

Short communication: Concentrated impacts by tree canopy drips - hotspots of soil erosion in forests

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Abstract.

The degradation of ground vegetation cover caused by large grazing herbivores frequently results in enhanced erosion rates in forest ecosystems. Splash erosion can be caused by drop impacts with a high throughfall kinetic energy (TKE) from the tree canopy. Notably bigger canopy drips from structurally-mediated woody surface points appear to induce an even higher TKE and generate concentrated impact locations causing severe focus points of soil erosion. However, TKE at these locations has rarely been reported. This pilot study investigated the intensity of TKE at a concentrated impact location and compared it with general TKE locations under the canopy and freefall kinetic energy (FKE) outside the forest. We measured precipitation, TKE and FKE using splash cups at seven locations under Japanese beech trees and five locations outside the forest during the leafless and leafed seasons in a deciduous broadleaved forest in Japan. The TKE at the concentrated impact location was 15.2 and 49.7 times higher than that at the general locations under the beech and FKE, respectively. This study confirmed that canopy drip from woody surfaces could be a hotspot of soil erosion in temperate forest ecosystems. Throughfall precipitation at the concentrated impact location was 11.4 and 8.1 times higher than that at general locations and freefall, respectively. TKE per 1 mm precipitation (unit TKE) at the concentrated impact location ($39.2 \pm 23.7 \text{ J m}^{-2} \text{ mm}^{-1}$) was much higher than that at general locations ($22.0 \pm 12.7 \text{ J m}^{-2} \text{ mm}^{-1}$) and unit FKE ($4.5 \pm 3.5 \text{ J m}^{-2} \text{ mm}^{-1}$). Unit TKE in the leafless season was significantly lower than in the leafed season because of fewer redistribution of canopy drips induced only by woody tissue. Nevertheless, unit TKE at the concentrated impact location in the leafless season ($36.4 \text{ J m}^{-2} \text{ mm}^{-1}$) was still higher than at general locations in the leafed season. These results show that potentially high rates of sediment detachment can be induced not only by throughfall precipitation, but also by larger throughfall drop size distributions at the concentrated impact location, even in the leafless season. Further studies with higher replication building on this first report are necessary to investigate how many of these concentrated impact locations may occur on average on different tree species to better assess the extent of the erosion risk under forests.

40 **1. Introduction**

41 Soil conservation is an important environmental challenge of the 21st century as soils are the foundation
42 of life and a reservoir for water, carbon, and nutrients (Lal, 2014). The threat to soil composition is
43 evident worldwide, especially in areas with regularly recurring extreme climatic events such as heavy
44 rainfall (Borrelli et al., 2020). Soil erosion rates induced by water are mainly determined by rainfall
45 patterns such as raindrop kinetic energy and ground cover by vegetation (Seitz et al., 2017). Severe soil
46 erosion events are rare in forest ecosystems because the general abundant ground cover owing to
47 understory vegetation or plant litter (Miura et al., 2003; Holz et al., 2015). Therefore, forest can be seen
48 as one of the most effective land use types to mitigate soil losses (Pimentel and Burgess, 2013). However,
49 disturbance of forest vegetation may lead to significant punctual (Gall et al., 2022; Geißler et al., 2010)
50 and areal (Safari et al., 2016; Seitz et al., 2016; Zemke et al., 2016) erosion events that can by far exceed
51 sustainable erosion rates (Deng et al., 2023). Important examples have been described globally such as in
52 Hungary (Misik and Kárász, 2022) and China (Yao et al., 2019). Especially in Japan, understory
53 vegetation in forests is regularly damaged by grading sika deer (*Cervus nippon*) (Murata et al., 2009,
54 Takatsuki, 2009). The degradation of protective vegetation layers frequently results in enhanced splash
55 erosion through direct raindrop impacts and increased surface runoff with significant erosion potential
56 (Shinohara et al., 2018; Song et al., 2019).

57 Throughfall kinetic energy (TKE, in J m^{-2}) can be determined from drop size and velocity in
58 addition to the precipitation amount. TKE has partly shown to be higher than freefall kinetic energy
59 (FKE) outside vegetation layers. This phenomenon is attributed to the capacity of the forest canopy to
60 generate large new canopy drips after the first interception, which depends on the species (Chapman,
61 1948; Nanko et al., 2015). Canopy drip can contribute to more than half of the total throughfall volume
62 from leafed canopies (Levia et al., 2019). In canopy water flow, the lateral redistribution plays an
63 important role in creating local concentration of throughfall (Keim and Link, 2018). Subsequently, lateral
64 canopy water flow paths ending at structurally-mediated woody surface drip points, such as irregular
65 rough points and branch concavities, accumulate more water volume transported down the branch with a
66 longer residence time and then generate larger diameter drops in greater volumes (Nanko et al., 2022)
67 than foliar surfaces (Levia et al., 2019; Nanko et al., 2016; Nanko et al., 2022). Notably bigger canopy
68 drips can have higher TKE and therefore, generate concentrated impact locations potentially causing
69 severe soil erosion. However, the TKE at these concentrated impact locations and the subsequent splash
70 erosion potential have rarely been described in the literature and not yet been quantified.

71 TKE is linearly correlated with throughfall precipitation in monolayer coniferous forests
72 (Shinohara et al., 2018). The slope of the relationship between throughfall precipitation and TKE is
73 known as unit TKE, that is, TKE per 1 mm precipitation. The unit TKE differs with canopy species and
74 architecture, and rainfall intensity (Nanko 2013; Nanko et al., 2015; Liu et al., 2022). Throughfall from
75 woody surface drip points consist of larger canopy drips, suggesting that the unit TKE at such
76 concentrated impact locations is different from that at other general locations. Furthermore, this
77 relationship might also differ between the leafed and leafless seasons, owing to the difference in the

78 distribution of drops of different sizes (Levia et al., 2017). Thus, TKE can considerably affect soil erosion
79 rates also in the leafless season when the contribution of drip points to the total throughfall precipitation
80 becomes dominant (Levia et al., 2019). Therefore, knowledge of the significance of TKE at concentrated
81 impact locations and seasonal changes in TKE in response to leaf status is vital for understanding soil
82 erosion risk in forests with degraded ground cover.

83 This pilot study investigated TKE under broadleaved trees in Shiiba Research Forest, Kyushu,
84 Japan, which is a substantially disturbed and eroded forest ecosystem caused by deer grazing. A special
85 focus of this work is given on unusual high energy levels induced by structurally-mediated woody surface
86 drip points which partly occurred during the measurement campaign to estimate throughfall erosivity. We
87 quantified the TKE intensity at the concentrated impact location. We hypothesized that: (1) unit TKE at
88 the concentrated impact location is higher than that at general locations inducing elevated splash erosion,
89 and (2) the relationship between throughfall precipitation and TKE differs with the leaf status of trees.

91 **2. Materials and methods**

92 **2.1 Study site**

93 This study was conducted in Shiiba Research Forest, Kyushu, South Japan [32°40'N, 131°17'E, 1030 m
94 a.s.l.]. The study site includes a mixed forest with evergreen coniferous trees and deciduous broadleaved
95 trees. The mean annual temperature and precipitation were 10.8°C and 3278 mm, respectively, as
96 measured at a meteorological station located 3 km from the study site at 1180 m a.s.l. Monthly
97 precipitation amount in March, April, August, September and August of 2021 were 162, 133.5, 958.5,
98 170 and 41.5 mm at the University Forest office, situated 4k m away from the study site [600 m a.s.l.]
99 Formerly, this area was characterized by a dense understory vegetation comprised primarily of bamboo
100 (*Sasa borealis* [Hack.] Makino & Shibata). However, this understory vegetation has mostly disappeared
101 since around the year 2000, coinciding with a documented rise in the Sika deer population. Currently,
102 there is no intact understory vegetation in most areas of the research forest (Kawakami et al., 2020).
103 Therefore, distinct erosion forms and root exposure can be widely observed (Katayama et al., 2023) and
104 soil degradation has been identified a major challenge for forest services (Abe et al., 2022).

106 **2.2 Throughfall kinetic energy**

107 TKE was determined using splash cups (Shinohara et al., 2018; Scholten et al., 2011). The splash cups are
108 filled with a standardized sand and weighed dry before deployment in the field. Subsequently, raindrops
109 hit the sand surface and detached sand is partly splashed away from the cup. The loss of sand (LoS, g m⁻²)
110 was measured by back weighing remaining dried sand volumes and subtracting the amount from the
111 initial amount. TKE can be estimated from the relationship between KE and LoS using a linear function
112 (TKE = 14.55 × LoS, Scholten et al., 2011). This method has proven to be reliable and cost efficient with
113 a high number of replications (Geißler et al., 2010) and is suitable to evaluate spatial variation in TKE
114 (Shinohara et al., 2018). We used the splash cups with the diameter of 5.0 cm, height of 5.1 cm and the
115 volume of 100cc. These are slightly larger than those reported by Scholten et al., 2011 (4.6 of diameter

116 and 3.6 cm of height, respectively), but accurately estimated TKE by using a linear equation (Shinohara et
117 al. 2018).

118 The LoS was measured during each of the five rainfall events in the leafless (March to April)
119 and leafed (August to September) seasons of 2021. Seven splash cups were installed under the canopy of
120 two *Fagus crenata* trees for TKE (Fig. 2). One position was chosen at a possible concentrated drip
121 location formed by structurally-mediated wood surface, and where more throughfall precipitation was
122 visually observed during rainfall events. Six more splash cup at different positions under the canopy were
123 installed to measure TKE at general locations. Five splash cups were further installed outside the forest to
124 measure FKE where were 40 m apart from the locations under the canopy. A storage-type bottle with a
125 funnel (diameter: 9.0 cm) was installed next to each splash cups to measure precipitation. Precipitation
126 was measured at the same time with TKE measurement. The distance between the splash cup and
127 precipitation collector was about 5 cm.

128 At the concentrated impact location, the collection of LoS and throughfall precipitation failed for
129 some very strong rainfall events during the leafed period. We obtained data of 10 events at the general
130 locations (Table 1), but TKE and throughfall precipitation at the impact location were obtained only in
131 seven and six events. Thus, the relationship between TKE and freefall precipitation ($TKE = 237.1 \times$
132 freefall precipitation, $R^2 = 0.92$) was established using the data obtained in seven events whereas the
133 relationship between throughfall precipitation and freefall precipitation (throughfall precipitation = $8.23 \times$
134 freefall precipitation, $R^2 = 0.97$) was established using the data obtained the six events.

135

136 **2.3 Tree traits**

137 Diameter at breast height of the two selected beech trees was 46.0 cm and 46.1 cm, and tree height was
138 21.1 m and 18.0 m, respectively. LAI was determined using a single reflex camera system with fish eye
139 lens (THETA SC; Ricoh Co. Ltd., Tokyo, Japan) and software (a Gap Light Analyzer ver. 2.0, Frazer et
140 al., 2022) was 4.5 and 0.9 at the concentrated impact location in the leafed and leafless season,
141 respectively. LAI at general locations ranged from 1.7 to 4.9 with a mean of 3.3 om the leafed season and
142 from 0.1 to 0.6 with a mean of 0.3 in the leafless season. Branch height at the concentrated impact
143 location was 9.1 m and ranged from 6.5 m to 13.5 m at the six splash cup positions with an average of 9.1
144 m. Average leaf area and leaf mass per area obtained from beech leaves in our study forest were 10.5 cm^2
145 and 84.7 g m^{-2} , respectively. The bark of the beech was smooth; however there was moss cover in some
146 places along the stem and epiphytic moss at the base of the branch, from which considerable amounts of
147 water dropped to the ground.

148

149 **2.4 Statistical analysis**

150 The significant difference in the slopes of the relationships of throughfall precipitation with TKE between
151 concentrated impact location and general locations was examined using ANCOVA ($P < 0.05$). The
152 significant difference in slopes in the relationships between the leafed and leafless seasons was examined
153 separately for impact and general locations (ANCOVA, $P < 0.05$). In these analyses, TKE data which was

154 not measured in the three rainfall events were excluded. Intercepts were set to zero for the models. All
155 statistical analyses were performed using *R* ver. 3.6.2 (*R* Core Team, 2019).

156

157 **3. Results and Discussion**

158 **3.1 Effect of structurally designed high energy points on TKE**

159 Considerably high TKE was observed at the concentrated impact location under the beech (Fig. 1). This
160 location received a focused number of canopy drips from an overlying structurally-mediated woody
161 surface drop point (Supplemental Video). Average \pm S.D. of TKE at the concentrated impact location
162 ($9142 \pm 5522 \text{ J m}^{-2}$) for all seasons was 15.2 times higher than at general locations under the beech ($601 \pm$
163 495 J m^{-2}) and 49.7 times higher than FKE ($184 \pm 195 \text{ J m}^{-2}$, Table 1). The average of throughfall
164 precipitation at the concentrated impact location ($324 \pm 227 \text{ mm}$) was 11.4 times higher than that at
165 general locations under beech ($29 \pm 16 \text{ mm}$) and 8.1 times higher than that from freefall precipitation (40
166 $\pm 26 \text{ mm}$).

167 Across all rainfall events, TKE significantly increased with throughfall precipitation at both the
168 concentrated impact location and general locations regardless of canopy leaf conditions (Fig. 3). It could
169 be shown that TKE at the concentrated impact location was higher than at general locations with a
170 significant difference in the relationships between TKE and throughfall precipitation (Fig. 3). Thus, the
171 first hypothesis can be confirmed. Furthermore, the branch height at the concentrated impact location was
172 comparable to average of branch height at other general drip points, indicating that higher unit TKE was
173 mostly induced by bigger drop sizes. Note that the unit TKE is determined from raindrop size
174 distributions and canopy height when the canopy height is less than the height for the rain-drop terminal
175 velocity (Shinohara et al., 2018). Previous study showed that most canopy drips did not reach to the
176 terminal velocity where the mean first living branch height was 7.9 m (Nanko et al., 2008). Raindrops
177 with diameters $>3 \text{ mm}$ need at least 12 m fall distance to gain terminal velocity (Wang and
178 Pruppacher, 1977). Although the branch height could be one of factors determining TKE in the present
179 study, considerable higher TKE at the impact location was not caused by the height because of the
180 comparable branch height. Thus, the TKE at the concentrated impact locations originating from woody
181 surface was induced by both high throughfall precipitation and big drop size, which is an important cause
182 of splash erosion and might be considered as an underestimated hot spot of sediment translocation.

183

184 **3.2 Effects of leaf status**

185 In the leafed season, the event-scale average TKE at the concentrated impact location was 12.5 times
186 higher than that at general locations under the beech tree and 61.5 times higher than FKE (Table1). The
187 event-scale mean throughfall precipitation at the concentrated impact location was 12.2 times higher than
188 at general locations and 8.1 times higher than freefall precipitation. In the leafless season, the average
189 TKE at the concentrated impact location was 23.6 times higher than that at general locations and 37.6
190 times higher than FKE, whereas mean throughfall was 10.3 times higher at general locations and 8.2
191 times higher than freefall precipitation. These results suggest that the splash erosion risk at the impact

192 location remained high in the leafless season although the risk was lower than that in the leafed season.
193 The ratios of throughfall precipitation at the concentrated impact location and general locations compared
194 to freefall precipitation were 8.1 and 0.71, respectively, suggesting that throughfall precipitation
195 decreased with canopy interception whereas the identified hotspot of throughfall selectively increased it.
196 The slopes of the relationships between TKE and throughfall precipitation at the concentrated impact
197 location and general locations were higher in the leafed season than in the leafless season (ANCOVA, $P <$
198 0.01). Therefore, we can conclude that unit TKE strongly increases with the presence of leaves and
199 potential splash erosion is higher during the leafed period. However, unit TKE at the concentrated impact
200 location in the leafless season ($36.4 \text{ J m}^{-2} \text{ mm}^{-1}$) was still higher than at general locations in the leafed
201 season ($32.1 \pm 10.3 \text{ J m}^{-2} \text{ mm}^{-1}$). This suggests high splash erosion risk at the concentrated impact
202 location even in the leafless season. In summary, leaf status has been shown to generate a distinct impact
203 and differential effects; therefore, the second hypothesis can be accepted.

204 Additionally, the differences between TKE and FKE as well as throughfall and freefall
205 precipitation appeared to be less pronounced in the leafless season. Levia et al. (2019) showed that
206 canopy drips under broadleaved trees accounted for 69% of the total throughfall precipitation in the leafed
207 phenophase, compared to 8% in the leafless phenophase. Most of the throughfall at general locations
208 under leafless trees were freefall. The soil erosion risk is lower during the leafless season than during the
209 leafed season except for the concentrated drop impact locations.

210

211 **3.3 Implication and uncertainty**

212 This study remarked notably high TKE under investigated beech trees. Mean unit FKE was reported by
213 van Dijk et al. (2002) as 14.2, 18.6, 26.5, and $28.1 \text{ J m}^{-2} \text{ mm}^{-1}$ with rainfall rates of 1, 10, 50, and 100 mm
214 h^{-1} , respectively. The maximum measured unit FKE was $28.3 \text{ J m}^{-2} \text{ mm}^{-1}$. As for throughfall, unit TKE
215 reported in previous studies ranged from 16.4 to $28.1 \text{ J m}^{-2} \text{ mm}^{-1}$ in Japan (Nanko, 2013), Hawaii (Nanko
216 et al., 2015) and Thailand (Nanko et al., 2020). The unit TKE at the concentrated impact location in the
217 present study was much higher than these previously reported values, suggesting that higher throughfall
218 precipitation and larger throughfall drop size distributions can result in an increased risk of soil erosion.
219 Furthermore, unit TKE for general locations in the present study was also higher than in previously
220 measured Japanese cypress plantations with 16.4 - $21.0 \text{ J m}^{-2} \text{ mm}^{-1}$ (Nanko, 2013). The median volume
221 drop size of canopy drip from leaves was 4.7 mm in Japanese cypress but 5.2 mm in beech (Nanko et al.,
222 2013). This difference was caused by various leaf traits such as leaf area, leaf shape, and leaf surface
223 water repellency (Levia et al., 2017). Thus, TKE generation is strongly species specific and TKE under
224 beech trees may be higher than that under other tree species.

225 Finally, although considerable higher TKE at the concentrated impact location was measured
226 using splash cups, it should be noted that TKE at the concentrated impact location in the present study
227 may have been underestimated due to the rim effect related to the splash cup measuring system. There is
228 some uncertainty in the estimated TKE if sand particles are starting to hit the cup wall instead of flying

229 out. This phenomenon occurred particularly at the concentrated impact location. Thus, TKE at the
230 concentrated impact location may be even higher than that reported in the present study.

231 This pilot study showed a possible increase of soil erosion risk under the forest canopy using a
232 limited dataset obtained at one impact location. TKE and throughfall precipitation can considerably vary
233 with tree traits and thus, it is necessary to clarify spatial variations in TKE and throughfall and factors
234 determining variations such as tree traits and leaf status based on random sampling with higher replication
235 in further studies. Regarding the erosion potential, it is furthermore of high importance to investigate how
236 many of these concentrated impact locations may occur on average on different tree species to better
237 assess the general extent of the erosion risk

238

239 **4. Conclusions**

240 In this paper, we report the results of a pilot splash cup experiment conducted to investigate potential
241 erosion from one high energy water release point under the canopy in a disturbed Japanese forest
242 environment. Strongly enhanced TKE was observed from structurally-mediated woody surface points
243 under beech (*Fagus crenata*), which was approximately 15 times higher than that at general locations and
244 approximately 50 times higher than FKE. The higher kinetic energy was caused by both higher
245 throughfall precipitation and higher unit kinetic energy. These results underline the evidence of high soil
246 erosion risk in forested areas owing to particular tree traits and show that this risk can significantly exceed
247 the previously known dimensions at specific points under the tree canopy. Moreover, unit TKE at high-
248 energy and general locations was reduced in the leafless season, but unit TKE in the leafless season was
249 still higher at the concentrated impact location than at general locations in the leafed season. This result
250 points to a potentially enhanced soil erosion risk even outside the growing season if concentrated impact
251 locations with high kinetic energies occur in larger numbers on trees. Precipitation amount is the most
252 important factor determining soil erosion risk and higher precipitation will also result in severe erosion
253 risk in the leafed season. Further research is necessary to verify the results, expand them to include other
254 tree species and forest ecosystems and to shed light on the mechanistic effects of distinct plant
255 characteristics building up on this pilot study. In this context, it should also be investigated how many of
256 these concentrated impact locations may occur on average on different tree species to better assess the
257 extent of soil erosion. This becomes particularly important when the protective soil cover layer with the
258 understory or leaf litter is disturbed or removed. Therefore, future studies examining soil erosion rates
259 under forests need to considerate both changes in TKE through plant traits and variations in ground cover.
260 Finally, as this pilot study showed considerable high soil erosion risk using a dataset obtained at one
261 single impact location, more measurements under different trees with higher replication are needed.

262

263 **Data availability**

264 All raw data is provided in the supplement material.

265 **Video supplement**

266 <https://doi.org/10.5446/61199>

267 **Author contribution**

268 AK, KN and SS designed the experiment, AK, YS, TK and SJ carried it out. AK, KN and SS prepared the
269 manuscript with contributions from all co-authors.

270 **Competing interests**

271 The authors declare that they have no conflict of interest.

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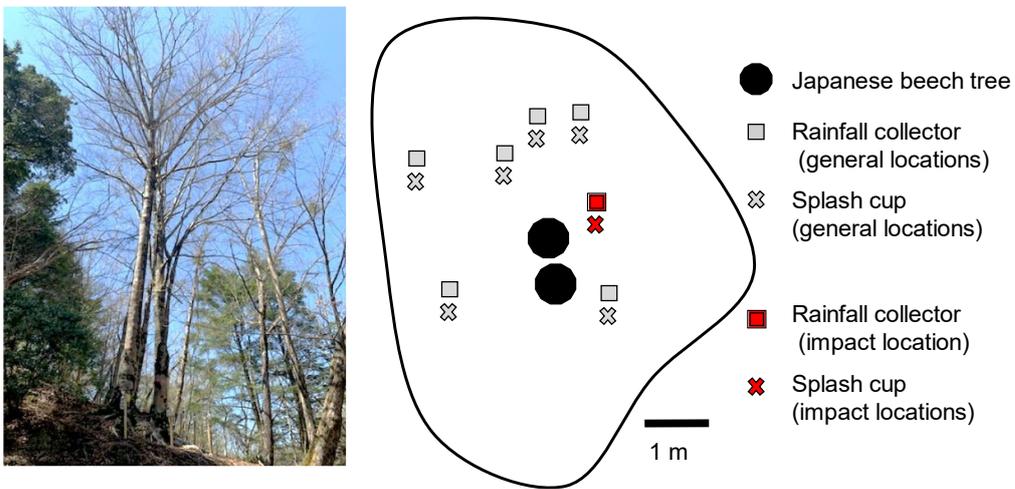
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388 **Fig. 1** Splash cups at the concentrated impact location (left) and at an exemplary general location (right)

389 after the first rainfall event in the leafless season. Freefall precipitation of this event was 35.4 mm.

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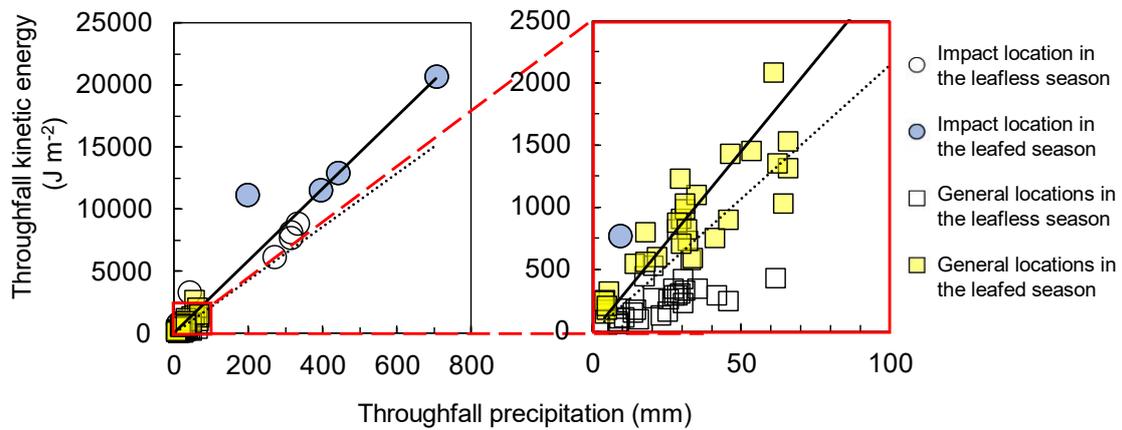
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393 **Fig.2** Japanese beech trees studied in this study (left). The splash cups and rainfall collectors were installed

394 under the beech trees (right). The black line shows canopy projected area. The splash cups and rainfall

395 collectors outside the forest were installed 40 m apart from the trees.

396



397

398 **Fig. 3** Relationship between event-based throughfall precipitation and event-based throughfall kinetic
 399 energy (TKE). Circles and squares show TKE measured at each concentrated impact location and each
 400 TKE at general locations, respectively. Closed and open symbols show leafless and leafed seasons. Solid
 401 and dotted lines show the regression lines at the concentrated impact location and general locations,
 402 respectively. The relationships were significantly different between the locations (ANCOVA, $P < 0.01$).

403

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406 **Table 1** Event-scale precipitation, kinetic energy, and unit kinetic energy at the impact location and
407 general locations under Japanese beech trees and outside the forest in the leafless and leafed seasons,
408 respectively.

409

Duration	Precipitation (mm)			Kinetic energy (J m ⁻²)			Unit kinetic energy (J m ⁻² mm ⁻¹)		
	Impact location	General locations	Freefall	Impact location	General locations	Freefall	Impact location	General locations	Freefall
Leafless									
3/3-7	331.7	26.1 ± 8.9	36.0 ± 0.4	8869	274 ± 157	161 ± 20	26.7	11.5 ± 8.5	4.5 ± 0.5
3/11-13	40.4	9.1 ± 0.8	11.9 ± 0.2	3307	102 ± 43	48 ± 2.9	81.9	11.2 ± 4.7	4.0 ± 0.3
3/19-22	314.4	37.1 ± 14.0	43.4 ± 0.7	7737	396 ± 166	385 ± 77	24.6	9.5 ± 2.3	8.9 ± 1.9
3/27-29	314.4	31.0 ± 7.3	38.8 ± 0.7	8166	387 ± 222	294 ± 19	26.0	13.1 ± 8.1	7.6 ± 0.4
4/3-5	268.2	20.5 ± 8.5	24.8 ± 0.2	6182	291 ± 188	25 ± 11	23.1	13.8 ± 6.9	1.0 ± 0.5
Leafed									
8/19-21	445.3 ^a	39.1 ± 12.9	54.1 ± 1.3	11571 ^a	893 ± 189	561 ± 47	26.0	24.2 ± 7.6	10.4 ± 0.9
9/2-3	9.4	4.5 ± 0.5	5.1 ± 0.3	769	223 ± 63	27 ± 8	81.6	49.7 ± 13.7	5.2 ± 1.3
9/10-16	797.5 ^a	56.9 ± 7.3	97.0 ± 1.4	20723 ^a	1723 ± 560	322 ± 50	26.0	30.9 ± 11.4	3.3 ± 0.5
9/27-10/1	498.6 ^a	38.8 ± 14.6	60.6 ± 1.9	12955 ^a	1014 ± 303	7 ± 1.4	26.0	27.4 ± 7.9	0.1 ± 0.0
10/8-11	223.7 ^a	22.0 ± 7.9	27.2 ± 1.5	11137	706 ± 186	12 ± 5.7	49.8	33.3 ± 7.7	0.5 ± 0.2

410 Data are given as mean ± standard deviation.

411 ^a The data was estimated from freefall precipitation.

412