodology and significantly contributed to the preparation of the initial draft.

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#### 709 Competing interests

710 The authors declare that they have no conflict of interest.

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